

PHONE (808) 594-1888  
BOARD OF TRUSTEES  
Colette Y. Machado, *Chairperson*  
Brendon Kalei'aina Lee, Vice Chairperson  
Leina'ala Ahu Isa, At-Large  
Dan Ahuna, Kaua'i & Ni'ihau  
Kalei Akaka, O'ahu  
Keli'i Akina, At-Large  
Carmen Hulu Lindsey, Maui  
Robert K. Lindsey, Jr., Hawai'i Island  
John Waihe'e IV, At-Large



FAX (808) 594-1868

**STATE OF HAWAII  
OFFICE OF HAWAIIAN AFFAIRS**

**MEETING OF THE BOARD OF TRUSTEES**

**DATE:** Wednesday, June 10, 2020  
**TIME:** 9:30 am  
**PLACE:** Virtual Meeting (viewable at [www.oha.org/livestream](http://www.oha.org/livestream))

**Due to the threat of COVID-19, Governor Ige issued the most recent Emergency Eighth Supplementary Proclamation dated May 18, 2020 suspending Hawai'i Revised Statutes Chapter 92, Public Agency Meetings and Records, to enable boards to conduct business without any board members or members of the public physically present at the same location. Boards should take reasonable measures to allow for public participation while holding virtual meetings.**

**The OHA Board of Trustees will hold virtual meetings until further notice. The meetings will be livestreamed on OHA's website at [www.oha.org/livestream](http://www.oha.org/livestream)**

**AGENDA**

- I. Call to Order
- II. Approval of Minutes
  - A. May 7, 2020†
- III. Public Testimony on Items Listed on the Agenda\* (*Only written testimony will be accepted*)
- IV. New Business
  - A. Request for approval to waive the Workshop on the OHA Biennium Budget for the Fiscal Biennium Periods 2019-2020 (FY20) and 2020-2021 (FY21) – Realignment 2 from the Committee on Resource Management to the Board of Trustees pursuant to the Office of Hawaiian Affairs Board of Trustees Bylaws (approved March 5, 2020) Article VIII, Section L†
- V. BOT Workshop
  - A. OHA Biennium Budget for the Fiscal Biennium Periods 2019-2020 (FY20) and 2020-2021 (FY21) – Realignment 2†
- VI. Announcements
- VII. Adjournment

If you require an auxiliary aid or accommodation due to a disability, please contact Raina Gushiken at telephone number 594-1772 or by email at: [rainag@oha.org](mailto:rainag@oha.org) no later than three (3) business days prior to the date of the meeting.

Meeting materials will be available to the public on Friday, June 5, 2020 and posted to OHA's website at: [www.oha.org/bot](http://www.oha.org/bot)

\*Notice: Persons wishing to provide testimony on items listed on the agenda should submit testimony via email to [BOTmeetings@oha.org](mailto:BOTmeetings@oha.org) 24 hours prior to the scheduled meeting. Any testimony received after this time will be late testimony and distributed to the Board after the scheduled meeting.

† Notice: The 72 Hour rule, pursuant to OHA BOT Operations Manual, Section 49, shall be waived for distribution of new committee materials.

A handwritten signature in cursive script that reads "Colette Y. Machado".  
\_\_\_\_\_  
Trustee Colette Y. Machado  
Chairperson, Board of Trustees

A handwritten date "6/4/2020" written in a stylized, bold font.  
\_\_\_\_\_  
Date

Office of Hawaiian Affairs  
Board of Trustee Meeting  
June 10, 2020  
9:30 am

II. Approval of Minutes  
A. May 7, 2020

**STATE OF HAWAII  
OFFICE OF HAWAIIAN AFFAIRS  
Virtual Meeting via GoTo Meeting**

**Due to the threat of COVID-19, Governor Ige issued an Emergency Supplementary Proclamation dated March 16, 2020 and another Emergency Sixth Supplementary Proclamation dated April 25, 2020 suspending Hawai'i Revised Statutes Chapter 92, Public Agency Meetings and Records, to enable boards to conduct business without holding meetings open to the public while considering reasonable measures to allow for public participation consistent with social distancing practices.**

**The OHA Board of Trustees will be holding virtual meetings until further notice. The meetings will be livestreamed on OHA's website at [www.oha.org/livestream](http://www.oha.org/livestream)**

**Minutes of the Office of Hawaiian Affairs Board of Trustees  
Thursday, May 7, 2020  
9:30 am**

**ATTENDANCE:**

TRUSTEE COLETTE MACHADO  
TRUSTEE BRENDON KALEI'ĀINA LEE  
TRUSTEE LEINA'ALA AHU ISA  
TRUSTEE DAN AHUNA  
TRUSTEE KALEI AKAKA  
TRUSTEE W. KELI'I AKINA  
TRUSTEE CARMEN HULU LINDSEY  
TRUSTEE ROBERT K. LINDSEY  
TRUSTEE JOHN WAIHE'E IV

ROBERT KLEIN, BOARD COUNSEL

**BOT STAFF:**

CAROL HO'OMANAWANUI  
DAYNA PA  
LAURENE KALUAU-KEALOHA  
LŌPAKA BAPTISTE

**GUESTS:**

JOHN MARCO  
CRAIG CHAIKIN

**ADMINISTRATION STAFF:**

SYLVIA HUSSEY, CEO  
RAINA GUSHIKEN, CC  
GLORIA LI, CTRLR  
EVERETT OHTA, CC  
STERLING WONG, PRO  
RAY MATSUURA, INVST  
KEVIN CHAK, IT  
MAILE LUUWAI, TAPS

**I. CALL TO ORDER**

**Trustee Colette Machado** Calls the Board of Trustees meeting to order at 9:54 am. She thanks the Trustees for their patience and their willingness to conduct business. She states that this is the first virtual meeting that is being conducted. Roll call is taken to identify the Trustee that are participating; Trustees Ahu Isa, Ahuna, Akaka, Akina, Lee, Robert Lindsey, Waihe'e and Machado are present, constituting a quorum of eight Trustees. Trustee Carmen Hulu Lindsey is expected to join shortly.

For the record if you are going to leave the conversation, just alert us that you are leaving so that we can keep track of who is here.

As a reminder we ask that everyone please mute your mic until you need to speak to eliminate any background noise which can make it difficult to hear and affect the livestreaming of this meeting.

When you would like to speak, unmute your mic, address the Chair to be recognized. When the Chair recognizes you, proceed to speak. Mute your mic when you are done. In other words when you want to speak just say, Chair please recognize me. So we can keep it simple like that.

At the prompting of the Chair, the Board Secretary will do a roll call to determine quorum and when the Board votes on matters.

If you are disconnected, we will pause the meeting for one (1) minute and will wait for you to log back on.

If you need to leave the meeting please let the Chair know. So we can accommodate you and know who is on the meeting.

The 72 Hour rule, pursuant to OHA BOT Operations Manual, Section 49, shall be waived for the following items:

- IV. New Business
  - A. Request for approval to waive the following Standing Committee matters to be directly considered by the Board of Trustees pursuant to the Office of Hawaiian Affairs Board of Trustees Bylaws (approved March 5, 2020) Article VIII, Section L:
    - 1. Native Hawaiian Trust Fund (NHTF) Performance Review and Market Outlook with Segal Marco Consultants, John Marco and Craig Chaikin
    - 2. Action Item BOT #20-03: Approval to Fund Responses to COVID-19.
  - B. Native Hawaiian Trust Fund (NHTF) Performance Review and Market Outlook with Segal Marco Consultants, John Marco and Craig Chaikin
  - C. Action Item BOT #20-03: Approval to Fund Responses to COVID-19

With that said we will move on to II. for the approval of the minutes.

## **II. APPROVAL OF MINUTES**

### **A. March 5, 2020**

**Trustee Brendon Kalei‘āina Lee** – Madame Chair.

**Chair Colette Machado** – Trustee Lee.

**Trustee Brendon Kalei‘āina Lee** moves to approve the Board of Trustees meeting minutes of March 5, 2020.

**Trustee Robert Linsdsey** seconds the motion.

**Chair Colette Machado** –Is there any discussion? Hearing none, roll call vote please.



Trustee Brendon Kalei‘āina Lee moves to approve the Board of Trustees meeting minutes March 5, 2020. Trustee Robert Linsdsey seconds the motion.						
TRUSTEE	1	2	‘AE (YES)	A‘OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEINA‘ALA AHU ISA			X			
TRUSTEE DAN AHUNA			X			
TRUSTEE KALEI AKAKA			X			
TRUSTEE KELI‘I AKINA			X			
TRUSTEE BRENDON KALEI‘ĀINA LEE	x		X			
TRUSTEE CARMEN HULU LINDSEY						Not present at time of vote
TRUSTEE ROBERT LINDSEY		x	X			
TRUSTEE JOHN WAIHE‘E			X			
TRUSTEE COLETTE MACHADO			X			
TOTAL VOTE COUNT			8			1
MOTION: [ ] UNANIMOUS [ x ] PASSED [ ] DEFERRED [ ] FAILED Motion passes with eight (8) yes votes and one not present at time of vote..						

### **III. PUBLIC TESTIMONY**

**Chair Colette Machado** – We will now move on to III. identified as Public Testimony. All of you have received from the Board Secretary testimony from Mr. Kamealoha Smith representing the Hanalei River Heritage Foundation in support of Action Item BOT #20-03. Another public testimony was received from Mr. Michael Hodson, President Waimea Hawaiian Homestead Association providing comment. The third was from Mr. Kuhio Lewis, President and CEO of Council for Native Hawaiian Advancement providing comments regarding Action Item BOT #20-03. If any testimony is received late it will be distributed after the meeting.

We will now move on to unfinished business IV. Item A. We are going to request for approval to waive the following standing committee matters to be directly considered by the Board of Trustees pursuant to the Office of Hawaiian Affairs Board of Trustees Bylaws (approved March 5, 2020) Article VIII, Section L.

### **IV. UNFINISHED BUSINESS**

- A. Request for approval to waive the following Standing Committee matters to be directly considered by the Board of Trustees pursuant to the Office of Hawaiian Affairs Board of Trustees Bylaws (approved March 5, 2020) Article VIII, Section L:**
- 1. Native Hawaiian Trust Fund (NHTF) Performance Review and Market Outlook with Segal Marco Consultants, John Marco and Craig Chaikin**
  - 2. Action Item BOT #20-03: Approval to Fund Responses to COVID-19.**

**Chair Colette Machado** – I would like to call on Trustee Dan Ahuna.

**Trustee Dan Ahuna moves to approve a waiver of the matters listed below from the RM Committee and Joint BAE/RM Committees directly to the Board of Trustees pursuant to the OHA BOT Bylaws (approved March 5, 2020) Article VIII, Section L:**

- 1. Native Hawaiian Trust Fund (NHTF) Performance Review and Market Outlook with Segal Marco Consultants, John Marco and Craig Chaikin.**
- 2. Action Item BOT #20-03: Approval to Fund Responses to COVID-19.**

**Trustee John Waihe‘e IV seconds the motion.**

**Dayna Pa** – Chair, Trustee Carmen Hulu Lindsey just joined our meeting.

**10:03 am Trustee Carmen Hulu Lindsey joins meeting.**

**Chair Colette Machado** – Hi Trustee.

**Trustee Carmen Hulu Lindsey** – Aloha.

**Chair Colette Machado** – Trustee Carmen Hulu Lindsey we are now on item IV. A. we just took a motion to approve the waiver to the BOT.

**Trustee Carmen Hulu Lindsey** – Okay.

**Chair Colette Machado** - With that said we will do a roll call vote, Secretary.

Trustee Dan Ahuna moves to approve a waiver of the matters listed below from the RM Committee and Joint BAE/RM Committees directly to the Board of Trustees pursuant to the OHA BOT Bylaws (approved March 5, 2020) Article VIII, Section L:						
1. Native Hawaiian Trust Fund (NHTF) Performance Review and Market Outlook with Segal Marco Consultants, John Marco and Craig Chaikin.						
2. Action Item BOT #20-03: Approval to Fund Responses to COVID-19.						
Trustee John Waihe'e IV seconds the motion.						
TRUSTEE	1	2	'AE (YES)	A'OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEINA'ALA AHU ISA			X			
TRUSTEE DAN AHUNA	X		X			
TRUSTEE KALEI AKAKA			X			
TRUSTEE KELI'I AKINA			X			
TRUSTEE BRENDON KALEI'ĀINA LEE			X			
TRUSTEE CARMEN HULU LINDSEY			x			
TRUSTEE ROBERT LINDSEY			X			
TRUSTEE JOHN WAIHE'E		x	X			
TRUSTEE COLETTE MACHADO			X			
TOTAL VOTE COUNT			9			
MOTION: [ x ] UNANIMOUS [ ] PASSED [ ] DEFERRED [ ] FAILED						
Motion passes unanimously with nine (9) yes votes.						

**B. Native Hawaiian Trust Fund (NHTF) Performance Review and Market Outlook with Segal Marco Consultants, John Marco and Craig Chaikin**

**Chair Colette Machado** – Members I will call on Sylvia right now. We are on IV. New Business B. for the performance review and Market outlook with Segal Marco consultants. Thank you Mr. Marco and Mr. Chaikin for being patient with us in getting this virtual meeting started.

**Sylvia Hussey** – Without further ado, John and Craig from Segal Marco will be able to provide the Board and update on 1<sup>st</sup> quarter and our investment manager Ray Matsuura is also on. So go ahead John and Craig.

**Mr. John Marco** – Aloha everyone. Good morning its great to see you all. It looks like everyone is safe and healthy at these very trying times. Appreciate the opportunity to update you all on the market environment and the performance of the native trust. I'll be covering the market environment, kind of big picture about where we stand

today and how we got here. Then I am going to turn it over to Craig Chaikin my colleague to give the specific performance of the plan.

**Trustee Brendon Kalei‘āina Lee** – Sylvia mentioned that this was going to be first quarter update, we only received fourth quarter information. Are you updating us on the first quarter of 2020?

**Mr. Marco** – That is correct. We have numbers through March 31<sup>st</sup> and then Craig has done estimates for the month of April.

**Trustee Brendon Kalei‘āina Lee** – Okay thank you, because we haven’t received that.

**Mr. Marco** – That is correct, this is all estimate at this time. Unfortunately we didn’t get advance notice of this meeting and the production of those reports. We do have updated numbers for you and we will certainly distribute them as soon as they are ready.

Overall, obviously we have seen significant market decline. We have also seen a big market bounce back. Starting off the year, keep in mind we looked back at 2019 when the stock market was up 31% coming off of strong returns on corporate profitability, big tax cuts and lower interest rates. Those help drive 2019. As we got into 2020 where the corona virus spread and as it got to the US the market decline seemed to parallel the extension of stay at home orders throughout the US. This is one of swiftest declines we’ve ever seen in the stock market. Historically its taken a few months to have a drawdown of the stock market of this magnitude about 31% was the bottom that we tested so far. The massive amounts of immediate layoffs and the spike in unemployment is really unprecedented.

The fact that we were humming along relatively well with issues in the economy but over all very strongly chugging along and here we are we see this challenge to the market and the market has really taken a beating. Through April where we sit with the stock market today, the S&P 500 is down about 9.3% so down 9.3 for the first four months of the year. It took a very strong April to get us there. As I mentioned the S&P bottomed out at about -31%. In the month of April alone the stock market represented by the S&P 500 was up 12.8%. Now these bounce backs are not due to corporate profitability or economic growth. We still expect to have challenging reporting and challenging times ahead. Just tomorrow we expect to get the unemployment numbers and they are going to be staggering. Latter on we are going to give numbers on GEP, they are going to show sharp negatives. We are certainly not out of the woods yet, but the market has responded very positively to a few different thing. Number one is government stimulus. The government has taken swift and enormous action to try to buoy the economy through various different programs. There have been spaces along the way and they are currently meeting and discussing the next round on the stimulus. At the same time we have a dis-coordinated reopening going on in the US. Some States are significantly further ahead than others. Its really going to be kind of step by step as we move forward from here. The biggest problems the markets are seeing beyond the obvious is really just the uncertainty looking forward. Markets like to know, at least ok, we’ve seen the worst of it this is the direction looking forward. Where we stand today there is so much uncertainty that we see the market reacting to day to day news. Not seeing to much trending, we see a lot of bouncing back and forth. We have positive returns today but I wouldn’t be surprised if we saw sharp negatives tomorrow with the announcements of really big unemployment numbers.

So what has the Government done? They have done a few different things. Overtly the Government has gone out and done grants and loans to businesses as well as direct payments to individuals. There are other programs out there but those are kind of them main ones in terms of size and breadth and scale. On the other side what most of us don’t see is what’s been done to financial markets like the Fed and Treasury. The Fed has come out and buoyed the bond market. We all see the stock market day to day the headline risk, but keep in mind bonds trade as well and there were significant issues in the bond market. It bond market dies up for demand in liquidity, that means organizations and individuals won’t be able to borrow. One of the big programs that is being pushed out right now is the TALF program. These are loans that are given at very low interest rates to investors if they are willing to put down money along side those loans and purchase asset back securities. We are talking credit cards and car

loans. There are some parameters about the duration, three years or less, etc. for those loans. But these are meant to spur demand in the bond market to make sure it operates properly.

I'm glad to say that those have been working. They've had instant effect in the month of April once they were put into play. Over all the bond markets are up year to date. Generically year to date bonds are up about 5%, stock are off about 9.3% and I would expect volatility to continue going forward. We are certainly not out of the woods with this global pandemic and we continue to find out new things each day to figure out our path forward. Until that path become clear we should expect more volatility. I will pause there for any comments or questions before moving on to the specific performance of the fund.

**Chair Colette Machado** – Members any questions specifically to be addressed? Please acknowledge so that we can get you on the record. Hearing none we can go to our next speaker Mr. Chaikin.

**Mr. Craig Chaikin** – Chairwoman I apologize for he no video. My bandwidth here is not cooperating with me. As a quick reminder, when we had talked to you on April 1<sup>st</sup> at the last meeting we had some preliminary March year to date numbers. At that time we estimated that the portfolio was down about 12-14% and that asset value declined to somewhere the range of 340-345 million dollars. We are still waiting on private market investments to come in and final updates from some of the managers but we think those numbers are going to be pretty accurate at least through the end of March. As John was just commenting, April was a pretty market turn around for the markets and that was certainly reflected across your portfolio. An estimated return for the month of April was approximately 5% positive just for the month. As John mentioned year to date bonds are up about 5%, equities are down 9%, you returned about 5% for the month of March that brings your year to date return through the end of April to somewhere in the -7.5% to -9% range.

I will add that is excluding all of the private market investments. Those typically report in a quarter lag. So as I mentioned a second ago we are still waiting for final capital statements for 12/31 for all the private market mangers. We don't necessarily expect to see any negative impact from them until sometime latter. What does that mean in terms of your overall market value? It looks like the market value is somewhere in the range of about 365 million dollars. I am going to stop there, certainly happy to answer any questions about that update till the end of April.

**Chair Colette Machado** – Members any questions?

**Trustee Keli'i Akina** – Trustee Akina, yes Chair.

**Chair Colette Machado** – Trustee Akina you are recognized.

**Trustee Keli'i Akina** – Thank you very much. Craig there was a little bit of static at the opening of your comment so I didn't hear the statement that you made regarding quarter one. You mentioned a 12%-15% loss of value.

**Mr. Chaikin** – We had estimated that based off the market performance, the information that we have gotten from your public markets investment managers, that the total portfolio was down about 12%-14%. I was saying at that time it is still and estimate because we are still working on finalizing some of those large end numbers, we think they are going to come in that range.

**Trustee Keli'i Akina** – Thank you.

**Chair Colette Machado** – Anyone else has a question and would like to be recognized? Any comments? I will turn this back to Sylvia.

**Sylvia Hussey** – That is the presentation and the follow up from them. If you have any questions go and route that through Ray and as soon as reports are available we will send that out to Trustees. We did distribute to the

Trustees the reports that the RM Committee Leadership has been having discussions with the various money managers and that email went out to the Trustees with all the different reports. If you need to resend it just let me know and we will go and do that. That is it Chair.

**Chair Colette Machado** – John and Craig we will release you and thank you for doing it under 30 minutes. Members we will now proceed to IV. New Business C.

*10:17 am John Marco and Craig Chaikin leave the meeting.*

**Chair Colette Machado** – Members we will now proceed to IV. New Business item C. Action Item BOT #20-03: Approval of Fund Responses to COVID-19. I will call on Sylvia.

**C. Action Item BOT #20-03: Approval to Fund Responses to COVID-19**

**Sylvia Hussey** – Thank you. Good morning Trustees. Large packet. What it reflects is the due diligence that administration wanted to take in analyzing all of the data, the impacts, we don't need to go into the whole why but we do want to let you know that based on that we analyzed our own information brief of the impacts, needs and vulnerabilities of our people to this COVID virus. We also took a look at some economic data based on labor, primarily unemployment claims in the first quarter of 2020 and the prevalence of Native Hawaiian identified applicants in that pool.

We also took a look at what the Board authorized emergency financial assistance program has been doing. That program had experienced a record number of applications coming in from the middle of March all the way through today. Up to at least two weeks ago, 2,500 applications were in the system awaiting assessing and needs. We also made programmatic adjustments to ensure that income would not necessarily be gateway to the needs of beneficiaries and to allow program to provide responses primarily for rent, mortgage, utility bills. We took data from that as well. We also observed the Department of Hawaiian Home Lands program of deferring for lessees as well as the program for waitlisters, a \$7 million program that for waitlisters that can provide rent relief for up to market rates, up to initially 3 months and then ultimately up to 6 months. So a substantial program that has been rolled out by the Department to address beneficiaries which is the same beneficiary class that we have as well.

We also analyzed all of the policy advocacy that was going on in terms of rent, eviction, prevention, data disaggregation, fiscal mapping. Try to find where all the money is going. The City and County of Honolulu receiving \$387 million for corona virus relief. The State itself receiving \$867 million in corona virus relief. So part all of the data was trying to trace where all the money coming, going and how do we get it to our communities as quickly as possible including our beneficiaries. Then last but not least is the observation that in addition to food, clothing and shelter there are other living needs, diapers, formula, depends, basic hygiene, PPE, etc. We also noted in the education space that with everyone at home, devices, hardware devices, software, internet access. Now everything is online, people couldn't even apply for unemployment unless you had access. We also took in to account all of that. With all of that, what is before you are two actions, one is to increase the funding for the emergency financial assistance program which means that the current contract that was competitively award to the Council for Native Hawaiian Advancement in the Kahiau Program of \$1.66 million would be increased by \$2.17 million and that is based on data that was in the system of applicants and about projecting the amount of need that was already there in the applications.

We also know that they have received more applications for that. The balance for a \$3 million commitment is being recommended to go into a community impact grant solicitation so that we can have dollars and awards going to other providers that can fill in the gap that we have identified living, behavioral, mental health, and other services. So for those two motions, that is the reason for those two motions and that's why those two motions are different because they are addressing different programs and intents. The third motion is the budgetary authority that the board needs to approve to be able to affect that. The action item did call out how administration found \$3 million in the fiscal year 2020 and that primarily came from unspent, unencumbered, uncommitted dollars. In the

action item it identifies line items, how and why those resources were able to be reclassified and reallocated. So that's the thrust of the action item and am ready for any questions or observations or comments.

**Trustee Carmen Hulu Lindsey** – Madame Chair.

**Chair Colette Machado** – Trustee Carmen Hulu Lindsey is recognized.

**Trustee Carmen Hulu Lindsey** – I was extremely happy to hear that the Hawaiian Homes Commission on Monday approved the use of \$7 million for all of our beneficiaries on Hawaiian Home Lands as well as on the waitlist. You know takes a lot of burden away from us on the grant and the deposits of our beneficiaries that are having a hard time. I can see a lot of need for the small things, baby formula, like our CEO was describing as for the second part of our approval this morning. I see a lot of need for that in the community and it could also go for food. I was wondering if we could maybe consider moving a million from the rent, mortgage, utilities over to the everyday needs of our people. In other words increasing the second request for approval. And lowering, as a result of the monies that is going to be available from Hawaiian Homes Commission using the NAHASDA Fund. I just wondered what my colleagues feel about increasing that side while the NAHASDA Fund takes bigger care of the rents and the deposits. Because really the amount of monies that's proposed for the emergency fund is only good for mortgage, rent and utilities and nothing else. That is all those monies can be used for. So if Hawaiian Homes is going to take care of a bulk of it, maybe we can take care of the other needs of our community. I open this to the Board and welcome your comments, what do you think about it. I was just thinking that there is a greater need for the small stuff that they need in our community. The depends for the kupuna, the diapers for the babies, formula, even toothpaste and toilet paper. That kind of need is there. And basically they either need the items or they need money for it. I just put it on the table for your comments and consideration.

**Trustee Brendon Kalei'aina Lee** – Madame Chair, this is Trustee Lee.

**Chair Colette Machado** – Trustee Lee is recognized.

**Trustee Brendon Kalei'aina Lee** – Thank you Madame Chair. I want to let the rest of the Board know, I thought it would be in CNHA's public testimony and it was not. All they provided was a snap shot of the thank yous they been getting. But CNHA has done other outreach and community partnerships in conjunction with our EFA Program. So when a beneficiary is awarded through our program through CNHA's Kahiau Program, they are also awarded and provided gift cards to Longs or Foodland or Target or Walmart. So speaking to what Trustee Carmen Hulu Lindsey said CNHA is aware of the need of those small things. So when a beneficiary is awarded from our Grant money for rent, utilities, mortgage they are also at the same time provided gift cards from their other community partners to provide for food, incidental needs and those type of things.

**Chair Colette Machado** – Other Trustees had other thoughts?

*10:27 am Ray is dismissed from the meeting by staff.*

**Trustee Robert Lindsey** – Madame Chair.

**Chair Colette Machado** – Trustee Robert Lindsey.

**Trustee Robert Lindsey** – You know my concern here is, I want to hear from administration. Since supposedly the basis for the action items that are pending before us is driven by data, I'd like to hear from Sylvia what her manao is.

**Chair Colette Machado** – Sylvia can you respond to the Board.

**Sylvia Hussey** – So as it relates to the data, the increase in the 2.1 for the emergency financial is based on the data that was provided by CNHA by all the applications. We did a quick calculation of 2,500 applications at the time



we were reviewing the data. Even if 60% of those applications qualified times 1,500 it exceeded \$2 million already. Just based on that data and what is there in the queue more dollars will be needed to fund completely all of the needs that are there. That's the data that we utilized to make those estimates. The other data that we utilized is the additional needs that we observed that there weren't programs necessarily, obviously CNHA is doing it but in other communities there is still a need for living supplies, personal hygiene supplies and so instead of putting everything to one program and one mechanism or bucket, administration felt that we should provide a competitive process so that other needs can be fulfilled.

**Trustee Robert Lindsey** – Its not clear to me Sylvia, if you could elaborate on what you mean by other needs.

**Sylvia Hussey** – So other needs such the living supplies. We've had reports from Papa Ola Lokahi and the Native Hawaiian Health Systems that as families are coming in for services, or as they are out in the community with other community partners, families are coming in and asking for help with diapers, and formula, shampoo so some of those living items. We also know that on the education front the devices in homes that are needed for education are very scarce. Families have to share or they don't have access to those devices. And the entire access to wifi enable to do all of that. So we also had data from our education partners about that going on. And just generally support services, mental health because of the social distancing and everyone not being able to have contact it really constrained things like special education services, mental health, coaching any of the social services that were done in community based programming all had to be suspended and it is really putting a strain on providers being able to service families. So as we talk with people in the community who were servicing that they identified the needs of the communities and the constraints they were experiencing in trying to service the families in communities.

**Trustee Robert Lindsey** – Thank you.

**Trustee Carmen Hulu Lindsey** – Madame Chair.

**Chair Colette Machado** – Trustee Carmen Hulu Lindsey.

**Trustee Carmen Hulu Lindsey** – Mahalo. I also wanted to say that the island of Maui has not gotten any Foodland cards from CNHA. So we haven't had that opportunity to receive that kind of help on the island. What was very disappointing was that that monies that, lets say the City and County of Honolulu is getting from CARES the Federal Government to the tune of over, I think it was over \$5 million. The neighbor island counties are not getting any money from the Federal Government because we don't meet the requirement of the population. Only Honolulu does. So the second request for approval to help out the neighbor islands would really benefit our beneficiaries that are not getting what the Honolulu beneficiaries are getting.

**Trustee Robert Lindsey** – Chair Machado I have a question.

**Chair Colette Machado** – Trustee Robert Lindsey.

**Trustee Robert Lindsey** – My question is for Sylvia. Honolulu because they meet the population requirement is getting a ton of Federal dollars. Now, how are we as OHA able to track if these funds are going to directly benefit our beneficiaries. I think for me what is disappointing was we have an allocation for all the neighbor island counties, but Oahu is not included. So that is why I am asking the question. How will we know that our beneficiaries are benefiting from all that Federal money?

**Sylvia Hussey** – So I hear two questions Trustee. But let me make sure I answer hopefully both of them. The first as to the City and County of Honolulu receiving the \$387 million through the CARES Act is part of our advocacy of fiscal mapping. We are trying to find out, we've asked several folks about how that is going to be decided. In the total \$1.8 billion that the State of Hawaii has received in the CARES Act, there is a number of questions about Governor, the Governor can decide where the money goes if its education, the Governor can decide. So the Governor can decide where the \$867 million for corona virus goes. We've been trying to ask everywhere,

including the Governor's office, Lt. Governor's office, the Legislature, where those funds, whose deciding and how is it getting to our communities. Not only our beneficiaries, but in general fiscal mapping and accountability, where is the \$1.5 going and how is it being allocated so that we can advocate for the needs of our communities.

The second question I think I hear, I believe you are referring to attachment E of the island strong funds. Where the island strong fund recommendations for Hawaii Island, Kauai and Maui County did not have an Oahu County recommendation. That was primarily from discussions with Hawaii Community Foundation and what they were seeing across the State, that neighbor island counties have needs that are sometimes overlooked when an Oahu centric or the concentration of funding or program occurs on Oahu. It wasn't meant to slight Oahu, or not recognize Oahu constituents or beneficiaries have needs, it was just to supplement and fill gaps that neighbor island counties, especially as it related to food security and the ways that neighbor island communities address food security is very different than Oahu so we wanted to be able to extend that and extent OHA's dollars to those communities to fill that in. To ensure that beneficiaries, farmers, ranchers, fishermen were able to provide food security in their communities. So it wasn't an intention to ignore or slight Oahu it was an intention to fill in gaps of funding and purposeful awarding through Hawaii Community Foundation.

**Trustee Robert Lindsey** – I appreciate your comments on the first question. We know that Governor Ige has not been kind to Hawaiian issues which impact our people. So that is my concern. I am skeptical that we will get help because this Governor has been in office for 8 years and he has not been kind to us. On the second comment regarding neighbor island counties getting an appropriation from OHA, but Oahu because of the COVID funds that are available our beneficiaries on Oahu would not be getting a direct benefit from OHA in this allocation. I question is and it's a hypothetical one and I apologize, but if we were to put the Federal dollars on the side and had to allocate OHA funds to support our beneficiaries on Oahu what would that amount be?

**Sylvia Hussey** – So when we approached Hawaii Community Foundation about supporting community based food security farming. They suggested if it weren't Oahu, we gave them a number, \$250,000-\$275,000, a range, how can get those dollars to farmers, ranchers, etc. They came back with that. We would just need to go back and say what is it that we would want to do and now we want to include Oahu. So its really up to us because we are donor directed to be able to say how much of the total allocation or appropriation that we want to make and then add Oahu in. So it could be any number that we want to. We could add another \$100,000 we could add another \$75,000. It would really be up to us and then they would come back with donor directed and aligned grantees for us to consider.

**Chair Colette Machado** – Trustee Robert Lindsey you have any follow up questions?

**Trustee Robert Lindsey** – If I could just make a comment back to Sylvia.

**Chair Colette Machado** – Continue Trustee Robert Lindsey, after I will recognize Trustee Keli'i Akina.

**Trustee Robert Lindsey** – For me I would feel more comfortable if we had an allocation for the island of Oahu because then we would know that our beneficiaries on Oahu would also be treated as fairly as the neighbor islands are. So if the number is \$250,000 I would not have a problem allocating that amount to Oahu City and County as well.

**Sylvia Hussey** – Just for clarification Trustee, an additional \$250,000 for City and County of Honolulu.

**Trustee Robert Lindsey** – That is correct.

**Chair Colette Machado** – Trustee Keli'i Akina.

**Trustee Keli'i Akina** – Thank you Madame Chair. I do have a question for Ka Pouhana and before that let me register my feedback to my colleagues' comments made earlier. I agree with Trustee Carmen Hulu Lindsey that we should increase the overall allocation from OHA to beneficiaries. Secondly I agree with Trustee Robert



Lindsey that we should consider ways of giving direct awards to Oahu residents. But let me move on to a question that I have for you Sylvia. Sylvia, I noticed in the updated plan that we are reducing the amount available to individuals from \$2,000 to \$1,500. I am wondering what thinking went in to that and whether we might reconsider that especially if you consider an overall allocation that is larger.

**Sylvia Hussey** – The primary reason for the that was the program ran for a few weeks with the \$2,000 but with the COVID and the increase of application and the demand, reducing the amount so that we could serve more people was the thought there. So mathematically we can serve more. But the demand that is in the system already is going to exceed what we have if our assumptions on awarding pans out.

**Chair Colette Machado** – Trustee Keli'i Akina would you like to continue?

**Trustee Keli'i Akina** – Just to follow up on that. If we do move forward and we do increase the overall allocation would you be open to increasing the cap per individual?

**Sylvia Hussey** – Yes, that is a programming decision that as OHA as the program, grantor if our proposal is to increase the amount back to the \$2,000 that is a programmatic change, you can do that.

**Trustee Keli'i Akina** – Mahalo.

**Trustee Leinaala Ahu Isa** – Can I say something Chair?

**Chair Colette Machado** – Trustee Leinaala Ahu Isa is recognized, please proceed.

**Trustee Leinaala Ahu Isa** – I have a question from a beneficiary. Can you please ask are certain the funds from the CARES Act aren't going to organizations before they go to benefit people, direct benefit people? Sylvia do you know?

**Sylvia Hussey** – So based on the Governor's website there is an office for Federal award management and based on the analysis of the spreadsheets that we've taken a look at and base on fiscal sleuthing we've been trying to do there are line items in the \$1.8 billion that is coming into the State that goes directly Health centers, Department of Transportation and Airports. The numbers of \$867 million for the State in the Corona virus and \$387 million that the City and County received for Corona Virus those are big pots of money that are subject to the Governor's allocation. For example there is about \$10 million in education funding and programming that is up to the Governor to decide where that \$10 million goes. So it's a hybrid of Departments and I believe we sent that spreadsheet to the Trustees, Sunday or Monday. That tells you who is getting what, what health care centers, what Department, like the Department of Health or the Department of Agriculture if its food and feeding services. So that tells us what Department is getting the money. But what we don't know is how that Department if going to turn that around to the communities to service beneficiaries.

So some of it if its very specific like unemployment or the SNAP program, those we know will go directly to those programs and then come out through the Department of Human Services. The big question marks is the education line item and then these big \$867 million and \$383 million. I will say that the City and County of Honolulu has put out a number of RFPs in response to COVID for feeding and other programming. It does seem to be some evidence that the City and County is pushing out the money through various community based programming. We also note in other Federal legislation where there are Tribal set asides for dollars that, that has come through and have been awarded to organizations like Alu Like for Native Hawaiian programming. We've done as much as we can in terms of fiscal mapping where they do because that is exactly our concern, where is the money, how is it getting distributed so we can advocate to ensure our communities and particularly our beneficiaries are getting the services are needed. That includes testing dollars that was part of the COVID three and half bill that they just came out with. Based on information from Keone they are working on COVID four the next bill and we are advocating for Native Hawaiian programming and dollars to specifically go in to COVID four.

**Trustee Leinaala Ahu Isa** – Mahalo.

**Trustee Keli'i Akina** – Madame Chair.

**Chair Colette Machado** – Identify yourself please.

**Trustee Keli'i Akina** – Trustee Keli'i Akina.

**Chair Colette Machado** – Chair recognizes Trustee Keli'i Akina.

**Trustee Keli'i Akina** – I'd like to address this to Pouhana again. Sylvia I appreciate the need to expedite the grant process to get the EFA to the people. But what I notices is the EFA grants solicitation would go out on May the 7<sup>th</sup> I believe and the response are due on May the 15<sup>th</sup>. I am just a little concern about that whether that very narrow window will be sufficient. In particular there may be some organizations that are not very large, with large staff, especially those servicing our neighbor islands and just wanted to hear your thoughts, I am sure you thought it through as Administration as we how well we can advertise the EFA grant and include the appropriate responders.

**Sylvia Hussey** – Exactly, you know Maile our grants manager is on the line as well she is stifling a silent scream when I ran the timeline by her. Its going to require an all hands on deck, we won't be using external reviewers, it will be all internal reviewers, we would construct it very tightly, consistently as well. But in the observation that Maile and the grants team, because remember they have been working with existing grantees on COVID 19 adjustments and the existing grantees have been struggling with trying to get either their programs shut down, pivoting, repurposing some of the dollars to COVID, so this is just my estimation, those who would be responsive to what we are looking at, we are looking at something that can be distributed and addressed within 90-120 days to get these supplies and issued. This is not intended to be a medium term strategy. Its intended to be a quickly as possible and some will argue its not fast enough. But given our construct and our rules its as fast as we can get it out so it can be in the communities when it needs to be. Then in the next round that we are coming up in Budget realignment for Fiscal year 2021. Then we think about additional programming and directing for grants to bring that back to Trustees. Yes, it is fast, yes we are going to need all hands on deck to make it be really tight but it was one of the ways to get a decision back to the Trustees and then get the dollars out to the communities as quickly as possible.

**Trustee Keli'i Akina** – Just very briefly you mentioned some of the means by when you are advertising the grant availability to organizations.

**Sylvia Hussey** – In any grant solicitation public posting, a number of beneficiaries and organizations are aware because of the public posting so they are aware of the competition. They are aware of the tight timeline so our usual, posting we would blast it out to all of our existing grantees will also know as well. Very broad swath we get the same kind of notification.

**Trustee Keli'i Akina** – Thank you Sylvia.

**Trustee Brendon Kalei'aina Lee** – Chair, Trustee Lee.

**Chair Colette Machado** – Trustee Lee please proceed.

**Trustee Brendon Kalei'aina Trustee Lee** - Sylvia I am confused. Trustee Keli'i Akina's question was specific to EFA granting. So are we now changing and are now going to send the EFA granting out for re-solicitation?

