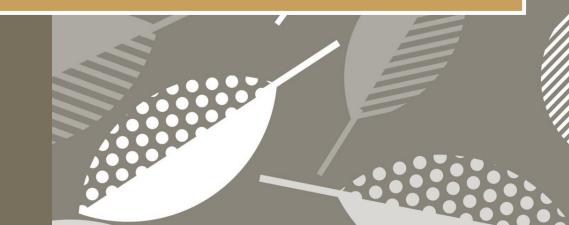


TUESDAY, APRIL 19, 2022 12:00 NOON



2022 OHA GRANTS PROGRAM OVERVIEW

The Grants Program is responsible for overseeing the Office of Hawaiian Affairs' granting processes, including sponsorships, solicitation development, application review and facilitation, award recommendation, Grant Agreement execution, and monitoring and reporting on grantee performance.

In 2022, OHA released solicitations for the following strategically aligned purposes: 'Ohana, Mo'omeheu, 'Aina, Education, Health, Housing and Economic Stability.

In addition, solicitations were released for 'Ahahui event grants, Homestead Community, Iwi Kupuna Repatriation & Reinternment.

SECTION I. SOLICITATION DESCRIPTION

This section provides information on the grants program, solicitation timetable, and project purpose and alignment with the strategic the plan.

All applications must include description of processes that seek to specifically address the solicitation's purpose, including alignment with the OHA Strategic Plan Directions and/or Foundations.

SOLICITATION TIMETABLE

The timetable of activities represents OHA's estimated schedule and is provided for planning purposes only.

Note that Cycle 2 is subject to the availability of funding.

The OHA Grants Program reserves the right to cancel any activity or revise the timetable if needed.

SAMPLE TIMETABLE

Antistan	Key Dates	
Activity	Cycle 1	Cycle 2
1. Availability of Solicitation	Thursday, March 31, 2022	Thursday, September 1, 2022
2. Online access to Phase 1 Eligibility	Monday, April 18, 2022	Tuesday, September 6, 2022
3. Phase 1 Eligibility deadline – 2:00 p.m. HST	Monday, May 16, 2022	Monday, October 3, 2022
4. Online access to Phase 2 Application	Upon approval of Eligibility	Upon approval of Eligibility
5. Application deadline – 2:00 p.m. HST	Friday, May 20, 2022	Friday, October 7, 2022
6. Application review period	May-June 2022	October-November 2022
7. Matrix of scores completed	June 2022	November 2022
 Award recommendation & Board of Trustees' approval 	July 2022	November 2022
9. Grant agreement Review	July – August 2022	December 2022
10. Estimated grant agreement start date	September 2022	January 2023

COMMUNITY GRANTS PURPOSE

Community Grants are initially two-year programmatic grants for organizations to administer projects in the State of Hawai'i designed to meet the needs of our Native Hawaiian community in alignment with OHA's 2020-2035 Strategic Plan.

The opportunity for grant agreement extension beyond the initial twoyears awarded is a strategic consideration based on outcomes of strategic grant monitoring, program evaluation and funding.

PROJECT ALIGNMENT WITH STRATEGIC DIRECTION & OUTCOME

Community Grants are required to be aligned with the OHA Strategic Direction or Foundation specified in the solicitation.

Applicants will be required to select one or more of the aligned strategies and at least one associated outcome.

SECTION II. OHA AWARD INFORMATION

This section details the anticipated award term, estimated total funding and minimum/maximum amount of OHA funds that can be requested.

Award restrictions, geographic coverage areas, and grant renewal information are also provided in this section.

ADMINISTRATIVE COSTS RESTRICTION



Administrative costs cannot exceed 30% of the total grant budget.



Administrative costs purposes must be justified.



Applications with more than 30% administrative costs will be deemed ineligible.

GRANT AWARD RESTRICTIONS – MULTIPLE PROGRAMS



Organizations can only be awarded two grants in total and not from the same category.



The awards must be two different grant types. For example, a general Community Grant and a Homestead Community Grant.



For example, an organization cannot have two general community grants or have one general community grant and be paid as a partner organization from a second general community grant.



The following are considered general Community Grant categories: Housing, Education, Health, Economic Stability, 'Ohana, Mo'omeheu and 'Āina. Focused grant categoriew include (at present): Homestead, Iwi Kupuna Repatriation & Reinternment.