**Sylvia Hussey** – No I understood his question to be about the Community impact granting.

**Trustee Brendon Kalei'aina Lee** – He specifically said the EFA granting.

**Sylvia Hussey** – I am sorry Trustee Keli'i Akina I misunderstood. No, it would go through the current process.

**Trustee Keli'i Akina** – Ok thank you.

**Sylvia Hussey** – I apologize.

**Trustee Carmen Hulu Lindsey** – Trustee Carmen Hulu Lindsey.

**Chair Colette Machado** – Trustee Carmen Hulu Lindsey is recognized.

**Trustee Carmen Hulu Lindsey** – Didn't the Trustees get a letter from CNHA canceling the contract?

**Sylvia Hussey** – We did not get a letter canceling. There was an email by the CEO withdrawing but they didn't actually withdraw.

**Trustee Carmen Hulu Lindsey** - Chair what was that about then? Because all the Trustees were on the same email from Joe Lewis.

**Chair Colette Machado** – I believe in that email he was going to have the Board determine, he could not make that decision because of that certain obligations that he had to OHA through the contract. Their board had to render some outcome. That is what I understand from reading that email. This issue was going to be brought to his board for consideration.

**Trustee Carmen Hulu Lindsey** – My question then to Sylvia is are we going to have representation from CNHA on the different islands like they promised originally but it never happened on Maui. There was never an agency taking applications in Maui.

**Sylvia Hussey** – So the grants program meets with the CNHA team every Wednesday to ensure that any kinds of issues are worked out and discussed and their subcontractors. They do have subcontractors that are servicing the different islands even though there is not a physical office present and because of the social distancing and the stay at home orders those offices are not operating. They do have subcontractors lined up for the islands. Now they did have issues with subcontractors earlier and had to replace out subcontractors but my understanding is that they are back on track with their subcontractors and the obligations that are there.

**Trustee Carmen Hulu Lindsey** – So do you know who will be operating on Maui?

**Sylvia Hussey** – I do not know, Chair is it alright if I ask Maile to respond to that.

**Chair Colette Machado** – Do we have that information currently?

**Sylvia Hussey** – I believe we do and I believe that Maile knows.

**Chair Colette Machado** – Yes, please have Maile communicate that as part of the record.

**Maile Luuwai** – Aloha, this is Maile Luuwai the grants manager. To your question Trustee Carmen Hulu Lindsey, we have been notified that all physical offices were closed across the State due to COVID-19. So now for the CNHA grant there is actually no physical offices open so grantees are going to be contacted, they are using three grant partners right now, no one specifically on the neighbor islands but CNHA subcontractors are being assigned applications and they will be contacting applicants directly. Right now because of COVID-19 at this time there is no physical presence on the neighbor islands. That is what we have been told by CNHA.

**Trustee Carmen Hulu Lindsey** – Its obvious that offices will be starting to open so when it does open as we were promised on the original approval of CNHA they were going to have an office here that our people could walk in,

not everyone is educated enough to use the computer, especially the kupuna. And they look forward to be able to walk into an office and getting help. My question is the original approval which was that they would have an office here is that going to come in to play when this pandemic lifts.

**Maile Luuwai** – Yes, that is a contracted requirement so once COVID lifts they are required to reinstitute those physical offices that they had committed to us in the contract.

**Trustee Carmen Hulu Lindsey** – My follow up question is that did we adjust any of the requirements of giving out these emergency funds, like going to a class kind thing?

**Maile Luuwai** – Yes we did, for COVID-19 we removed the financial literacy requirements, and part of that was the self sufficiency requirements so we removed the budget assessment worksheet and some other items associated with self sufficiency requirements and we also per the directive of current leadership a couple of weeks ago. We also removed the income eligibility requirements per the directive of RM leadership.

**Trustee Carmen Hulu Lindsey** – I can understand eliminating the eligibility because even people with good jobs don't have money today and they should be considered. My question is eliminating the budget assessment isn't that going to be one of the determining sheets for approval.

**Maile Luuwai** – No that sheet was primarily to help beneficiary with self sufficiency so that budget assessment was incorporated in their financial literacy components because the primary emphasis of emergency financial assistance prior to COVID was for self sufficiency so that budget assessment was actually a budget assessment helping for self sufficiency for our beneficiary and if that is incorporated in their financial literacy classes.

**Trustee Carmen Hulu Lindsey** – What are the parameters for the approval of these monies?

**Maile Luuwai** – Of course you need to be native Hawaiian, over the age of 18, you have to have shown you had income you lost your income and you are experiencing hardship. You either have to have an upcoming or a delinquent mortgage, rent or utility bill. That is primarily it. The documentation is primarily paystubs to show income. If they don't have pay stubs we are having CNHA look at other things like their checking account you can see if they had direct deposits made by their employers. If they are self employed there are other documents they can provide to show they were self employed and their income has been reduced. Experiencing hardship of course, for most of them there are primary industries that are no longer open. So those are the primary document requirements that are being asked. And of course birth certificate for Hooulu registration to show they are native Hawaiian.

**Trustee Carmen Hulu Lindsey** – Just to clarify all of the checks will be made out to the mortgage or the renters owners or the utility company right?

**Maile Luuwai** – Yes, the vendors are directly paid.

**Trustee Carmen Hulu Lindsey** - Thank you so much and thank you guys for your hard work.

**Maile Luuwai** – Mahalo.

**Trustee Kalei Akaka** – I have a question.

**Chair Colette Machado** – Trustee Kalei Akaka is recognized.

**Trustee Kalei Akaka** – This question is for Maile and CEO. To be sure that we have a smooth process and get the updates that we need, it is so that we have weekly updates from the different groups we are working with that's correct right?

**Sylvia Hussey** – Are you talking specifically about the emergency financial assistance or just in general granting?

**Trustee Kalei Akaka** – Well in general and all of the emergency financial.

**Sylvia Hussey** – So in general non COVID times the reporting in on a quarterly basis and they already set that up. They will do all the reconciliation of expenditures. In the case of EFA and CNHA, while the same quarterly parameters operate the practicality is that the reporting will need to be more frequent so that in the event as the dollars are being awarded we are going to need to transfer funds for the awards faster and sooner. So in the weekly meetings, one of the reasons that grants has weekly meeting with them is to ensure that we can support any speed bumps or process or elevation of processing so that we can be responsive to what they are processing themselves or through their contractors. So that weekly meeting is really critical in being able to monitor and support the program.

**Trustee Kalei Akaka** – Thank you for sharing that I think that gives everyone more peace of mind of what the process is.

**Chair Colette Machado** – Trustee Dan Ahuna would you like to be recognized.

**Trustee Dan Ahuna** – Yes, I would. I just wanted say first of all I'd like to say thank you to all the Trustees those comments are those that come up in our RM Leadership meetings. We have been working diligently because of the letter that CNHA CEO Kuhio Lewis wrote. He didn't talk about that they were pulling out what his concerns were was that he had a high demand of people needing this funding. But the biggest constraint was they have income bracket they had to abide by and it was really sad to see some of our beneficiaries getting turned away because they didn't meet the income requirement. This income requirement was really hindering our people. It was very important that we take this off. For a simple reason, if someone loses his job during these times they have no income coming in. If they make more than enough money when they were working it doesn't give them a good reason why they should give them the money. That was a big need. With that need we found out there was a large, State wide need that we needed to look at. We didn't know to look at how much money to give or what was enough. Trustee Robert Lindsey was certainly fighting for a larger amount.

Again we had to come up with something that had to align with what we are doing especially our mission so the number they came up with today and what you see in the action item is very important to what we ween for our beneficiaries right now, to help out organizations like CNHA. I heard some comments about how do e help others like kupuna who can't really help themselves. I don't know if you heard in recent news but what's happening is that OHA has done a great job in getting Native Hawaiian organizations to help these type of people, for example on Kauai we have people helping a native Hawaiian lady who just lost her husband suddenly and she is going through radiation treatment right now. So getting her to the hospital and back is very important. These types of things are very important to our collective. For us we are about the collective where we have to help all of our beneficiaries. We felt this way it was important that we make sure we have this funding now to help all these people in need. I just want to than Trustee Robert Lindsey and his staff, they worked really, really hard. I'd like to thank Ka Pouhana and her staff, Maile for working with CNHA and of course Chair, your staff for putting this together. We felt this should go through a committee and then both of them for a vote then to the Board of Trustees for another vote, we should just take it straight to the Board of Trustees. So all of these things were looked at and were factored. I just believe today we should look at the action items that we have before us today and I think we should get this out right now because of the need. I believe and I am hoping that CNHA can decrease that gap and make sure that we serve those types of beneficiaries across the State especially on Maui and other islands. I want to thank all of you and what we have is a great need for our beneficiaries now. This is what they are looking for and this is what our whole State is looking for is how we are going to impact our beneficiaries. Thank you so much everyone. Mahalo.

**Chair Colette Machado** – Any further discussion before I ask Trustee Dan Ahuna to make the motion.

**Trustee Brendon Kalei'aina Lee** – Chair.

**Trustee Keli'i Akina** – Chair.

**Chair Colette Machado** – I will acknowledge Trustee Lee followed by Trustee Akina.

**Trustee Brendon Kalei'aina Lee** – Thank you Chair. I am not sure why this was not shared by the Grant department. But to answer your question Hulu the contractor on Maui for the EFA grant is Maui Economic Opportunity and they have two offices on Maui, one in Wailuku and one in Hana.

**Sylvia Hussey** – Can I respond to the information from Trustee Lee.

**Chair Colette Machado** – CEO Hussey if you have information that is pertinent to the issue now please comment.

**Sylvia Hussey** – The contractor that Trustee Lee mentioned which was also in the contract with CNHA were those contractors that pulled out. So Maui Economic is not servicing Maui and that was part of the challenge they experienced with their subcontractors early on in the process.

**Trustee Carmen Hulu Lindsey** – That's correct.

**Trustee Brendon Kalei'aina Lee** – Thank you Sylvia for clarifying that. I just received an email two minutes ago from Kuhio Lewis that, that was their contractor on Maui.

**Sylvia Hussey** – It is possible that they reinstated that which is good. But at the time at the launch about maybe four weeks into it those offices were not servicing and Solutions Pacific their other contractor was not servicing Maui. If it is reestablished that is good.

**Chair Colette Machado** – The Chair would like to recognize Trustee Akina.

**Trustee Keli'i Akina** – Thank you very much Chair. This is Trustee Akina and this is for Sylvia. It was very helpful to hear from Maile on how the process of being able to apply for EFA grant as a beneficiary is something that can be adjusted by OHA without coming back to the Board necessarily. There had been some discussion that some of these requirements were very burdensome. I just wanted to confirm with you that the Administration has the capacity to make adjustments on the fly without coming back to the Board.

**Sylvia Hussey** – We do, also in discussion with the RM Leadership also discussed that in COVID the intents of the program are what they are and adjustments are made accordingly. Post COVID when there is different situations that it could be adjusted to other requirements but that programmatically we would adjust to address the needs coming in. Because what was also occurring as some of you observed is middle income, people who were making good dollars also didn't have. These adjustment that you see on the screen are adjusted to meet the COVID needs. Programmatically yes we can, what we are very careful about is documenting the authorization for that programmatic change and then the implication going forward so that when we monitor the grant that we are monitoring the grant under the current programmatic guidelines that are there to be sure that its being implemented in accordance with those guidelines. Definitely we can and we have and now we can adjust accordingly.

**Trustee Keli'i Akina** – Thank you Sylvia for the good work.

**Trustee Kalei Akaka** – Chair Machado.

**Chair Colette Machado** – Trustee Kalei Akaka, please.

**Trustee Kalei Akaka** - Sylvia do we if there are beneficiaries outside of the State who have expressed their concerns and desires to be kokua, asking why its limited to the beneficiaries that are residents of the State of Hawaii.



**Sylvia Hussey** – I haven't any direct inquiries regarding continent or outside of Hawaii Hawaiians impacting. But others may have. I don't know if Maile or others may have received that I haven't been aware of that.

**Chair Colette Machado** – Any further discussion? I wanted to call on Carol. Carol you put on the screen the eligibility for the Kahiau program is that correct.

**Carol Hoomanawanui** – Thank you Madame Chair, this is Carol Hoomanawanui. Yes, I did put on the screen the eligibility what is on the Kahiau website because Trustees were asking questions. It is just a matter of reference.

**Trustee Robert Lindsey** – Chair if I could just make a comment please.

**Chair Colette Machado** – Yes, I was going to look for you to see if you had additional comments because Dayna accidentally put you on mute. Trustee Robert Lindsey.

**Trustee Robert Lindsey** – Thank you Madame Chair. I just wanted to share with the rest of the Board, when it comes to the Kahiau granting process, what we determined, what we observed in our RM Leadership discussion was CNHA who was awarded the grant. They were having a problem with our eligibility requirements which were in my mind very, very oppressive. So we encouraged administration and thankfully we got the response that we wanted to relax the rules because we had 2,475 applications in the pipeline and the pipeline was clogged because of our eligibility requirements. What we called administration is we are in a COVID pandemic situation. We are in an emergency situation, we are in a crisis. Our people need help and they need the help now, not next week, not next month, not at the beginning or ending of summer. They need help now so relax those requirements so that we can get the pipeline unclogged, the applications processed and the needs of our people are met quickly. I want to thank Sylvia and Maile and your gang for the help you gave us. I am very, very grateful that we were able to unclog the pipeline and CNHA was able to get the applications that were sitting on their table processed. I think they have most of them taken care of at the moment. I don't know. But Maile if you could follow up and give us that information so we know what the status is of the Kahiau Grant to CNHA.

**Maile Luuwai** – You want the current status?

**Trustee Robert Lindsey** – Yes.

**Chair Colette Machado** – We can do two things Maile, you can give us a verbal or Sylvia can distribute a memo addressed to the Trustees.

**Maile Luuwai** – I will do a memo.

**Sylvia Hussey** – Can do.

**Chair Colette Machado** – That would kind of be more detailed. I know how you write so I know it will be detailed. Any further discussion, anyone else. I will call Trustee Ahuna for the motion. Carol you have any other comments you'd like to make or add on. Sylvia you have anything else to add.

**Sylvia Hussey** – I don't.

**Carol Hoomanawanui** – No I don't have any other comments. I am not sure if all Trustees have had a chance to share their manao.

**Chair Colette Machado** – The only one that hasn't their manao is Trustee Waihee. Trustee Waihee do you have any manao that you would like to put on the record.

**Trustee John Waihe'e IV** – No.

**Chair Colette Machado** – With that said I'll call on Trustee Ahuna.

**Trustee Leinaala Ahu Isa** – Chair.

**Chair Colette Machado** – Trustee Leinaala Ahu Isa.

**Trustee Leinaala Ahu Isa** – I got another question from a beneficiary saying what about CNHA not being compliant to the contract. Does OHA take that into consideration?

**Chair Colette Machado** – I'll ask Sylvia to respond.

**Trustee Brendon Kalei'āina Lee** – Are we allowed to have beneficiaries email us and give comments that way? I will change my settings.

**Trustee Dan Ahuna** – That is a CNHA.

**Trustee Brendon Kalei'āina Lee** – I am ok with that but I am going to change my settings so that I can start getting comments and text messages and messages from beneficiaries.

**Trustee Leinaala Ahu Isa** – I realize that, for me this is kind of new, this virtual thing. So when they email I just relaying the message. Mahalo Chair.

**Trustee Dan Ahuna** – I'd like to make a motion.

**Chair Colette Machado** – Go ahead Trustee Dan Ahuna.

**Trustee Dan Ahuna moves to approve and authorize the disbursement of \$2,170,000 from the Fiscal Year 2020 Core Operating Budget (Grants Category) to fund the Emergency Financial Assistance Program grant.**

**Trustee Robert Lindsey seconds the motion.**

**Chair Colette Machado** – Members the motion has been moved and seconded. Any further discussions? Hearing none are we ready for the roll call vote. Roll call vote.



Trustee Dan Ahuna moves to approve and authorize the disbursement of \$2,170,000 from the Fiscal Year 2020 Core Operating Budget (Grants Category) to fund the Emergency Financial Assistance Program grant.

Trustee Robert Linsdsey seconds the motion.

TRUSTEE	1	2	'AE (YES)	A'OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEINA'ALA AHU ISA			X			
TRUSTEE DAN AHUNA	X		X			
TRUSTEE KALEI AKAKA			X			
TRUSTEE KELI'I AKINA			X			
TRUSTEE BRENDON KALEI'ĀINA LEE			X			
TRUSTEE CARMEN HULU LINDSEY			x			
TRUSTEE ROBERT LINDSEY		X	X			
TRUSTEE JOHN WAIHE'E			X			
TRUSTEE COLETTE MACHADO			X			
TOTAL VOTE COUNT			9			
MOTION: [ x ] UNANIMOUS [ ] PASSED [ ] DEFERRED [ ] FAILED						
Motion passes unanimously with nine (9) yes votes.						

**Chair Colette Machado** – Congratulations Sylvia. Members we will move now, in the impact grant it is listed as Action 2. I will call Sylvia to give us a briefing on this item.

**Sylvia Hussey** – This motion is for the balance of the \$3 million to go out to a solicitation process, very accelerated to meet some of the other needs other than rent, mortgage, etc. that is covered by the emergency financial assistance and the accelerated commitment if approved today will be posted tonight, vetted a deadline next week, vetted by the 22<sup>nd</sup> with the intent to come back to the Trustees before the end of the month for recommendations and approval. That's it Chair.

**Chair Colette Machado** – Trustee Ahuna would you like to make the motion.

**Trustee Dan Ahuna moves to authorize \$830,000 for an accelerated COVID-19 community impact grants solicitation process with recommendations presented to the Board of Trustees for action no later than May 31, 2020.**

**Trustee Robert Linsdsey seconds the motion.**

**Chair Colette Machado** – It has been moved and seconded. Sylvia can you clarify the Hawaii Community Foundation in this.

**Sylvia Hussey** – So the Hawaii Community Foundation.

**Chair Colette Machado** – Its attachment E members.

**Sylvia Hussey** – The Hawaii Community Foundation recommendations came as a result of Administration reaching out to put into funding for the Island Strong Funds. This is to look at the funds for the neighbor islands. This is where Trustee Lindsey's recommendation is to add \$250,000 to that for Oahu essentially. Not just the neighbor island funds but the Oahu Strong Fund as well. But these are grantees, businesses, we asked them to give us recommendations on community based food sovereignty, food security that is going on. These are farmers, ranchers, fishermen, hunters, gatherers, people who are participating in practices and then helping. The attachment that you will see has a number of recommendations that they have and their administrative fee for administrating the 24 grants if you will is 15%. If the Trustee approve the 24 grants to the various funds the total amount would

be \$260,000 of direct benefit and \$39,000 for administrative feed. Making it a total of \$299,000. If the Trustees wanted to do this then the \$299,000 would be subtracted from the \$830,000 on the community impact grants.

**Trustee Brendon Kalei‘āina Lee** – Madame Chair.

**Chair Colette Machado** – Trustee Lee.

**Trustee Brendon Kalei‘āina Lee** – Although I appreciate this slide that is in front of us, that is not the motion in front of us right now.

**Chair Colette Machado** – That is correct, if we wanted to consider allocation we have to do another motion or an amended motion from the original motion that was just moved and seconded. You are correct.

**Trustee Brendon Kalei‘āina Lee** – Can I speak to the main motion or is someone moving to amend this motion?

**Trustee Robert Lindsey** – Madame Chair.

**Chair Colette Machado** – Trustee Robert Lindsey.

**Trustee Robert Lindsey moves to amend the motion to include \$250,000 for Oahu.**

**Trustee Brendon Kalei‘āina Lee** – Madame Chair, I don’t believe that is what is in front of the Board at this point.

**Chair Colette Machado** – Sylvia lets not be so confused. First we agree Trustees, to give HCF \$299,000 and then Trustee Robert Lindsey’s request is to increase in this Island Strong \$250,000 to be considered for the Island of Oahu. Sylvia you might want to give some scenarios over this?

**Sylvia Hussey** – In attachment E at the very end on page 6 of attachment E. In looking at all of the recommendations from the Neighbor Island Strong Funds we are recommending, there are two options, one is the entire amount of \$299,000 because there are the details of the grantees and then the other is to remove the Aina Momona recommendation because there are a recipient current contract with OHA to administer the Kaiaulu Meals. If that were the case then the \$276,000 would be subtracted from the \$830,000. Then a separate motion could be added for just the \$250,000 plus the 15% as a separate motion. So \$287,500 would be for the Oahu Strong approval. That wouldn’t have any details in terms of the grantees, we would need to go to Hawaii Community Foundation and get some recommendations from them.

**Carol Hoomanawanui** – Madame Chair maybe I can share this just to get clarity for the Trustees if this is what the Trustees intend then we can actually adjust the motion.

**Chair Colette Machado** – The Chair recognized Carol Hoomanawanui.

**Carol Hoomanawanui** – This Chart is just for the Hawaii Strong, Island Strong and this is adding in Oahu. \$510,000 would be the total and you add in the 15% admin cost so the total with Oahu in would be \$586,500. If that is what the Trustees want to do without addressing any of the organizations that would receive the funds, then we are looking at, you already took the motion for the EFA funds. Island Strong would only be \$586,500 there is still a balance of \$243,500 left. I am not sure if the Trustees want to give that to the impact for the solicitation or we not have anything right now. So far this is what captures the discussion that happened so far. I hope this is a little bit helpful for the Trustees. If this is what the Trustees want to do then we can change the motion to reflect that.

**Trustee Dan Ahuna** - Chair.

**Chair Colette Machado** – Trustee Ahuna.

**Trustee Dan Ahuna** – First of all, I am really ok how the Trustees want to spend this money, I am also okay with giving the \$586,000 and also giving the community impact grants, would that be okay or is that not recommended.

**Chair Colette Machado** – It would be a smaller portion than what was originally intended. \$243,500 for the community impact grant. But it would probably narrow down the kind of solicitation that people would apply for. But it would increase to Oahu, which is much higher than every island. Every island is getting \$75,000 for Hawaii, Kauai, if you look at the break down \$110,000 for Maui. I believe you got \$75,000 for the island of Maui the remaining balance was split between Lanai and Moloka'i because they had specific projects they were working with from HCF.

**Trustee Dan Ahuna** – Would we be able to come back to the table is these funds, if there much more beneficiary needs.

**Chair Colette Machado** – The only thing coming back to the Board would be the approval of \$243,500 which is the community impact grant would come back for authorization for approval from the Trustees after staff has done the analysis and the open solicitation has taken place.

**Trustee Dan Ahuna** – I am good with that.

**Chair Colette Machado** – I think this is where we are looking we still need to shape the motion to amend what we currently have unless there is any main objection to increase. All of these funds will go to Hawaii Community Foundation including the increase of \$250,000 specifically for Oahu.

**Trustee Brendon Kalei'āina Lee** – Chair, Trustee Lee.

**Chair Colette Machado** – The Chair recognizes you Trustee Lee.

**Trustee Brendon Kalei'āina Lee** – Thank you Chair, I have a question for Trustee Robert Lindsey on Hawaii Island. I asked the same question about monies for Oahu and I was ok with the response with the fact that Oahu was getting so much CARES money. I didn't take into consideration what you said that we don't know if, how much or if any of the money is actually going to the beneficiaries. Would this be ok, would this answer your concerns Trustee Lindsey, given that we have added the money for Oahu and now what is proposed to go out for Community Impact Grant solicitation is \$243,000, if we just bundle all of that up into the Hawaii Community Foundation and that \$243,500 be disbursed to the neighbor islands. So that increases the amount going to the neighbor islands who administration has identified possibly has a greater need, even though they have a lower population they have less access to resources so that still addresses helping beneficiaries on Oahu where they have a greater number of beneficiaries but addresses administrations issue of less resources for the beneficiaries on the neighbor islands.

**Chair Colette Machado** – I would go for that.

**Trustee Dan Ahuna** – I would love that too.

**Trustee Carmen Hulu Lindsey** – I like that too.

**Trustee Robert Lindsey** – I do too.

**Trustee Brendon Kalei'āina Lee** – Trustees my reasoning for that suggestion, that money, if we pass this motion, that money can be deployed today, there would be no solicitation, we don't have to wait two weeks for grant solicitation to come back and then decide if we like it or if we don't then we got to go back out for solicitation.

That eliminates another meeting and us making another decision at a future meeting whether we like who is coming in for solicitation or not. This would allow administration to deploy these funds to our beneficiaries today.

**Chair Colette Machado** – Sylvia I believe, if we approve this I am hopeful the Hawaii Community Foundation would accept our generosity. And we would be able to develop the contracting services that is required.

**Sylvia Hussey** – One clarification I would like to make with the Trustees is that the Island Strong Funds, we direct Hawaii Community Foundation to look for community based food security efforts so if we are being consistent then the Oahu Island Strong Fund would also be consistently looking for community based farmers, ranchers, and things like that. Unless you want to direct Oahu's funds differently.

**Chair Colette Machado** – No right now there is a big need for farmers and the cattlemen's group and whatever they can do. I think its all timely and she can assess if they can make it or not. I don't want to start tweaking it to accommodate more broader but this is a really good opportunity.

**Trustee Robert Lindsey** – I agree with you Chair.

**Trustee Carmen Hulu Lindsey** – And we need to be consistent too.

**Chair Colette Machado** - Kalei did you want to be recognized. The Chair recognizes Trustee Kalei Akaka.

**Trustee Kalei Akaka** – If we do adjust the amount, one thing I would ask is that we have to consider the administrative fee for HCF as well its about 15%.

**Trustee Brendon Kalei'aina Lee** – That has already been added in.

**Chair Colette Machado** – I hope while we are having this discussion someone is preparing a motion.

**Trustee Brendon Kalei'aina Lee** – Chair we have motion before us I am ready to amend when you are ready.

**Chair Colette Machado** – Can we get that on the screen? Sylvia can you look and see if you wanted to move something up from the previous motions. We don't want to have any reference to community grant solicitation. We can eliminate that.

**Sylvia Hussey** – Its basically the total, the total will be \$830,000 so that has to factor in the 15% fee.

**Chair Colette Machado** – Eliminate motion 2.

**Trustee Brendon Kalei'aina Lee** – That motion 2 already before is.

**Trustee Brendon Kalei'aina Lee moves to table the motion to authorize \$830,000 for an accelerated COVID-19 community impact grants solicitation process with recommendations presented to the Board of Trustees for action no later than May 31, 2020.**

**Robert Klein, Board Counsel** – We had a second on the main motion proposed from Trustee Robert Lindsey.

**Trustee Brendon Kalei'aina Lee** – Correct so I am moving to tale that motion.

**Robert Klein, Board Counsel** – The motion to amend.

**Trustee Brendon Kalei'aina Lee** – There was no second to the amendment. I am just moving to table the main motion so that we can move on.

**Robert Klein, Board Counsel** – That one did get a second.

**Trustee Brendon Kalei‘āina Lee** – So I moved to table it.

**Chair Colette Machado** – Trustee Lee has a motion to table the motion. Is there a second?

**Trustee Robert Lindsey seconds the motion.**

**Chair Colette Machado** - Any further discussions? This is a motion to table an action that was previously moved and seconded. Roll call vote please.

Trustee Brendon Kalei‘āina Lee moves to table the motion to authorize \$830,000 for an accelerated COVID-19 community impact grants solicitation process with recommendations presented to the Board of Trustees for action no later than May 31, 2020.						
Trustee Robert Lindsey seconds the motion.						
TRUSTEE	1	2	‘AE (YES)	A‘OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEINA‘ALA AHU ISA			X			
TRUSTEE DAN AHUNA			X			
TRUSTEE KALEI AKAKA			X			
TRUSTEE KELI‘I AKINA			X			
TRUSTEE BRENDON KALEI‘ĀINA LEE	x		X			
TRUSTEE CARMEN HULU LINDSEY			X			
TRUSTEE ROBERT LINDSEY		x	X			
TRUSTEE JOHN WAIHE‘E			X			
TRUSTEE COLETTE MACHADO			X			
TOTAL VOTE COUNT			8			
MOTION: [ x ] UNANIMOUS [ ] PASSED [ ] DEFERRED [ ] FAILED						
Motion unanimously passes with nine (9) yes votes.						

**Chair Colette Machado** – Lets go to motion number 3.

**Sylvia Hussey** – I think if want everything to total up to \$830,000 because that is our overall authority. That would be the administrative fee, we will have to back into it. So \$721,739 is the total amount for the island funds which would make \$108,261 for the fees and the total would be \$830,000. So we would need to go back and reallocate the \$721,739, take out \$250,000 for Oahu and the balance spread between Maui, Hawaii and Kauai.

**Trustee Dan Ahuna** – Instead of \$130,000 it should be \$721,739

**Chair Colette Machado** – Lets take a few more minutes to clean that up.

**Trustee Brendon Kalei‘āina Lee** – In the consideration of time, while Carol is working on the motion. Can I make an announcement on the agenda.

## **V. ANNOUNCEMENTS**

**Chair Colette Machado** - We have announcements under V. please proceed Trustee Lee.

**Trustee Brendon Kalei‘āina Lee** – Pursuant to HRS 92-2.5 E. I have to announce that on March 11<sup>th</sup> at the House Water, Land and Hawaiian Affairs Committee, myself, Trustee Waihe‘e and Trustee Akaka were all in attendance.

Also on March 12<sup>th</sup> the Senate Committee Hearing on Hawaiian Affairs and Water, Land was also attended by myself, Trustee Waihe'e and Trustee Akaka. Thank you Chair.

**Chair Colette Machado** – Anyone else has announcements.

**Trustee Carmen Hulu Lindsey** – Chair.

**Chair Colette Machado** - Trustee Carmen Hulu Lindsey, yes.

**Trustee Carmen Hulu Lindsey** – I understand that Legislature will go back in session on Monday.

**Chair Colette Machado** – Mahalo Trustees.

#### **IV. New Business – Continued**

##### **C. Action Item BOT #20-03: Approval to Fund Responses to COVID-19**

**Chair Colette Machado** – I will call on Trustee Dan Ahuna.

**Trustee Dan Ahuna** moves to authorize the disbursement of \$830,000 from the Fiscal Year 2020 Core Operating Budget (Grants Category) to the Hawaii Community Foundation Island Strong Fund: 1) Eight (8) grantees in the Hawai'i Island Strong Fund in the amount of \$75,000; 2) Five (5) grantees in the Kaua'i Island Strong Fund in the amount of \$75,000; 3) 11 grantees in the Maui County Strong Fund in the amount of \$110,000; and 4) \$250,000 for O'ahu Strong Fund 5) Additional Funds for the Neighbor Island Strong Fund in the amount of \$211,739, and 6) Hawaii Community Foundation administrative fee of \$108,261.

**Trustee Robert Lindsey** seconds the motion.

**Chair Colette Machado** – It has been moved and seconded any comments?

**Trustee Carmen Hulu Lindsey** – Chair, Trustee Carmen Hulu Lindsey.

**Chair Colette Machado** – Trustee Carmen Hulu Lindsey.

**Trustee Carmen Hulu Lindsey** - I just wanted to know what's going to happen to that \$211,739.

**Chair Colette Machado** – I think if you have some issues with trying to get some of that to Maui we need to convey that to our CEO and make sure there are some thoughts and if you have some recommended projects you should refer them to Sylvia to Hawaii Community Foundation. That is how we all should be doing this, even the Kauai ones we should make referral to HCF to consider Kamealoha's request too for his foundation. This is what I think would logically roll out. Maybe you can comment now Sylvia.

**Sylvia Hussey** – One way is to take the original distribution the pro rata \$75,000; \$75,000; \$110,000 and say that the \$211,739 in the same pro rata relationship.

**Trustee Carmen Hulu Lindsey** – I think that is fairer before everyone grabs it.

**Sylvia Hussey** – What we would do is go back to Hawaii Community Foundation to fill in the additional grantees, because we need to go back to them for the Oahu Strong Fund recommendations.

**Trustee Brendon Kalei'aina Lee** – Thank you Sylvia I assumed that is what you were going to do was pro rata it out the same proportions out to everyone.

**Chair Colette Machado** – I will be recommending Moloka'i things that are interested to go and solicit Hawaii Community Foundation to make contact with them. There are some farmers just asked me if we would be willing to help them do fencing because of the deer problems. I would make that kind of recommendation to HCF. Roll call vote please.

Trustee Dan Ahuna moves to authorize the disbursement of \$830,000 from the Fiscal Year 2020 Core Operating Budget (Grants Category) to the Hawaii Community Foundation Island Strong Fund: 1) Eight (8) grantees in the Hawai'i Island Strong Fund in the amount of \$75,000; 2) Five (5) grantees in the Kaua'i Island Strong Fund in the amount of \$75,000; 3) 11 grantees in the Maui County Strong Fund in the amount of \$110,000; and 4) \$250,000 for O'ahu Strong Fund 5) Additional Funds for the Neighbor Island Strong Fund in the amount of \$211,739, and 6) Hawaii Community Foundation administrative fee of \$108,261.

Trustee Robert Lindsey seconds the motion.

TRUSTEE	1	2	'AE (YES)	A'OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEINA'ALA AHU ISA			X			
TRUSTEE DAN AHUNA	x		X			
TRUSTEE KALEI AKAKA			X			
TRUSTEE KELI'I AKINA			X			
TRUSTEE BRENDON KALEI'AINA LEE			X			
TRUSTEE CARMEN HULU LINDSEY			X			
TRUSTEE ROBERT LINDSEY		x	X			
TRUSTEE JOHN WAIHE'E			X			
TRUSTEE COLETTE MACHADO			X			
TOTAL VOTE COUNT			9			
MOTION: [ x ] UNANIMOUS [ ] PASSED [ ] DEFERRED [ ] FAILED						
Motion unanimously passes with nine (9) yes votes.						

**Chair Colette Machado** – CEO Hussey do you have any announcements?

**Sylvia Hussey** – I don't but I believe there is one more motion. This is the budgetary authority that is need be able to affect the two motions earlier.

**Chair Colette Machado** – Trustee Dan Ahuna.

**Trustee Dan Ahuna moves to approve and authorize a total of \$3,000,000 in budget adjustments to OHA's FY2020 Core Operating Budget to fund the Emergency Financial Assistance (EFA) Program Grant and the Hawaii Community Foundation Island Strong Fund executed by the following actions:**

1. Repurpose \$455,921 for the EFA Program Grant from the FY2020 Budget available in the Grants category and originally budgeted for the purposes below:



Budget Line Item	Amount
<b>Grants Category:</b>	
Kūlia Grants	\$ 250,000.00
BOT Sponsorships	\$ 135,000.00
CEO/Kaiāulu Sponsorships	\$ 70,921.00
<b>TOTAL FY2020 Budget Repurpose</b>	<b>\$ 455,921.00</b>

2. Reallocate \$2,544,079 by transferring the funds to the Grants category for the EFA Program Grant and the Hawaii Community Foundation Island Strong Fund from the FY2020 Budget available in the following budget categories:

Budget Category	Total
<b>Category</b>	
Personnel	\$ 1,234,079
Overhead	\$ 400,000
Travel	\$ 100,000
Program	\$ 450,000
Contracts	\$ 360,000
<b>Total FY2020 Budget Reallocation</b>	<b>\$ 2,544,079</b>

**Trustee Robert Lindsey** seconds the motion.

**Chair Colette Machado** – Members it has been moved and seconded. Roll call vote please.

**Trustee Carmen Hulu Lindsey** – Madame Chair.

**Chair Colette Machado** – Yes, Trustee Carmen Hulu Lindsey.

**Trustee Carmen Hulu Lindsey** – I just want to make it clear to those of our beneficiaries that might be watching this meeting, I have gotten some telephone calls about concerned beneficiaries that we were going to deplete our assets by doing something like this. I want to make it clear to them that these monies are coming out of our present budget and that monies have just been reallocated, its not coming from the corpus its just money that we saved we have not used so we are repurposing it to help our community even more. Mahalo.

**Chair Colette Machado** – You are absolutely correct and we only need 5 votes on this because we not changing any policy. Thank you for that Hulu for going on the record. That is helpful. Anyone else wants to make a comment. With that said roll call vote.



Trustee Dan Ahuna moves to approve and authorize a total of \$3,000,000 in budget adjustments to OHA's FY2020 Core Operating Budget to fund the Emergency Financial Assistance (EFA) Program Grant and the Hawaii Community Foundation Island Strong Fund executed by the following actions:

1. Repurpose \$455,921 for the EFA Program Grant from the FY2020 Budget available in the Grants category and originally budgeted for the purposes below:

Budget Line Item	Amount
<b>Grants Category:</b>	
Kūlia Grants	\$ 250,000.00
BOT Sponsorships	\$ 135,000.00
CEO/Kaiāulu Sponsorships	\$ 70,921.00
<b>TOTAL FY2020 Budget Repurpose</b>	<b>\$ 455,921.00</b>

2. Reallocate \$2,544,079 by transferring the funds to the Grants category for the EFA Program Grant and the Hawaii Community Foundation Island Strong Fund from the FY2020 Budget available in the following budget categories:

Budget Category	Total
<b>Category</b>	
Personnel	\$ 1,234,079
Overhead	\$ 400,000
Travel	\$ 100,000
Program	\$ 450,000
Contracts	\$ 360,000
<b>Total FY2020 Budget Reallocation</b>	<b>\$ 2,544,079</b>

Trustee Robert Lindsey seconds the motion.

TRUSTEE	1	2	'AE (YES)	A'OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEINA'ALA AHU ISA			X			
TRUSTEE DAN AHUNA	x		X			
TRUSTEE KALEI AKAKA			X			
TRUSTEE KELI'I AKINA			X			
TRUSTEE BRENDON KALEI'ĀINA LEE			X			
TRUSTEE CARMEN HULU LINDSEY			X			
TRUSTEE ROBERT LINDSEY		x	X			
TRUSTEE JOHN WAIHE'E			X			
TRUSTEE COLETTE MACHADO			X			
TOTAL VOTE COUNT			9			
MOTION: [ x ] UNANIMOUS [ ] PASSED [ ] DEFERRED [ ] FAILED						
Motion unanimously passes with nine (9) yes votes.						

**Chair Colette Machado** – Thank you all for being patient.

**VI. ADJOURNMENT**

**Chair Colette Machado** – I would like to seek motion to adjourn.

**Trustee Carmen Hulu Lindsey** – Madame Chair.

**Chair Colette Machado** – Trustee Carmen Hulu Lindsey.

**Trustee Carmen Hulu Lindsey moves to adjourn the meeting.**

**Trustee Kalei Akaka seconds the motion.**

**Chair Colette Machado** – It has been moved and seconded. Roll call vote please.

Trustee Carmen Hulu Lindsey moves to adjourn the meeting Trustee Kalei Akaka seconds the motion.						
TRUSTEE	1	2	‘AE (YES)	A‘OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEINA‘ALA AHU ISA			X			
TRUSTEE DAN AHUNA			X			
TRUSTEE KALEI AKAKA		x	X			
TRUSTEE KELI‘I AKINA			X			
TRUSTEE BRENDON KALEI‘ĀINA LEE			X			
TRUSTEE CARMEN HULU LINDSEY	x		X			
TRUSTEE ROBERT LINDSEY			X			
TRUSTEE JOHN WAIHE‘E			X			
TRUSTEE COLETTE MACHADO			X			
TOTAL VOTE COUNT			9			
MOTION: [ x ] UNANIMOUS [ ] PASSED [ ] DEFERRED [ ] FAILED Motion unanimously passes with nine (9) yes votes.						

The meeting was adjourned at 11:57 am.

Respectfully submitted,

\_\_\_\_\_  
Dayna Pa, Board Secretary

As approved by the Board of Trustees on \_\_\_\_\_.

\_\_\_\_\_  
Colette Y. Machado, Chairperson  
Board of Trustees

Office of Hawaiian Affairs  
Board of Trustee Meeting  
June 10, 2020  
9:30 am

IV. New Business

A. Request for approval to waive the Workshop on the OHA Biennium Budget for the Fiscal Biennium Periods 2019-2020 (FY20) and 2020-2021 (FY21) – Realignment 2 from the Committee on Resource Management to the Board of Trustees pursuant to the Office of Hawaiian Affairs Board of Trustees Bylaws (approved March 5, 2020)  
Article VIII, Section L

## **Information and Recommendation on the Request for approval to waive Committee on Resource Management matter to the Board of Trustees**

Item IV.A on the June 10, 2020 agenda relating to the workshop on the OHA Biennium Budget for the Fiscal Biennium Periods 2019-2020 (FY20) and 2020-2021 (FY21) – Realignment 2 should be held by the Committee on Resource Management (“RM Committee”), which has responsibility for fiscal and budgetary matters.

Due to the COVID-19 pandemic which resulted in Governor Ige issuing the Stay at Home<sup>1</sup> orders (“Order”) to slow the spread of the novel coronavirus, combined with the Governor’s Emergency Supplementary Proclamations<sup>2</sup> to suspend the Hawaii Revised Statutes Chapter 92, Public Agency Meetings and Records, to enable boards to conduct business through remote technology during the Order, the recommendation is for the workshop to be held directly by the Board of Trustees for discussion and deliberation to minimize the number of meetings scheduled during this time.

The OHA Board of Trustees Bylaws (“Bylaws”), approved on March 5, 2020, Article VIII – Committees of the Board, Section L (refer to “Attachment A”) requires a waiver for any matter by Committee to the Board of Trustees. The waiver requires 2/3 vote of all members to which the Board is entitled, or six (6) affirmative votes. The Chair’s staff received consent from RM Committee Chair Ahuna to waive the workshop from the RM Committee directly to the Board of Trustees. The waiver should be approved prior to the workshop. Hence, the recommended action below is to comply with the Bylaws to approve a waiver.

### **Recommended Action:**

**To approve a waiver of a workshop on the OHA Biennium Budget for the Fiscal Biennium Periods 2019-2020 (FY20) and 2020-2021 (FY21) – Realignment 2 from the Committee on Resource Management to the Board of Trustees pursuant to the OHA BOT Bylaws (approved March 5, 2020) Article VIII, Section L.**

---

<sup>1</sup> See the State of Hawai‘i, Office of the Governor: [Emergency Third Supplementary Proclamation dated March 23, 2020](#), [Emergency Sixth Supplementary Proclamation dated April 25, 2020](#), [Emergency Seventh Supplementary Proclamation dated May 5, 2020](#), and [Emergency Eighth Supplementary Proclamation dated May 18, 2020](#).

<sup>2</sup> See the State of Hawai‘i, Office of the Governor: [Emergency Supplementary Proclamation dated March 16, 2020](#), [Emergency Sixth Supplementary Proclamation dated April 25, 2020](#), [Emergency Seventh Supplementary Proclamation dated May 5, 2020](#), and [Emergency Eighth Supplementary Proclamation dated May 18, 2020](#).

## ATTACHMENT A

### ARTICLE VIII. COMMITTEES OF THE BOARD

- A. **Establishment of Standing Committees.** To facilitate consideration of policy matters that must be approved by the Board, Standing Committees are established. Authority to act on all matters is reserved to the Board, and the functions of each Standing Committee shall be to consider and make recommendations to the Board.

There shall be a minimum of two (2) Standing Committees of the Board. Each Committee shall be led by a Chairperson and a Vice-Chairperson. The Board may increase the number of Standing Committees, but the membership of the Standing Committees shall be all nine (9) Trustees. The two (2) Standing Committees, subject to increase, shall consist of the Committee on Resource Management (RM) and Committee on Beneficiary Advocacy and Empowerment (BAE).

1. **Committee on Resource Management.** The Committee shall:
  - a. handle all fiscal and budgetary matters and ensure proper management, planning, evaluation, investment and use of OHA's trust funds;
  - b. review, approve, or disapprove all acquisition expenditures that have a multi-year implication;
  - c. review and approve all acquisition expenditures that impact the OHA Debt Management, Economic Development, Investment and Spending policies;

## ATTACHMENT A

- d. develop policies that strengthen OHA's fiscal controls and financial management;
- e. oversee the use and condition of OHA's real estate and execute policy for the proper use of such lands including land in which OHA shall have an interest;
- f. develop policies on land use, native rights, and natural and cultural resources, including: the inventory, identification, analysis and treatment of land, native rights, and natural and cultural resources;
- g. develop policies and criteria for OHA's land acquisitions, dispositions, development, management, and the use of real property in which OHA has an interest;
- h. develop policies relating to OHA's real estate asset allocation, desired returns, and balancing OHA's real estate portfolio including legacy lands, corporate real property, programmatic lands and investment properties;
- i. oversee the use and condition of OHA's real estate and develop policy for the proper use and stewardship of such real property;
- j. develop policies and programs for OHA's ownership, financing and development of real property, including capital improvements, debt management, economic development, investment and spending policies and forms of ownership for OHA's real property.

## ATTACHMENT A

- k. oversee the performance of OHA's rights and obligations with respect to real estate not owned by OHA in its own name;
- l. review, approve, or disapprove appropriate grants that support OHA's overall mission;
- m. evaluate OHA programs to determine their effectiveness to decide whether to continue, modify, or terminate a program's;
- n. in consultation with the Chairperson of the Committee on Beneficiary Advocacy and Empowerment and other resources (e.g., Corporation Counsel, Ethics Commission), develop training and orientation programs for Trustees and staff, including materials relating to Trustees roles, fiduciary responsibilities, and ethical obligations, as provided by HRS Chapter 84, as amended;
- o. in consultation with the Chairperson of the Committee on Beneficiary Advocacy and Empowerment carry out the recruitment and selection of the OHA Administrator; and
- p. provide oversight of Special Councils or Commissions as assigned by the Board.

**2. Committee on Beneficiary Advocacy and Empowerment. The committee shall:**

- a. plan, coordinate, and implement programs and activities that encourage Hawaiians to participate in governance;

## ATTACHMENT A

- b. facilitate OHA's legislative agenda and advocacy efforts with federal, state, and county officials, private and community organizations, and groups involved in Hawaiian issues;
  - c. review and support the passage of legislation that benefits Hawaiians and supports Hawaiian issues, and work to defeat legislation which is contrary to the interest of OHA and its beneficiaries;
  - d. review, approve, or disapprove program grants that support OHA's legislative and advocacy efforts
  - e. develop programs that focus on beneficiary health, human services, economic stability, education, and native rights;
  - f. develop policies and programs relating to housing, land use, the environment, and natural resources;
  - g. evaluate all OHA programs to ensure that the programs have a positive impact on beneficiaries, and;
  - h. provide oversight of Permanent Special Councils or Commissions as assigned by the Board.
- B. Each Standing Committee shall consider all matters referred to it in accordance with Section L, Article VIII, of these Bylaws and make appropriate recommendations to the Board in a timely manner, but no later than twelve (12) calendar days before a Board meeting, and shall make progress reports to the Board periodically or when requested by the Chairperson of the Board.



## ATTACHMENT A

- C. Standing Committees may meet in joint session when subject matter falls under the purview of more than one of the Standing Committees. In the case of an unbudgeted funding decision, a joint meeting of the Beneficiary Advocacy and Empowerment Committee and the Resource Management Committee, led by the Chairperson of the Resource Management Committee, shall be mandatory.

**D. Advisory Committees.**

1. Standing Committees may create Advisory Committees as necessary to serve in an advisory capacity to the Standing Committees. Advisory Committees shall assist in the resolution or study of issues arising in the specific areas of concern assigned to their respective Standing Committee. To avoid duplication in the consideration of issues, the Standing Committees shall create only one Advisory Committee to study or undertake the resolution of a single subject or issue. Each Standing Committee shall have no more than three (3) Advisory Committees at any one time. All communications and advice from an Advisory Committee shall be made to the Chairperson of the Standing Committee, no later than twelve (12) calendar days before a Standing Committee Meeting. All communication and advice to an Advisory Committee shall be made by the Chairperson of the Standing Committee or designee.
2. The Advisory Committee Chairperson and membership shall be appointed by the Standing Committee Chairperson, upon advice and counsel of Standing Committee members. The term of the Advisory Committees shall expire with the completion of the assigned task or at

## ATTACHMENT A

the discretion of the Standing Committee Chairperson. There shall be no less than three (3) members to each Advisory Committee, at least one (1) of whom shall be a member of the Board of Trustees of the Office of Hawaiian Affairs, who shall be appointed by the Standing Committee Chairperson. All Advisory Committee members, with the exception of members of the Board of Trustees, shall serve on a voluntary basis without compensation, other than reasonable expenses, such as travel, parking, and meals.

### **E. Ad Hoc Committees.**

1. From time to time, there shall be such Ad hoc Committees, as designated by the Chairperson, the members of which shall be appointed by the Chairperson, and subject to approval of the Board by a simple majority (5) vote. There shall be a minimum of three (3) members on each Ad hoc Committee, at least one of whom shall be a member of the Board and who shall be the Chairperson of the Ad hoc Committee.
2. The term of the Ad hoc Committees shall expire at the completion of the assigned task or at a specific time that is determined for each Ad hoc Committee at the time of the appointment of said Committee or at the discretion of the Chairperson.
  - a. All communications and advice from an Ad hoc Committee shall be made to the Chairperson of the Board no later than ten days before a Board meeting.

## ATTACHMENT A

- b. All communications and advice to an Ad hoc Committee shall be made by the Chairperson of the Board.
- c. All Ad hoc Committee members, with the exception of members of the Board of Trustees, shall serve on a voluntary basis without compensation, other than reasonable expenses, such as travel, parking, and meals.
- d. In the event that three (3) or more Board members are appointed to an Ad Hoc Committee, HRS Chapter 92, as amended, will apply.

### **F. Permitted Interaction Groups ("PIG").**

- 1. The Chairperson may place on the agenda for Board consideration a request for the formation of a PIG in accordance with HRS § 92-2.5, as amended. The request shall set forth the title of the PIG, its scope, its suggested membership, and duration.
- 2. The purpose of the PIG shall be either to: (a) investigate a matter relating to the official business of the Board ("Investigative PIG") or (b) to present, discuss, or negotiate any position that the Board has adopted ("Negotiating PIG").
- 3. The membership, purpose, scope, and duration of the PIG shall be approved by the Board prior to the commencement of an investigation or presentation, discussion, or negotiation of any position adopted by the Board at a meeting of the Board.

## ATTACHMENT A

4. Upon creation of an Investigative PIG, the members shall proceed to investigate the matter within their purview and prepare a report together with the PIG's findings and recommendations that shall be presented to the Board for consideration by the Trustees. No discussion, deliberation, or decision making shall occur at the time that the report is presented.
5. A Negotiating PIG shall present the results of its negotiations to the Board for deliberation and decision making by the Trustees at the same time or subsequent meeting.
6. Deliberation and decision making on the matter investigated, if any, must occur only at a duly noticed meeting of the Board held subsequent to the meeting at which the finding and recommendations of the Investigative PIG were presented at the Board.
7. The report of any PIG may be accepted, rejected, recommitted subjected to the PIG for further consideration or dissolved at the deliberation and decision making meeting, upon majority vote of the Trustees.

### **G. Permanent Special Councils or Commissions.**

1. There shall be such Permanent Special Councils or Commissions as the Board deems appropriate to create. The Board shall designate a Standing Committee that shall exercise oversight of said Council or Commission. The Special Council shall determine the duration of said Council or Commission.
2. Council Members or Commissioners shall be appointed by the Standing Committee to which oversight authority is granted pending confirmation

## ATTACHMENT A

of the Board through a simple majority (5) vote of all members to which the Board is entitled.

3. Membership of each Council or Commission shall be composed of at least one (1) member of the Board of Trustees.
4. The subject matter and purview of said Councils or Commissions shall be clearly defined and limited to only those areas so recommended by the Standing Committee having oversight thereof and subject to the approval of the full Board by a simple majority (5) vote of all members to which the Board is entitled. Oversight by the appropriate Standing Committee shall include, but not be limited to, review of budgetary concerns for the operation of said Council or Commission, and affirmation of requests for actions by such Council or Commission for the continued operations of such Council or Commission.
5. Such Council Members or Commissioners with the exception of any Members of the Board of Trustees, shall serve terms as established by the Board and shall serve terms as established by the Board and shall serve on a voluntary basis without compensation, other than reasonable expenses, such as travel, parking, and meals.

### **H. Quorum and Voting for Committees, Councils and Commissions .**

1. For Advisory, Ad hoc, and Standing Committees, Councils and Commissions, a majority of the members of the Committee, Council or Commission shall constitute a quorum. Except for Ad Hoc

## ATTACHMENT A

Committees, three (3) members shall constitute a quorum to do business.

2. The concurrence of a quorum shall be required for any Committee (other than a Standing Committee), Council or Commission to make any recommendation to the Board or Standing Committee where appropriate, for Board or Standing Committee approval.

3. A simple majority (5) of the Members present at a Standing Committee meeting shall be required for it to make any recommendation to the Board, provided that a quorum is present at the time of the vote.

4. When Standing Committees meet jointly, each Committee shall vote separately as individual Committees.

I. Authority to act on all matters is reserved to the Board and the functions of the Advisory and Ad hoc Committees, Councils, Commissions, and Permitted Interaction Groups shall be to investigate, consider, and make recommendations to the Standing Committee and the Board, respectively.

J. **Staff Assignments.** The Administrator shall assign to each Standing, Advisory, and Ad hoc Committee, and to each Council, Commission and Permitted Interaction Group, appropriate Administration staff to conduct research, analyze data, draft findings, and report and provide project management and support for and to advise the respective Committee, Council, Commission or Permitted Interaction Group and to maintain records of the proceedings of same.

K. **Committee Recommendations.** The Chairperson of the Board of Trustees shall place a Committee recommendation on a Board of Trustees agenda no later than

## ATTACHMENT A

thirty (30) calendar days after receipt of the recommendation. All Standing Committees shall do the same with respect to any matter referred to the committee by a Member.

- L. Waiver of any matter by committee to the Board of Trustees shall require two-thirds (2/3) vote of all Members to which the Board is entitled.



Office of Hawaiian Affairs  
Board of Trustee Meeting  
June 10, 2020  
9:30 am

V. BOT Workshop

- A. OHA Biennium Budget for the Fiscal  
Biennium Periods 2019-2020 (FY20) and  
2020-2021 (FY21) – Realignment 2

# The Office of Hawaiian Affairs

## June 2020

FISCAL YEAR 2020 AND 2021  
BUDGET REALIGNMENT #2

Board of Trustees Workshop

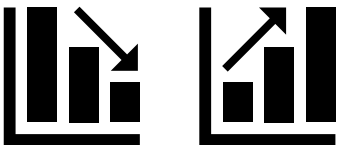
STRATEGIC FOUNDATION, DIRECTIONS - MISSION



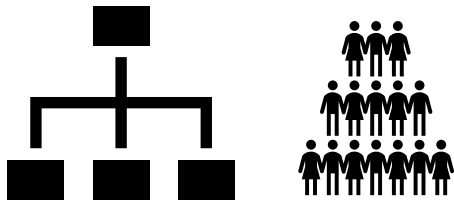
CHAPTER 10 - MANDATE



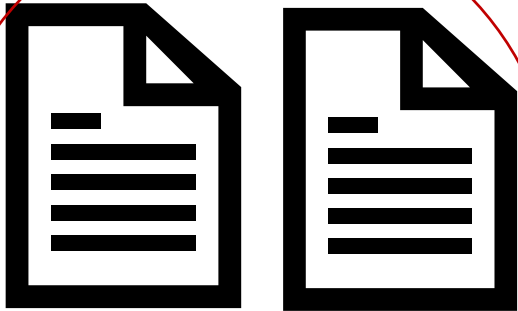
GENERAL FUNDS



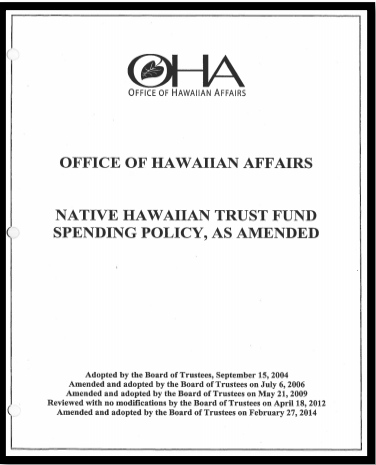
NHTF



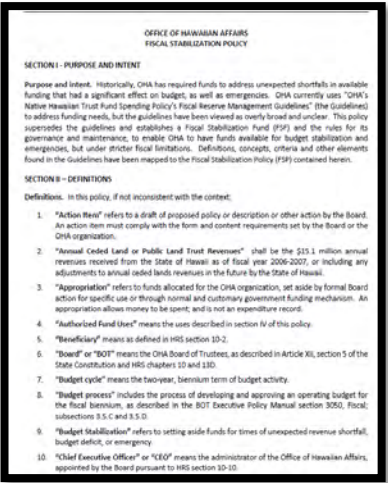
ORGANIZATION, POSITIONS



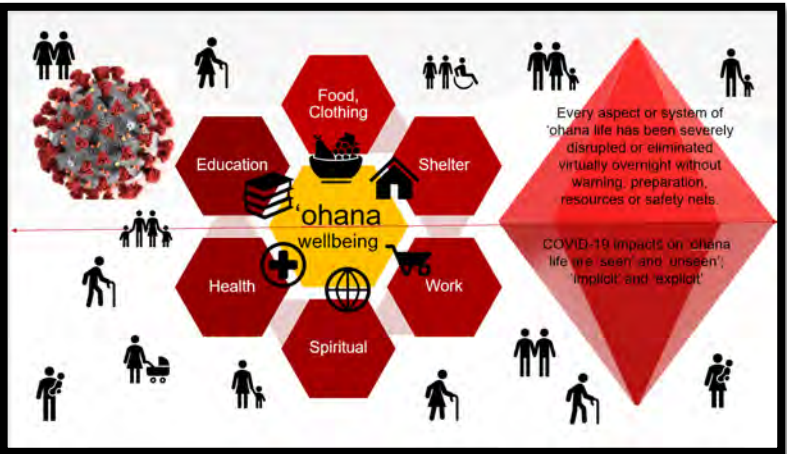
FY20, FY21  
REALIGNMENT #2



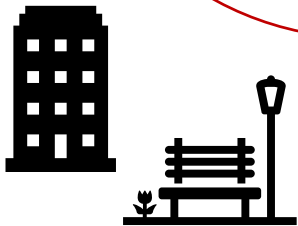
SPENDING POLICY



FISCAL STABILIZATION  
POLICY



COVID-19 DISRUPTIONS TO  
'OHANA WELLBEING



Na Lama Kukui  
Kaka'ako Makai



Legacy Lands



## Strategic Plan

### Strategic Direction

**Education Pathways:** 1. HFCS; 2. Traditional, Place-Based, Science and Technical Curriculum, Instruction and Assessment

### Strategic Direction

**Health Outcomes:** 3. 'Aina, culture, 'ohana based opportunities and activities; 4. 'ohana based programming re: intervention and prevention of mental, physical and substance abuse issues

### Strategic Direction

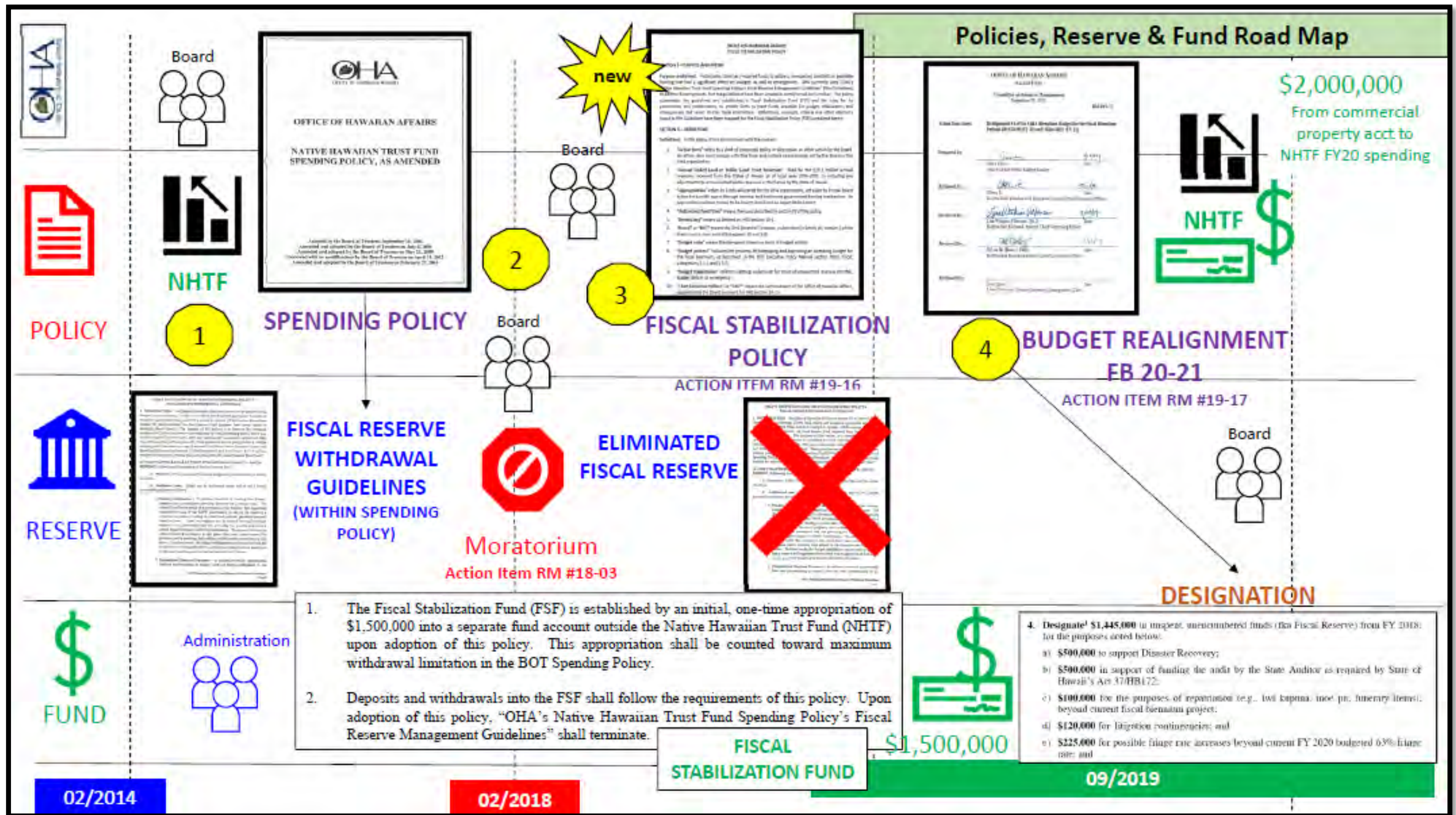
**Quality Housing:** 5. Increase ownership or rental of affordable housing; 6. collaborate with DHHL to increase supply

### Strategic Direction

**Economic Stability:** 7. Promote businesses and other economic opportunities; 8. Increase job training opportunities

## Strategic Foundation, Directions and Strategies





A-14





POLICY



FISCAL STABILIZATION  
POLICY

\$2,000,000  
From commercial  
property acct to  
NHTF FY20 spending



FUND

FISCAL  
STABILIZATION  
FUND

\$1,500,000

4. Designate<sup>2</sup> \$1,445,000 in unspent, unencumbered funds (the Fiscal Reserve) from FY 2018, for the purposes noted below:
- a) \$500,000 to support Disaster Recovery;
  - b) \$500,000 in support of funding the audit by the State Auditor as required by State of Hawaii's Act 57/HB172;
  - c) \$100,000 for the purposes of repatriation (e.g., Iwi kupuna, moe-pu, funerary items), beyond current fiscal biennium project;
  - d) \$120,000 for litigation contingencies; and
  - e) \$225,000 for possible fringe rate increases beyond current FY 2020 budgeted 6.1% fringe rate; and

DESIGNATION

09/2019

06/2020

## REALIGNMENT #2 - MOTIONS

1

UNDESIGNATE  
(prior  
designations)

2

ADDT'L  
FUNDING -  
\$1.5MM

3

APPROVE  
\$3MM use for  
Gen Funds

Action Item, BOT #20-XX

Need to reverse  
(undesignate) the  
previous designations  
to enable the BOT to  
designate and fund FB  
20-21 Realignment #2

Authorize the  
additional funding of  
the Fiscal Stabilization  
Fund in the amount of  
\$1,500,000, noting the  
balance will be  
\$3,000,000.

Approve the use of the  
Fiscal Stabilization  
Fund in the amount of  
\$3,000,000 in FY20, to  
be drawn in four  
increments of \$750,000  
per quarter in FY21, to  
replace the General  
Funds appropriation  
for FY21.

BOT Mtg 06/2020

A-15

Funding Sources	Spending Limit	Analysis of FY 21 Proposed Realignment #2 Expenditure By Funding Source							
		Personnel	Grants	Non-personnel	Legacy Land		Beneficiary Investments	COVID 19 Relief	Balance
1. Average Portfolio Market Value (Withdrawal %: 5%)	17,886,701	(14,438,815)		(3,410,007)					37,879
2. Ceded Land Revenues	15,100,000		(7,157,717)	(6,038,204)			(1,904,079)		-
3. General Fund (GF) Appropriations Request	-								-
4. Fiscal Stabilization Fund - FY20 Designation for GF Shortfall	3,000,000	(1,186,605)	(1,554,400)	(296,874)					(37,879)
5. Fiscal Stabilization Fund - FY21 Designation	1,000,000							(1,000,000)	-
6. Kaka'ako Makai Gross Revenue (Approved 10%; RM19-10: FY21 20%) (for Grants use)	883,804		(883,804)						-
7. 50% Kaka'ako Makai FY19 Net Revenue (for Legacy use RM 19-10)	1,514,931				(404,491)	(1,105,722)			4,718
	\$ 39,385,436	\$ (15,625,420)	\$ (9,595,921)	\$ (9,745,085)	\$ (404,491)	\$ (1,105,722)	\$ (1,904,079)	\$ (1,000,000)	\$ 4,718

For the purpose of this analysis, the allocation of the 5% Average Market Value and the Ceded Land Revenues are broadly applied to operations whereas the allocation of the Fiscal Stabilization Fund designations and the Kaka'ako Makai Revenues are intentional according to established policies.

Purpose of Analysis: To map funding sources to appropriate uses for FY21, including use of the fiscal stabilization fund

Key Takeaways:

- 1) Fiscal Stabilization Fund – FY 20 used to replace general funded expenditures - \$3,000,000 (line 3 and 4)
- 2) Fiscal Stabilization Fund – FY 21 used to fund COVID-19 related expenditures - \$1,000,000 (line 5)
- 3) 20% gross Kaka'ako Makai Revenue designated for grants (line 6)
- 4) 50% net Kaka'ako Makai Revenue designated for legacy land use (line 7)



# FY20 BUDGET REALIGNMENT #2

# FY 2020 Spending Limit

**Key Takeaway:**  
Impact of the true-up of  
Kaka'ako Makai financial  
information for FY20

## Spending Limit and Adjustments for OHA's FY 2020 Total Operating Budget

Funding Sources	FY 2020				
	Approved Budget	Approved Realignment #1	Proposed Realignment #2	Adjustments	Ref Page(s)
	(a)	(b)	(c)	(d) = (c - b)	
<b>1. Core Operating Budget</b>					
5% of NHTF Portfolio	\$17,692,555	\$17,692,555	\$17,692,555	\$0	
Public Land Trust Revenues	15,100,000	15,100,000	15,100,000	\$0	
State of Hawai'i General Funds	3,037,879	3,037,879	3,037,879	\$0	
Allocation of Kaka'ako Makai Revenues	1,435,610	1,866,436	2,488,155	\$621,719	
Made up of...					
...20% Kaka'ako Makai Gross Revenue (Grant Use)	430,826	861,652	861,652	\$0	
...Ka'akao Makai True-up of 10% Allocation for FY13-FY18 (Grants Use)	72,206	72,206	72,206	\$0	
...50% Ka'akao Makai FY19 Net Revenue (Legacy Use)	932,578	932,578	1,554,297	\$621,719	
Sub-total - Allocation of Ka'ako Makai Revenues	1,435,610	1,866,436	2,488,155	\$621,719	
Cash Transfer from Kaka'ako Makai	0	2,000,000	2,000,000	\$0	
<b>Sub-total - Core Operating Budget:</b>	<b>\$37,266,044</b>	<b>\$39,696,870</b>	<b>\$40,318,589</b>	<b>\$621,719</b>	
<b>2. Fiscal Stabilization Fund (fka Fiscal Reserve)</b>					
FY 2020 Designation	\$0	\$1,445,000	\$1,445,000	\$0	
<b>Sub-total - Fiscal Stabilization Fund:</b>	<b>\$0</b>	<b>\$1,445,000</b>	<b>\$1,445,000</b>	<b>\$0</b>	
<b>3. Commercial Property</b>					
Kaka'ako Makai	\$10,878,315	\$10,878,315	\$9,815,035	(\$1,063,280)	
Nā Lama Kukui	7,909,481	7,909,481	7,909,481	\$0	
<b>Sub-total - Commercial Property:</b>	<b>\$18,787,796</b>	<b>\$18,787,796</b>	<b>\$17,724,516</b>	<b>(\$1,063,280)</b>	
<b>4. Federal Programs Budget</b>					
Halawa-Luluku Interpretive Development	\$4,099,249	\$4,099,249	\$4,099,249	\$0	
Native Hawaiian Revolving Loan Fund	902,005	902,005	902,005	\$0	
<b>Sub-total -Federal Programs Budget:</b>	<b>\$5,001,254</b>	<b>\$5,001,254</b>	<b>\$5,001,254</b>	<b>\$0</b>	
<b>5. Special Programs Budget</b>					
Special Programs Budget - Legacy Properties	\$880,257	\$880,257	\$880,257	\$0	
Special Programs Budget - Other	367,862	367,862	367,862	\$0	
<b>Sub-total - Special Programs Budget:</b>	<b>\$1,248,119</b>	<b>\$1,248,119</b>	<b>\$1,248,119</b>	<b>\$0</b>	
<b>Total Spending Limit:</b>	<b>\$62,303,213</b>	<b>\$66,179,039</b>	<b>\$65,737,478</b>	<b>(\$441,561)</b>	

A-26

# FY 2020 Total Operating Budget Adjustments

## Key Takeaways:

- Impact of the COVID-19 reallocation reflected
- GIA pass thru of \$75K
- LLC Reclassification for Wind Down Activities

## FY 2020 Approved Total Operating Budget and Realignments

Total Operating Budget	FY 2020				
	Approved Budget	Approved Realignment #1	Proposed Realignment #2	Adjustments	Ref Page(s)
	(a)	(b)	(c)	(d) = (c - b)	
<b>1. Core Operating Budget</b>					
Personnel (including Fringe)	\$16,905,440	\$16,933,809	\$15,699,730	(\$1,234,079)	
Non-Personnel	20,360,603	22,401,774	23,710,853	\$1,309,079	
<b>Sub-total – Core Operating Budget:</b>	<b>\$37,266,043</b>	<b>\$39,335,583</b>	<b>\$39,410,583</b>	<b>\$75,000</b>	
<b>2. Fiscal Stabilization Fund (fka Fiscal Reserve)</b>					
FY 2020 Designation	\$0	1,445,000	1,445,000	\$0	
<b>Sub-total – Fiscal Stabilization Fund:</b>	<b>\$0</b>	<b>\$1,445,000</b>	<b>\$1,445,000</b>	<b>\$0</b>	
<b>3. Commercial Property</b>					
Kaka'ako Makai	\$2,553,946	\$2,261,175	\$2,261,175	\$0	
Nā Lama Kukui	5,853,411	5,950,051	5,950,051	\$0	
<b>Sub-total – Commercial Property:</b>	<b>\$8,407,357</b>	<b>\$8,211,226</b>	<b>\$8,211,226</b>	<b>\$0</b>	
<b>4. Federal Programs Budget</b>					
Halawa Luluku Interpretive Development	\$4,099,249	\$4,099,249	\$4,099,249	\$0	
Native Hawaiian Revolving Loan Fund	902,005	902,005	902,005	\$0	
<b>Sub-total – Federal Programs Budget:</b>	<b>\$5,001,254</b>	<b>\$5,001,254</b>	<b>\$5,001,254</b>	<b>\$0</b>	
<b>5. Special Programs Budget</b>					
<b>Legacy Properties</b>					
Palauea Culture Preserve	\$160,380	\$160,380	\$160,380	\$0	
Wao Kele O Puna Management Fund	256,610	256,610	256,610	\$0	
<b>Sub-total – Special-Legacy Properties:</b>	<b>\$416,990</b>	<b>\$416,990</b>	<b>\$416,990</b>	<b>\$0</b>	
<b>Special Programs Budget – Other</b>					
Hi'ilei Aloha & Subsidiaries	\$292,862	\$405,000	\$327,000	(\$78,000)	
Ho'okele Pono & Subsidiaries	75,000	88,000	166,000	\$78,000	
<b>Sub-total – Special – Other:</b>	<b>\$367,862</b>	<b>\$493,000</b>	<b>\$493,000</b>	<b>\$0</b>	
<b>Sub-total – Special Programs Budget:</b>	<b>\$784,852</b>	<b>\$909,990</b>	<b>\$909,990</b>	<b>\$0</b>	
<b>Total Operating Budget:</b>	<b>\$51,459,506</b>	<b>\$54,903,053</b>	<b>\$54,978,053</b>	<b>\$75,000</b>	

Core  
Budget

A-27

Non-  
Core  
Budget

# Summarizes the Total Operating Budget from Initial Approval (Table A-June 2019) to Realignment #1 (Table B-September 2019)

## A. FY 2020 APPROVED TOTAL OPERATING BUDGET

FY 2020 APPROVED BUDGET	FTE	Core	Fiscal Stabilization Fund (fka Fiscal Reserve)	Commercial Property Budget		Legacy Property Budget		Special Programs Budget		FY 2020 Total Operating Budget
				Kaka'ako Makal	Nā Lama Kukul	Palauea Culture Preserve	WKOP Mgmt Fund	Federal Funded	OHA Funded	
Personnel & Fringe	179	\$ 16,905,440	\$ -	\$ 278,161	\$ -	\$ -	\$ -	\$ 764,248	\$ -	\$ 17,947,849
Program		1,351,878	-	81,475	368,276	3,000	9,550	109,017	-	1,923,196
Contracts		4,296,719	-	610,000	535,015	75,000	70,000	4,014,215	-	9,600,949
Grants		9,660,921	-	-	-	25,000	10,000	-	-	9,695,921
Travel		511,087	-	-	-	4,680	8,160	37,045	-	560,972
Equipment		995,570	-	939,500	1,311,958	43,500	158,100	3,750	-	3,452,378
Overhead		2,964,382	-	644,810	1,087,183	9,200	800	72,979	-	4,779,354
Debt Service		580,047	-	-	2,550,979	-	-	-	-	3,131,026
Other LLC		-	-	-	-	-	-	-	367,862	367,862
<b>Totals (A):</b>		<b>\$ 37,266,043</b>	<b>\$ -</b>	<b>\$ 2,553,946</b>	<b>\$ 5,853,411</b>	<b>\$ 160,380</b>	<b>\$ 256,610</b>	<b>\$ 5,001,254</b>	<b>\$ 367,862</b>	<b>\$ 51,459,507</b>

## B. FY 2020 APPROVED BUDGET REALIGNMENT #1

FY 2020 APPROVED REALIGNMENT #1	FTE	Core	Fiscal Stabilization Fund (fka Fiscal Reserve)	Commercial Property Budget		Legacy Property Budget		Special Programs Budget		FY 2020 Total Operating Budget
				Kaka'ako Makal	Nā Lama Kukul	Palauea Culture Preserve	WKOP Mgmt Fund	Federal Funded	OHA Funded	
Personnel & Fringe	179	\$ 16,933,809	\$ -	\$ 285,390	\$ 77,875	\$ -	\$ -	\$ 764,248	\$ -	\$ 18,061,322
Program		1,484,603	-	81,475	368,276	2,700	4,550	109,017	-	2,050,621
Contracts		5,988,759	-	810,000	560,560	80,000	73,500	4,014,215	-	11,527,034
Grants		9,660,921	-	-	-	-	10,000	0	-	9,670,921
Travel		571,667	-	-	-	4,680	8,160	37,045	-	621,552
Equipment		1,068,820	-	439,500	1,305,178	39,000	159,600	3,750	-	3,015,848
Overhead		3,046,958	-	644,810	1,087,183	9,000	800	72,979	-	4,861,730
Debt Service		580,047	-	-	2,550,979	-	-	-	-	3,131,026
<b>Sub-total:</b>		<b>39,335,583</b>	<b>-</b>	<b>2,261,175</b>	<b>5,950,051</b>	<b>135,380</b>	<b>256,610</b>	<b>5,001,254</b>	<b>-</b>	<b>52,940,053</b>
Other LLC		125,138	-	-	-	-	-	-	367,862	493,000
<b>Totals (B):</b>		<b>\$ 39,460,721</b>	<b>\$ -</b>	<b>\$ 2,261,175</b>	<b>\$ 5,950,051</b>	<b>\$ 135,380</b>	<b>\$ 256,610</b>	<b>\$ 5,001,254</b>	<b>\$ 367,862</b>	<b>\$ 53,433,053</b>
<b>Difference (C) = (B - A):</b>										
		<b>\$ 2,194,678</b>	<b>\$ -</b>	<b>\$ (292,771)</b>	<b>\$ 96,640</b>	<b>\$ (25,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,973,546</b>