OHA GRANT TYPES

GRANTTYPE	SOLICITATION IDENTIFIER	PRIMARY FOCUS
GENERAL COMMUNITY GRANT	Housing	One or two non-profit organizations to distribute individual beneficiary(ies) awards
	Education; Health; Economic Stability; Moʻomeheu; ʻĀina	Non-profit Community organizations
	'Ohana	Non-profit Community organizations allocated by island
IWI KUPUNA COMMUNITY GRANT	Iwi Kupuna Repatriation & Reinterment	Non-profit Community organizations
HOMESTEAD COMMUNITY GRANT	Homestead Community	Homestead communities
CHARTER SCHOOL GRANT	Charter School Funds Administration	No new solicitation - per pupil support for 17 Hawaiian focused charter schools + student conference
SCHOLARSHIP GRANT	Post-Secondary Scholarships	One organization to distribute individual beneficiary support for post-secondary scholarships focused on teacher education and preparation for Kaiapuni and Hawaiian focused charter school service.
SOCIAL SERVICE GRANT	Emergency Financial Assistance	No new solicitation – OHA preparing to administer this individual beneficiary program ourselves; Ka Wailele program administered by Hawaii Community Lending in place

MATCHING FUNDS

Applicants are required to provide matching funds of at least twenty percent of the OHA grant award amount for the grant award period. Applications with less than 20% matching funds will be deemed ineligible.

Match funding priority should be comprised of cash sources, including other grantor cash sources. In-kind services considerations may be considered.

There are some grants (i.e., research) 'olelo Hawai'i that do not require matching funds.

GEOGRAPHIC SERVICE AREA

Geographic coverage of the project's service and/or activities areas includes the islands of Hawai'i, Maui, Lāna'i, Moloka'i, O'ahu, and Kaua'i.

For the 'Ohana grant, OHA will work directly with Lāna'i and Ni'ihau eligible community organizations upon Board of Trustees action.

GRANT AWARD RENEWAL

At the end of the grant term, Grantees that meet the criteria for exemplary performance and compliance may be offered an option to renew their grant for FB 2024-2025, subject to Administration recommendation and Board approval.

For a Grantee to be recommended to the Board for award renewal, Grantee's grant performance shall be reviewed by the Grants Program to determine if the following criterion has been met:

I. Met or exceeded or on track to meet or exceed all performance targets and outcomes.

2. Met all grant agreement compliance requirements.

3. Met all reporting deadlines on time and reports were complete.

4. Demonstrated that the project has had the impact proposed in the grant application as aligned to the strategic direction and in the Native Hawaiian community.

5. Demonstrated that the project has accomplished the impacts proposed and is projected to continue to have impacts in the Native Hawaiian community.

6. Agreed to complete contracting renewal requirements.

SECTION III. PHASE I - ELIGIBILITY

The information included in this section addresses the application restrictions, minimum eligibility requirements, required documents, and eligibility submission process.

If you need clarification on the minimum eligibility requirements, contact the Grants Program at grantsinfo@oha.org.

NATIVE HAWAIIAN SERVING ORGANIZATION REQUIREMENT

The applicant shall be an organization that can certify and clearly demonstrate that at least sixty-percent or more of the organization's overall current client and/or participant base is Native Hawaiian.

The applicant shall provide information and data to clearly substantiate how the applicant derived the percentage provided.

The information and data shall not be based solely on the project being applied for, but the organization's OVERALL participant and/or client base for all services provided by the organization. APPLICATION RESTRICTION FOR COMMUNITY GRANTS Organizations can submit an application for more than one FB 2022-2023 Community Grant category.

Applications need to be for different projects and not cross over with each other.

If awarded more than one Community Grant category, the organization will need to choose one award, in a timely manner or be subject to OHA's choice.

The following are considered Community Grants:

Housing, Education, Health, Economic Stability, 'Ohana, Mo'omeheu and 'Āina.