Tie to  
A-27 &  
Action  
Item  
Table



## Summarizes the Total Operating Budget from Realignment #1 (Table A-September 2019) to Realignment #2 (Table B-June 2020)

### A. FY 2020 APPROVED BUDGET REALIGNMENT #1

FY 2020 APPROVED REALIGNMENT #1	FTE	Core	Fiscal Stabilization Fund (fka Fiscal	Commercial Property Budget		Legacy Property Budget		Special Programs Budget		FY 2020 Total Operating Budget
				Kaka'ako Makai	Nā Lama Kukui	Palauea Culture Preserve	WKOP Mgmt Fund	Federal Funded	OHA Funded	
Personnel & Fringe	179	\$ 16,933,809	\$ -	\$ 285,390	\$ 77,875	\$ -	\$ -	\$ 764,248	\$ -	\$ 18,061,322
Program		1,484,603	-	81,475	368,276	2,700	4,550	109,017	-	2,050,621
Contracts		5,988,759	-	810,000	560,560	80,000	73,500	4,014,215	-	11,527,034
Grants		9,660,921	-	-	-	-	10,000	0	-	9,670,921
Travel		571,667	-	-	-	4,680	8,160	37,045	-	621,552
Equipment		1,068,820	-	439,500	1,305,178	39,000	159,600	3,750	-	3,015,848
Overhead		3,046,958	-	644,810	1,087,183	9,000	800	72,979	-	4,861,730
Debt Service		580,047	-	-	2,550,979	-	-	-	-	3,131,026
<b>Sub-total:</b>		<b>39,335,583</b>	<b>-</b>	<b>2,261,175</b>	<b>5,950,051</b>	<b>135,380</b>	<b>256,610</b>	<b>5,001,254</b>	<b>-</b>	<b>52,940,053</b>
Other - LLC		125,138	-	-	-	-	-	-	367,862	493,000
<b>Totals (A):</b>		<b>\$ 39,460,721</b>	<b>\$ -</b>	<b>\$ 2,261,175</b>	<b>\$ 5,950,051</b>	<b>\$ 135,380</b>	<b>\$ 256,610</b>	<b>\$ 5,001,254</b>	<b>\$ 367,862</b>	<b>\$ 53,433,053</b>

### B. FY 2020 BUDGET REALIGNMENT #2

FY 2020 PROPOSED REALIGNMENT #2	FTE	Core	Fiscal Stabilization Fund (fka Fiscal Reserve)	Commercial Property Budget		Legacy Property Budget		Special Programs Budget		FY 2020 Total Operating Budget
				Kaka'ako Makai	Nā Lama Kukui	Palauea Culture Preserve	WKOP Mgmt Fund	Federal Funded	OHA Funded	
Personnel & Fringe	179	\$ 15,699,730	\$ -	\$ 285,390	\$ 77,875	\$ -	\$ -	\$ -	\$ -	\$ 17,297,074
Program		1,128,778	-	81,475	368,276	2,700	4,550	37,045	-	1,978,649
Contracts		5,506,759	-	810,000	560,560	80,000	73,500	3,750	-	7,516,569
Grants		12,189,862	-	-	-	-	10,000	72,979	-	9,743,900
Travel		433,667	-	-	-	4,680	8,160	0	-	584,507
Equipment		1,202,824	-	439,500	1,305,178	39,000	159,600	0	-	3,012,098
Overhead		2,668,917	-	644,810	1,087,183	9,000	800	5,001,254	-	9,790,005
Debt Service		580,047	-	-	2,550,979	-	-	-	-	3,131,026
<b>Sub-total:</b>		<b>39,410,583</b>	<b>-</b>	<b>2,261,175</b>	<b>5,950,051</b>	<b>135,380</b>	<b>256,610</b>	<b>5,115,028</b>	<b>-</b>	<b>53,053,827</b>
Other - LLC		125,138	-	-	-	-	-	-	367,862	493,000
<b>Totals (B):</b>		<b>\$ 39,535,721</b>	<b>\$ -</b>	<b>\$ 2,261,175</b>	<b>\$ 5,950,051</b>	<b>\$ 135,380</b>	<b>\$ 256,610</b>	<b>\$ 5,115,028</b>	<b>\$ 367,862</b>	<b>\$ 53,546,827</b>
<b>Difference (C) = ( B - A):</b>										
		<b>\$ 75,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 113,774</b>	<b>\$ -</b>	<b>\$ 113,774</b>

Tie to  
A-27 &  
Action  
Item  
Table

# FY20 CORE BUDGET

## FY20 CORE BUDGET REALIGNMENT #2 TOTAL

### Key Takeaways:

- Impact of the COVID-19 reallocation reflected
- GIA pass thru of \$75K
- LLC Reclassification for Wind Down Activities

EXPENSE CATEGORY	FY 2020 APPROVED CORE BUDGET (a)	FY 2020 APPROVED REALIGNMENT #1 CORE BUDGET (b)	FY 2020 PROPOSED REALIGNMENT #2 CORE BUDGET (c)	ADJUSTMENTS (d) = ( c - b)
Personnel & Fringe	\$16,905,440	\$16,933,809	\$15,699,730	(\$1,234,079)
Program	1,351,878	1,484,603	1,128,778	(355,825)
Contracts	4,296,719	5,988,759	5,506,759	(482,000)
Grants	9,660,921	9,660,921	12,315,000	2,654,079
Travel	511,087	571,667	433,667	(138,000)
Equipment	995,570	1,068,820	1,077,686	8,866
Overhead	2,964,382	3,046,958	2,668,917	(378,041)
Debt Service	580,047	580,047	580,047	0
Other - LLC	0	125,138	125,138	0
<b>Totals:</b>	<b>\$37,266,043</b>	<b>\$39,460,721</b>	<b>\$39,535,721</b>	<b>\$75,000</b>

A-30



## SUMMARY OF FY20 BUDGET ADJUSTMENTS

EXPENSE CATEGORY	FY 2020 BUDGET ADJUSTMENTS (UP TO 5/29/2020)				
	Q1 ADJUSTMENTS	Q2 ADJUSTMENTS	Q3 ADJUSTMENTS	Q4 ADJUSTMENTS	Q1 TO Q4 TOTAL
Personnel & Fringe	0	119,430	(119,430)	(1,234,079)	(1,234,079)
Program	(29,500)	66,050	62,550	(454,925)	(355,825)
Contracts	(35,000)	(65,000)	(22,000)	(360,000)	(482,000)
Grants	110,000	0	0	2,544,079	2,654,079
Travel	0	0	(13,000)	(125,000)	(138,000)
Equipment	(1,500)	(6,984)	17,350	0	8,866
Overhead	31,000	5,934	(44,900)	(370,075)	(378,041)
Debt Service	0	0	0	0	0
Other - LLC	0	0	0	0	0
<b>Totals:</b>	<b>\$75,000</b>	<b>\$119,430</b>	<b>(\$119,430)</b>	<b>\$0</b>	<b>\$75,000</b>

Tie to A-30  
&  
Action Item  
Table

## HIGHLIGHTS OF FY20 BUDGET ADJUSTMENTS

### Q1:

1) \$75,000 Grants-in-Aid for Council of Native Hawaiian Advancement (CNHA) appropriated out of State of Hawaii's General Fund to be expended by OHA (pass thru). Reference: State of Hawaii, Appropriation Warrant No. 80, 2019 Act 039, HB 809 CD 1, Section 79.

2) Q1: \$35,000 to Grants to provide emergency disaster relief for Kaua'ula, Maui

### Q2:

3) \$119,430 in Personnel to align with 2019 HB 172 General Funds figures and to be consistent with BOT BAE/RM #19-04 Fiscal Biennium 20/21 Community Grants Recommendations, Housing Grant.

### Q3:

4) To reallocate 119,430 in item 3) in Personnel category across organizational units based on forecasted FY20 personnel expenditures.

### Q4:

5) A total of \$2,544,079 reallocated from various categories to Grants category as per BOT #20-03 Approval to Fund Responses to COVID-19.

# FY21 BUDGET REALIGNMENT #2

# FY 2021 Spending Limit

## Key Takeaways:

- Impact of the true-up of Kaka`ako Makai Financial Information for FY20
- Reduction of FY21 General Funds
- Fiscal Stabilization Fund

## Spending Limit and Adjustments for OHA's FY 2021 Total Operating Budget

Funding Sources	FY 2021				
	Approved Budget	Approved Realignment #1	Proposed Realignment #2	Adjustments	Ref Page(s)
	(a)	(b)	(c)	(d) = (c - b)	
<b>1. Core Operating Budget</b>					
5% of NHTF Portfolio	\$17,886,701	\$17,886,701	\$17,886,701	\$0	
Public Land Trust Revenues	15,100,000	15,100,000	15,100,000	0	
State of Hawai'i General Funds[1]	3,037,879	3,037,879	0	(3,037,879)	
Allocation of Kaka`ako Makai Revenues	1,186,572	1,186,572	2,398,735	1,212,163	
Made up of...					
20% Kaka`ako Makai Gross Revenue (Grant Use)	441,902	441,902	883,804	441,902	
50% Ka'akao Makai FY19 Net Revenue (Legacy Use)	744,670	744,670	1,514,931	770,261	
Sub-total - Allocation of Ka'ako Makai Revenues	1,186,572	1,186,572	2,398,735	1,212,163	
Sub-total - Core Operating Budget:	\$37,211,152	\$37,211,152	\$35,385,436	(\$1,825,716)	
<b>2. Fiscal Stabilization Fund (fka Fiscal Reserve)</b>					
FY20 Designation	\$0	\$0	\$3,000,000	\$3,000,000	
FY21 Designation	\$0	\$0	1,000,000	1,000,000	
Sub-total - Fiscal Stabilization Fund:	\$0	\$0	\$4,000,000	\$4,000,000	
<b>3. Commercial Property</b>					
Kaka'ako Makai	\$11,159,058	\$11,159,058	\$8,307,818	(\$2,851,240)	
Nā Lama Kukui	7,357,095	7,357,095	7,357,095	0	
Sub-total - Commercial Property:	\$18,516,153	\$18,516,153	\$15,664,913	(\$2,851,240)	
<b>4. Federal Programs Budget</b>					
Halawa-Luluku Interpretive Development	\$428,199	\$428,199	\$3,372,943	\$2,944,744	
Native Hawaiian Revolving Loan Fund	919,030	919,030	919,030	0	
Sub-total -Federal Programs Budget:	\$1,347,229	\$1,347,229	\$4,291,973	\$2,944,744	
<b>5. Special Programs Budget</b>					
Special Programs Budget - Legacy Properties	\$528,837	\$528,837	\$528,837	\$0	
Special Programs Budget - Other	195,000	195,000	195,000	0	
Sub-total - Special Programs Budget:	\$723,837	\$723,837	\$723,837	\$0	
<b>Total Spending Limit:</b>	<b>\$57,798,371</b>	<b>\$57,798,371</b>	<b>\$60,066,159</b>	<b>\$2,267,788</b>	

A-28

16

# FY 2021 Total Operating Budget Adjustments

## Key Takeaways:

- Reduction in Personnel for Frozen Positions
- Use of Fiscal Stabilization Fund
- Halawa Luluku Interpretive Development Planned Work
- Tenant Improvements
- LLC Reclassifications

## FY 2021 Approved Total Operating Budget and Realignment

Total Operating Budget	FY 2021				
	Approved Budget	Approved Realignment #1	Proposed Realignment #2	Adjustments	Ref Page(s)
	(a)	(b)	(c)	(d) = (c - b)	
<b>1. Core Operating Budget</b>					
Personnel (includes Fringe @ 63.08%)	\$17,136,197	\$17,136,197	\$16,029,911	(\$1,106,286)	
Non-Personnel	20,074,586	20,074,586	20,446,728	372,142	
<b>Sub-total 1:</b>	<b>\$37,210,783</b>	<b>\$37,210,783</b>	<b>\$36,476,639</b>	<b>(\$734,144)</b>	
(FY21) Proposed Mission Aligned & Strategic Beneficiary and Community Investments	0	0	1,904,079	1,904,079	
(FY21) COVID-19 Responses	0	0	1,000,000	1,000,000	
<b>Sub-total 2:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,904,079</b>	<b>\$2,904,079</b>	
<b>Sub-total (1 + 2) – Core Operating Budget:</b>	<b>\$37,210,783</b>	<b>\$37,210,783</b>	<b>\$39,380,718</b>	<b>\$2,169,935</b>	
<b>2. Fiscal Stabilization Fund (fka Fiscal Reserve)</b>					
FY20 Designation	\$0	\$0	3,000,000	\$3,000,000	
FY21 Designation	\$0	\$0	1,000,000	1,000,000	
<b>Sub-total – Fiscal Stabilization Fund:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,000,000</b>	<b>\$4,000,000</b>	
<b>3. Commercial Property</b>					
Kaka'ako Makai	\$2,600,704	\$2,600,704	\$2,610,204	\$9,500	
Nā Lama Kukui	5,506,460	5,506,460	5,848,644	342,184	
<b>Sub-total – Commercial Property:</b>	<b>\$8,107,164</b>	<b>\$8,107,164</b>	<b>\$8,458,848</b>	<b>\$351,684</b>	
<b>4. Federal Programs Budget</b>					
Halawa Luluku Interpretive Development	\$428,199	\$428,199	\$3,372,943	\$2,944,744	
Native Hawaiian Revolving Loan Fund	919,030	919,030	919,030	0	
<b>Sub-total – Federal Programs Budget:</b>	<b>\$1,347,229</b>	<b>\$1,347,229</b>	<b>\$4,291,973</b>	<b>\$2,944,744</b>	
<b>5. Special Programs Budget</b>					
<b>Legacy Properties</b>					
Palaua Culture Preserve	\$122,180	\$122,180	\$89,730	(\$32,450)	
Wao Kele O Puna Management Fund	204,308	204,308	250,510	46,202	
<b>Sub-total – Special-Legacy Properties:</b>	<b>\$326,488</b>	<b>\$326,488</b>	<b>\$340,240</b>	<b>\$13,752</b>	
<b>Special Programs Budget – Other</b>					
Hi'ilei Aloha & Subsidiaries	\$195,000	\$195,000	\$134,000	(\$61,000)	
Ho'okele Pono & Subsidiaries	0	0	61,000	61,000	
<b>Sub-total – Special – Other:</b>	<b>\$195,000</b>	<b>\$195,000</b>	<b>\$195,000</b>	<b>\$0</b>	
<b>Sub-total – Special Programs Budget:</b>	<b>\$521,488</b>	<b>\$521,488</b>	<b>\$535,240</b>	<b>\$13,752</b>	
<b>Total Operating Budget:</b>	<b>\$47,186,664</b>	<b>\$47,186,664</b>	<b>\$56,666,779</b>	<b>\$9,480,115</b>	

Core  
Budget

A-29

Non-  
Core  
Budget

## Summarizes the Total Operating Budget from Initial Approval (Table A-June 2019) to Realignment #2 (Table C-June 2020)

### A. FY 2021 APPROVED TOTAL OPERATING BUDGET

FY 2021 APPROVED BUDGET	FTE	Core	Fiscal Stabilization Fund (fka Fiscal Reserve)	Commercial Property Budget		Legacy Property Budget		Special Programs Budget		FY 2021 Total Operating Budget
				Kaka'ako Makai	Nā Lama Kukui	Palauea Culture Preserve	WKOP Mgmt Fund	Federal Funded	OHA Funded	
Personnel & Fringe	179	\$ 17,136,197	\$ -	\$ 283,724	\$ -	\$ -	\$ -	\$ 779,582	\$ -	\$ 18,199,503
Program		1,192,677	-	59,475	380,057	3,000	9,550	114,191	-	1,758,950
Contracts		3,999,924	-	665,000	559,502	42,000	80,000	339,959	-	5,686,385
Grants		9,635,921	-	-	-	35,000	10,000	-	-	9,680,921
Travel		512,309	-	-	-	4,680	8,160	37,045	-	562,194
Equipment		1,027,036	-	945,200	1,053,578	28,500	95,798	1,250	-	3,151,362
Overhead		3,134,555	-	647,305	1,002,371	9,000	800	75,202	-	4,869,233
Debt Service		572,163	-	-	2,510,952	-	-	-	-	3,083,115
Other - LLC		-	-	-	-	-	-	-	195,000	195,000
<b>Totals:</b>		<b>\$ 37,210,783</b>	<b>\$ -</b>	<b>\$ 2,600,704</b>	<b>\$ 5,506,460</b>	<b>\$ 122,180</b>	<b>\$ 204,308</b>	<b>\$ 1,347,229</b>	<b>\$ 195,000</b>	<b>\$ 47,186,664</b>

### B. FY 2021 APPROVED BUDGET REALIGNMENT #1 (NO CHANGE)

### C. FY 2021 BUDGET REALIGNMENT #2

FY 2021 PROPOSED REALIGNMENT #2	FTE	Core	Fiscal Stabilization Fund (fka Fiscal Reserve)	Commercial Property Budget		Legacy Property Budget		Special Programs Budget		FY 2021 Total Operating Budget
				Kaka'ako Makai	Nā Lama Kukui	Palauea Culture Preserve	WKOP Mgmt Fund	Federal Funded	OHA Funded	
Personnel & Fringe	179	16,029,911	\$ -	\$ 280,608	\$ 103,884	\$ -	\$ -	\$ 703,286	\$ -	\$ 17,117,689
Program		1,151,311	-	18,975	384,357	2,500	4,550	110,491	-	1,672,184
Contracts		4,253,918	-	715,000	559,502	42,000	93,500	3,374,959	-	9,038,879
Grants		9,605,921	-	-	-	-	-	0	-	9,605,921
Travel		585,771	-	-	-	4,680	8,160	37,045	-	635,656
Equipment		1,062,488	-	945,200	1,207,578	31,550	144,300	600	-	3,391,716
Overhead		3,215,155	-	647,305	1,082,371	9,000	-	65,592	-	5,019,423
Debt Service		572,163	-	-	2,510,952	-	-	-	-	3,083,115
<b>Sub-total 1:</b>		<b>36,476,639</b>	<b>-</b>	<b>2,607,088</b>	<b>5,848,644</b>	<b>89,730</b>	<b>250,510</b>	<b>4,291,973</b>	<b>-</b>	<b>49,564,584</b>
Other - WKOP		46,202	-	-	-	-	(46,202)	-	-	-
Other - LLC		-	-	-	-	-	-	-	195,000	195,000
*New COVID-19 Readiness		1,000,000	-	-	-	-	-	-	-	1,000,000
*New FY21 Grants		1,904,079	-	-	-	-	-	-	-	1,904,079
<b>Subtotal 2:</b>		<b>2,950,281</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(46,202)</b>	<b>-</b>	<b>-</b>	<b>195,000</b>	<b>3,099,079</b>
<b>Totals (1 + 2):</b>		<b>\$ 39,426,920</b>	<b>\$ -</b>	<b>\$ 2,607,088</b>	<b>\$ 5,848,644</b>	<b>\$ 89,730</b>	<b>\$ 204,308</b>	<b>\$ 4,291,973</b>	<b>\$ 195,000</b>	<b>\$ 52,663,663</b>
<b>Difference:</b>		<b>\$ 2,216,137</b>	<b>\$ -</b>	<b>\$ 6,384</b>	<b>\$ 342,184</b>	<b>\$ (32,450)</b>	<b>\$ -</b>	<b>\$ 2,944,744</b>	<b>\$ -</b>	<b>\$ 5,476,999</b>

Tie to  
A-29 &  
Action  
Item  
Table

# FY21 CORE BUDGET



FY21 CORE BUDGET REALIGNMENT #2 TOTAL  
OVERVIEW (OPERATING, PROJECT, CAPITAL)

<u>CATEGORY</u>	<u>SUMMARY</u>			<u>REALIGN #2 REQUEST BREAKDOWN OF EXPENDITURE TYPE</u>			
	<u>Sum of FY21</u>	<u>FY21</u>	<u>FY21</u>				<u>FY21 REALIGN</u>
	<u>APPROVED</u> <u>REALIGN #1</u> <u>BUDGET AS IS</u>	<u>REALIGN #2</u> <u>REQUEST</u> <u>TOTAL</u>	<u>ADJUSTMENT</u> <u>\$</u>	<u>FY21</u> <u>OPERATING</u>	<u>FY21 PROJECT</u>	<u>FY21 CAPITAL</u>	<u>#2 REQUEST</u> <u>TOTAL</u>
CONTRACTS	3,999,924	4,253,918	253,994	3,308,418	334,000	611,500	4,253,918
DEBT SERVICE	572,163	572,163	0	572,163	0	0	572,163
EQUIPMENT	1,027,036	1,062,488	35,452	870,488	0	192,000	1,062,488
GRANTS	9,635,921	9,605,921	(30,000)	9,605,921	0	0	9,605,921
OVERHEAD	3,134,555	3,215,155	80,600	3,215,155	0	0	3,215,155
PROGRAM	1,192,677	1,151,311	(41,366)	1,022,311	124,000	5,000	1,151,311
TRAVEL	512,309	585,771	73,462	535,771	50,000	0	585,771
PERSONNEL	17,136,197	16,029,911	(1,106,286)	16,029,911	0	0	16,029,911
Grand Total	37,210,783	36,476,639	(734,144)	35,160,139	508,000	808,500	36,476,639

A-31

Slide 21



**FY21 OPERATING COSTS: FY 21 APPROVED REALIGNMENT #1 & PROPOSED REALIGNMENT #2**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
CONTRACTS	3,234,924	3,308,418	73,494
DEBT SERVICE	572,163	572,163	0
EQUIPMENT	828,036	870,488	42,452
GRANTS	9,635,921	9,605,921	(30,000)
OVERHEAD	3,134,555	3,215,155	80,600
PROGRAM	1,186,677	1,022,311	(164,366)
TRAVEL	512,309	535,771	23,462
PERSONNEL	17,136,197	16,029,911	(1,106,286)
<b>Grand Total</b>	<b>36,240,783</b>	<b>35,160,139</b>	<b>(1,080,644)</b>

Operating,  
Project, &  
Capital Total:

	37,210,783	36,476,639	(734,144)
--	------------	------------	-----------

**FY21 PROJECT-BASED COSTS: FY 21 APPROVED REALIGNMENT #1 & PROPOSED REALIGNMENT #2**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
CONTRACTS	140,000	334,000	194,000
PROGRAM	0	124,000	124,000
TRAVEL	0	50,000	50,000
<b>Grand Total</b>	<b>140,000</b>	<b>508,000</b>	<b>368,000</b>

**FY21 CAPITAL COSTS: FY 21 APPROVED REALIGNMENT #1 & PROPOSED REALIGNMENT #2**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of BR2 FY21 CAPITAL	Sum of FY 21 ADJUSTMENT
CONTRACTS	625,000	611,500	(13,500)
EQUIPMENT	199,000	192,000	(7,000)
PROGRAM	6,000	5,000	(1,000)
<b>Grand Total</b>	<b>830,000</b>	<b>808,500</b>	<b>(21,500)</b>

## FY21 CORE - OPERATING - PROPOSED REALIGNMENT #2

## FY21 BUDGET ADJUSTMENT REQUESTS - PROJECT-BASED COSTS

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
02. EXECUTIVE	125,000	269,000	144,000
2700 (SYSTEMS OFFICE)	0	94,000	94,000
Native Hawaiian Programs Systemic Evaluation Work	0	54,000	54,000
Update of The Disparate Treatment of Native Hawaiians in the Criminal Justice System Report	0	40,000	40,000
3600 (INFORMATION TECHNOLOGY)	125,000	175,000	50,000
Oracle Fusion	125,000	175,000	50,000
04. COMMUNITY ENGAGEMENT	0	124,000	124,000
4200 (DIGITAL & PRINT MEDIA)	0	124,000	124,000
Media Buyer	0	124,000	124,000
05. RESEARCH	15,000	65,000	50,000
5100 (RESEARCH DIRECTOR)	15,000	65,000	50,000
Hawaii Housing Study	0	30,000	30,000
Native Hawaiian Well-Being Survey	15,000	35,000	20,000
06. ADVOCACY	0	50,000	50,000
6400 (COMPLIANCE ENFORCEMENT)	0	50,000	50,000
Repatriation (International Travel)	0	50,000	50,000
Grand Total	140,000	508,000	368,000

## FY21 CORE - OPERATING - PROPOSED REALIGNMENT #2

## FY21 BUDGET ADJUSTMENT REQUESTS - CAPITAL PROJECT

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
06. ADVOCACY	0	55,000	55,000
6400 (COMPLIANCE ENFORCEMENT)	0	55,000	55,000
Repatriation (Burial Vault)	0	55,000	55,000
08. RESOURCE MANAGEMENT - LAND ASSETS	830,000	753,500	(76,500)
8303 (KUKANILOKO)	708,000	657,000	(51,000)
KKL Master Plan Development	536,000	487,000	(49,000)
KKL Master Plan Implementation	172,000	170,000	(2,000)
8304 (PAHUA HEIAU)	72,000	27,500	(44,500)
Implementation of Preservation Plan	72,000	27,500	(44,500)
8305 (WAILUA COURTHOUSE)	50,000	69,000	19,000
Parking Lot Repair	50,000	69,000	19,000
Grand Total	830,000	808,500	(21,500)

# FY21 NONCORE BUDGET

**Key Takeaways:**

- Halawa Luluku Interpretive Development Planned Work
- LLC Reclassifications
- NLK Tenant Work

**FY21 NONCORE BUDGET REALIGNMENT #2 TOTAL****OVERVIEW- Operating, Project, Capital**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
+ 3800 (LLC HIILEI ALOHA)	195,000	134,000	(61,000)
+ 3800 (LLC HOOKELE PONO)	0	61,000	61,000
+ 4410 (HLID)	428,199	3,372,943	2,944,744
+ 4420 (NHRLF)	919,030	919,030	0
+ 8210 (KAKAAKO MAKAI)	2,600,704	2,607,088	6,384
+ 8220 (NA LAMA KUKUI)	5,506,460	5,848,644	342,184
+ 8310 (PALAUEA CULTURE PRESERVE)	122,180	89,730	(32,450)
+ 8320 (WAO KELE O PUNA)	204,308	250,510	46,202
<b>Grand Total</b>	<b>9,975,881</b>	<b>13,282,945</b>	<b>3,307,064</b>

**FY21 NONCORE BUDGET REALIGNMENT #2**


**FY21 Budget Adjustment Request - OHA FUNDED LLC**

**Key Takeaway:**

LLC Reclassifications for  
Wind Down Activities







Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
⊕ 3800 (LLC HIILEI ALOHA)	195,000	134,000	(61,000)
⊕ 3800 (LLC HOOKELE PONO)	0	61,000	61,000
Grand Total	195,000	195,000	0

**FY21 NONCORE BUDGET REALIGNMENT #2**  
**FY21 Budget Adjustment Request -4410 (HLID)**

Requested 4410 (HLID) 

**PROGRAM=T (TOTAL PROGRAMS) - Operating, Project, Capital**

**Key Takeaway:**  
 Halawa Luluku  
 Interpretive Development  
 Planned Work

Row Labels 	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
 PERSONNEL	318,770	242,474	(76,296)
 PROGRAM	8,200	4,500	(3,700)
 CONTRACTS	63,860	3,098,860	3,035,000
 EQUIPMENT	1,250	600	(650)
 OVERHEAD	36,119	26,509	(9,610)
<b>Grand Total</b>	<b>428,199</b>	<b>3,372,943</b>	<b>2,944,744</b>

**FY21 NONCORE BUDGET REALIGNMENT #2****FY21 Budget Adjustment Request -4420 (NHRLF)**

Requested 4420 (NHRLF) ▼

**PROGRAM=T (TOTAL PROGRAMS) - Operating, Project, Capital**

**Key Takeaway:**  
No changes

Row Labels ▼	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
⊕ PERSONNEL	460,812	460,812	0
⊕ PROGRAM	105,991	105,991	0
⊕ CONTRACTS	276,099	276,099	0
⊕ OVERHEAD	39,083	39,083	0
⊕ TRAVEL	37,045	37,045	0
<b>Grand Total</b>	<b>919,030</b>	<b>919,030</b>	<b>0</b>

# **FY21 NONCORE BUDGET REALIGNMENT #2**

**FY21 Budget Adjustment Request -8210 (KAKAAKO MAKAI)**

Requested 8210 (KAKAAKO MAKAI)

**PROGRAM=T (TOTAL PROGRAMS) - Operating, Project, Capital**

Row Labels	Sum of FY21		Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
	APPROVED REALIGN #1 BUDGET AS IS			
+ PERSONNEL	283,724		280,608	(3,116)
+ PROGRAM	59,475		18,975	(40,500)
+ CONTRACTS	665,000		715,000	50,000
+ TRAVEL	0		0	0
+ EQUIPMENT	945,200		945,200	0
+ OVERHEAD	647,305		647,305	0
<b>Grand Total</b>	<b>2,600,704</b>		<b>2,607,088</b>	<b>6,384</b>



**FY21 NONCORE BUDGET REALIGNMENT #2****FY21 Budget Adjustment Requests - 8220 (NA LAMA KUKUI)**

Requested 8220 (NA LAMA KUKUI)

**PROGRAM=T (TOTAL PROGRAMS) - Operating, Project, Capital**


Row Labels	Sum of FY21		Sum of FY 21 ADJUSTMENT
	APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	
⊕ PERSONNEL		103,884	103,884
⊕ PROGRAM	380,057	384,357	4,300
⊕ CONTRACTS	559,502	559,502	0
⊕ EQUIPMENT	1,053,578	1,207,578	154,000
⊕ OVERHEAD	1,002,371	1,082,371	80,000
⊕ DEBT SERVICE	2,510,952	2,510,952	0
<b>Grand Total</b>	<b>5,506,460</b>	<b>5,848,644</b>	<b>342,184</b>

## FY21 NONCORE BUDGET REALIGNMENT #2

FY21 Budget Adjustment Request -8310 (PALAUEA CULTURE PRESERVE)

Requested 8310 (PALAUEA) 

PROGRAM=T (TOTAL PROGRAMS) - Operating, Project, Capital

Row Labels 	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
⊕ PROGRAM	3,000	2,500	(500)
⊕ CONTRACTS	42,000	42,000	0
⊕ GRANTS	35,000	0	(35,000)
⊕ TRAVEL	4,680	4,680	0
⊕ EQUIPMENT	28,500	31,550	3,050
⊕ OVERHEAD	9,000	9,000	0
Grand Total	122,180	89,730	(32,450)

## FY21 NONCORE BUDGET REALIGNMENT #2

### FY21 Budget Adjustment Request - 8320 (WAO KELE O PUNA)

Requested 8320 (WAO KELE O PUNA)

PROGRAM=T (TOTAL PROGRAMS) - Operating, Project, Capital

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
+ PROGRAM	9,550	4,550	(5,000)
+ CONTRACTS	80,000	93,500	13,500
+ GRANTS	10,000	0	(10,000)
+ TRAVEL	8,160	8,160	0
+ EQUIPMENT	95,798	144,300	48,502
+ OVERHEAD	800	0	(800)
Grand Total	204,308	250,510	46,202

## FY21 NONCORE BUDGET REALIGNMENT #2

### FY21 NONCORE CAPITAL PROJECTS

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
[-] 4410 (HLID)	-	3,000,000	3,000,000
HALAWA - Support Facilities Construction	-	1,500,000	1,500,000
LULUKU - Support Facilities Construction	-	1,500,000	1,500,000
[-] 8220 (NA LAMA KUKUI)	1,208,848	1,442,848	234,000
Grease Trap and AC Replacement	1,053,578	1,207,578	154,000
Tenant Improvement Allowance	155,270	235,270	80,000
Grand Total	1,208,848	4,442,848	3,234,000

# FY20 FY21 PERSONNEL AND POSITIONS

## PERSONNEL AND POSITIONS FY 2020 FY 2021 SUMMARY

Core Budget	BOT Approved Budget		Realignment #1		Realignment #2		Adjustments	
	FY 20	FY 21	FY 20	FY 21	FY 20	FY 21	FY 20	FY 21
<b>Salaries &amp; Fringe:</b>								
Board of Trustee Offices	\$2,848,572	\$2,905,543	\$ 2,852,045	\$ 2,905,543	\$ 2,813,822	\$ 2,771,433	\$ (38,223)	\$ (134,111)
Executive Offices	3,596,778	3,664,153	4,578,377	3,664,153	4,262,444	4,461,645	(315,934)	797,493
Financial Assets	2,872,967	2,833,639	1,945,335	2,833,639	1,642,768	1,933,193	(302,567)	(900,446)
Community Engagement	2,658,836	2,712,011	2,550,409	2,712,011	2,294,741	2,415,979	(255,668)	(296,032)
Research	1,493,052	1,522,913	1,489,015	1,522,913	1,438,281	1,338,487	(50,734)	(184,426)
Advocacy	2,440,221	2,489,026	2,411,943	2,489,026	2,157,833	2,133,577	(254,110)	(355,449)
Land Assets	695,013	708,911	806,684	708,911	789,841	675,595	(16,843)	(33,316)
Student Helper Program	104,050	104,050	104,050	104,050	104,050	104,050	0	0
Sub-total Salaries & Fringe:	\$16,709,490	\$16,940,247	\$ 16,737,859	\$ 16,940,247	\$ 15,503,779	\$ 15,833,961	\$ (1,234,079)	\$ (1,106,286)
<b>Reserves</b>								
Vacation Payouts	\$150,000	\$150,000	\$150,000	\$150,000	\$150,000	\$150,000	\$0	\$0
Overtime	10,000	10,000	10,000	10,000	10,000	10,000	0	0
Workers' Compensation	5,950	5,950	5,950	5,950	5,950	5,950	0	0
Continuing Education Program	30,000	30,000	30,000	30,000	30,000	30,000	0	0
Sub-total Reserves	\$195,950	\$195,950	\$ 195,950	\$ 195,950	\$ 195,950	\$ 195,950	\$0	\$0
<b>Total Core Personnel Budget:</b>	<b>\$16,905,440</b>	<b>\$17,136,197</b>	<b>\$ 16,933,809</b>	<b>\$ 17,136,197</b>	<b>\$ 15,699,730</b>	<b>\$ 16,029,911</b>	<b>\$ (1,234,079)</b>	<b>\$ (1,106,286)</b>

Non-Core Budget	BOT Approved Budget		Realignment #1		Realignment #2		Adjustments	
	FY 20	FY 21	FY 20	FY 21	FY 20	FY 21	FY 20	FY 21
<b>Salaries &amp; Fringe:</b>								
NHRLF	\$451,776	\$460,812	\$ 451,776	\$ 460,812	\$ 451,776	\$ 460,812	\$0	\$0
HLID	312,472	318,770	312,472	318,770	312,472	242,474	0	(76,296)
Commercial Property	278,161	283,724	363,265	283,724	363,265	384,492	0	100,768
<b>Total Non-Core Personnel Budget:</b>	<b>\$1,042,409</b>	<b>\$1,063,306</b>	<b>\$ 1,127,513</b>	<b>\$ 1,063,306</b>	<b>\$ 1,127,513</b>	<b>\$ 1,087,778</b>	<b>\$0</b>	<b>\$ 24,472</b>

<b>Grant Total</b>	<b>\$17,751,899</b>	<b>\$18,003,553</b>	<b>\$ 17,865,372</b>	<b>\$ 18,003,553</b>	<b>\$ 16,631,292</b>	<b>\$ 16,921,739</b>	<b>\$ (1,234,079)</b>	<b>\$ (1,081,814)</b>
--------------------	---------------------	---------------------	----------------------	----------------------	----------------------	----------------------	-----------------------	-----------------------

OVERVIEW OF PERSONNEL AND POSITIONS FY 2020 FY 2021

A-47; Section C, Attachment 3

Core Budget	FTE			BOT Approved Budget		FTE			Realignment #1		FTE			Realignment #2		Adjustments		
	# FTE	Frozen	Active	FY 20	FY 21	Reassigned	Unfreeze	Active	FY 20	FY 21	Reassigned	Addtl Frozen	Active	FY 20	FY 21	FY 20	FY 21	
Salaries & Fringe:																		
Board of Trustee Offices	29	0	29	\$2,848,572	\$2,905,543	0	0	29	\$ 2,852,045	\$ 2,905,543	0	0	29	\$ 2,813,822	\$ 2,771,433	\$ (38,223)	\$ (134,111)	
Executive Offices	34	-7	27	3,596,778	3,664,153	10	7	44	4,578,377	3,664,153	0	-6	38	4,262,444	4,461,645	(315,934)	797,493	
Financial Assets	29	-1	28	2,872,967	2,833,639	-7	0	21	1,945,335	2,833,639	0	-1	20	1,642,768	1,933,193	(302,567)	(900,446)	
Community Engagement	30	0	30	2,658,836	2,712,011	-2	0	28	2,550,409	2,712,011	0	-1	27	2,294,741	2,415,979	(255,668)	(296,032)	
Research	16	-2	14	1,493,052	1,522,913	0	0	14	1,489,015	1,522,913	0	-1	13	1,438,281	1,338,487	(50,734)	(184,426)	
Advocacy	23	-1	22	2,440,221	2,489,026	0	0	22	2,411,943	2,489,026	0	-2	20	2,157,833	2,133,577	(254,110)	(355,449)	
Land Assets	8	0	8	695,013	708,911	-1	0	7	806,684	708,911	-1	0	6	789,841	675,595	(16,843)	(33,316)	
Student Helper Program				104,050	104,050				104,050	104,050				104,050	104,050	0	0	
Sub-total Salaries & Fringe:	169	-11	158	\$16,709,490	\$16,940,247	0	7	165	\$ 16,737,859	\$ 16,940,247	-1	-11	153	\$ 15,503,779	\$ 15,833,961	\$ (1,234,079)	\$ (1,106,286)	
Reserves																		
Vacation Payouts				\$150,000	\$150,000				\$150,000	\$150,000				\$150,000	\$150,000	\$0	\$0	
Overtime				10,000	10,000				10,000	10,000				10,000	10,000	0	0	
Workers’ Compensation				5,950	5,950				5,950	5,950				5,950	5,950	0	0	
Continuing Education Program				30,000	30,000				30,000	30,000				30,000	30,000	0	0	
Sub-total Reserves				\$195,950	\$195,950				\$ 195,950	\$ 195,950				\$ 195,950	\$ 195,950	\$0	\$0	
Total Core Personnel Budget:				\$16,905,440	\$17,136,197				\$ 16,933,809	\$ 17,136,197				\$ 15,699,730	\$ 16,029,911	\$ (1,234,079)	\$ (1,106,286)	

Non-Core Budget	FTE			BOT Approved Budget		FTE			Realignment #1		FTE			Realignment #2		Adjustments		
	# FTE	Frozen	Active	FY 20	FY 21	Reassigned	Unfreeze	Active	FY 20	FY 21	Reassigned	Addtl Frozen	Active	FY 20	FY 21	FY 20	FY 21	
Salaries & Fringe:																		
NHRLF	5	-1	4	\$451,776	\$460,812	0	0	4	\$ 451,776	\$ 460,812	0	0	4	\$ 451,776	\$ 460,812	\$0	\$0	
HLID	3	0	3	312,472	318,770	0	0	3	312,472	318,770	0	0	3	312,472	242,474	0	(76,296)	
Commercial Property	2	0	2	278,161	283,724	0	0	2	363,265	283,724	1	0	3	363,265	384,492	0	100,768	
Total Non-Core Personnel Budget:	10	-1	9	\$1,042,409	\$1,063,306	0	0	9	\$ 1,127,513	\$ 1,063,306	1	0	10	\$ 1,127,513	\$ 1,087,778	\$0	\$ 24,472	

Grant Total	179	-12	167	\$17,751,899	\$18,003,553	0	7	174	\$ 17,865,372	\$ 18,003,553	0	-11	163	\$ 16,631,292	\$ 16,921,739	\$ (1,234,079)	\$ (1,081,814)
-------------	-----	-----	-----	--------------	--------------	---	---	-----	---------------	---------------	---	-----	-----	---------------	---------------	----------------	----------------



**PERSONNEL – FILLED FROZEN VACANCY (PARTIAL LISTING)**

		FILLED	FROZEN			VACANCY			VACANCY BY TYPE		
Paia	Total Authorized At BR #1	Active Filled	Frozen	Addtl Frozen	Total Frozen	89-Day Hire	Vacant	Total Vacant	Essential	Non Essential	Total Vacant
Board of Trustees											
BOT	9	9	0	0	0	0	0	0	0	0	0
BOT Staff	20	19	0	0	0	0	1	1	0	1	1
Sub-total BOT	29	28	0	0	0	0	1	1	0	1	1
Executive Offices											
CEO	7	4	0	2	2	0	1	1	0	1	1
COO	2	1	0	0	0	0	1	1	1	0	1
Corp Counsel	5	5	0	0	0	0	0	0	0	0	0
Information Technology	8	7	0	1	1	0	0	0	0	0	0
Human Resouces	3	2	0	0	0	1	0	1	1	0	1
Systems Office	6	3	0	2	2	0	1	1	0	1	1
Facilities/Operations Support	4	1	0	1	1	1	1	2	1	1	2
Grants	9	6	0	0	0	0	3	3	0	3	3
Sub-total Executive Offices	44	29	0	6	6	2	7	9	3	6	9
Resources Management - Financial Assets											
Financial Services	11	10	0	0	0	0	1	1	1	0	1
Procurement	7	5	0	0	0	1	1	2	1	1	2
Investments	2	1	0	1	1	0	0	0	0	0	0
Consumer Micro Loan	1	1	0	0	0	0	0	0	0	0	0
Sub-total RMFA	21	17	0	1	1	1	2	3	2	1	3

A-48

PERSONNEL – FILLED FROZEN VACANCY (PARTIAL LISTING)

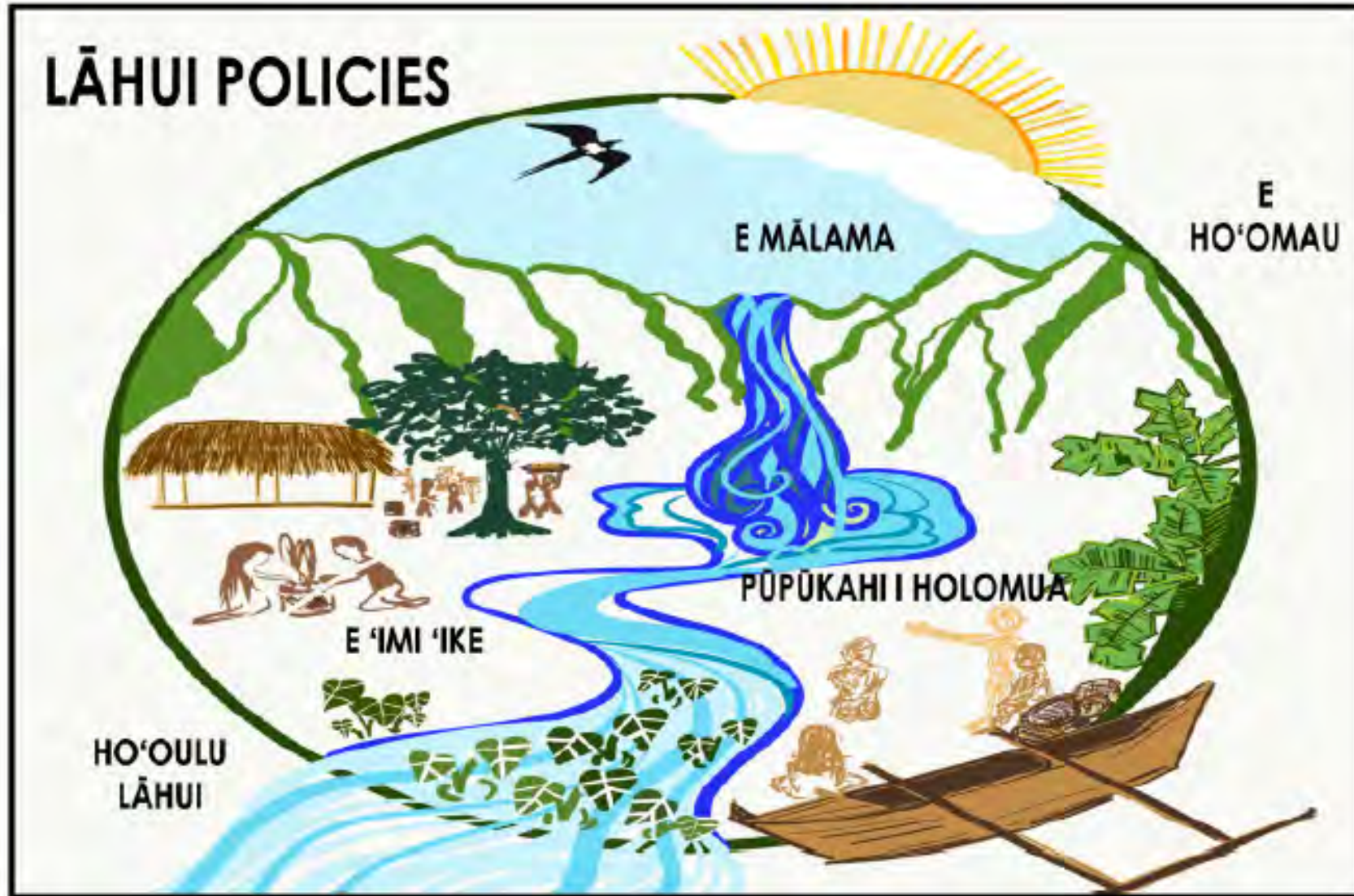
A-48

		FILLED	FROZEN			VACANCY			VACANCY BY TYPE		
	Total Authorized	Active		Addtl	Total	89-Day		Total		Non	Total
Paia	At BR #1	Filled	Frozen	Frozen	Frozen	Hire	Vacant	Vacant	Essential	Essential	Vacant
Community Engagement											
CE Director	2	1	0	0	0	0	1	1	0	1	1
Digital and Print Media	7	5	0	0	0	0	2	2	0	2	2
Community Outreach	18	17	0	1	1	0	0	0	0	0	0
Hawaiian Registry	1	1	0	0	0	0	0	0	0	0	0
Sub-total CE	28	24	0	1	1	0	3	3	0	3	3
Research											
Research Director	2	1	0	0	0	0	1	1	0	1	1
Land, Culture and History	7	6	0	0	0	0	0	0	0	0	0
Demography	1	1	1	0	1	0	0	0	0	0	0
Special Projects	6	3	1	1	2	0	1	1	0	1	1
Sub-total Research	16	11	2	1	3	0	2	2	0	2	2
Advocacy											
Chief Advocate	2	2	0	0	0	0	0	0	0	0	0
Compliance Enforcement	8	5	1	1	2	0	1	1	0	1	1
Public Policy	9	5	0	0	0	1	3	4	1	3	4
Papahanaumokukea	2	1	0	1	1	0	0	0	0	0	0
WADC	2	2	0	0	0	0	0	0	0	0	0
Sub-total Advocacy	23	15	1	2	3	1	4	5	1	4	5
Resource Management - Land Assets											
Land Director	2	1	0	0	0	0	1	1	1	0	1
Legacy Land	4	3	0	0	0	0	1	1	0	1	1
Facilities	1	1	0	0	0	0	0	0	0	0	0
Sub-total RMLA	7	5	0	0	0	0	2	2	1	1	2
Grand Total	168	129	3	11	14	4	21	25	7	18	25
						Vacancy Rate		15%	28%	72%	100%

## PERSONNEL – FILLED FROZEN VACANCY

		FILLED	FROZEN			VACANCY			VACANCY BY TYPE		
Paia	Total Authorized At BR #1	Active Filled	Frozen	Addtl Frozen	Total Frozen	89-Day Hire	Vacant	Total Vacant	Essential	Non Essential	Total Vacant
Board of Trustees											
BOT	9	9	0	0	0	0	0	0	0	0	0
BOT Staff	20	19	0	0	0	0	1	1	0	1	1
Sub-total BOT	29	28	0	0	0	0	1	1	0	1	1
Executive Offices											
CEO	7	4	0	2	2	0	1	1	0	1	1
COO	2	1	0	0	0	0	1	1	1	0	1
Corp Counsel	5	5	0	0	0	0	0	0	0	0	0
Information Technology	8	7	0	1	1	0	0	0	0	0	0
Human Resouces	3	2	0	0	0	1	0	1	1	0	1
Systems Office	6	3	0	2	2	0	1	1	0	1	1
Facilities/Operations Support	4	1	0	1	1	1	1	2	1	1	2
Grants	9	6	0	0	0	0	3	3	0	3	3
Sub-total Executive Offices	44	29	0	6	6	2	7	9	3	6	9
Resources Management - Financial Assets											
Financial Services	11	10	0	0	0	0	1	1	1	0	1
Procurement	7	5	0	0	0	1	1	2	1	1	2
Investments	2	1	0	1	1	0	0	0	0	0	0
Consumer Micro Loan	1	1	0	0	0	0	0	0	0	0	0
Sub-total RMFA	21	17	0	1	1	1	2	3	2	1	3
Community Engagement											
CE Director	2	1	0	0	0	0	1	1	0	1	1
Digital and Print Media	7	5	0	0	0	0	2	2	0	2	2
Community Outreach	18	17	0	1	1	0	0	0	0	0	0
Hawaiian Registry	1	1	0	0	0	0	0	0	0	0	0
Sub-total CE	28	24	0	1	1	0	3	3	0	3	3
Research											
Research Director	2	1	0	0	0	0	1	1	0	1	1
Land, Culture and History	7	6	0	0	0	0	0	0	0	0	0
Demography	1	1	1	0	1	0	0	0	0	0	0
Special Projects	6	3	1	1	2	0	1	1	0	1	1
Sub-total Research	16	11	2	1	3	0	2	2	0	2	2
Advocacy											
Chief Advocate	2	2	0	0	0	0	0	0	0	0	0
Compliance Enforcement	8	5	1	1	2	0	1	1	0	1	1
Public Policy	9	5	0	0	0	1	3	4	1	3	4
Papahanaumokukea	2	1	0	1	1	0	0	0	0	0	0
WADC	2	2	0	0	0	0	0	0	0	0	0
Sub-total Advocacy	23	15	1	2	3	1	4	5	1	4	5
Resource Management - Land Assets											
Land Director	2	1	0	0	0	0	1	1	1	0	1
Legacy Land	4	3	0	0	0	0	1	1	0	1	1
Facilities	1	1	0	0	0	0	0	0	0	0	0
Sub-total RMLA	7	5	0	0	0	0	2	2	1	1	2
Grand Total	168	129	3	11	14	Vacancy Rate		15%	28%	72%	100%

# ADMINISTRATION RECOMMENDED ACTIONS



(1) **E Malama**  
(to protect)

(2) **E Ho'omau**  
(to perpetuate);

(3) **E Pupukahi i  
Holomua**  
(to unite in order  
to progress);

(4) **E 'Imi 'ike**  
(to seek  
knowledge);

(5) **E Ho'oulu  
Lahui** (to grow  
the Lahui).

**FY21 Mission Aligned & Strategic Beneficiary and Community Investments  
Summary Schedule**

	<u>General Funds</u>	<u>Trust Funds</u>	<u>Initial Total</u>	<u>FY21 Addition</u>	<u>New Total</u>
<b><u>By Classification</u></b>					
<b>A-43</b> I - Beneficiary and Community Investments via Grants Subject to HRS 10-17 Process	\$ 1,030,000	\$ 8,455,921	\$ 9,485,921	\$ 2,144,079	\$ 11,630,000
<b>A-45</b> II - Beneficiary and Community Investments via Procurement Subject to HRS 103D	\$ 524,000	\$ 1,029,664	\$ 1,553,664	\$ 250,000	\$ 1,803,664
<b>A-46</b> III - Beneficiary and Community Investments via Sponsorships	\$ -	\$ 133,000	\$ 133,000	\$ 25,000	\$ 158,000
<b>Total</b>	<b>\$ 1,554,000</b>	<b>\$ 9,618,585</b>	<b>\$ 11,172,585</b>	<b>\$ 2,419,079</b>	<b>\$ 13,591,664</b>



# Cross Reference from Slide 41

By Focus Area	General Funds	Trust Funds	Initial Total	FY21 Addition	Net Total
<b><u>BENEFICIARY SUPPORTS</u></b>					
Social Services	\$ 415,000	\$ 415,000	\$ 830,000	\$ -	\$ 830,000
Higher Education	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000
NH Teacher Education & Professional Development	\$ -	\$ -	\$ -	\$ 250,000	\$ 250,000
COVID-19 Responses	\$ -	\$ -	\$ -	\$ 500,000	\$ 500,000
Sub-total	\$ 415,000	\$ 915,000	\$ 1,330,000	\$ 750,000	\$ 2,080,000
<b><u>COMMUNITY SUPPORTS</u></b>					
<b><u>Culture</u></b>					
Community	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000
Iwi Kupuna Registration and Reinterment	\$ -	\$ -	\$ -	\$ 144,079	\$ 144,079
<b><u>Health</u></b>					
Community	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000
Community & 'Ohana Based	\$ -	\$ -	\$ -	\$ 250,000	\$ 250,000
<b><u>Education</u></b>					
Community	\$ 250,000	\$ 250,000	\$ 500,000	\$ -	\$ 500,000
Hawaiian Focused Charter Schools	\$ 365,000	\$ 1,135,000	\$ 1,500,000	\$ 500,000	\$ 2,000,000
<b><u>Housing</u></b>	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000
<b><u>Income</u></b>	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000
<b><u>Land</u></b>	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000
<b><u>Events</u></b>					
'Abahui	\$ -	\$ 200,000	\$ 200,000	\$ -	\$ 200,000
BOT Sponsorships	\$ -	\$ 133,000	\$ 133,000	\$ -	\$ 133,000
Kaunahu (Ba CEO) Sponsorships	\$ -	\$ 70,921	\$ 70,921	\$ -	\$ 70,921
Program Sponsorships	\$ -	\$ 133,000	\$ 133,000	\$ 25,000	\$ 158,000
<b><u>Community</u></b>					
Kalia	\$ -	\$ 250,000	\$ 250,000	\$ 250,000	\$ 500,000
Homestead	\$ -	\$ -	\$ -	\$ 250,000	\$ 250,000
<b><u>Lease</u></b>	\$ 524,000	\$ 524,000	\$ 1,048,000	\$ 250,000	\$ 1,298,000
<b><u>Communications</u></b>					
Media - TV	\$ -	\$ 149,000	\$ 149,000	\$ -	\$ 149,000
Print - Ka Wai Ola	\$ -	\$ 356,664	\$ 356,664	\$ -	\$ 356,664
<b><u>ORGANIZATION SUPPORTS</u></b>					
DHHL	\$ -	\$ 3,000,000	\$ 3,000,000	\$ -	\$ 3,000,000
Total	\$1,554,000	\$ 9,618,585	\$11,172,585	\$ 2,419,079	\$ 13,591,664



# FY21 Mission Aligned & Strategic Beneficiary and Community Investments via Grants, Contracts and Sponsorships

## Various Programs

## Classification I: Beneficiary and Community Investments via Grants Subject to HRS 10-17 Process

A-43

### FY21 Mission Aligned & Strategic Beneficiary and Community Investments via Grants, Contracts and Sponsorships Various Programs

#### Classification I - Beneficiary and Community Investments via Grants Subject to HRS 10-17 Process

Description	General Funds	Trust Funds	FN	Initial Total	FY21 Addition	FN	Adjusted Total
Account: 56510 Program							
A. Social Services	\$ 415,000	\$ 415,000		\$ 830,000	\$ -		\$ 830,000
Account: 56530 Community							
A. Education - Higher Education	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
B. Culture	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
C. Health	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
D. Education	\$ 250,000	\$ 250,000		\$ 500,000	\$ -		\$ 500,000
E. Housing	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
F. Income	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
G. Land	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
Sub-total	\$ 250,000	\$ 2,750,000		\$ 3,000,000	\$ -		\$ 3,000,000
H. 'Ahaui	\$ -	\$ 200,000		\$ 200,000	\$ -		\$ 200,000
Total 56530 Community	\$ 250,000	\$ 3,450,000		\$ 3,700,000	\$ -		\$ 3,700,000
Account: 56540 Level II							
A. Kulia	\$ -	\$ 250,000		\$ 250,000	\$ 250,000	[a]	\$ 500,000
B. Charter Schools	\$ 365,000	\$ 365,000		\$ 730,000	\$ -		\$ 730,000
		\$ 770,000		\$ 770,000	\$ -		\$ 770,000
		\$ 1,135,000		\$ 1,500,000	\$ -		\$ 1,500,000
C. DHHL	\$ -	\$ 3,000,000		\$ 3,000,000	\$ -		\$ 3,000,000
Total 56540 Level II	\$ 365,000	\$ 4,385,000		\$ 4,750,000	\$ 250,000		\$ 5,000,000
Account: 56550 Sponsorships							
A. BOT	\$ -	\$ 135,000	[b]	\$ 135,000	\$ -		\$ 135,000
B. Kaiaulu (fka CEO)	\$ -	\$ 70,921	[b]	\$ 70,921	\$ -		\$ 70,921
Total 56550 Sponsorships	\$ -	\$ 205,921		\$ 205,921	\$ -		\$ 205,921
Sub-total:	\$ 1,030,000	\$ 8,455,921		\$ 9,485,921	\$ 250,000		\$ 9,735,921
FY21 Grants Recommendations - Via Competitive Process							
A. COVID-19 Responses	\$ -	\$ -		\$ -	\$ 500,000	[c]	\$ 500,000
B. Homestead Community Grants	\$ -	\$ -		\$ -	\$ 250,000	[d]	\$ 250,000
C. Charter School Major Repairs & Maintenance	\$ -	\$ -		\$ -	\$ 500,000	[e]	\$ 500,000
D. Iwi Kupuna Repatriation and Reinterment Community Grants	\$ -	\$ -		\$ -	\$ 144,079	[f]	\$ 144,079
E. NH Teacher Education & Professional Development	\$ -	\$ -		\$ -	\$ 250,000	[g]	\$ 250,000
F. Community & 'Ohana Based Program Grants	\$ -	\$ -		\$ -	\$ 250,000	[h]	\$ 250,000
Total FY21 Grant Recommendations	\$ -	\$ -		\$ -	\$ 1,894,079		\$ 1,894,079
Grand Total - Grants	\$ 1,030,000	\$ 8,455,921		\$ 9,485,921	\$ 2,144,079		\$ 11,630,000
Program Sponsorships in Community Education				\$ 45,000			
Program Sponsorships in Advocacy				\$ 65,000			
				\$ 9,595,921			

FY21 Mission  
Aligned &  
Strategic  
Beneficiary  
and  
Community  
Investments  
via Grants,  
Contracts  
and  
Sponsorships

Various  
Programs

Classification II - Beneficiary and Community Investments via Procurement Subject to HRS 103D

	<u>General Funds</u>	<u>Trust Funds</u>	<u>Initial Total</u>	<u>FY21 Addition</u>	<u>FN</u>	<u>New Total</u>
A. Legal Proviso - Professional Services	\$ 524,000	\$ 524,000	\$ 1,048,000	\$ 250,000	[a]	\$ 1,298,000
buyer contract includes Merrie Monarch \$25k, KS Song Contest \$10k, Hokus \$10k, civic engagement \$20k, advocacy initiatives \$20k + New Strategic	\$ -	\$ 124,000	\$ 124,000	\$ -		\$ 124,000
C. Media Buy/Contract - Keiki Hula	\$ -	\$ 25,000	\$ 25,000	\$ -		\$ 25,000
D. Contract - Ka Wai Ola - Production & Delivery	\$ -	\$ 356,664	\$ 356,664	\$ -		\$ 356,664
<b>Grand Total - Contracts</b>	<b>\$ 524,000</b>	<b>\$ 1,029,664</b>	<b>\$ 1,553,664</b>	<b>\$ 250,000</b>		<b>\$ 1,803,664</b>

Classification II:  
Beneficiary and Community Investments  
via Procurement Subject to HRS 103D

Classification III - Beneficiary and Community Investments via Sponsorships

**Community Engagement**

A. Coalition Building	\$ -	\$ 20,000	\$ 20,000
B. Association of Hawaiian Civic Clubs	\$ -	\$ 10,000	\$ 10,000
C. Council for Native Hawaiian Advancement	\$ -	\$ 10,000	\$ 10,000
D. Onipa'a Celebration	\$ -	\$ 5,000	\$ 5,000
<b>Total - Community Engagement</b>	\$ -	\$ 45,000	\$ 45,000

**Advocacy**

A. NH Congressional Fellowship	\$ -	\$ 50,000	\$ 50,000
B. Papahānaumokuākea MNM	\$ -	\$ 15,000	\$ 15,000
<b>Sub-total</b>	\$ -	\$ 65,000	\$ 65,000
C. Alaska Federation of Natives	\$ -	\$ 5,000	\$ 5,000
D. National Congress of American Indians	\$ -	\$ 5,000	\$ 5,000
E. National Indian Education Association	\$ -	\$ 5,000	\$ 5,000
F. National Museum of the American Indians	\$ -	\$ 5,000	\$ 5,000
G. Pacific Day - NZ Embassy	\$ -	\$ 3,000	\$ 3,000
<b>Sub-total</b>	\$ -	\$ 23,000	\$ 23,000
<b>Total - Advocacy</b>	\$ -	\$ 88,000	\$ 88,000

**Community Engagement**

Ne Mamo Makamae o Ka Po'e  
Hawai'i: Living Treasures of the  
Hawaiian People

Moanaloa Gardens

Foundation/Prince Lot Hula

Festival

**Grand Total - Sponsorships**

<u>General Funds</u>	<u>Trust Funds</u>	<u>FN</u>	<u>Initial Total</u>	<u>FY21 Addition</u>	<u>FN</u>	<u>New Total</u>
\$ -	\$ 20,000	\$ 20,000				\$ 20,000
\$ -	\$ 10,000	\$ 10,000				\$ 10,000
\$ -	\$ 10,000	\$ 10,000				\$ 10,000
\$ -	\$ 5,000	\$ 5,000				\$ 5,000
\$ -	\$ 45,000	\$ 45,000		\$ -		\$ 45,000
\$ -	\$ 50,000	\$ 50,000				\$ 50,000
\$ -	\$ 15,000	\$ 15,000				\$ 15,000
\$ -	\$ 65,000	\$ 65,000		\$ -		\$ 65,000
\$ -	\$ 5,000	\$ 5,000				\$ 5,000
\$ -	\$ 5,000	\$ 5,000				\$ 5,000
\$ -	\$ 5,000	\$ 5,000				\$ 5,000
\$ -	\$ 5,000	\$ 5,000				\$ 5,000
\$ -	\$ 3,000	\$ 3,000				\$ 3,000
\$ -	\$ 23,000	\$ 23,000				\$ 23,000
\$ -	\$ 88,000	\$ 88,000		\$ -		\$ 88,000
\$ -	\$ -	\$ -		\$ 15,000	[b]	\$ 15,000
\$ -	\$ -	\$ -		\$ 10,000	[b]	\$ 10,000
\$ -	\$ 133,000	\$ 133,000		\$ 25,000		\$ 158,000

LAST SLIDE  
INTENTIONALLY LEFT  
BLANK



# FY 2020 – FY 2021 Budget Realignment #2 Budget Book

Date Created: MAY 29, 2020

Date Updated: JUNE 7, 2020

# OFFICE OF HAWAIIAN AFFAIRS

## FY 20 FY21 BUDGET REALIGNMENT #2 BUDGET BOOK

### TABLE OF CONTENTS

<b>SECTION A. BUDGET RELIGNMENT #2 DOCUMENTS.....</b>	<b>A-1</b>
<b>1. STRATEGIC PLAN FOUNDATION, DIRECTIONS AND STRATEGIES .....</b>	<b>A-2</b>
<b>2. FISCAL STABILIZATION POLICY DISCUSSION DOCUMENT.....</b>	<b>A-3</b>
ATTACHMENT A - FISCAL STABILIZATION POLICY.....	A-8
ATTACHMENT B - POLICIES, RESERVE AND FUND ROAD MAP .....	A-14
ATTACHMENT C – NATIVE HAWAIIAN TRUST FUND (NHTF) SPENDING POLICY .....	A-16
ATTACHMENT D – CALCULATION OF 5% OF NHTF’S 20 QUARTER ROLLING MARKET VALUE .....	A-25
<b>3. FISCAL YEAR 2020-21 APPROVED &amp; PROPOSED REALIGNMENT #2 TOTAL BUDGET .....</b>	<b>A-26</b>
<b>4. FISCAL YEAR 2020 BUDGET REALIGNMENT #2 – CORE .....</b>	<b>A-30</b>
<b>5. FISCAL YEAR 2021 BUDGET REALIGNMENT #2 - CORE .....</b>	<b>A-31</b>
<b>6. FISCAL YEAR 2021 BUDGET REALIGNMENT #2 – NON-CORE.....</b>	<b>A-37</b>
a. OHA FUNDED LLC.....	A-38
b. COMMERICAL PROPERTIES .....	A-39
• KAKA’AKO MAKAL.....	A-39
• NA LAMA KUKUI .....	A-39
c. LEGACY LANDS .....	A-40
• PALAUEA CULTURAL PRESERVE.....	A-40
• WAO KELE O PUNA .....	A-40
d. FEDERAL PROJECTS.....	A-41
• NATIVE HAWAIIAN REVOLVING LOAN FUND (NHRLF) .....	A-41
• HALAWA LULUKU INTERPRETIVE DEVELOPMENT (HLID).....	A-41
• HLID SUPPLEMENT MATERIAL.....	A-42
<b>7. MISSION ALIGNED &amp; STRATEGIC BENEFICIARY AND COMMUNITY INVESTMENTS VIA GRANTS,     CONTRACTS, AND SPONSORSHIPS .....</b>	<b>A-43</b>
a. FY21 PROPOSED MISSION ALIGNED & STRATEGIC BENEFICIARY AND COMMUNITY INVESTMENTS VIA GRANTS AND CONTRACTS.....	A-43
<b>8. PERSONNEL AND POSITIONS .....</b>	<b>A-47</b>
a. PERSONNEL BUDGET REALIGNMENT DETAILED BY OPERATING UNIT .....	A-47
b. SUMMARY OF CORE PERSONNEL, POSITIONS BY OPERATING UNIT.....	A-48
c. PERSONNEL – VACANCIES AND FROZEN POSITIONS.....	A-49
<b>9. SUMMARY OF COVID-19 CONTINGENCIES .....</b>	<b>A-50</b>
<b>SECTION B. OPERATIONAL UPDATES .....</b>	<b>B-1</b>
<b>1. FINANCIAL STATEMENTS AS OF AND FOR THE NINE-MONTH PERIOD ENDING MARCH 31, 2020 ..</b>	<b>B-2</b>
• STATEMENT OF NET POSITION AS OF MAR 31, 2020.....	B-2
• STATEMENT ACTIVITIES FOR THE 9-MOS PERIOD ENDED MAR 31, 2020.....	B-4
• BUDGET VARIANCE REPORT FOR THE 9-MOS PERIOD ENDED MAR 31, 2020.....	B-5



<b>2. STATUS UPDATE OF FY18-FY19 GRANTS AND FY20-FY21 AWARDED GRANTS .....</b>	<b>B-18</b>
• GRANTS – ACTIVE, IN PROCESS, CLOSEOUT .....	B-18
• GRANTS – RECENTLY CLOSED .....	B-25
<b>3. LEGACY LANDS PROGRAM.....</b>	<b>B-31</b>
• OVERVIEW OF KEY ACTIVITIES ON EACH OF THE PROPERTIES.....	B-31
• KUKANILOKO - EXECUTIVE SUMMARY- CONCEPTUAL MASTER PLAN.....	B-40
<b>4. COMMERCIAL PROPERTY.....</b>	<b>B-45</b>
• KAKA’AKO MAKAI - EXECUTIVE SUMMARY MARCH 31, 2020 .....	B-45
• NA LAMA KUKUI - EXECUTIVE SUMMARY MARCH 31, 2020.....	B-47
• NA LAMA KUKUI – LONG TERM LIABILITIES, EXCERPT FROM JUNE 30, 2019 AUDIT REPORT.....	B-49
<b>5. LIMITED LIABILITY COMPANIES.....</b>	<b>B-50</b>
• PROGRESS OF WINDDOWN ACTIVITIES .....	B-50
<b>6. NATIVE HAWAIIAN REVOLVING LOAN FUND (NHRLF).....</b>	<b>B-51</b>
<b>7. CONSUMER MICRO-LOAN PROGRAM (CMLP).....</b>	<b>B-63</b>
• CMLP PERFORMING REPORT AS OF APRIL 30, 2020 .....	B-64
• CMLP CHARGE OFF HISTORY REPORT AS OF MAY 26, 2020 .....	B-67
<b>8. INVESTMENT NOTES FROM MAY 7, 2020, PRESENTATION TO RM COMMITTEE BY SEGAL MARCO ADVISORS.....</b>	<b>B-72</b>
<b>9. PROJECTION SCENARIO PREPARED BY SPIRE .....</b>	<b>B-73</b>
<b>SECTION C. SUPPORTING MATERIALS.....</b>	<b>C-1</b>
<b>ATTACHMENT 1: OHA ORGANIZATIONAL CHARTS .....</b>	<b>C-2</b>
<b>ATTACHMENT 2: L-LAHUI LEVEL POLICIES.....</b>	<b>C-12</b>
<b>ATTACHMENT 3: PERSONNEL BUDGET REALIGNMENT DETAIL (Oversize 11” x 17”) .....</b>	<b>C-13</b>

<b>SECTION A. BUDGET REALIGNMENT #2 DOCUMENTS.....</b>	<b>A-1</b>
<b>1. STRATEGIC PLAN FOUNDATION, DIRECTIONS AND STRATEGIES .....</b>	<b>A-2</b>
<b>2. FISCAL STABILIZATION POLICY DISCUSSION DOCUMENT.....</b>	<b>A-3</b>
ATTACHMENT A - FISCAL STABILIZATION POLICY.....	A-8
ATTACHMENT B - POLICIES, RESERVE AND FUND ROAD MAP .....	A-14
ATTACHMENT C – NATIVE HAWAIIAN TRUST FUND (NHTF) SPENDING POLICY .....	A-16
ATTACHMENT D – CALCULATION OF 5% OF NHTF’S 20 QUARTER ROLLING MARKET VALUE .....	A-25
<b>3. FISCAL YEAR 2020-21 APPROVED &amp; PROPOSED REALIGNMENT #2 TOTAL BUDGET .....</b>	<b>A-26</b>
<b>4. FISCAL YEAR 2020 BUDGET REALIGNMENT #2 – CORE .....</b>	<b>A-30</b>
<b>5. FISCAL YEAR 2021 BUDGET REALIGNMENT #2 - CORE .....</b>	<b>A-31</b>
<b>6. FISCAL YEAR 2021 BUDGET REALIGNMENT #2 – NON-CORE.....</b>	<b>A-37</b>
a. OHA FUNDED LLC.....	A-38
b. COMMERCIAL PROPERTIES .....	A-39
• KAKA’AKO MAKAL.....	A-39
• NA LAMA KUKUI .....	A-39
c. LEGACY LANDS .....	A-40
• PALAUEA CULTURAL PRESERVE.....	A-40
• WAO KELE O PUNA .....	A-40
d. FEDERAL PROJECTS.....	A-41
• NATIVE HAWAIIAN REVOLVING LOAN FUND (NHRLF) .....	A-41
• HALAWA LULUKU INTERPRETIVE DEVELOPMENT (HLID).....	A-41
• HLID SUPPLEMENT MATERIAL.....	A-42
<b>7. MISSION ALIGNED &amp; STRATEGIC BENEFICIARY AND COMMUNITY INVESTMENTS VIA GRANTS, CONTRACTS, AND SPONSORSHIPS .....</b>	<b>A-43</b>
a. FY21 PROPOSED MISSION ALIGNED & STRATEGIC BENEFICIARY AND COMMUNITY INVESTMENTS VIA GRANTS AND CONTRACTS.....	A-43
<b>8. PERSONNEL AND POSITIONS .....</b>	<b>A-47</b>
a. PERSONNEL BUDGET REALIGNMENT DETAILED BY PAIA.....	A-47
b. SUMMARY OF CORE PERSONNEL, POSITIONS BY OPERATING UNIT.....	A-48
c. PERSONNEL – VACANCIES AND FROZEN POSITIONS.....	A-49
<b>9. SUMMARY OF COVID-19 CONTINGENCIES .....</b>	<b>A-50</b>



# Strategic Plan

Strategic Direction	<b>Education Pathways:</b> 1. HFCS; 2. Traditional, Place-Based, Science and Technical Curriculum, Instruction and Assessment
Strategic Direction	<b>Health Outcomes:</b> 3. 'Aina, culture, 'ohana based opportunities and activities; 4. 'ohana based programming re: intervention and prevention of mental, physical and substance abuse issues
Strategic Direction	<b>Quality Housing:</b> 5. Increase ownership or rental of affordable housing; 6. collaborate with DHHL to increase supply
Strategic Direction	<b>Economic Stability:</b> 7. Promote businesses and other economic opportunities; 8. Increase job training opportunities

## Strategic Foundation, Directions and Strategies

## **I. Background and Context**

The BOT via Action Item RM #19-17 – Realignment #1 of the OHA Biennium Budget for the Fiscal Biennium Periods 2019-2020 (FY20) and 2020-2021 (FY21) in September 2019, designated \$1,445,000 for the following purposes:

**Designate<sup>1</sup> \$1,445,000** in unspent, unencumbered funds (fka Fiscal Reserve) from FY 2018, for the purposes noted below:

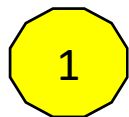
- I. **\$500,000 to support Disaster Recovery;**
- II. **\$500,000** to support Disaster the audit by the State Auditor as required by State of Hawaii’s Act 37/HB172;
- III. **\$100,000** for the purposes of repatriation (e.g., iwi kupuna, moe pu, funerary items), beyond current fiscal biennium project;
- IV. **\$120,000** for litigation contingencies; and
- V. **\$225,000** for possible fringe rate increases beyond current FY 2020 budgeted 63% fringe rate.

There is a need to revisit the designations made for the unspent, unencumbered funds from FY2018 and now FY2019 in preparation for the FY2020-21 realignment #2.

### **A. Native Hawaiian Trust Fund (NHTF) Spending Policy, Fiscal Reserve Withdrawal Guidelines.**

In February 2014, the BOT amended and adopted updates to the NHTF Spending Policy. The Fiscal Reserve Withdrawal Guidelines (FRWG) are embedded in the Spending Policy and describes the proposed operation of OHA’s fiscal reserve as established by OHA’s Spending Policy.

These guidelines are not policy, rather it clarifies existing policy that exists and states, “...Any funds available but not spent in previous fiscal years (Fiscal Reserve) held within



---

<sup>1</sup> Designate=specifically identify, plan for, reserve; Trustee authorization and approval still needed to activate the designated or specified funds.

## Fiscal Stabilization Policy Discussion Document

### May 2020 – Budget Binder

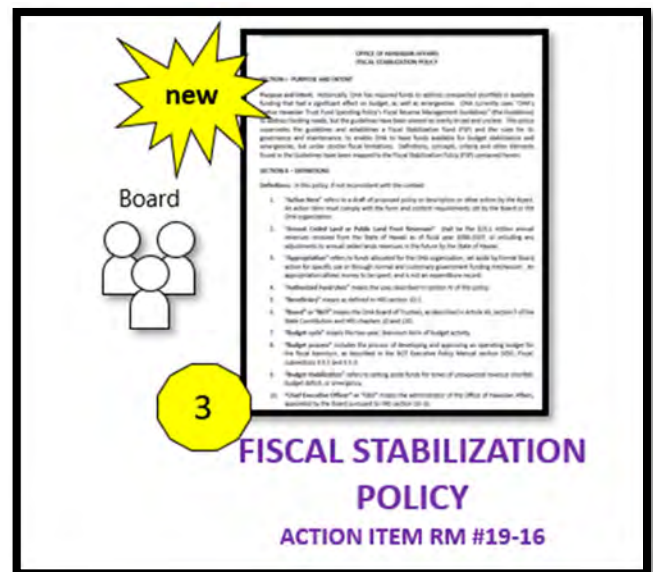
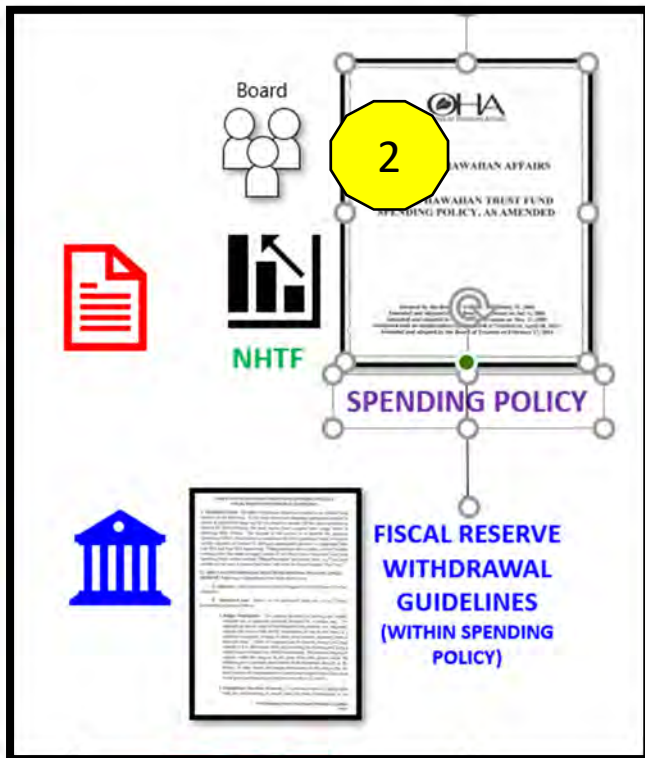
the Native Hawaiian Trust Fund. The objective of the OHA's fiscal reserve fund was designed to provide money in certain situations. Money could be authorized under any of the 3 (three) permissible purposes: budget stabilization, unpredicted one-time payments, and capital acquisitions. The maximum designation was limited to no more than \$3,000,000 annually. Special

circumstances use requires a Board of Trustees (BOT) supermajority plus 2 votes (8 affirmative votes). Deposit calculations (into the Fiscal Reserve) "shall be subject to audited financial statements for the respective fiscal year<sup>2</sup>. Estimated year-end budget surpluses based upon unaudited records are not permissible." Operations of the fiscal reserve fund is subject to two (2) restrictions: the fund's balance cannot be negative after accounting for all designations; and there is no upper threshold or cap.