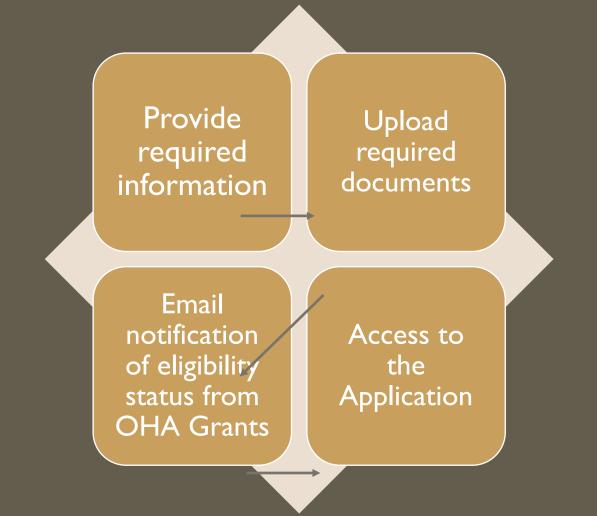
Duplicate Applications Prohibited

If your organization has already applied for a FB 2022-2023 OHA grant solicitation, submission of the same or related application for another FB 2022-2023 OHA grant solicitation prohibited.

Duplicate Programs Prohibited

If your organization currently has or has been awarded an OHA grant, submission of an application for the same or related project is prohibited. If your current award is in the process of final closeout, this provision does not apply.

PHASE I – ELIGIBILITY **SUBMISSION** PROCESS & REQUIRED DOCUMENTS



The purpose of Phase I is to ensure applicants satisfactorily meet all of the required grant requirements BEFORE proceeding to the application process, thereby reducing possibility of non-award action due to ineligible, incorrect, invalid documents.

ELIGIBILITY REQUIREMENT SECTIONS

I.Applicant & Project Information



3. Match Funding Confirmation

APPLICANT & PROJECT INFORMATION

- a. Organization Name
- b. Project Name
- c. Amount Requested
- d. Project Alignment to Strategic Plan
- e. Number of Native Hawaiians to be served NEWLY SERVED VS. COMPLETED
- f. Island Locations
- g. Project Contacts
- h. Governing Board and Executive Team
- i. Authorized Signatory
- j. Application Authorization Form

CERTIFICATIONS

a. Licenses/Permits Certification
b. Partner Certification, if any (document upload)
c. Sufficient Funds Certification
d. COVID-19 Preparedness and Response Plan Certification
e. IRS Letter of Determination (document upload)
f. Certificate of Vendor Compliance (document upload)
g. Board Governance Certification Form (document upload)

MATCH FUNDING CONFIRMATION

- Form certifies that Applicant has matching funds equal to at least 20% of the OHA grant amount requested.
- For the purpose of this application, OHA funds cannot be used as matching funds.
- Provide all cash amounts whether the funding is confirmed or pending. If pending, indicate anticipated determination date.
- If only a percentage of another funding source is dedicated as a match, provide explanation.
- If your partner organization(s) is committing matching funds, they each need to submit a separate form. Combine the forms into one document when uploading into application.

SECTION IV. PHASE 2 -APPLICATION

The information included in this section addresses the application process via the OHA Grants Portal, required application elements, and documents that must be uploaded.

The application will be evaluated and scored using the criteria in this section. The highest possible score is 100 points.

This section includes a set of four main criteria, its corresponding sub-criteria, and the elements used for evaluation.

GENERAL APPLICATION HIGHLIGHTS

Be sure to read each component thoroughly. Some have one element, while others have multiple elements that should be addressed.

All questions require a response. If the item does not apply to your proposal or if no information is available, please mark "N/A."

Applications only accepted via the OHA Grants Portal. Uploads and response fields have size limits noted in the application.

APPLICATION CRITERIA AND POINTS 100



CRITERION I

Approach

64 points

Approach | Maximum Points: 64

The Approach criterion will be used by reviewers to consider the degree to which the project is feasible, effective, community-based, and will successfully achieve the intended outcomes.

It includes nine (9) components.

I. LONG-TERM COMMUNITY GOAL = UP TO 2 POINTS



Identifies a long-term community goal and demonstrates the proposed project is relevant to the achievement of the long-term community goal.



Describe how your goal was defined and the role of the community in defining the long-term goal.



Determine how you are defining community; who is your community?

2. CURRENT COMMUNITY CONDITION = UP TO 4 POINTS



A community condition is a gap or barrier that is preventing the community from achieving their longterm goal.