**B. Moratorium on Fiscal Reserve.** In February 2018, the Resource Management Committee and subsequently the BOT approved a moratorium on the use of Fiscal Reserve funds until specific policy changes were approved by the Board of Trustees (BOT). As noted in the Action Item #18-03, "On March 29, 2017, the Board of Trustees approved the RM Committee's recommendation to create the FSP Working and Implementation Advisory Committee ("FSP Advisory Committee"). Subsequently, RM Committee Chair, Trustee Hulu Lindsey, created collaborative FSP working groups comprised of Trustees, Administration, and

subject matter experts to address the following priority areas: OHA's Spending Policy, Real Estate Investments, Legal and Taxable Structure, OHA's

Relationship with the Department of Hawaiian Homelands; and Pension Benefits. The FSP Spending Policy Working Group has met to discuss improvements to the spending policy and fiscal Reserve guidelines, analyzing the policies current implications and outlining the issues that need to be addressed. Additionally, the FSP Spending Policy and Real Estate Investments Working Groups have also met to discuss improvements to the Native Hawaiian Trust fund Investment Policy Statement and consideration



<sup>2</sup> Latest audited financial statements for the OHA is as of and for the fiscal year ended June 30, 2018. In addition, fiscal year ended June 30, 2019 audited financial statements are not anticipated to be issued until February 2020 as audit field work is not scheduled until October/November 2019.

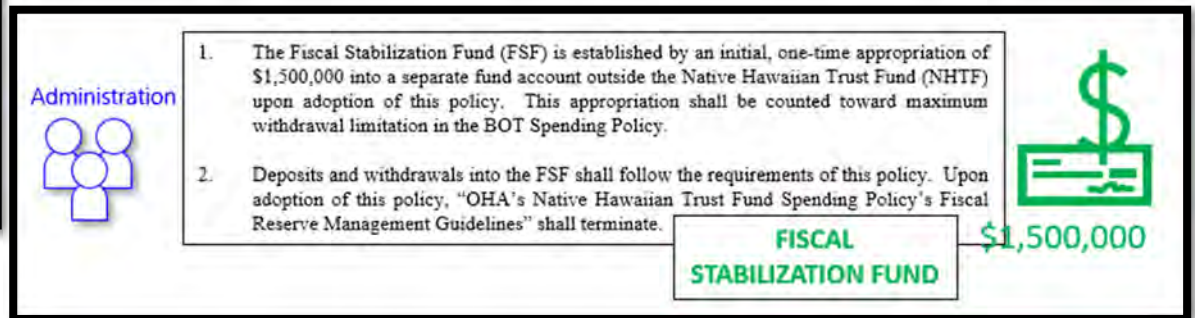
## Fiscal Stabilization Policy Discussion Document

### May 2020 – Budget Binder

of an investment policy statement that would apply to OHA's real estate investments. These efforts will put OHA on the path to better managing and maintaining the health of its financial resources and other assets so that the agency may continue to address both the present and future needs of Native Hawaiians. As the review, modification and/or creation, and adoption of revised fiscal and investment policy statements will take time and a concerted effort by the working groups, it is appropriate to impose a moratorium on the use of Fiscal Reserve funds until the Board of Trustees adopts the recommended changes to the Fiscal Reserve guidelines."

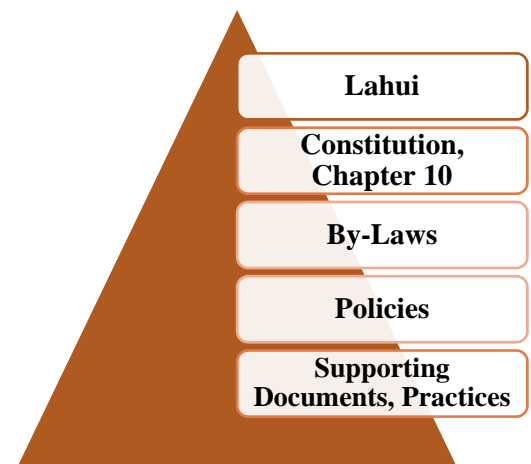
**C. New Fiscal Stabilization Policy.** In September 2019, the BOT approved the Fiscal Stabilization Policy which addressed the need to create a Fiscal Stabilization Fund of discrete size and limited uses to address OHA's historical needs for budget stabilization

The approved policy eliminated the Fiscal Reserve and related Withdrawal Guidelines in the NHTF Spending Policy; and established a Fiscal Stabilization Fund, in the amount of \$1,500,000.



**D. Board Governance Framework.** At the time of the policy approval, Administration recognized that implementation procedures were needed for the new Fiscal Stabilization policy and the BOT would be engaged in more purposeful policy work.

Since January 2019, the BOT completed Board Governance Framework work including: establishing its Board governance framework; developing and implementing Lahui-level policies; updating the Board By-Laws and preparing to review and update Trustee-level policies, including policies related to Investment, Real Estate, Agency Spending, Trust Spending and Debt.





# Fiscal Stabilization Policy Discussion Document

## May 2020 – Budget Binder

### E. Budget Realignment #1, FB20-21, including Designations in the Fiscal Sustainability Fund.

Also in September 2019, the BOT approved the FB20-21 Budget Realignment including among other items: \$2,000,000 cash from commercial property to the NHTF for FY20 spending; and designations in the aggregated amount of \$1,445,000 of the Fiscal Stabilization Fund. The pre-COVID-19 designations reflected the priorities of the organization as of September 2019.



#### 4 BUDGET REALIGNMENT FB 20-21 ACTION ITEM RM #19-17



### DESIGNATION

4. Designate<sup>1</sup> \$1,445,000 in unspent, unencumbered funds (fka Fiscal Reserve) from FY 2018, for the purposes noted below:
  - a) \$500,000 to support Disaster Recovery;
  - b) \$500,000 in support of funding the audit by the State Auditor as required by State of Hawaii's Act 37/HIB172;
  - c) \$100,000 for the purposes of repatriation (e.g., iwi kupuna, moe pu, funerary items), beyond current fiscal biennium project;
  - d) \$120,000 for litigation contingencies; and
  - e) \$225,000 for possible fringe rate increases beyond current FY 2020 budgeted 63% fringe rate; and



**II. Existing Status of the Fiscal Reserve Fund under the Native Hawaiian Trust Fund Spending Policy as of June 30, 2019 Prior to the Elimination via the Fiscal Sustainability Policy**

As of the end of the fiscal year ending June 30, 2019, the Fiscal Reserve Fund balance, in accordance with applicable policy was approximately \$10.4 MM, a result of accumulated unencumbered and unspent budgetary authority. Note at the time of the initial designation in September 2019, the amount was approximately \$6MM or \$5,745,956.

**OFFICE OF HAWAIIAN AFFAIRS  
FISCAL STABILIZATION POLICY**

**SECTION I - PURPOSE AND INTENT**

**Purpose and intent.** Historically, OHA has required funds to address unexpected shortfalls in available funding that had a significant effect on budget, as well as emergencies. OHA currently uses “OHA’s Native Hawaiian Trust Fund Spending Policy’s Fiscal Reserve Management Guidelines” (the Guidelines) to address funding needs, but the guidelines have been viewed as overly broad and unclear. This policy supersedes the guidelines and establishes a Fiscal Stabilization Fund (FSF) and the rules for its governance and maintenance, to enable OHA to have funds available for budget stabilization and emergencies, but under stricter fiscal limitations. Definitions, concepts, criteria and other elements found in the Guidelines have been mapped to the Fiscal Stabilization Policy (FSP) contained herein.

**SECTION II – DEFINITIONS**

**Definitions.** In this policy, if not inconsistent with the context:

1. **“Action Item”** refers to a draft of proposed policy or description or other action by the Board. An action item must comply with the form and content requirements set by the Board or the OHA organization.
2. **“Annual Ceded Land or Public Land Trust Revenues”** shall be the \$15.1 million annual revenues received from the State of Hawaii as of fiscal year 2006-2007, or including any adjustments to annual ceded lands revenues in the future by the State of Hawaii.
3. **“Appropriation”** refers to funds allocated for the OHA organization, set aside by formal Board action for specific use or through normal and customary government funding mechanism. An appropriation allows money to be spent; and is not an expenditure record.
4. **“Authorized Fund Uses”** means the uses described in section IV of this policy.
5. **“Beneficiary”** means as defined in HRS section 10-2.
6. **“Board” or “BOT”** means the OHA Board of Trustees, as described in Article XII, section 5 of the State Constitution and HRS chapters 10 and 13D.
7. **“Budget cycle”** means the two-year, biennium term of budget activity.
8. **“Budget process”** includes the process of developing and approving an operating budget for the fiscal biennium, as described in the BOT Executive Policy Manual section 3050, Fiscal; subsections 3.5.C and 3.5.D.
9. **“Budget Stabilization”** refers to setting aside funds for times of unexpected revenue shortfall, budget deficit, or emergency.
10. **“Chief Executive Officer” or “CEO”** means the administrator of the Office of Hawaiian Affairs, appointed by the Board pursuant to HRS section 10-10.
11. **“Chief Financial Officer” or “CFO”** means the person appointed by the administrator to be the chief financial officer of OHA or an individual that carries out the function.

**RM #19-16 Action Regarding a Fiscal Stabilization Policy including Withdrawal Guidelines and the related Elimination of the Fiscal Reserve Withdrawal Guidelines contained within the Native Hawaiian Trust Fund Spending Policy**

**ATTACHMENT A**

12. **“Contingency”** refers to an event or condition (such as an emergency) that may but is not certain to occur.
13. **“Emergency”** refers to a serious, unexpected, and often dangerous condition requiring immediate attention. Emergencies include threats to public health, welfare, or safety from a major natural disaster, infrastructure emergencies involving OHA’s real properties or legal fees and costs incurred by OHA for pending claims or litigation.
14. **“Fiscal Biennium Total Operating Budget”** means the formal document that reflects the authorized expenditures of OHA for the two fiscal years that constitute the applicable fiscal biennium.
15. **“Fiscal Reserve Fund”** means the fund prior to the establishment of the “Fiscal Stabilization Fund” under the Guidelines.
16. **“Fiscal Stabilization Fund”** means the fund established by this policy.
17. **“General Fund Appropriations from the State”** refers to appropriations determined by the State of Hawaii Legislature during each biennium and are spent for a specific purposes.
18. **“Native Hawaiian Trust Fund”** is defined as all Public Land Trust revenue emanating from 5(f) funds that is subject to OHA’s Native Hawaiian Trust Fund investment policy.
19. **“NHTF Financial Assets Portfolio”** means the Native Hawaiian Trust Fund plus any other financial asset subject to OHA’s Native Hawaiian Trust Fund investment policy.
20. **“Non-recurring”** refers to costs, charges, or expenses that occur one time only and are not likely to happen again.
21. **“Originator”** includes a person who first thinks of something and causes it to happen.
22. **“Policy”** means this Fiscal Stabilization Fund Policy.
23. **“Quarterly Withdrawal Limitation”** means the maximum quarterly withdrawals from the fund, as defined in section V(a) of this policy.
24. **“Reserve”** means something stored or kept available for future use or need, money or its equivalent kept on hand or set apart usually to meet liabilities.
25. **“RM Committee”** means the Resource Management Committee of the Board.
26. **“Shortfall”** refers to a deficit of something required or expected, such as revenues or budgeted funds.
27. **“Trustee”** means the members of the BOT, as described in Article XII, section 5 of the State Constitution and HRS chapters 10 and 13D.
28. **“Variance”** means the financial or quantitative difference between a budgeted amount and purpose for the actual amount and purpose.
29. **“Unexpected”** means not expected or unforeseen.

---

**SECTION III – ESTABLISHMENT**

**A. Establishment.**

1. The Fiscal Stabilization Fund (FSF) is established by an initial, one-time appropriation of \$1,500,000<sup>1</sup> into a separate fund account<sup>2</sup> outside the Native Hawaiian Trust Fund (NHTF) upon adoption of this policy. This appropriation shall be counted toward maximum withdrawal limitation in the BOT Spending Policy.
2. Deposits and withdrawals into the FSF shall follow the requirements of this policy. Upon adoption of this policy, “OHA’s Native Hawaiian Trust Fund Spending Policy’s Fiscal Reserve Management Guidelines” shall terminate.
3. At the discretion of the BOT, additional deposits of up to \$3,000,000 may be made in each subsequent fiscal year from funds that were budgeted from the NHTF Financial Assets Portfolio that were unspent at the end of previous fiscal years. The audited financial statements and audited processes (i.e., lapsing of prior encumbered purchase orders) for each respective fiscal year shall be used as the sole basis to determine the eligible deposits into the FSF. Annual deposits shall be counted toward the maximum withdrawal limitations in the BOT Spending Policy.
4. The monies in the FSF may be carried over from year to year, provided that the total amount in the FSF shall not exceed \$10,000,000. Funds above and beyond the \$10,000,000 cap shall be redeposited into the NHTF.
5. Withdrawals from the FSF shall not be included in the maximum withdrawal limitations in the BOT Spending Policy for the fiscal year in which the withdrawal is made.
6. Temporarily idle moneys in the FSF may be invested as directed by the BOT, and the interest earned may be either transferred permanently into the NHTF or may remain in the FSF, at the discretion of the BOT. If the interest remains in the FSF, it may serve to increase the fund balance, but in no event shall the balance of the FSF be allowed to exceed the \$10,000,000.

**B. Reserves.**

1. Once established, reserves for contingencies may be designated in the Fiscal Stabilization Fund to acknowledge and recognize the responsibilities of the OHA.
2. Such reserves for contingencies may include but not be limited to estimates for legal or other settlements, repatriation, budget stabilization needs and emergencies such as natural disasters.

---

<sup>1</sup> The amount of the initial deposit will be dependent on the current size of the fiscal reserve fund.

<sup>2</sup> Such fund should be a part of the OHA’s overall cash management policy

## **SECTION IV – AUTHORIZED FUND USES**

**Authorized fund uses.** Funds from the Fiscal Stabilization Fund may be withdrawn and used as authorized by the BOT through an Action Item for the following purposes only:

1. **Budget stabilization.** The Fiscal Stabilization Fund may be used to address unexpected shortfalls in available funding that directly translate into a significant budget decrease. Shortfalls can be considered any decrease in Annual Public Land Trust Revenues or General Fund Appropriations from the State, change in State assessments such as fringe rate, retirement or a significant financial market downturn.
2. **Emergencies.** The Fiscal Stabilization Fund may be used to address emergency expenditures directly incurred by the OHA or experienced by the OHA’s beneficiaries. To qualify as an authorized fund use, the expenditures (1) must directly result from an emergency; (2) must address events or situations that are non-recurring; (3) must not have been contemplated in the budget process; and (4) cannot wait to be included in the next budget cycle. Emergencies include threats to public health, welfare, or safety from a major natural disaster, infrastructure emergencies involving OHA’s real properties or legal fees and costs incurred by OHA for pending cases.
3. **Reserves.** The Fiscal Stabilization Fund may be used to activate previously designated reserves.
4. **Contingencies.** The Fiscal Stabilization Fund may be used to address contingencies either previously reserved or subsequently identified.

## **SECTION V – MAXIMUM WITHDRAWALS**

Limitations on the maximum FSF size and related withdrawals are identified below.

**Maximum withdrawals.** The maximum withdrawals from this fund are limited as follows:

1. The maximum withdrawals in any given fiscal year, for any combination of authorized uses in section IV, are limited to no more than \$3,000,000 annually, and no more than \$750,000 per quarter, regardless of the total balance of the fund. The funds that are withdrawn must be used during the current fiscal year, and do not carry over to subsequent fiscal years.

### **Spending Guidelines**

<b>Minimum Balance</b>	None
<b>Maximum Designations (Annual)</b>	\$3,000,000
<b>Maximum Designations (Quarterly)</b>	\$750,000

2. The fund balance cannot be negative after accounting for all authorized spending.
  - a. Funds are subject to quarterly withdrawal limitations;
  - b. The \$3,000,000 limitation is based on the fiscal year in which the designation is made, regardless of the year of funding specified in the designation. For example, if a \$2,000,000 designation is made in budget year one, to be funded in budget year two, the \$2,000,000 will count towards the limit in budget year one, and only an additional \$1,000,000 can be designated in budget year one. In budget year two, the maximum designation of \$3,000,000 is still available.

## **SECTION VI – REQUEST PROCEDURE**

**Request Procedure.** Funding requests for the use of Fiscal Stabilization Fund must be submitted as an action item. To be considered, the action item must comply with Board requirements for action items, and include the following, at a minimum:

1. **Originator.** Identification of the originator of the initial request. If the originator is not a member of the BOT, the action item shall state that it is being made “By Request,” and include the identity of the originator, for example, “CEO” or “beneficiary.”
2. **Explanation.** Clear explanation of the reasons the request qualifies as an authorized fund use. The explanation must address all requirements of sections IV and V, including:
  - a. The reasons the request cannot be accommodated with other available means of financing, or why it cannot be budgeted in the next Fiscal Biennium Total Operating Budget.
  - b. An explanation of the urgency of the request and its impact on OHA or its beneficiaries if the request is denied or the decision is delayed.
  - c. Certification by the CFO that the funds are available for the request, and that maximum withdrawal restrictions will not be violated if the request is granted.
3. **Supporting documentation.** All supporting documentation that justifies the funding request.

## **SECTION VIII – DELEGATION OF RESPONSIBILITY**

### **Delegation of Responsibility.**

1. The CEO shall be responsible for the initiation of the Action Item(s) requesting funding.
2. The CFO shall be responsible for reviewing the Action Item(s), the release of funds and any documentation in relation to disbursements. All documentation must be stored in accordance with OHA’s record retention policy.
3. Any Action Item that does not comply with the requirements of this policy shall not be considered by the Board. Any non-compliant action item approved by the Board shall be considered void.

**SECTION IX – VOTING REQUIREMENTS**

**Voting requirements.** The following defines the voting requirements for Board approval of funding requests.

- (a) The Board of Trustees with six (6) affirmative votes may authorize expenditures from the Fiscal Stabilization Fund, up to the maximum designation allowable under section V, Maximum withdrawals.
- (b) The Board of Trustees with eight (8) affirmative votes may approve in excess of the \$3,000,000 withdrawal limit, provided that the amount withdrawn shall not result in the Fiscal Stabilization Fund balance falling below zero.

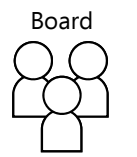
**SECTION X – REVIEW**

**Review.** This Policy will be reviewed as recommended by the Chair of the RM Committee, but no less than every two (2) years. Any modification to this Policy shall require six (6) votes and two (2) readings at the Board of Trustees level with external review, consultation and advice being completed prior to any modifications.





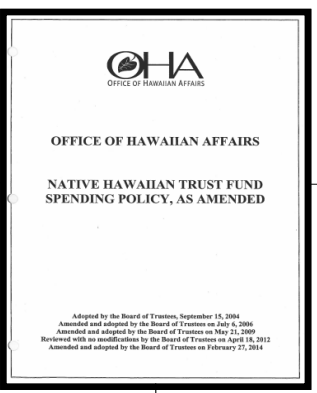
02/2014



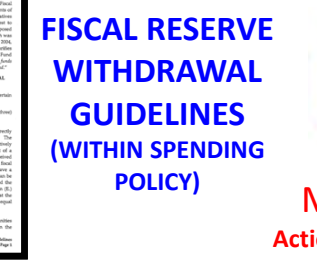
1



02/2018

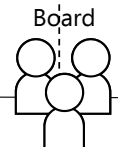


**SPENDING POLICY**

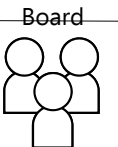


1. The Fiscal Stabilization Fund (FSF) is established by an initial, one-time appropriation of \$1,500,000 into a separate fund account outside the Native Hawaiian Trust Fund (NHTF) upon adoption of this policy. This appropriation shall be counted toward maximum withdrawal limitation in the BOT Spending Policy.
2. Deposits and withdrawals into the FSF shall follow the requirements of this policy. Upon adoption of this policy, "OHA's Native Hawaiian Trust Fund Spending Policy's Fiscal Reserve Management Guidelines" shall terminate.

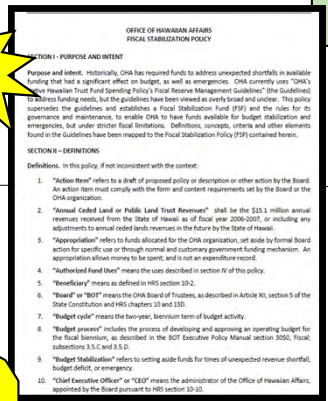
2



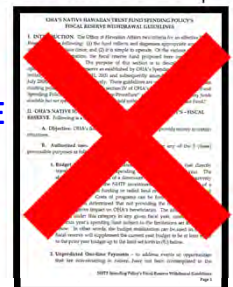
**Moratorium**  
Action Item RM #18-03



3



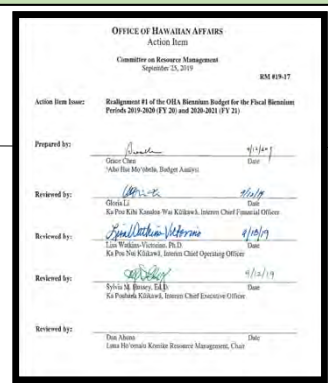
**FISCAL STABILIZATION POLICY**  
ACTION ITEM RM #19-16



**ELIMINATED FISCAL RESERVE**

**FISCAL STABILIZATION FUND**  
\$1,500,000

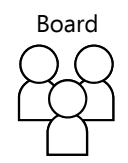
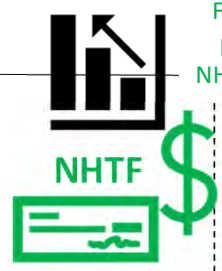
## Policies, Reserve & Fund Road Map



4

**BUDGET REALIGNMENT**  
FB 20-21  
ACTION ITEM RM #19-17

**\$2,000,000**  
From commercial property acct to NHTF FY20 spending



**DESIGNATION**

4. Designate<sup>1</sup> \$1,445,000 in unspent, unencumbered funds (aka Fiscal Reserve) from FY 2018, for the purposes noted below:
  - a) \$500,000 to support Disaster Recovery;
  - b) \$500,000 in support of funding the audit by the State Auditor as required by State of Hawaii's Act 37/HB172;
  - c) \$100,000 for the purposes of repatriation (e.g., iwi kupuna, moe pu, funerary items), beyond current fiscal biennium project;
  - d) \$120,000 for litigation contingencies; and
  - e) \$225,000 for possible fringe rate increases beyond current FY 2020 budgeted 63% fringe rate; and

09/2019



POLICY

**OFFICE OF HAWAIIAN AFFAIRS  
FISCAL STABILIZATION POLICY**

**SECTION I - PURPOSE AND INTENT**

Purpose and intent. Historically, OHA has required funds to address unexpected shortfalls in available funding that had a significant effect on budget, as well as emergencies. OHA currently uses "OHA's Native Hawaiian Trust Fund Spending Policy's Fiscal Reserve Management Guidelines" (the Guidelines) to address funding needs, but the Guidelines have been used as overly broad and unclear. This policy supersedes the Guidelines and establishes a Fiscal Stabilization Fund (FSF) and the rules for its governance and maintenance, to enable OHA to have funds available for budget stabilization and emergencies, but under stricter fiscal limitations. Definitions, concepts, criteria and other elements found in the Guidelines have been mapped to the Fiscal Stabilization Policy (FSP) contained herein.

**SECTION II - DEFINITIONS**

Definitions. In this policy, if not inconsistent with the context:

1. "Action Item" refers to a draft of proposed policy or description or other action by the Board. An action item must comply with the form and content requirements set by the Board or the OHA organization.
2. "Annual Ceded Land or Public Land Trust Revenues" shall be the \$15.1 million annual revenues received from the State of Hawaii as of fiscal year 2006-2007, or including any adjustments to annual ceded lands revenues in the future by the State of Hawaii.
3. "Appropriation" refers to funds allocated for the OHA organization, set aside by formal Board action for specific use or through normal and customary government funding mechanism. An appropriation allows money to be spent, and is not an expenditure report.
4. "Authorized Fund Uses" means the uses described in section IV of this policy.
5. "Beneficiary" means as defined in HRS section 10-2.
6. "Board" or "BOT" means the OHA Board of Trustees, as described in Article XII, section 5 of the State Constitution and HRS chapters 19 and 19C.
7. "Budget cycle" means the two-year, biennium term of budget activity.
8. "Budget process" includes the process of developing and approving an operating budget for the fiscal biennium, as described in the BOT Executive Policy Manual section 100C, fiscal, subsections 3.5.C and 3.5.D.
9. "Budget Stabilization" refers to setting aside funds for times of unexpected revenue shortfall, budget deficit, or emergency.
10. "Chief Executive Officer" or "CEO" means the administrator of the Office of Hawaiian Affairs, appointed by the Board pursuant to HRS section 10-10.



**FISCAL STABILIZATION  
POLICY**

**\$2,000,000**  
From commercial  
property acct to  
NHTF FY20 spending



FUND

**FISCAL  
STABILIZATION  
FUND**

**\$1,500,000**

- DESIGNATION**
4. Designate<sup>1</sup> **\$1,445,000** in unspent, unencumbered funds (fka Fiscal Reserve) from FY 2018, for the purposes noted below:
- a) **\$500,000** to support Disaster Recovery;
  - b) **\$500,000** in support of funding the audit by the State Auditor as required by State of Hawaii's Act 37/HB172;
  - c) **\$100,000** for the purposes of repatriation (e.g., iwi kupuna, moe pu, funerary items), beyond current fiscal biennium project;
  - d) **\$120,000** for litigation contingencies; and
  - e) **\$225,000** for possible fringe rate increases beyond current FY 2020 budgeted 63% fringe rate; and

09/2019

06/2020

REALIGNMENT #2 - MOTIONS		
1	2	3
UNDESIGNATE (prior designations)	ADDT'L FUNDING - \$1.5MM	APPROVE \$3MM use for Gen Funds
Action Item, BOT #20-XX		
Need to reverse (undesignate) the previous designations to enable the BOT to designate and fund FB 20-21 Realignment #2	Authorize the additional funding of the Fiscal Stabilization Fund in the amount of \$1,500,000, noting the balance will be \$3,000,000.	Approve the use of the Fiscal Stabilization Fund in the amount of \$3,000,000 in FY20, to be drawn in four increments of \$750,000 per quarter in FY21, to replace the General Funds appropriation for FY21.
BOT Mtg 06/2020		



## **OFFICE OF HAWAIIAN AFFAIRS**

# **NATIVE HAWAIIAN TRUST FUND SPENDING POLICY, AS AMENDED**

**Adopted by the Board of Trustees, September 15, 2004**

**Amended and adopted by the Board of Trustees on July 6, 2006**

**Amended and adopted by the Board of Trustees on May 21, 2009**

**Reviewed with no modifications by the Board of Trustees on April 18, 2012**

**Amended and adopted by the Board of Trustees on February 27, 2014**

**OFFICE OF HAWAIIAN AFFAIRS**  
**NATIVE HAWAIIAN TRUST FUND SPENDING POLICY**

**I. PURPOSE.** The purpose of this policy is to ensure the continued viability of the Office of Hawaiian Affairs' (OHA) Native Hawaiian Trust Funds (NHTF) by providing specific guidelines to balance the objectives of maintaining principal and producing large, stable and predictable spending. Decisions affecting management of the NHTF focus upon four conflicting objectives:

- A. Maximize long-term total return;
- B. Maximize annual spending from the Trust;
- C. Preserve the real value (purchasing power) of the Trust's principal and of its spending distributions over the long term;
- D. Maximize the stability and predictability of spending distributions. In other words, minimize the spending shortfall risk.

**II. OBJECTIVE.** The following spending policy reflects the objective of distributing as much total return as is consistent with the overall defined investment objectives while protecting the value of the principal.

**III. DEFINITIONS.** To further clarify the spending policy, the following definitions are used:

A. **Native Hawaiian Trust Fund** defined as all Public Land Trust revenue emanating from 5(f) funds that is subject to OHA's Native Hawaiian Trust Fund investment policy.

B. **Spending Rate** is the percentage (%) applied to the average market value to determine the spend amount.

C. **Annual Spending Withdrawals** defined as the maximum amount authorized to be budgeted from the Native Hawaiian Trust Fund in one fiscal year.

D. **Moving Average Rule** – to spend a fixed percentage of the average Native Hawaiian Trust Fund's average market value (e.g., spend 5.0% of the average market value of the previous 20 quarters).

**E. Annual Ceded Land Revenues** – shall be the \$15.1 million (fifteen million one hundred thousand dollars) annual revenues received from the state of Hawai'i as of fiscal year 2006-2007, or including any adjustments to annual ceded lands revenues in the future by the state of Hawai'i.

**F. State of Hawai'i General Fund** appropriations are determined by the Legislature during each Biennium and are spent for a specific purpose.

**G. To Spend** is the result of multiplying the moving average rule (Moving Average) by the spending rate.

**H. Spent** is when actual disbursement or encumbrances of funds is made. Trustees should never appropriate funds beyond the Spending Policy calculated amount.

**I. Average Market Value** – shall be computed quarterly based upon the monthly statements received from OHA's Custodian of Record, the average shall be computed from the most recent 20 quarter period ending as of March 31<sup>st</sup>, fifteen months prior to the start of the budget on July 1. If real estate is included in the Native Hawaiian Trust Fund then the real property market value shall be included to compute the average market value.

**J. Public Land Trust Revenue** – emanating from 5(f) funds that the State of Hawai'i remits to the Office of Hawaiian Affairs.

**K. Real Property Market Value** – shall be based upon the latest real estate appraisal. Such an appraisal should be conducted every five (5) years or upon the sale of any respective real estate property, whichever comes first.

**L. Investment** – an acquisition for future income or benefit.

**M. 5(f) Funds** – refers to Section 5(f) of the State of Hawai'i Admissions Act.

**N. Total return** is defined as the sum of earned interest and dividends and realized and unrealized gains or losses, less all investment management costs (*e.g. investment consultant, custodian, money manager fees*).

**O. Native Hawaiian Self-Governance Spending Withdrawal** defined as a maximum cumulative authorization not to exceed \$3,000,000 to be budgeted from the

Native Hawaiian Trust Fund for self-governing efforts of the Office of Hawaiian Affairs.

**IV. POLICY/PROCEDURE.** Consistent with the overall investment goals of the Native Hawaiian Trust Fund, the following spending rates and rules for distribution are set forward.

A. The formula for determining **annual spending withdrawals** and the mechanics of its implementation shall adopt the **Moving Average Rule**, whereby;

1. OHA will **spend** a fixed percentage of no more than five percent (5%) of the Native Hawaiian Trust Fund's **average market value**,

plus

2. **Ceded Land** Revenues received by OHA

plus

3. **State of Hawai'i General Funds** received by OHA

plus

4. Any funds available but not spent in previous fiscal years (Fiscal Reserve), held within the Native Hawaiian Trust Fund.

B. Regardless of the performance of the Native Hawaiian Trust Fund, OHA's spending policy will apply. The 5% spending rate shall be applied to investment portfolio and real property assets intended to be income or benefit producing.

C. Funds available from sources other than those defined in Section IV.A. of this policy are not limited by this annual spending withdrawal formula.

**V. SPECIAL SPENDING WITHDRAWAL.** Public Law 103-150 recognizes that the "...indigenous Hawaiian people never directly relinquished their claims to their inherent sovereignty as a people or over their national lands..." and urges the President of the United States to "...support reconciliation efforts between the United States and the Native Hawaiian people." Act 195 was signed into law on July 6, 2011 and recognized the Native Hawaiian people as the only indigenous, aboriginal, maoli people of Hawai'i. Act 195 encourages OHA to "...continue to support the self-determination process by Native Hawaiians in the formation of their chosen governmental entity" and notes that the State has designated OHA "...as a trust vehicle

to act on behalf of Native Hawaiians until a Native Hawaiian governing entity could be reestablished...". Balancing the opportunity for the formation of a governmental entity that would empower Native Hawaiians to exercise their un-relinquished inherent sovereignty with the objectives of maintaining principal and producing large, stable and predictable spending, a special cumulative spending withdrawal of no more than \$3,000,000 can be authorized to be budgeted from the Native Hawaiian Trust Fund for self-governing efforts of the Office of Hawaiian Affairs and will be known as a **Native Hawaiian Self-Governance Spending Withdrawal**. Authorizations of funds pursuant to Section V. will require a separate Action Item appropriation request pursuant to Section 1.4.b of OHA's Board of Trustees Executive Policy Manual. This Section V. specifically disallows transfer of funds or appropriations of any kind to the Native Hawaiian Roll Commission, as established by Act 195.

## VI. REVIEW

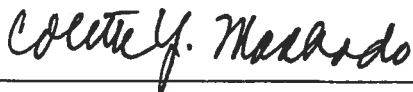
A. The Spending Policy will be reviewed at least every two years or as recommended by the Chair of the ARM Committee.

B. Modifications to the Spending Policy must meet requirements as provided by the then current By-Laws. Any modification or exceptions to OHA's Spending Policy shall require six (6) votes and two (2) readings at the Board of Trustees level and require external due diligence to be conducted.

**VII. EFFECTIVE DATE.** "The effective date of this policy is February 27, 2014 and this policy shall be deemed to pre-exist funds disbursed to OHA by the State pursuant to Act 178, SLH 2006."

Adopted on the 27th day of February, 2014

OFFICE OF HAWAIIAN AFFAIRS BOARD OF TRUSTEES



Colette Y. Machado, Chairperson

First Reading            2/20/2014

Second Reading        2/27/2014



## **OHA'S NATIVE HAWAIIAN TRUST FUND SPENDING POLICY'S FISCAL RESERVE WITHDRAWAL GUIDELINES**

**I. INTRODUCTION.** The Office of Hawaiian Affairs two criteria for an effective Fiscal Reserve are the following: (1) the fund collects and dispenses appropriate amounts of money at appropriate times; and (2) it is simple to operate. Of the various alternatives studied by Administration, the fiscal reserve fund proposed here comes closest to satisfying these criteria. The purpose of this section is to describe the proposed operation of OHA's fiscal reserve as established by OHA's Spending Policy, which was initially adopted on October 31, 2000 and subsequently amended in September 2004, July 2006, and May 2009, respectively. These guidelines are not policy, rather it clarifies existing policy that exists on page 3, section IV of OHA's Native Hawaiian Trust Fund Spending Policy section entitled, "Policy/Procedure" and states "*plus*" A.3 "*Any funds available but not spent in previous fiscal years, held within the Native Hawaiian Trust Fund.*"

**II. OHA'S NATIVE HAWAIIAN TRUST FUND SPENDING POLICY'S – FISCAL RESERVE.** Following is a description of the fiscal reserve fund:

**A. Objective.** OHA's fiscal reserve fund is designed to provide money in certain situations.

**B. Authorized uses.** Money can be authorized under any of the 3 (three) permissible purposes as follows:

- 1. Budget Stabilization** – To address shortfalls in funding that directly translate into a significant spending decrease for a budget year. The shortfall can be the result of a downturn in the economy that negatively impacts the value of the NHTF investments, or can be the result of a reduction in general funding or ceded land revenue payments received from the state. Costs of programs can be funded through the fiscal reserve if it is determined that not providing the funding will have a severe negative impact on OHA's beneficiaries. The amount that can be utilized under this category in any given fiscal year, cannot exceed the previous year's spending limit subject to the limitations set forth in (E.) below. In other words, the budget stabilization can be used so that the fiscal reserve will supplement the current year budget to be at least equal to the prior year budget up to the limit set forth in (E.) below.
- 2. Unpredicted One-time Payments** – to address events or opportunities that are non-recurring in nature, have not been contemplated in the

budget process, and cannot wait to be included in the next budget cycle. This category would also include any expenditures needed to address legal issues as well as to remedy an emergency condition (which means a situation that creates a threat to public health, welfare, or safety that may arise by reason of major natural disaster, epidemic, riot, fire, or other reason.) The maximum designation amount under this category is subject to the limitations set forth in item (E.) below.

3. **Capital Acquisitions** – Major purchases of non-investment land or other capital assets that either have not been contemplated during the time of budget preparation, or cannot be addressed within the confines of the budget, and cannot wait to be included in the next budget cycle. The maximum withdrawal amount under this category is subject to the limitations set forth in item (E.) below.

**C. Maximum Designations.** The maximum designations allowable to be made in any given fiscal year, using any combination of items B.1. through B.3. above, are limited to no more than \$3,000,000 annually. The \$3,000,000 limitation is based on the fiscal year in which the designation is made, regardless of the year of funding specified in the designation. For example, if a \$2m designation is made in budget year 1, to be funded in budget year 2, the \$2m will count towards the limit in budget year 1, and only an additional \$1m can be designated in budget year 1. In budget year 2, the full \$3m of designations is still available.

**D. Special Circumstances** – There may be special circumstances that will require the use of funds in excess of the categories noted above. For example, the imminence of the passage of a federal recognition bill may trigger events for which OHA will be required to spend monies not otherwise available. In these special circumstances, the criteria set forth above can be waived by the BOT with a vote of the super majority plus 2 (8 affirmative votes). Since the “fiscal reserve” is a part of OHA’s Native Hawaiian Trust Fund and not an account established separately, if and when the fiscal reserve is utilized, the funds identified will merely serve as an additional means of financing.

**E. Deposit Calculations.** The source of data required to calculate the amount to be “restricted” as an OHA NHTF Spending Policy Fiscal Reserve shall be subject to audited financial statements for the respective fiscal year. Estimated year-end budget surpluses based upon unaudited records are not permissible.

**F. Restrictions.** The operation of the fiscal reserve fund is subject to two (2) restrictions:

1. no more than the current balance of the fiscal reserve in any year will be designated from the fund (e.g., the fund's balance cannot be negative after accounting for all designations; and
2. the total amount of money earmarked as a fiscal reserve does not have an upper threshold (*cap*) imposed upon it.

### **G. Operation**

A. Pursuant to the OHA Spending Policy, Administration is tasked with calculating the spending policy limitation for the upcoming fiscal year utilizing a 20-quarter rolling average of the Native Hawaiian Trust Fund's market value, ending as of March 31<sup>st</sup>, fifteen months prior to the start of the budget on July 1. The respective 20-quarter rolling average along with a Board of Trustees determined spending rate (up to 5%) eventually determines the upper threshold for the development of a biennium budget, which is revisited during the supplemental year to correct any upward or downward adjustments in accordance to the actual spending policy formula. Note: during the development of a biennium budget, only the first fiscal year can utilize actual figures with the second year requiring Administration to "estimate" the market value of the upcoming four quarters based upon financial analysis techniques.

B. Only upon the close of OHA's fiscal year end financial records and the successful completion of a financial audit engagement can fiscal year "expenditure" figures be utilized, less any investment related expenses, and then deducted from OHA's calculated spending policy ceiling. As a part of OHA's adjusting fiscal year end journal entry, the difference is to be accumulated to any existing fund reserve account. Note: the funds are maintained within the Native Hawaiian Trust Fund, without the benefit of interest income and/or capital gains accrual, and are merely recognized as "reserved" funds within OHA's financial statements.

**III. PROCEDURE.** The procedure to be followed when requesting money via these guidelines for Board consideration shall be in the form of an Action Item and must include, at a minimum, the following information:

1. Identify the originator of the request;
2. Cite specific "Authorized Use" being requested as:
  - a. **B.1. Budget Stabilization,**
  - b. **B.2. Unpredicted One-time Payments, or**

**c. B.3. Capital Acquisitions**

3. Cite **D. Special Circumstances** if applicable.
4. Explain why the request cannot be accommodated with funds from the current Core Operating Budget.
5. State the urgency of the request and its impact on OHA if the request is denied or the decision is delayed.
6. Attach a letter or proposal detailing the request in sufficient detail to reasonably draw a conclusion of merit.

**IV. VOTING REQUIREMENTS.**

A. The Board of Trustees with six (6) affirmative votes may authorize expenditures from the fiscal reserve up to the maximum designation allowable under Budget Stabilization, Unpredicted One-time Payments, and Capital Acquisitions categories as set forth in Section II. B.1., B.2. and B.3., respectively, above.

B. The Board of Trustees with eight (8) affirmative votes may authorize expenditures from the fiscal reserves above the maximum designation allowable under the Special Circumstances category as set forth in Section II.D. above.

Adopted on the 27th day of February, 2014

OFFICE OF HAWAIIAN AFFAIRS BOARD OF TRUSTEES



Colette Y. Machado, Chairperson

First Reading	2/20/2014
Second Reading	2/27/2014

FY20 FY21 Withdrawals from the Native Hawaiian Trust Fund (NHTF)  
Calculation of 5% of the NHTF's 20-Quarter Rolling Market Value

FY 2020: \$17,692,555  
FY 2021: \$17,886,701

<b>FY: 2020</b>	<b>Market Value</b>	<b>FY: 2021</b>	<b>Market Value</b>
13-Jun	\$343,590,398	14-Jun	\$372,087,833
13-Sep	355,390,255	14-Sep	364,312,340
13-Dec	365,006,349	14-Dec	361,439,451
14-Mar	367,615,357	15-Mar	363,364,275
14-Jun	372,087,833	15-Jun	355,048,226
14-Sep	364,312,340	15-Sep	333,046,326
14-Dec	361,439,451	15-Dec	334,273,216
15-Mar	363,364,275	16-Mar	333,913,756
15-Jun	355,048,226	16-Jun	334,212,849
15-Sep	333,046,326	16-Sep	341,999,977
15-Dec	334,273,216	16-Dec	337,863,691
16-Mar	333,913,756	17-Mar	351,253,532
16-Jun	334,212,849	17-Jun	354,826,696
16-Sep	341,999,977	17-Sep	364,342,282
16-Dec	337,863,691	17-Dec	375,820,258
17-Mar	351,253,532	18-Mar	367,614,957
17-Jun	354,826,696	18-Jun	374,937,631
17-Sep	364,342,282	18-Sep	376,504,952
17-Dec	375,820,258	18-Dec	378,097,174
18-Mar	367,614,957	19-Mar	379,720,998
<b>Average:</b>	<b>\$353,851,101</b>	<b>Average:</b>	<b>\$357,734,021</b>
<b>5%:</b>	<b>\$17,692,555</b>	<b>5%:</b>	<b>\$17,886,701</b>

# FY 2020 Spending Limit

## Spending Limit and Adjustments for OHA's FY 2020 Total Operating Budget

Funding Sources	FY 2020				
	Approved Budget	Approved Realignment #1	Proposed Realignment #2	Adjustments	Ref Page(s)
	(a)	(b)	(c)	(d) = (c - b)	
<b>1. Core Operating Budget</b>					
5% of NHTF Portfolio	\$17,692,555	\$17,692,555	\$17,692,555	\$0	
Public Land Trust Revenues	15,100,000	15,100,000	15,100,000	\$0	
State of Hawai'i General Funds	3,037,879	3,037,879	3,037,879	\$0	
Allocation of Kaka`ako Makai Revenues	1,435,610	1,866,436	2,488,155	\$621,719	
<i>Made up of...</i>					
...20% Kaka'ako Makai Gross Revenue (Grant Use)	430,826	861,652	861,652	\$0	
...Ka'akao Makai True-up of 10% Allocation for FY13-FY18 (Grants Use)	72,206	72,206	72,206	\$0	
...50% Ka'akao Makai FY19 Net Revenue (Legacy Use)	932,578	932,578	1,554,297	\$621,719	[A]
Sub-total - Allocation of Ka'ako Makai Revenues	1,435,610	1,866,436	2,488,155	\$621,719	
Cash Transfer from Kaka`ako Makai	0	2,000,000	2,000,000	\$0	
<b>Sub-total - Core Operating Budget:</b>	<b>\$37,266,044</b>	<b>\$39,696,870</b>	<b>\$40,318,589</b>	<b>\$621,719</b>	
<b>2. Unspent, Unencumbered Funds (fka Fiscal Reserve)</b>					
FY 2020 Designation	\$0	\$1,445,000	\$1,445,000	\$0	
<b>Sub-total - Unspent, Unencumbered Funds:</b>	<b>\$0</b>	<b>\$1,445,000</b>	<b>\$1,445,000</b>	<b>\$0</b>	
<b>3. Commercial Property</b>					
Kaka'ako Makai	\$10,878,315	\$10,878,315	\$9,815,035	(\$1,063,280)	[B]
Nā Lama Kukui	7,909,481	7,909,481	7,909,481	\$0	
<b>Sub-total - Commercial Property:</b>	<b>\$18,787,796</b>	<b>\$18,787,796</b>	<b>\$17,724,516</b>	<b>(\$1,063,280)</b>	
<b>4. Federal Programs Budget</b>					
Halawa-Luluku Interpretive Development	\$4,099,249	\$4,099,249	\$4,099,249	\$0	
Native Hawaiian Revolving Loan Fund	902,005	902,005	902,005	\$0	
<b>Sub-total -Federal Programs Budget:</b>	<b>\$5,001,254</b>	<b>\$5,001,254</b>	<b>\$5,001,254</b>	<b>\$0</b>	
<b>5. Special Programs Budget</b>					
Special Programs Budget - Legacy Properties	\$880,257	\$880,257	\$880,257	\$0	
Special Programs Budget - Other	367,862	367,862	367,862	\$0	
<b>Sub-total - Special Programs Budget:</b>	<b>\$1,248,119</b>	<b>\$1,248,119</b>	<b>\$1,248,119</b>	<b>\$0</b>	
<b>Total Spending Limit:</b>	<b>\$62,303,213</b>	<b>\$66,179,039</b>	<b>\$65,737,478</b>	<b>(\$441,561)</b>	

### Notes>

[A] Allocation of Kaka`ako Makai Net Revenues' for OHA's Legacy Land Program is updated as per BOT approved Action Item RM #19-10, Approval of a second amendment to BOT #12-05 - Kaka'ako Makai properties to the Land Legacy Program, dated August 21, 2019.

[B] Kaka'ako Makai's Spending Limit is updated to take into consideration audited FY19 financial figures.

# FY 2020 Total Operating Budget Adjustments

## FY 2020 Approved Total Operating Budget and Realignment

Total Operating Budget	FY 2020				
	Approved Budget	Approved Realignment #1	Proposed Realignment #2	Adjustments	Ref Page(s)
	(a)	(b)	(c)	(d) = ( c - b )	
<b>1. Core Operating Budget</b>					
Personnel (including Fringe)	\$16,905,440	\$16,933,809	\$15,699,730	(\$1,234,079)	
Non-Personnel	20,360,603	22,401,774	23,710,853	\$1,309,079	
<b>Sub-total – Core Operating Budget:</b>	<b>\$37,266,043</b>	<b>\$39,335,583</b>	<b>\$39,410,583</b>	<b>\$75,000</b>	
<b>2. Unspent, Unencumbered Funds (fka Fiscal Reserve)</b>					
FY 2020 Designation	\$0	1,445,000	1,445,000	\$0	
<b>Sub-total – Unspent, Unencumbered Funds:</b>	<b>\$0</b>	<b>\$1,445,000</b>	<b>\$1,445,000</b>	<b>\$0</b>	
<b>3. Commercial Property</b>					
Kaka'ako Makai	\$2,553,946	\$2,261,175	\$2,261,175	\$0	
Nā Lama Kukui	5,853,411	5,950,051	5,950,051	\$0	
<b>Sub-total – Commercial Property:</b>	<b>\$8,407,357</b>	<b>\$8,211,226</b>	<b>\$8,211,226</b>	<b>\$0</b>	
<b>4. Federal Programs Budget</b>					
Halawa Luluku Interpretive Development	\$4,099,249	\$4,099,249	\$4,099,249	\$0	
Native Hawaiian Revolving Loan Fund	902,005	902,005	902,005	\$0	
<b>Sub-total – Federal Programs Budget:</b>	<b>\$5,001,254</b>	<b>\$5,001,254</b>	<b>\$5,001,254</b>	<b>\$0</b>	
<b>5. Special Programs Budget</b>					
<b>Legacy Properties</b>					
Palauea Culture Preserve	\$160,380	\$160,380	\$160,380	\$0	
Wao Kele O Puna Management Fund	256,610	256,610	256,610	\$0	
<b>Sub-total – Special-Legacy Properties:</b>	<b>\$416,990</b>	<b>\$416,990</b>	<b>\$416,990</b>	<b>\$0</b>	
<b>Special Programs Budget – Other</b>					
Hi'ilei Aloha & Subsidiaries	\$292,862	\$405,000	\$327,000	(\$78,000)	
Ho'okele Pono & Subsidiaries	75,000	88,000	166,000	\$78,000	
<b>Sub-total – Special – Other:</b>	<b>\$367,862</b>	<b>\$493,000</b>	<b>\$493,000</b>	<b>\$0</b>	
<b>Sub-total – Special Programs Budget:</b>	<b>\$784,852</b>	<b>\$909,990</b>	<b>\$909,990</b>	<b>\$0</b>	
<b>Total Operating Budget:</b>	<b>\$51,459,506</b>	<b>\$54,903,053</b>	<b>\$54,978,053</b>	<b>\$75,000</b>	

Core Budget

Non-Core Budget



# FY 2021 Spending Limit

## Spending Limit and Adjustments for OHA's FY 2021 Total Operating Budget

Funding Sources	FY 2021				
	Approved Budget	Approved Realignment #1	Proposed Realignment #2	Adjustments	Ref Page(s)
	(a)	(b)	(c)	(d) = (c - b)	
<b>1. Core Operating Budget</b>					
5% of NHTF Portfolio	\$17,886,701	\$17,886,701	\$17,886,701	\$0	
Public Land Trust Revenues	15,100,000	15,100,000	15,100,000	0	
State of Hawai'i General Funds[1]	3,037,879	3,037,879	0	(3,037,879)	[A]
Allocation of Kaka`ako Makai Revenues	1,186,572	1,186,572	2,398,735	1,212,163	[B]
<i>Made up of...</i>					
20% Kaka'ako Makai Gross Revenue (Grant Use)	441,902	441,902	883,804	441,902	
50% Ka'akao Makai FY19 Net Revenue (Legacy Use)	744,670	744,670	1,514,931	770,261	
<b>Sub-total - Allocation of Ka'ako Makai Revenues</b>	<b>1,186,572</b>	<b>1,186,572</b>	<b>2,398,735</b>	<b>1,212,163</b>	
<b>Sub-total - Core Operating Budget:</b>	<b>\$37,211,152</b>	<b>\$37,211,152</b>	<b>\$35,385,436</b>	<b>(\$1,825,716)</b>	
<b>2. Unspent, Unencumbered Funds (fka Fiscal Reserve)</b>					
FY20 Designation	\$0	\$0	\$3,000,000	\$3,000,000	
FY21 Designation	\$0	\$0	1,000,000	1,000,000	
<b>Sub-total - Unspent, Unencumbered Funds:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,000,000</b>	<b>\$4,000,000</b>	
<b>3. Commercial Property</b>					
Kaka'ako Makai	\$11,159,058	\$11,159,058	\$8,307,818	(\$2,851,240)	[C]
Nā Lama Kukui	7,357,095	7,357,095	7,357,095	0	
<b>Sub-total - Commercial Property:</b>	<b>\$18,516,153</b>	<b>\$18,516,153</b>	<b>\$15,664,913</b>	<b>(\$2,851,240)</b>	
<b>4. Federal Programs Budget</b>					
Halawa-Luluku Interpretive Development	\$428,199	\$428,199	\$3,372,943	\$2,944,744	
Native Hawaiian Revolving Loan Fund	919,030	919,030	919,030	0	
<b>Sub-total -Federal Programs Budget:</b>	<b>\$1,347,229</b>	<b>\$1,347,229</b>	<b>\$4,291,973</b>	<b>\$2,944,744</b>	
<b>5. Special Programs Budget</b>					
Special Programs Budget - Legacy Properties	\$528,837	\$528,837	\$528,837	\$0	
Special Programs Budget - Other	195,000	195,000	195,000	0	
<b>Sub-total - Special Programs Budget:</b>	<b>\$723,837</b>	<b>\$723,837</b>	<b>\$723,837</b>	<b>\$0</b>	
<b>Total Spending Limit:</b>	<b>\$57,798,371</b>	<b>\$57,798,371</b>	<b>\$60,066,159</b>	<b>\$2,267,788</b>	

### Notes>

[A] Adjusted to reflect the likelihood that OHA will not be receive State of Hawai'i General Fund as approved by the Governor as Act 037(19) on June 12, 2019, conveyed as Governor's Message No. 1138.

[B] Allocation of Kaka`ako Makai Revenues is updated as per BOT approved Action Item RM #19-10, Approval of a second amendment to BOT #12-05 - Kaka'ako Makai properties to the Land Legacy Program, dated August 21, 2019.

[C] Kaka'ako Makai's Spending Limit is updated to take into consideration audited FY19 financial figures and FY20 Approved Realignment #1 budget figures.

# FY 2021 Total Operating Budget Adjustments

## FY 2021 Approved Total Operating Budget and Realignment

Total Operating Budget	FY 2021				
	Approved Budget	Approved Realignment #1	Proposed Realignment #2	Adjustments	Ref Page(s)
	(a)	(b)	(c)	(d) = ( c - b )	
<b>1. Core Operating Budget</b>					
Personnel (includes Fringe @ 63.08%)	\$17,136,197	\$17,136,197	\$16,029,911	(\$1,106,286)	
Non-Personnel	20,074,586	20,074,586	20,446,728	372,142	
<b>Sub-total 1:</b>	<b>\$37,210,783</b>	<b>\$37,210,783</b>	<b>\$36,476,639</b>	<b>(\$734,144)</b>	
(FY21) Proposed Mission Aligned & Strategic Beneficiary and Community Investments	0	0	1,904,079	1,904,079	
(FY21) COVID-19 Responses	0	0	1,000,000	1,000,000	
<b>Sub-total 2:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,904,079</b>	<b>\$2,904,079</b>	
<b>Sub-total (1 + 2) – Core Operating Budget:</b>	<b>\$37,210,783</b>	<b>\$37,210,783</b>	<b>\$39,380,718</b>	<b>\$2,169,935</b>	
<b>2. Fiscal Stabilization Fund (fka Fiscal Reserve)</b>					
FY20 Designation	\$0	\$0	3,000,000	\$3,000,000	
FY21 Designation	\$0	\$0	1,000,000	1,000,000	
<b>Sub-total – Fiscal Stabilization Fund:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,000,000</b>	<b>\$4,000,000</b>	
<b>3. Commercial Property</b>					
Kaka'ako Makai	\$2,600,704	\$2,600,704	\$2,610,204	\$9,500	
Nā Lama Kukui	5,506,460	5,506,460	5,848,644	342,184	
<b>Sub-total – Commercial Property:</b>	<b>\$8,107,164</b>	<b>\$8,107,164</b>	<b>\$8,458,848</b>	<b>\$351,684</b>	
<b>4. Federal Programs Budget</b>					
Halawa Luluku Interpretive Development	\$428,199	\$428,199	\$3,372,943	\$2,944,744	
Native Hawaiian Revolving Loan Fund	919,030	919,030	919,030	0	
<b>Sub-total – Federal Programs Budget:</b>	<b>\$1,347,229</b>	<b>\$1,347,229</b>	<b>\$4,291,973</b>	<b>\$2,944,744</b>	
<b>5. Special Programs Budget</b>					
<b>Legacy Properties</b>					
Palauea Culture Preserve	\$122,180	\$122,180	\$89,730	(\$32,450)	
Wao Kele O Puna Management Fund	204,308	204,308	250,510	46,202	
<b>Sub-total – Special-Legacy Properties:</b>	<b>\$326,488</b>	<b>\$326,488</b>	<b>\$340,240</b>	<b>\$13,752</b>	
<b>Special Programs Budget – Other</b>					
Hi'ilei Aloha & Subsidiaries	\$195,000	\$195,000	\$134,000	(\$61,000)	
Ho'okele Pono & Subsidiaries	0	0	61,000	61,000	
<b>Sub-total – Special – Other:</b>	<b>\$195,000</b>	<b>\$195,000</b>	<b>\$195,000</b>	<b>\$0</b>	
<b>Sub-total – Special Programs Budget:</b>	<b>\$521,488</b>	<b>\$521,488</b>	<b>\$535,240</b>	<b>\$13,752</b>	
<b>Total Operating Budget:</b>	<b>\$47,186,664</b>	<b>\$47,186,664</b>	<b>\$56,666,779</b>	<b>\$9,480,115</b>	

Core Budget

Non-Core Budget

**FY20 CORE BUDGET REALIGNMENT #2 TOTAL**

<b>EXPENSE CATEGORY</b>	<b>FY 2020 APPROVED CORE BUDGET (a)</b>	<b>FY 2020 APPROVED REALIGNMENT #1 CORE (b)</b>	<b>FY 2020 PROPOSED REALIGNMENT #2 CORE (c)</b>	<b>FY 20 ADJUSTMENTS (d) = ( c - b)</b>
Personnel & Fringe	\$16,905,440	\$16,933,809	\$15,699,730	<b>(\$1,234,079)</b>
Program	1,351,878	1,484,603	1,128,778	<b>(355,825)</b>
Contracts	4,296,719	5,988,759	5,506,759	<b>(482,000)</b>
Grants	9,660,921	9,660,921	12,315,000	2,654,079
Travel	511,087	571,667	433,667	<b>(138,000)</b>
Equipment	995,570	1,068,820	1,077,686	8,866
Overhead	2,964,382	3,046,958	2,668,917	<b>(378,041)</b>
Debt Service	580,047	580,047	580,047	0
Other - LLC	0	125,138	125,138	0
<b>Totals:</b>	<b>\$37,266,043</b>	<b>\$39,460,721</b>	<b>\$39,535,721</b>	<b>\$75,000</b>

**FY21 CORE BUDGET REALIGNMENT #2 TOTAL  
OVERVIEW (OPERATING, PROJECT, CAPITAL)**

CATEGORY	SUMMARY			REALIGN #2 REQUEST BREAKDOWN OF EXPENDITURE TYPE			
	Sum of FY21	FY21					
	APPROVED	REALIGN #2	FY21				FY21 REALIGN
	REALIGN #1	REQUEST	ADJUSTMENT				#2 REQUEST
	BUDGET AS IS	TOTAL	\$	FY21 OPERATING	FY21 PROJECT	FY21 CAPITAL	TOTAL
CONTRACTS	3,999,924	4,253,918	253,994	3,308,418	334,000	611,500	4,253,918
DEBT SERVICE	572,163	572,163	0	572,163	0	0	572,163
EQUIPMENT	1,027,036	1,062,488	35,452	870,488	0	192,000	1,062,488
GRANTS	9,635,921	9,605,921	(30,000)	9,605,921	0	0	9,605,921
OVERHEAD	3,134,555	3,215,155	80,600	3,215,155	0	0	3,215,155
PROGRAM	1,192,677	1,151,311	(41,366)	1,022,311	124,000	5,000	1,151,311
TRAVEL	512,309	585,771	73,462	535,771	50,000	0	585,771
PERSONNEL	17,136,197	16,029,911	(1,106,286)	16,029,911	0	0	16,029,911
Grand Total	37,210,783	36,476,639	(734,144)	35,160,139	508,000	808,500	36,476,639

**FY21 OPERATING COSTS: FY 21 APPROVED REALIGNMENT #1 &  
PROPOSED REALIGNMENT #2**

<b>Row Labels</b>	<b>Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS</b>	<b>Sum of FY21 REALIGN REQUEST</b>	<b>Sum of FY 21 ADJUSTMENT</b>
CONTRACTS	3,234,924	3,308,418	73,494
DEBT SERVICE	572,163	572,163	0
EQUIPMENT	828,036	870,488	42,452
GRANTS	9,635,921	9,605,921	(30,000)
OVERHEAD	3,134,555	3,215,155	80,600
PROGRAM	1,186,677	1,022,311	(164,366)
TRAVEL	512,309	535,771	23,462
PERSONNEL	17,136,197	16,029,911	(1,106,286)
<b>Grand Total</b>	<b>36,240,783</b>	<b>35,160,139</b>	<b>(1,080,644)</b>

**FY21 PROJECT-BASED COSTS: FY 21 APPROVED REALIGNMENT #1  
& PROPOSED REALIGNMENT #2**

<b>Row Labels</b>	<b>Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS</b>	<b>Sum of FY21 REALIGN REQUEST</b>	<b>Sum of FY 21 ADJUSTMENT</b>
CONTRACTS	140,000	334,000	194,000
PROGRAM	0	124,000	124,000
TRAVEL	0	50,000	50,000
<b>Grand Total</b>	<b>140,000</b>	<b>508,000</b>	<b>368,000</b>

**FY21 CAPITAL COSTS: FY 21 APPROVED REALIGNMENT #1 &  
PROPOSED REALIGNMENT #2**

<b>Row Labels</b>	<b>Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS</b>	<b>Sum of BR2 FY21 CAPITAL</b>	<b>Sum of FY 21 ADJUSTMENT</b>
CONTRACTS	625,000	611,500	(13,500)
EQUIPMENT	199,000	192,000	(7,000)
PROGRAM	6,000	5,000	(1,000)
<b>Grand Total</b>	<b>830,000</b>	<b>808,500</b>	<b>(21,500)</b>

Operating,  
Project, & Capital  
Total:

	37,210,783	36,476,639	(734,144)
--	------------	------------	-----------

**FY21 CORE - OPERATING - PROPOSED REALIGNMENT #2****FY21 BUDGET ADJUSTMENT REQUESTS - OPERATING COSTS - SUMMARY**

Row Labels	Sum of FY21 APPROVED	Sum of FY21	Sum of FY 21 ADJUSTMENT
	REALIGN #1 BUDGET AS IS	REALIGN REQUEST	
CONTRACTS	3,234,924	3,308,418	73,494
DEBT SERVICE	572,163	572,163	0
EQUIPMENT	828,036	870,488	42,452
GRANTS	9,635,921	9,605,921	(30,000)
OVERHEAD	3,134,555	3,215,155	80,600
PROGRAM	1,186,677	1,022,311	(164,366)
TRAVEL	512,309	535,771	23,462
PERSONNEL	17,136,197	16,029,911	(1,106,286)
<b>Grand Total</b>	<b>36,240,783</b>	<b>35,160,139</b>	<b>(1,080,644)</b>

## NOTE &gt;

Operating Costs are expenditures associated with provision of services in the day to day operations of OHA business.

	A	B	C	D
1	<b>FY21 CORE - OPERATING - PROPOSED REALIGNMENT #2</b>			
2	<b>FY21 BUDGET ADJUSTMENT REQUESTS REQUESTS - OPERATING COSTS - BY CATEGORY, BY EXPENDITURE</b>			
		<b>Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS</b>	<b>Sum of FY21 REALIGN REQUEST</b>	<b>Sum of FY 21 ADJUSTMENT</b>
7	<b>Row Labels</b>			
8	<b>CONTRACTS</b>	<b>3,234,924</b>	<b>3,308,418</b>	<b>73,494</b>
9	57110 SERVICES ON A FEE BASIS	2,399,924	2,553,418	153,494
10	57115 LEGAL SERVICES	835,000	755,000	(80,000)
11	<b>DEBT SERVICE</b>	<b>572,163</b>	<b>572,163</b>	<b>0</b>
12	59020 INTEREST EXPENSE	65,414	65,414	0
13	59030 PRINCIPAL EXPENSE	506,749	506,749	0
14	<b>EQUIPMENT</b>	<b>828,036</b>	<b>870,488</b>	<b>42,452</b>
15	55810 REPAIR & MAINTENANCE	693,386	704,938	11,552
16	58300 FURNITURE & FIXTURES	12,300	12,300	0
17	58400 SOFTWARE & EQUIPMENT	122,350	153,250	30,900
18	<b>GRANTS</b>	<b>9,635,921</b>	<b>9,605,921</b>	<b>(30,000)</b>
19	56510 GRANTS IN AID - PROGRAM GRANTS	830,000	830,000	0
20	56530 GRANTS IN AID - COMMUNITY GRANTS	3,700,000	3,700,000	0
21	56540 GRANTS IN AID - LEVEL II GRANTS	4,750,000	4,750,000	0
22	56560 GRANTS IN AID - SPONSORSHIPS	355,921	325,921	(30,000)
23	<b>OVERHEAD</b>	<b>3,134,555</b>	<b>3,215,155</b>	<b>80,600</b>
24	53100 OFFICE SUPPLIES	24,950	23,450	(1,500)
25	53200 OTHER SUPPLIES	18,900	16,300	(2,600)
26	53200- OTHER SUPPLIES	0	0	0
27	53750 POSTAGE	27,100	27,100	0
28	53810 TELEPHONE & RELATED SVCS	223,632	214,632	(9,000)
29	53850 CELLULAR PHONE	32,000	35,000	3,000
30	54150 PARKING VALIDATIONS	52,750	52,750	0
31	55010 ELECTRICITY	331,790	331,790	0
32	55200 WATER	3,766	3,766	0
33	55510 RENTAL OF LAND & BUILDING	1,182,571	1,181,071	(1,500)
34	55515 RENTAL OF LAND & BUILDING - CAM & MISC	722,377	722,377	0
35	55640 RENTAL OF EQUIPMENT	88,642	88,642	0
36	55910 INSURANCE	426,077	488,277	62,200
37	56810 SETTLEMENT - LAWSUITS	0	30,000	30,000
38	58200 LEASEHOLD IMPROVEMENTS	0	0	0
39	<b>PROGRAM</b>	<b>1,186,677</b>	<b>1,022,311</b>	<b>(164,366)</b>
40	53300 PROMOTIONAL ITEMS	3,500	4,500	1,000
41	53400 BOOKS & REFERENCE MATLS	4,725	4,300	(425)
42	53510 DUES	45,387	43,237	(2,150)
43	53520 SUBSCRIPTION	47,225	43,850	(3,375)
44	53610 FREIGHT & DELIVERY	2,900	2,300	(600)
45	53610- FREIGHT AND DELIVERY	0	0	0
46	53710 BULK MAIL	208,232	181,232	(27,000)
47	53910 PRINTING	171,388	185,122	13,734
48	54010 ADVERTISING	45,750	41,750	(4,000)
49	54190 AUTO ALLOWANCE	3,912	3,912	0
50	54850 ADA ACCOMMODATIONS	2,500	1,500	(1,000)

	A	B	C	D
1	<b>FY21 CORE - OPERATING - PROPOSED REALIGNMENT #2</b>			
2	<b>FY21 BUDGET ADJUSTMENT REQUESTS REQUESTS - OPERATING COSTS - BY CATEGORY, BY EXPENDITURE</b>			
		<b>Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS</b>	<b>Sum of FY21 REALIGN REQUEST</b>	<b>Sum of FY 21 ADJUSTMENT</b>
7	<b>Row Labels</b>			
51	55750 OTHER RENTALS	24,430	23,430	(1,000)
52	57120 HONORARIUM	49,350	44,950	(4,400)
53	57220 VOLUNTEER STIPEND	5,200	2,200	(3,000)
54	57240 OTHER EXPENSES	15,420	11,420	(4,000)
55	57250 SEMINAR/CONFERENCE FEES	61,308	77,758	16,450
56	57255 CONFERENCES, MEETINGS, EVENTS - ORG BY OHA	250,550	143,450	(107,100)
57	57256 CONFERENCES, MEETINGS, EVENTS - NOT ORG BY OHA	170,100	137,600	(32,500)
58	57270 PROTOCOL	10,000	5,000	(5,000)
59	57280 TRUSTEE ALLOWANCE REPORT	64,800	64,800	0
60	<b>TRAVEL</b>	<b>512,309</b>	<b>535,771</b>	<b>23,462</b>
61	54110 MILEAGE	2,059	2,359	300
62	54130 PARKING	8,702	8,852	150
63	54260 TRANSPORTATION - IN STATE	74,338	78,670	4,332
64	54310 SUBSISTENCE - IN STATE	104,670	112,040	7,370
65	54460 TRANSPORATION - OUT OF STATE	85,000	98,050	13,050
66	54510 SUBSISTENCE - OUT OF STATE	155,415	149,005	(6,410)
67	54610 CAR RENTAL - IN STATE	44,490	45,790	1,300
68	54620 CAR RENTAL - OUT OF STATE	24,800	22,550	(2,250)
69	54810 OTHER TRAVEL IN STATE	2,595	8,215	5,620
70	54820 OTHER TRAVEL OUT OF STATE	10,240	10,240	0
71	<b>PERSONNEL</b>	<b>17,136,197</b>	<b>16,029,911</b>	<b>(1,106,286)</b>
72	52100 PERSONNEL & FRINGE	17,136,197	16,029,911	(1,106,286)
73	<b>Grand Total</b>	<b>36,240,783</b>	<b>35,160,139</b>	<b>(1,080,644)</b>
74				
75	NOTE >			
76	Operating Costs are expenditures associated with provision of services in the day to day operations of OHA business.			



**FY21 CORE - OPERATING - PROPOSED REALIGNMENT #2**

**FY21 BUDGET ADJUSTMENT REQUESTS - PROJECT-BASED COSTS**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
<b>02. EXECUTIVE</b>	<b>125,000</b>	<b>269,000</b>	<b>144,000</b>
<b>2700 (SYSTEMS OFFICE)</b>	<b>0</b>	<b>94,000</b>	<b>94,000</b>
Native Hawaiian Programs Systemic Evaluation Work	0	54,000	54,000
Update of The Disparate Treatment of Native Hawaiians in the Criminal Justice System Report	0	40,000	40,000
<b>3600 (INFORMATION TECHNOLOGY)</b>	<b>125,000</b>	<b>175,000</b>	<b>50,000</b>
Oracle Fusion	125,000	175,000	50,000
<b>04. COMMUNITY ENGAGEMENT</b>	<b>0</b>	<b>124,000</b>	<b>124,000</b>
<b>4200 (DIGITAL &amp; PRINT MEDIA)</b>	<b>0</b>	<b>124,000</b>	<b>124,000</b>
Media Buyer	0	124,000	124,000
<b>05. RESEARCH</b>	<b>15,000</b>	<b>65,000</b>	<b>50,000</b>
<b>5100 (RESEARCH DIRECTOR)</b>	<b>15,000</b>	<b>65,000</b>	<b>50,000</b>
Hawaii Housing Study	0	30,000	30,000
Native Hawaiian Well-Being Survey	15,000	35,000	20,000
<b>06. ADVOCACY</b>	<b>0</b>	<b>50,000</b>	<b>50,000</b>
<b>6400 (COMPLIANCE ENFORCEMENT)</b>	<b>0</b>	<b>50,000</b>	<b>50,000</b>
Repatriation (International Travel)	0	50,000	50,000
<b>Grand Total</b>	<b>140,000</b>	<b>508,000</b>	<b>368,000</b>

NOTE >

Project-Based costs are expenditures for particular goals and deliverables having a beginning, middle and end and is for a duration over a period of 1-2 years

**FY21 CORE - OPERATING - PROPOSED REALIGNMENT #2**  
**FY21 BUDGET ADJUSTMENT REQUESTS - CAPITAL PROJECT**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
<b>06. ADVOCACY</b>	<b>0</b>	<b>55,000</b>	<b>55,000</b>
<b>6400 (COMPLIANCE ENFORCEMENT)</b>	<b>0</b>	<b>55,000</b>	<b>55,000</b>
Repatriation (Burial Vault)	0	55,000	55,000
<b>08. RESOURCE MANAGEMENT - LAND ASSETS</b>	<b>830,000</b>	<b>753,500</b>	<b>(76,500)</b>
<b>8303 (KUKANILOKO)</b>	<b>708,000</b>	<b>657,000</b>	<b>(51,000)</b>
KKL Master Plan Development	536,000	487,000	(49,000)
KKL Master Plan Implementation	172,000	170,000	(2,000)
<b>8304 (PAHUA HEIAU)</b>	<b>72,000</b>	<b>27,500</b>	<b>(44,500)</b>
Implementation of Preservation Plan	72,000	27,500	(44,500)
<b>8305 (WAILUA COURTHOUSE)</b>	<b>50,000</b>	<b>69,000</b>	<b>19,000</b>
Parking Lot Repair	50,000	69,000	19,000
<b>Grand Total</b>	<b>830,000</b>	<b>808,500</b>	<b>(21,500)</b>

**FY21 NONCORE BUDGET REALIGNMENT #2 TOTAL  
OVERVIEW**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
3800 (LLC HIILEI ALOHA)	195,000	134,000	(61,000)
3800 (LLC HOOKELE PONO)	0	61,000	61,000
4410 (HLID)	428,199	3,372,943	2,944,744
4420 (NHRLF)	919,030	919,030	0
8210 (KAKAAKO MAKAI)	2,600,704	2,607,088	6,384
8220 (NA LAMA KUKUI)	5,506,460	5,848,644	342,184
8310 (PALAUEA CULTURE PRESERVE)	122,180	89,730	(32,450)
8320 (WAO KELE O PUNA)	204,308	250,510	46,202
<b>Grand Total</b>	<b>9,975,881</b>	<b>13,282,945</b>	<b>3,307,064</b>

**FY21 NONCORE BUDGET REALIGNMENT #2**  
**FY21 Budget Adjustment Request - OHA FUNDED LLC**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
3800 (LLC HIILEI ALOHA)	195,000	134,000	(61,000)
3800 (LLC HOOKELE PONO)	0	61,000	61,000
Grand Total	195,000	195,000	0

**FY21 NONCORE BUDGET REALIGNMENT #2**

**FY21 Budget Adjustment Request - COMMERCIAL PROPERTY**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
PERSONNEL	283,724	384,492	100,768
PROGRAM	439,532	403,332	(36,200)
CONTRACTS	1,224,502	1,274,502	50,000
DEBT SERVICE	2,510,952	2,510,952	0
TRAVEL	0	0	0
EQUIPMENT	1,998,778	2,152,778	154,000
OVERHEAD	1,649,676	1,729,676	80,000
Grand Total	8,107,164	8,455,732	348,568

**FY21 NONCORE BUDGET REALIGNMENT #2**

**FY21 Budget Adjustment Request -8210 (KAKAAKO MAKAI)**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
PERSONNEL	283,724	280,608	(3,116)
PROGRAM	59,475	18,975	(40,500)
CONTRACTS	665,000	715,000	50,000
TRAVEL	0	0	0
EQUIPMENT	945,200	945,200	0
OVERHEAD	647,305	647,305	0
Grand Total	2,600,704	2,607,088	6,384

**FY21 NONCORE BUDGET REALIGNMENT #2**

**FY21 Budget Adjustment Requests -8220 (NA LAMA KUKUI)**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
PERSONNEL		103,884	103,884
PROGRAM	380,057	384,357	4,300
CONTRACTS	559,502	559,502	0
EQUIPMENT	1,053,578	1,207,578	154,000
OVERHEAD	1,002,371	1,082,371	80,000
DEBT SERVICE	2,510,952	2,510,952	0
Grand Total	5,506,460	5,848,644	342,184

**FY21 NONCORE BUDGET REALIGNMENT #2**  
**FY21 Budget Adjustment Request - LEGACY LANDS**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
PROGRAM	12,550	7,050	(5,500)
CONTRACTS	122,000	135,500	13,500
TRAVEL	12,840	12,840	0
EQUIPMENT	124,298	175,850	51,552
GRANTS	45,000	0	(45,000)
OVERHEAD	9,800	9,000	(800)
Grand Total	326,488	340,240	13,752

**FY21 NONCORE BUDGET REALIGNMENT #2**  
**FY21 Budget Adjustment Request -8310 (PALAUEA CULTURE PRESERVE)**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
PROGRAM	3,000	2,500	(500)
CONTRACTS	42,000	42,000	0
GRANTS	35,000	0	(35,000)
TRAVEL	4,680	4,680	0
EQUIPMENT	28,500	31,550	3,050
OVERHEAD	9,000	9,000	0
Grand Total	122,180	89,730	(32,450)

**FY21 NONCORE BUDGET REALIGNMENT #2**  
**FY21 Budget Adjustment Request - 8320 (WAO KELE O PUNA)**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
PROGRAM	9,550	4,550	(5,000)
CONTRACTS	80,000	93,500	13,500
GRANTS	10,000	0	(10,000)
TRAVEL	8,160	8,160	0
EQUIPMENT	95,798	144,300	48,502
OVERHEAD	800	0	(800)
Grand Total	204,308	250,510	46,202

**FY21 NONCORE BUDGET REALIGNMENT #2**  
**FY21 Budget Adjustment Request - FEDERAL FUNDED**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
PERSONNEL	779,582	703,286	(76,296)
PROGRAM	114,191	110,491	(3,700)
CONTRACTS	339,959	3,374,959	3,035,000
TRAVEL	37,045	37,045	0
EQUIPMENT	1,250	600	(650)
OVERHEAD	75,202	65,592	(9,610)
Grand Total	1,347,229	4,291,973	2,944,744

**FY21 NONCORE BUDGET REALIGNMENT #2**  
**FY21 Budget Adjustment Request -4410 (HLID)**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
PERSONNEL	318,770	242,474	(76,296)
PROGRAM	8,200	4,500	(3,700)
CONTRACTS	63,860	3,098,860	3,035,000
EQUIPMENT	1,250	600	(650)
OVERHEAD	36,119	26,509	(9,610)
Grand Total	428,199	3,372,943	2,944,744

**FY21 NONCORE BUDGET REALIGNMENT #2**  
**FY21 Budget Adjustment Request -4420 (NHRLF)**

Row Labels	Sum of FY21 APPROVED REALIGN #1 BUDGET AS IS	Sum of FY21 REALIGN REQUEST	Sum of FY 21 ADJUSTMENT
PERSONNEL	460,812	460,812	0
PROGRAM	105,991	105,991	0
CONTRACTS	276,099	276,099	0
OVERHEAD	39,083	39,083	0
TRAVEL	37,045	37,045	0
Grand Total	919,030	919,030	0



## Supporting Documentation for HLID FY 2021 Realignment Request

Halawa-Luluku Interpretive Development

FY 2021 Contracts Budget

Listing of Budgeted Items in Services on a Fee Basis

<u>ITEM#</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>
1	<b>HALAWA</b> - Construction Fee (Includes: General Contractor, Construction Manager, Project Contingency)	1,500,000.00
2	<b>LULUKU</b> - Construction Fee (Includes: General Contractor, Construction Manager, Environmental Contractor, Project Contingency)	1,500,000.00
3	<b>LULUKU</b> - Archaeologist (to complete Luluku Preservation Plan and Monitoring, Plan, Report)	40,000.00
4	<b>HALAWA</b> - Cultural Monitor	25,000.00
5	<b>LULUKU</b> - Cultural Monitor	25,000.00
6	OHA Financial Audit - 10% increase from previous FY (\$8,054 +10%)	8,860.00
	TOTAL	3,098,860.00

Note: This work was previously projected and approved in FY 2020 Budget but did not occur due to unforeseen project delays (HDOT/FHWA approval, changing needs of community, etc.). Scope for design and construction has been refined and amounts for line items changed and will transfer to FY 2021 Budget via Realignment.