Element: Identify ONE specific current community condition addressed by the scope of the project.



Element: Provide baseline information about the current condition.

3. PROJECT GOAL ALIGNMENT WITH THE STRATEGIC DIRECTION/ FOUNDATION = UP TO 6 POINTS

The Project Goal describes what is to be achieved by the project's implementation and/or what role the project will play in addressing the current community condition.



It also addresses how the project goal is aligned to the Strategic Direction and any other considerations if required in the solicitation.



Reflects the scope of the project and is achievable by the end of the grant period.

4. OBJECTIVES = UP TO 6 POINTS

Element: Identify I-3 objectives that need to be achieved to reach the goal and improve the current community condition.

Use the TPIT format for each objective. Each objective should only have one of each TPIT component. Label each component in your objectives.

 \checkmark

Element: All objectives lead to achievement of the project goal.

<u>TPIT</u>

TIMELINE POPULATION INDICATOR TARGET



Timeline: when the objective will be accomplished



Population: a specific group the objective will focus on



Indicator: a measurable sign that something has been done or achieved

Target: the amount of change/increase/decrease/improvement that will be achieved

TPIT EXAMPLE

By the end of 24 months, (*Timeline=When*) I 50 Native Hawaiians statewide (*Population=Who*) will decrease their blood sugar levels (*Indicator=What*) by at least two points (*Target=How much*) through dietary and other lifestyle changes.

5. OUTCOMES & INDICATORS = UP TO 10 POINTS

Element: OHA requires one primary outcome per objective.

Element: Address how the project addresses at least one strategy and one associated outcome.

Ensure that the Indicators and Targets from your TPIT objectives address the elements in this section.

Address the elements by following these steps:

I. State project goal.

- 2. State outcome of each objective.
- 3. State indicator and associated target.
- 4. Describe how indicator will lead to outcome.
- 5. Describe how outcome will result in accomplishing goal.

Element: Provide one indicator per outcome that illustrates how the project will progress toward the outcome.

6. OUTPUT TABLE AND DATA COLLECTION VERIFICATION DOCUMENTS = UP TO 12 POINTS

Complete the Output Table. Describe each objective's resulting outputs and their relevance to the project. Each Output should have a corresponding target number.

List all the Data Collection and Verification documents associated with stated outputs.

REQUIRED outputs: Number of Native Hawaiians newly served and Number of Native Hawaiians that complete the project.



Ensure your numbers for required outputs match the target numbers you submitted in your Phase 1:Applicant & Project Information.

6. OUTPUT **TABLE AND** DATA COLLECTION AND VERIFICATION DOCUMENTS = UP TO 12 POINTS

EXAMPLE of OUTPUT TABLE & data collection/verification documents					
Objective	Target#	Outputs	Relevance		
Objective 1: By the end of 24 months (Timeline), 40 (Target) Native Hawaiians	200	* <i>Required</i> -Native Hawaiian participants newly served	Native Hawaiian entrepreneurs registered for business and financial literacy classes		
statewide (Population) will qualify for a business loan to establish their small business (Indicator).	160	* <i>Required</i> -Native Hawaiians completed the project	Native Hawaiian entrepreneurs that complete the required business and financial literacy classes		
	80	Native Hawaiians who apply for a business loan	50% of participants that complete the classes apply for a loan		
	40	Native Hawaiians get approved for a business loan	Direct indicator of the program		
	200	Registration forms	Document registration & NH ancestry		
	80	Attendance sheets (4x/month x 20 months)	Document participant attendance in workshops		
	1	Business Curriculum	Curriculum to teach/improve business acumen		
	1	Financial Literacy	Curriculum to teach/improve financial literacy		
	1	Contract with Financial Literacy teacher/consultant	Documentation of agreed services for Financial Literacy classes		
	160	Participant Pre-/Post- Evaluations	Participant feedback and program evaluation		
Data Collection and Verification Documents:					

Registration forms, attendance sheets, business curriculum, financial literacy curriculum, Financial Literacy consultant contract, participant program evaluations

7. OBJECTIVE WORK PLAN (OWP) = UP TO 10 POINTS



Complete the Objective Work Plan. List all the key activities relevant to the project that lead to the achievement of each objective.



Indicate all the key elements of the project and ensure they are consistent with the rest of the application.



List all activities in chronological order by start date and define who is responsible for implementation.



Provide an OWP for each objective for each budget period needed to complete the objective.

8. COMMUNITY-BASED STRATEGY = UP TO 7 POINTS



Element: Demonstrate how the community/target population was involved in developing the project.



Element: Indicate your organization's connection to the community to be served. Describe your outreach activities and ability to work directly with them.



Describe how your organization worked with similar project participants, the success obtained and how that will translate to this project.

9. IMPLEMENTATION STRATEGY = UP TO 7 POINTS

Element: Describe your participants and your plan for their recruitment, selection and retention.



Element: Address project sustainability, potential obstacles to project implementation and strategies that will be used to overcome the obstacles.

CRITERION 2

Organizational Capacity

II points

Organizational Capacity | Maximum Points: 11

Demonstrate that the key staff and management have the expertise, knowledge, and credentials relative to assigned roles.

ORGANIZATIONAL CHART & FUNCTIONS = UP TO 8 POINTS



Document a staffing and organizational structure that will support full implementation. Provide on Organizational Chart.



For any positions that will be vacant at the start of the project, outline a recruitment and hiring plan that aligns with the organization's current policies and procedures.



Describe each designated position that will be responsible for grant administrative activities, including years of experience performing these requirements.

2. FISCAL OVERSIGHT = UP TO 3 POINTS



Describe your plan for fiscal oversight of OHA grant funds if awarded.



Identify financial internal controls that will ensure proper oversight of funds, including policies that define fiscal activities and processes.



Address key staff in charge of fiscal oversight and their experience, including years of experience.

CRITERION 3

Experience

12 points

Experience | Maximum Points: 12

Demonstrate and describe prior experience providing the proposed project services.

I. EXPERIENCE = UP TO 12 POINTS



Include number of years proposed services have been provided. Identify projects that include directly related experience.



List projects and corresponding dates of service. For each project, note funder name, grant purpose, general grant scope, number of years services provided, and dates of service.



If a partner/consultant/contractor is being used, detail their experience in the same way.

CRITERION 4

Budget & Budget Justification

13 points

Budget & Budget Justification | Maximum Points: 13

Designate adequate resources to carry out the expected and proposed activities while ensuring that the proposed costs are reasonable.

I. LINE-ITEM BUDGET = UP TO 5 POINTS



Include a line-item budget for each year of the grant. Note that the final award will be split evenly between two years.



Expenses should reflect annual cost, including salaries based on specified FTE.



Administrative/Operating costs cannot exceed 30% of the total OHA grant funds; 70% of total OHA grant funds shall be allocated to direct service.



Operating costs are costs associated with the general operation of your organization, e.g. Overhead expenses such as rent/lease, accounting, administrative salaries, insurance, utilities.

2. BUDGET JUSTIFICATION = UP TO 8 POINTS



Describe how expenditures align with the Approach and OWP. Include a breakdown, as necessary, for each line-item category, including a basis for estimated costs.



Demonstrate required cash match funding commitment(s) and include vendor quotes for equipment costs over \$5,000.

YEAR 1					
BUDGET CATEGORY - Item	Requested OHA Funds Budget	Cash Match Funds	Partner Organziation Match Funds (if any)	Other Funds (if any)	Description & Justification
PERSONNEL - Salaries					
	s -		\$ -	s -	
	s -	\$ -	\$ -	s -	
	s -	\$ -	s -	s -	
PERSONNEL - Other Costs					
	s -	\$ -	s -	s -	
	s -	\$ -	s -	s -	
CONTRACTUAL SERVICES - Administrative					
	s -	\$ -	s -	s -	
	s -	\$ -	\$ -	s -	
CONTRACTUAL SERVICES - Programmatic					
	s -	\$ -	\$ -	s -	
	s -	\$ -	\$ -	s -	

ATTACHMENT J. BUDGET AND BUDGET JUSTIFICATION FORM

DISALLOWED COSTS

OHA reserves the right not to fund any budget expenses it deems inappropriate, unreasonable, or unallowable.

In-state travel and all transportation costs must be justified and reasonable.

Equipment must be justified and continued to be used for the benefit of Native Hawaiians after the term of the grant.

OHA grant funds cannot be used for costs incurred outside of the final contract term or not related to the grant.

DISALLOWED COSTS

- Purchase of land or buildings;
- Purchase of motorized vehicles which includes boats;
- Purchase of alcohol;
- Promotional materials and items;
- Entertainment;
- Food;
- Makana (Gifts);
- Out of state travel;
- Prizes/Awards;
- Gratuities; and
- Indirect Costs.

SECTION V. APPLICATION SUBMISSION

Applications only accepted via the OHA Grants Portal.

Access through the Grants Program page of the OHA website at <u>http://www.oha.org/grants</u>.

Create an account in the Grants Portal. Required information includes the organization's legal name and EIN/Tax identification number.

ADDITIONAL APPLICATION INFORMATION



Upon request from OHA, each applicant shall submit any additional materials and documentation reasonably required by OHA.



OHA reserves the right to amend the solicitations. Amendments will be posted to the OHA Grants webpage at http://www.oha.org/grants.



Solicitations may be canceled and any or all applications may be rejected in whole or in part, when it is determined to be in the best interest of OHA.



OHA reserves the right to reject any application that does not meet the requirements of the solicitation.

SECTION VI. APPLICATION EVALUATION

This section explains how the applications will be evaluated and provides the specific evaluation criteria and points assigned to each criterion.

An evaluation committee of designated reviewers shall review and evaluate all applications that met Phase I Eligibility Requirements by the specified due date.

The evaluation committee may be comprised of OHA employees and/or community representatives with experience in and knowledge of project services.

RECOMMENDATION FOR AWARD

The Grant Review Process recommendations include the prioritization of applications based on the highest scores in the scoring matrix.

Upon review of the matrix, the Grants Program may include the following considerations in its recommendation to the Board: I) Applicant's past performance; 2) gaps in service to Native Hawaiian communities; and 3) Geographical distribution of grant funds to Native Hawaiian communities.

The Grants Program will send award recommendations, via memo and matrix and/or Action Item, to the Administration for approval, and then to the Board of Trustee's Committee on Resource Management.

All applicants will be notified of award status via email from the OHA Grants Portal.

SECTION VII. OHA AWARD ADMINISTRATION

All awards are issued via grant agreement (contract) with OHA, which includes a noncompliance policy and required general conditions. OHA is not liable for costs incurred outside of the contract term.

Reporting is on a quarterly basis and includes narrative statements on the work performed, performance measures, expenses incurred, request for payment (invoice) and assurance of benefit to Native Hawaiians certification. Additional reports may be required.

Compensation via Automatic Clearing House (ACH) Direct Deposit is required, and the method of payment is cost reimbursement for allowable incurred costs. Generally, an initial advanced payment is provided upon execution of the contract and 10% of the total grant budget is retained for a final payment.

SECTION VIII. ATTACHMENTS

- I. Attachment A. Application Authorization Form document upload
- 2. Attachment B. Partner Certification Form document upload
- 3. Attachment C. Sample IRS Letter of Determination document upload
- 4. Attachment D. Sample HCE Certificate of Vendor Compliance document upload
- 5. Attachment E. Board Governance Certification Form document upload
- 6. Attachment F. Match Funding Confirmation Form document upload
- 7. Attachment G. Output Table and Objective Work Plan document upload
- 8. Attachment H. Sample Budget
- 9. Attachment I. Budget Category Table
- 10. Attachment J. Budget & Budget Justification Form document upload
- II. Attachment K. Disallowed Costs

OHA GRANTS PORTAL

All applications, award notifications and grant reporting and monitoring is conducted via the OHA Grants Portal

Please contact your IT Administrator and ask them to add the aforementioned domain (@grantinterface.com) to your company's **whitelist.** This is to allow emails from the OHA Grants Portal.

To access the OHA Grants Portal: https://www.grantinterface.com/Home/Logon?urlkey=oh For general inquiries or assistance with grant solicitations, please email: grantsinfo@oha.org

For technical assistance with the online application or the OHA Grants Portal, please email:

grantsadmin@oha.org

Check the OHA Grants website at <u>www.oha.org/grants</u> for the latest information on OHA grants and solicitations.

MAHALO NUI FOR YOUR INTEREST AND TIME!