**FY21 Mission Aligned & Strategic Beneficiary and Community Investments via Grants, Contracts and Sponsorships  
Various Programs**

**Classification I - Beneficiary and Community Investments via Grants Subject to HRS 10-17 Process**

Description	General Funds	Trust Funds	FN	Initial Total	FY21 Addition	FN	Adjusted Total
<b>Account: 56510 Program</b>							
<b>A. Social Services</b>	\$ 415,000	\$ 415,000		\$ 830,000	\$ -		\$ 830,000
<b>Account: 56530 Community</b>							
<b>A. Education - Higher Education</b>	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
B. Culture	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
C. Health	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
D. Education	\$ 250,000	\$ 250,000		\$ 500,000	\$ -		\$ 500,000
E. Housing	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
F. Income	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
G. Land	\$ -	\$ 500,000		\$ 500,000	\$ -		\$ 500,000
Sub-total	\$ 250,000	\$ 2,750,000		\$ 3,000,000	\$ -		\$ 3,000,000
H. 'Ahahui	\$ -	\$ 200,000		\$ 200,000	\$ -		\$ 200,000
<b>Total 56530 Community</b>	\$ 250,000	\$ 3,450,000		\$ 3,700,000	\$ -		\$ 3,700,000
<b>Account: 56540 Level II</b>							
A. Kulia	\$ -	\$ 250,000		\$ 250,000	\$ 250,000	[a]	\$ 500,000
B. Charter Schools	\$ 365,000	\$ 365,000		\$ 730,000	\$ -		\$ 730,000
		\$ 770,000		\$ 770,000	\$ -		\$ 770,000
		\$ 1,135,000		\$ 1,500,000	\$ -		\$ 1,500,000
C. DHHL	\$ -	\$ 3,000,000		\$ 3,000,000	\$ -		\$ 3,000,000
<b>Total 56540 Level II</b>	\$ 365,000	\$ 4,385,000		\$ 4,750,000	\$ 250,000		\$ 5,000,000
<b>Account: 56550 Sponsorships</b>							
A. BOT	\$ -	\$ 135,000	[b]	\$ 135,000	\$ -		\$ 135,000
B. Kaiaulu (fka CEO)	\$ -	\$ 70,921	[b]	\$ 70,921	\$ -		\$ 70,921
<b>Total 56550 Sponsorships</b>	\$ -	\$ 205,921		\$ 205,921	\$ -		\$ 205,921
Sub-total:	\$ 1,030,000	\$ 8,455,921		\$ 9,485,921	\$ 250,000		\$ 9,735,921
<b>FY21 Grants Recommendations - Via Competitive Process</b>							
A. COVID-19 Responses	\$ -	\$ -		\$ -	\$ 500,000	[c]	\$ 500,000
B. Homestead Community Grants	\$ -	\$ -		\$ -	\$ 250,000	[d]	\$ 250,000
C. Charter School Major Repairs & Maintenance	\$ -	\$ -		\$ -	\$ 500,000	[e]	\$ 500,000
D. Iwi Kupuna Repatriation and Reinternment Community Grants	\$ -	\$ -		\$ -	\$ 144,079	[f]	\$ 144,079
E. NH Teacher Education & Professional Development	\$ -	\$ -		\$ -	\$ 250,000	[g]	\$ 250,000
F. Community & 'Ohana Based Program Grants	\$ -	\$ -		\$ -	\$ 250,000	[h]	\$ 250,000
<b>Total FY21 Grant Recommendations</b>	\$ -	\$ -		\$ -	\$ 1,894,079		\$ 1,894,079
<b>Grand Total - Grants</b>	\$ 1,030,000	\$ 8,455,921		\$ 9,485,921	\$ 2,144,079		\$ 11,630,000
Program Sponsorships in Community Education				\$ 45,000			
Program Sponsorships in Advocacy				\$ 65,000			
				\$ 9,595,921			

**Footnotes:**

[a] - To increase Kulia grants amount to pilot amount of \$500,000.

[b] - Pending Ad Hoc Committee on Grants and Sponsorships recommendation and Board action.

[c] - To provide additional beneficiary supports as a result of the impacts of COVID-19 (e.g., food, living and household items, testing, economic recovery investments); final recommendation and approval to be presented to the Board based on assessment.

[d] - To provide homestead community grant opportunities to strengthen homestead beneficiaries, families and communities.

[e] - To strengthen and improve Hawaiian focused charter school learning environment(s) via facilities repair, maintenance, replacement.

[f] - To support community based iwi kupuna repatriation and reinternment efforts.

[g] - To increase Native Hawaiian teacher recruitment and retention in kaiaupuni and Hawaiian focused charter schools.

[h] - To support 'ohana-based programming that strengthen Native Hawaiian well-being, including physical, spiritual, mental and emotional health. Note: includes programming that promote prevention and intervention to address mental, physical, and substance abuse issues.

**FY21 Mission Aligned & Strategic Beneficiary and Community Investments via Grants, Contracts and Sponsorships  
Various Programs**

**Classification II - Beneficiary and Community Investments via Procurement Subject to HRS 103D**

	<b><u>General Funds</u></b>	<b><u>Trust Funds</u></b>	<b><u>Initial Total</u></b>	<b><u>FY21 Addition</u></b>	<b><u>FN</u></b>	<b><u>New Total</u></b>
A. Legal Proviso - Professional Services	\$ 524,000	\$ 524,000	\$ 1,048,000	\$ 250,000	[a]	\$ 1,298,000
B. Media Buy/Contract - Media buyer contract includes Merrie Monarch \$25k, KS Song Contest \$10k, Hokus \$10k, civic engagement \$20k, advocacy	\$ -	\$ 124,000	\$ 124,000	\$ -		\$ 124,000
C. Media Buy/Contract - Keiki Hula	\$ -	\$ 25,000	\$ 25,000	\$ -		\$ 25,000
D. Contract - Ka Wai Ola - Production & Delivery	\$ -	\$ 356,664	\$ 356,664	\$ -		\$ 356,664
<b>Grand Total - Contracts</b>	<b>\$ 524,000</b>	<b>\$ 1,029,664</b>	<b>\$ 1,553,664</b>	<b>\$ 250,000</b>		<b>\$ 1,803,664</b>

**Footnotes:**

[a] - Increase beneficiary and issue (e.g., water, land, iwi kupuna, eviction) supports

**FY21 Mission Aligned & Strategic Beneficiary and Community Investments via Grants, Contracts and Sponsorships  
Various Programs**

**Classification III - Beneficiary and Community Investments via Sponsorships**

	<u>General Funds</u>	<u>Trust Funds</u>	<u>FN</u>	<u>Initial Total</u>	<u>FY21 Addition</u>	<u>FN</u>	<u>New Total</u>
<b>Community Engagement</b>							
A. Coalition Building	\$ -	\$ 20,000		\$ 20,000			\$ 20,000
B. Association of Hawaiian Civic Clubs	\$ -	\$ 10,000		\$ 10,000			\$ 10,000
C. Council for Native Hawaiian Advancement	\$ -	\$ 10,000		\$ 10,000			\$ 10,000
D. Onipa'a Celebration	\$ -	\$ 5,000		\$ 5,000			\$ 5,000
<b>Total - Community Engagement</b>	<b>\$ -</b>	<b>\$ 45,000</b>		<b>\$ 45,000</b>	<b>\$ -</b>		<b>\$ 45,000</b>
<b>Advocacy</b>							
A. NH Congressional Fellowship	\$ -	\$ 50,000		\$ 50,000			\$ 50,000
B. Papahānaumokuākea MNM	\$ -	\$ 15,000		\$ 15,000			\$ 15,000
<b>Sub-total</b>	<b>\$ -</b>	<b>\$ 65,000</b>		<b>\$ 65,000</b>	<b>\$ -</b>		<b>\$ 65,000</b>
C. Alaska Federation of Natives	\$ -	\$ 5,000		\$ 5,000			\$ 5,000
D. National Congress of American Indians	\$ -	\$ 5,000		\$ 5,000			\$ 5,000
E. National Indian Education Association	\$ -	\$ 5,000		\$ 5,000			\$ 5,000
F. National Museum of the American Indians	\$ -	\$ 5,000		\$ 5,000			\$ 5,000
G. Pacific Day - NZ Embassy	\$ -	\$ 3,000		\$ 3,000			\$ 3,000
<b>Sub-total</b>	<b>\$ -</b>	<b>\$ 23,000</b>		<b>\$ 23,000</b>			<b>\$ 23,000</b>
<b>Total - Advocacy</b>	<b>\$ -</b>	<b>\$ 88,000</b>		<b>\$ 88,000</b>	<b>\$ -</b>		<b>\$ 88,000</b>
<b>Community Engagement</b>							
Nā Mamo Makamae o Ka Po'e Hawai'i: Living Treasures of the Hawaiian People	\$ -	\$ -		\$ -	\$ 15,000	[b]	\$ 15,000
Moanalua Gardens Foundation/Prince Lot Hula Festival	\$ -	\$ -		\$ -	\$ 10,000	[c]	\$ 10,000
<b>Grand Total - Sponsorships</b>	<b>\$ -</b>	<b>\$ 133,000</b>		<b>\$ 133,000</b>	<b>\$ 25,000</b>		<b>\$ 158,000</b>

[b] - Biennial recognition of living treasures recognizes individuals and groups in Hawai'i and on the continent who have contributed to the preservation and perpetuation of Hawaiian cultural and artistic traditions and properties (2017,2019)

**2017:** Patience Nāmaka Bacon of O'ahu – for hula; Josephine Fergerstrom of Hawai'i Island – for lauhala weaving; Sam Ka'ai of Maui – for carving; Marie McDonald of Hawai'i Island – for lei making and kapa making; Nainoa Thompson of O'ahu – for navigating; two posthumous awards are awarded to: Elizabeth Malu'ihī Ako Lee of Hawai'i Island – for lauhala weaving; Abraham "Puhipau" Ahmad of Hawai'i Island – for videography, documentary.

**2019:** Doreen Henderson – 2018 Lei Hulu Master; Florence Pualeipoinaole "'Anakē Lolena" Nicholas – 2018 'Ōlelo Hawai'i & Pūnana Leo Pioneer; 'Umi Kai – 2019 Haku Hana No'eau, Makahiki, 'Ōlohe Ku'ialua; Dr. Pualani Kanaka'ole Kanahēle – 2019 Loea Hula, 'Ike Kūpuna, and Papakū Makawalu Methodology; and Jerry Walker – 2018 'Ōlohe Ku'ialua

[c] - Previously listed as a legacy sponsorship in the past fiscal biennium.

Core Budget	FTE			BOT Approved Budget		FTE			Realignment #1		FTE			Realignment #2		Adjustments	
	# FTE	Frozen	Active	FY 20	FY 21	Reassigned	Unfreeze	Active	FY 20	FY 21	Reassigned	Addtl Frozen	Active	FY 20	FY 21	FY 20	FY 21
Salaries & Fringe:																	
Board of Trustee Offices	29	0	29	\$2,848,572	\$2,905,543	0	0	29	\$ 2,852,045	\$ 2,905,543	0	0	29	\$ 2,813,822	\$ 2,771,433	\$ (38,223)	\$ (134,111)
Executive Offices	34	-7	27	3,596,778	3,664,153	10	7	44	4,578,377	3,664,153	0	-6	38	4,262,444	4,461,645	(315,934)	797,493
Financial Assets	29	-1	28	2,872,967	2,833,639	-7	0	21	1,945,335	2,833,639	0	-1	20	1,642,768	1,933,193	(302,567)	(900,446)
Community Engagement	30	0	30	2,658,836	2,712,011	-2	0	28	2,550,409	2,712,011	0	-1	27	2,294,741	2,415,979	(255,668)	(296,032)
Research	16	-2	14	1,493,052	1,522,913	0	0	14	1,489,015	1,522,913	0	-1	13	1,438,281	1,338,487	(50,734)	(184,426)
Advocacy	23	-1	22	2,440,221	2,489,026	0	0	22	2,411,943	2,489,026	0	-2	20	2,157,833	2,133,577	(254,110)	(355,449)
Land Assets	8	0	8	695,013	708,911	-1	0	7	806,684	708,911	-1	0	6	789,841	675,595	(16,843)	(33,316)
Student Helper Program				104,050	104,050				104,050	104,050				104,050	104,050	0	0
Sub-total Salaries & Fringe:	169	-11	158	\$16,709,490	\$16,940,247	0	7	165	\$ 16,737,859	\$ 16,940,247	-1	-11	153	\$ 15,503,779	\$ 15,833,961	\$ (1,234,079)	\$ (1,106,286)
Reserves																	
Vacation Payouts				\$150,000	\$150,000				\$150,000	\$150,000				\$150,000	\$150,000	\$0	\$0
Overtime				10,000	10,000				10,000	10,000				10,000	10,000	0	0
Workers' Compensation				5,950	5,950				5,950	5,950				5,950	5,950	0	0
Continuing Education Program				30,000	30,000				30,000	30,000				30,000	30,000	0	0
Sub-total Reserves				\$195,950	\$195,950				\$ 195,950	\$ 195,950				\$ 195,950	\$ 195,950	\$0	\$0
Total Core Personnel Budget:				\$16,905,440	\$17,136,197				\$ 16,933,809	\$ 17,136,197				\$ 15,699,730	\$ 16,029,911	\$ (1,234,079)	\$ (1,106,286)

Non-Core Budget	FTE			BOT Approved Budget		FTE			Realignment #1		FTE			Realignment #2		Adjustments	
	# FTE	Frozen	Active	FY 20	FY 21	Reassigned	Unfreeze	Active	FY 20	FY 21	Reassigned	Addtl Frozen	Active	FY 20	FY 21	FY 20	FY 21
Salaries & Fringe:																	
NHRLF	5	-1	4	\$451,776	\$460,812	0	0	4	\$ 451,776	\$ 460,812	0	0	4	\$ 451,776	\$ 460,812	\$0	\$0
HLID	3	0	3	312,472	318,770	0	0	3	312,472	318,770	0	0	3	312,472	242,474	0	(76,296)
Commercial Property	2	0	2	278,161	283,724	0	0	2	363,265	283,724	1	0	3	363,265	384,492	0	100,768
Total Non-Core Personnel Budget:	10	-1	9	\$1,042,409	\$1,063,306	0	0	9	\$ 1,127,513	\$ 1,063,306	1	0	10	\$ 1,127,513	\$ 1,087,778	\$0	\$ 24,472
Grant Total	179	-12	167	\$17,751,899	\$18,003,553	0	7	174	\$ 17,865,372	\$ 18,003,553	0	-11	163	\$ 16,631,292	\$ 16,921,739	\$ (1,234,079)	\$ (1,081,814)

SUMMARY OF CORE POSITIONS BY OPERATING UNIT

		FILLED	FROZEN			VACANCY			VACANCY BY TYPE			
	Total Authorized	Active		Addtl	Total	89-Day		Total		Non	Total	
Paia	At BR #1	Filled	Frozen	Frozen	Frozen	Hire	Vacant	Vacant	Essential	Essential	Vacant	
Board of Trustees												
BOT	9	9	0	0	0	0	0	0	0	0	0	
BOT Staff	20	19	0	0	0	0	1	1	0	1	1	
Sub-total BOT	29	28	0	0	0	0	1	1	0	1	1	
Executive Offices												
CEO	7	4	0	2	2	0	1	1	0	1	1	
COO	2	1	0	0	0	0	1	1	1	0	1	
Corp Counsel	5	5	0	0	0	0	0	0	0	0	0	
Information Technology	8	7	0	1	1	0	0	0	0	0	0	
Human Resouces	3	2	0	0	0	1	0	1	1	0	1	
Systems Office	6	3	0	2	2	0	1	1	0	1	1	
Facilities/Operations Support	4	1	0	1	1	1	1	2	1	1	2	
Grants	9	6	0	0	0	0	3	3	0	3	3	
Sub-total Executive Offices	44	29	0	6	6	2	7	9	3	6	9	
Resources Management - Financial Assets												
Financial Services	11	10	0	0	0	0	1	1	1	0	1	
Procurement	7	5	0	0	0	1	1	2	1	1	2	
Investments	2	1	0	1	1	0	0	0	0	0	0	
Consumer Micro Loan	1	1	0	0	0	0	0	0	0	0	0	
Sub-total RMFA	21	17	0	1	1	1	2	3	2	1	3	
Community Engagement												
CE Director	2	1	0	0	0	0	1	1	0	1	1	
Digital and Print Media	7	5	0	0	0	0	2	2	0	2	2	
Community Outreach	18	17	0	1	1	0	0	0	0	0	0	
Hawaiian Registry	1	1	0	0	0	0	0	0	0	0	0	
Sub-total CE	28	24	0	1	1	0	3	3	0	3	3	
Research												
Research Director	2	1	0	0	0	0	1	1	0	1	1	
Land, Culture and History	7	6	0	0	0	0	0	0	0	0	0	
Demography	1	1	1	0	1	0	0	0	0	0	0	
Special Projects	6	3	1	1	2	0	1	1	0	1	1	
Sub-total Research	16	11	2	1	3	0	2	2	0	2	2	
Advocacy												
Chief Advocate	2	2	0	0	0	0	0	0	0	0	0	
Compliance Enforcement	8	5	1	1	2	0	1	1	0	1	1	
Public Policy	9	5	0	0	0	1	3	4	1	3	4	
Papahanaumokukea	2	1	0	1	1	0	0	0	0	0	0	
WADC	2	2	0	0	0	0	0	0	0	0	0	
Sub-total Advocacy	23	15	1	2	3	1	4	5	1	4	5	
Resource Management - Land Assets												
Land Director	2	1	0	0	0	0	1	1	1	0	1	
Legacy Land	4	3	0	0	0	0	1	1	0	1	1	
Facilities	1	1	0	0	0	0	0	0	0	0	0	
Sub-total RMLA	7	5	0	0	0	0	2	2	1	1	2	
Grand Total	168	129	3	11	14	4	21	25	7	18	25	
						Vacancy Rate			15%	28%	72%	100%

# **Personnel - Vacancies and Frozen Positions**

Vacant Position		Essential	Non-essential
1	TRUSTEE AIDE		1
2	COMPLIANCE OFFICER (SENIOR INTERNAL AUDITOR)		1
3	CHIEF OPERATING OFFICER	1	
4	SYSTEMS EVALUATOR		1
5	FACILITIES MANAGER		1
6	INTAKE AND REFERRAL SPECIALIST		1
7	HUMAN RESOURCE DIRECTOR	1	
8	GRANTS SPECIALIST LEAD		1
9	GRANTS SPECIALIST IV		1
10	GRANTS ASSISTANT		1
11	CHIEF FINANCIAL OFFICER	1	
12	PROCUREMENT MANAGER	1	
13	PROCUREMENT SPECIALIST	1	
14	DIGITAL MEDIA SPECIALIST		1
15	CE EXECUTIVE ASSISTANT		1
16	COMMUNICATIONS SPECIALIST III		1
17	RESEARCH EXECUTIVE ASSISTANT		1
18	SPECIAL PROJECTS RESEARCH ANALYST		1
19	COMPLIANCE SPECIALIST III		1
20	PUBLIC POLICY MANAGER	1	
21	PUBLIC POLICY ADVOCATE III		1
22	PUBLIC POLICY ADVOCATE III		1
23	PUBLIC POLICY ADVOCATE III		1
24	LAND ASSETS DIVISION DIRECTOR	1	
25	LEGACY LAND MANAGER		1
<b>TOTAL VACANT POSITION</b>		<b>7</b>	<b>18</b>

Frozen Position		Essential	Non-essential
1	SENIOR EXECUTIVE ASSISTANT TO CEO		1
2	ORGANZATIONAL DEVELOPMENT & TRNG SPECIALIST		1
3	SYSTEM RESEARCHER (PROGRAM IMPROVEMENT SPECIALIST)		1
4	PERFORMANCE MANAGEMENT SPECIALIST		1
5	INTAKE AND REFERRAL SPECIALIST		1
6	INFORMATION SYSTEMS SPECIALIST		1
7	SENIOR INVESTMENT ANALYST		1
8	COMMUNITY OUTREACH COORDINATOR I		1
9	LAND, CULTURE AND HISTORY RESEARCH ANALYST		1
10	SPECIAL PROJECTS RESEARCH MANAGER		1
11	SPECIAL PROJECTS RESEARCH ANALYST		1
12	COMPLIANCE SPECIALIST III		1
13	COMPLIANCE SPECIALIST IV		1
14	PAPAHANAUMOKUAKEA MANAGER		1
<b>TOTAL FROZEN POSITION</b>		<b>0</b>	<b>14</b>

Summary of COVID-19 Contingencies  
FY21

<u>Classification</u>	<u>Amount</u>	<u>Description</u>
Grants	\$ 500,000	To provide additional beneficiary supports as a result of the impacts of COVID-19 (e.g., food, living and household items, testing, economic recovery investments); final recommendation and approval to be presented to the Board.
Operational Requirements, Responses re: COVID-19	\$ 500,000	To address operational needs, requirements and responses due to COVID-19 (e.g., personal protection equipment, masks, barriers, supplies, services, Boardroom design, renovation, equipment, technology) based on operational and safety plan.
Total	<u>\$ 1,000,000</u>	



<b>SECTION B. OPERATIONAL UPDATES .....</b>	<b>B-1</b>
<b>1. FINANCIAL STATEMENTS AS OF AND FOR THE NINE-MONTH PERIOD ENDING MARCH 31, 2020 ..</b>	<b>B-2</b>
• STATEMENT OF NET POSITION AS OF MAR 31, 2020.....	B-2
• STATEMENT ACTIVITIES FOR THE 9-MOS PERIOD ENDED MAR 31, 2020 .....	B-4
• BUDGET VARIANCE REPORT FOR THE 9-MOS PERIOD ENDED MAR 31, 2020.	B-5
<b>2. STATUS UPDATE OF FY18-FY19 GRANTS AND FY20-FY21 AWARDED GRANTS .....</b>	<b>B-18</b>
• GRANTS – ACTIVE, IN PROCESS, CLOSEOUT .....	B-18
• GRANTS – RECENTLY CLOSED .....	B-25
<b>3. LEGACY LANDS PROGRAM.....</b>	<b>B-31</b>
• OVERVIEW OF KEY ACTIVITIES ON EACH OF THE PROPERTIES.....	B-31
• KUKANILOKO - EXECUTIVE SUMMARY- CONCEPTUAL MASTER PLAN.....	B-40
<b>4. COMMERCIAL PROPERTY.....</b>	<b>B-45</b>
• KAKA’AKO MAKAI - EXECUTIVE SUMMARY MARCH 31, 2020 .....	B-45
• NA LAMA KUKUI - EXECUTIVE SUMMARY MARCH 31, 2020.....	B-47
• NA LAMA KUKUI – LONG TERM LIABILITIES, EXCERPT FROM JUNE 30, 2019 AUDIT REPORT.....	B-49
<b>5. LIMITED LIABILITY COMPANIES.....</b>	<b>B-50</b>
• PROGRESS OF WINDDOWN ACTIVITIES .....	B-50
<b>6. NATIVE HAWAIIAN REVOLVING LOAN FUND (NHRLF).....</b>	<b>B-51</b>
<b>7. CONSUMER MICRO-LOAN PROGRAM (CMLP).....</b>	<b>B-63</b>
• CMLP PERFORMING REPORT AS OF APRIL 30, 2020 .....	B-64
• CMLP CHARGE OFF HISTORY REPORT AS OF MAY 26, 2020 .....	B-67
<b>8. INVESTMENT NOTES FROM MAY 7, 2020, PRESENTATION TO RM COMMITTEE BY SEGAL MARCO ADVISORS.....</b>	<b>B-72</b>
<b>9. PROJECTION SCENARIO PREPARED BY SPIRE .....</b>	<b>B-73</b>

# OHA BALANCE SHEET (unaudited)

Period: MAR-20FY2020

Ledger: OHA\_PRM\_LDG

Currency: USD

	GENERAL FUNDS	TRUST FUNDS	HAWAIIAN PROJECT FUNDS	FEDERAL FUNDS	ACCOUNT GROUP	TOTAL
<b>ASSETS</b>						
Petty Cash	-	\$700.00	-	-	-	\$700.00
Cash in State Treasury	\$1,822,842.70	\$2,553,963.57	-	-	-	\$4,757,510.15
Cash held outside of State Treasury	-	\$14,545,684.47	\$85,863.85	\$5,208,129.14	-	\$19,458,973.58
Interfund Assets	-	-	-	-	-	\$0.00
Accounts Receivable	-	\$1,259,442.34	\$13,171.24	\$36,363.83	-	\$1,308,977.41
Interest & Dividends Receivable	-	\$1,671.79	-	\$70,949.41	-	\$72,621.20
Notes Receivable	\$45,000.00	\$1,226,681.24	-	\$7,643,919.74	-	\$8,915,600.98
Allowance for Doubtful Accounts	(\$45,000.00)	(\$1,001,462.48)	-	(\$1,232,507.83)	-	(\$2,278,970.31)
Prepaid Expenses	-	-	-	-	-	\$0.00
Security Deposit	-	\$3,305.52	-	-	-	\$3,305.52
NHTF Investments (Market Value)	-	\$353,000,851.59	-	-	-	\$353,000,851.59
NHRLF Investments (Market Value)	-	-	-	\$14,201,413.23	-	\$14,201,413.23
NHRLF Premium (Discount) Carrying	-	-	-	(\$8,490.06)	-	(\$8,490.06)
Accr Interest Paid - Bond Purchase	-	-	-	\$3,688.27	-	\$3,688.27
Land	-	-	-	-	\$213,961,980.60	\$213,961,980.60
Building	-	-	-	-	\$23,708,948.90	\$23,708,948.90
Leasehold Improvements	-	-	-	-	\$6,215,250.92	\$6,215,250.92
Furniture & Fixture	-	-	-	-	\$3,242,490.68	\$3,242,490.68
Software & Equipment	-	-	-	-	\$2,537,732.59	\$2,537,732.59
Artwork	-	-	-	-	\$10,000.00	\$10,000.00
Asset Under Capital lease	-	-	-	-	\$443,274.29	\$443,274.29
Pro for LT Debt - Per Lease Rent	-	-	-	-	\$25,856,968.74	\$25,856,968.74
Prov for Accr Vacation & Comp Time	-	-	-	-	\$1,423,128.10	\$1,423,128.10
Prov for Est Claims & Judgements	-	-	-	-	\$47,818.42	\$47,818.42
Prov for Cap Lease Payments	-	-	-	-	\$132,268.60	\$132,268.60
CIP	-	-	-	-	\$21,040.52	\$21,040.52
<b>TOTAL ASSETS</b>	<b>\$1,822,842.70</b>	<b>\$371,590,838.04</b>	<b>\$99,035.09</b>	<b>\$25,923,465.73</b>	<b>\$277,600,902.36</b>	<b>\$677,037,083.92</b>

**OHA BALANCE SHEET (unaudited)**

Period: MAR-20FY2020

Ledger: OHA\_PRN\_LDG

Currency: USD

	GENERAL FUNDS	TRUST FUNDS	HAWAIIAN PROJECT FUNDS	FEDERAL FUNDS	ACCOUNT GROUP	TOTAL
<b>LIABILITIES</b>						
Accounts and Other Payables	-	\$1,844,735.19	\$166.10	\$1,353.29	-	\$1,846,254.58
Accrued Payroll	\$90,388.83	\$1,154,555.57	-	\$42,223.67	-	\$1,287,168.07
Due to State of Hawaii	-	(\$2,000.00)	-	\$300,000.00	-	\$298,000.00
Deposits Payable	-	\$1,480,851.08	-	-	-	\$1,480,851.08
Unearned Revenue	-	-	(\$400.00)	-	-	(\$400.00)
Accrued Vacation & Comp Time	-	-	-	-	\$1,423,128.10	\$1,423,128.10
Estimated Claims & Judgements	-	-	-	-	\$47,818.42	\$47,818.42
Notes/Loan Payable	-	-	-	-	\$19,147,368.09	\$19,147,368.09
Long Term Debt	-	-	-	-	\$6,709,600.65	\$6,709,600.65
Obligation Under Capital Lease	-	-	-	-	\$132,268.60	\$132,268.60
<b>TOTAL LIABILITIES</b>	<b>\$90,388.83</b>	<b>\$4,478,141.84</b>	<b>(\$233.90)</b>	<b>\$343,576.96</b>	<b>\$27,460,183.86</b>	<b>\$32,372,057.59</b>
<b>FUNDS</b>						
Investment in Fixed Assets	-	-	-	-	\$250,140,718.50	\$250,140,718.50
Reserve for Encumbrance	\$1,193,537.46	\$13,943,212.07	\$143,193.54	\$1,164,164.42	-	\$16,444,107.49
Reserve for Prepaid Exp & Sec Deposit	-	\$525,460.09	-	\$3,944.49	-	\$529,404.58
Reserve for Notes Receivable	-	\$226,149.57	-	\$5,968,631.70	-	\$6,194,781.27
Undesignated	\$538,916.41	\$352,417,874.47	(\$43,924.55)	\$18,443,148.16	-	\$371,356,014.49
<b>TOTAL FUND BALANCES</b>	<b>\$1,732,453.87</b>	<b>\$367,112,696.20</b>	<b>\$99,268.99</b>	<b>\$25,579,888.77</b>	<b>\$250,140,718.50</b>	<b>\$644,665,026.33</b>
<b>TOTAL LIABILITIES AND FUND BALANCES</b>	<b>\$1,822,842.70</b>	<b>\$371,590,838.04</b>	<b>\$99,035.09</b>	<b>\$25,923,465.73</b>	<b>\$277,600,902.36</b>	<b>\$677,037,083.92</b>

OHA BALANCE SHEET (unaudited)

Office of Hawaiian Affairs –Confidential - Internal Use Only

**OHA INCOME STATEMENT (unaudited)**

Period: MAR-20FY2020

Ledger: OHA\_PRN\_LD

2020-05-26 3:39:54 PM

Currency: USD

	GENERAL FUNDS	TRUST FUNDS	HAWAIIAN PROJECT FUNDS	FEDERAL FUNDS	ACCOUNT GROUP	TOTAL
<b>REVENUES</b>						
General Fund Appropriation	\$3,037,879.00	-	-	-	-	\$3,037,879.00
Public Land Trust	-	\$17,128,844.87	-	-	-	\$17,128,844.87
Dividend & Interest Income	-	\$11,057,603.60	\$649.07	\$462,128.66	-	\$11,520,381.33
Realized Gain(Loss) on Sales of Investmnts	-	\$6,288,616.56	-	-	-	\$6,288,616.56
Unrealized Gain(Loss) on Investmnts Held	-	(\$49,408,934.39)	-	\$331,294.35	-	(\$49,077,640.04)
Federal and Other Grants	-	-	-	\$217,443.69	-	\$217,443.69
Newspaper Ads	-	-	\$43,226.50	-	-	\$43,226.50
Donations and Other	-	\$356,118.86	-	\$21,991.54	-	\$378,110.40
Nonimposed Fringe Benefits	\$471,866.51	-	-	-	-	\$471,866.51
<b>TOTAL REVENUES</b>	<b>\$3,509,745.51</b>	<b>(\$14,577,750.50)</b>	<b>\$43,875.57</b>	<b>\$1,032,858.24</b>	<b>-</b>	<b>(\$9,991,271.18)</b>
<b>EXPENDITURES</b>						
Board of Trustees	\$70,504.68	\$2,289,966.37	-	-	-	\$2,360,471.05
Support Services	\$1,778,132.70	\$21,645,249.52	\$130,158.90	-	-	\$23,553,541.12
Beneficiary Advocacy	\$1,847,901.80	\$14,797,011.03	\$367,862.00	\$1,885,238.41	-	\$18,898,013.24
<b>TOTAL EXPENDITURES</b>	<b>\$3,696,539.18</b>	<b>\$38,732,226.92</b>	<b>\$498,020.90</b>	<b>\$1,885,238.41</b>	<b>-</b>	<b>\$44,812,025.41</b>
<b>EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES</b>	<b>(\$186,793.67)</b>	<b>(\$53,309,977.42)</b>	<b>(\$454,145.33)</b>	<b>(\$852,380.17)</b>	<b>-</b>	<b>(\$54,803,296.59)</b>
<b>OTHER FINANCING SOURCES (USES)</b>						
Operating Transfers	\$740,917.15	(\$567,453.94)	(\$173,463.00)	(\$0.21)	-	\$0.00
Lapse of Cash to State General Fund	-	-	-	-	-	\$0.00
Principal Expense	-	\$1,663,195.07	-	-	-	\$1,663,195.07
<b>TOTAL OTHER FINANCING SOURCES (USES)</b>	<b>\$740,917.15</b>	<b>\$1,095,741.13</b>	<b>(\$173,463.00)</b>	<b>(\$0.21)</b>	<b>-</b>	<b>\$1,663,195.07</b>
<b>EXCESS (DEFICIENCY) OF REVENUES AND OTHER FINANCING SOURCES OVER EXPENDITURES</b>	<b>(\$927,710.82)</b>	<b>(\$54,405,718.55)</b>	<b>(\$280,682.33)</b>	<b>(\$852,379.96)</b>	<b>-</b>	<b>(\$56,466,491.66)</b>
Fund Balance, Beginning of Year	\$725,710.08	\$405,968,717.94	\$137,159.98	\$25,256,570.98	\$250,140,718.50	\$682,228,877.48
Fund Adjustment	-	-	-	-	-	\$0.00
Fund Balance, End of Year	(\$202,000.74)	\$351,562,999.39	(\$143,522.35)	\$24,404,191.02	\$250,140,718.50	\$625,762,385.82

OHA INCOME STATEMENT (unaudited)

Office of Hawaiian Affairs - Confidential - Internal Use Only



---

OFFICE OF HAWAIIAN AFFAIRS  
Interoffice Memorandum

---

DATE: April 30, 2020

TO: Ke Kaupoku o Ke Ke'ena Kuleana Hawai'i - BOT

VIA: Sylvia M. Hussey, Ed.D., Ka Pouhana, CEO & Interim CFO *SH*

VIA: Gloria Li, Pou Kako'o Ho'opono, Controller *gl*

FROM: Grace Chen, Aho Hui Mo'ohelu, Financial Analyst *gc*

SUBJECT: **FY 2020 TRUSTEE BUDGET VARIANCE REPORT**  
**For the Quarter Ending March 31, 2020**

---

The table below summarizes OHA's FY 2020 Core Operating budget and its actual expenditures as of March 31, 2020:

**Table 1: FY20 Q3 March 2020 Budget Variance Summary**

Category	Budget	Uses <sup>1</sup>	Available	% Uses	% Available
A. Personnel	\$16,933,809	\$9,977,427	\$6,956,382	59%	41%
B. Program	1,583,703	877,211	\$706,492	55%	45%
C. Contracts	5,866,759	3,930,067	\$1,936,692	67%	33%
D. Grants	9,770,921	6,462,681	\$3,308,240	66%	34%
E. Travel	558,667	293,315	\$265,351	53%	47%
F. Equipment	1,202,824	833,630	\$369,194	69%	31%
G. Overhead	3,038,992	2,618,138	\$420,855	86%	14%
H. Debt Service	580,047	422,432	\$157,615	73%	27%
<b>Total</b>	<b>\$39,535,721</b>	<b>\$25,414,901</b>	<b>\$14,120,820</b>	<b>64%</b>	<b>36%</b>

---

<sup>1</sup> Uses includes actual expenditures and encumbrances

***% of Available***

As of March 31, 2020, nine months of FY 2020 have elapsed resulting in budgeted uses of 64%, leaving 36% remaining available for spending. Categories (A, B, E), where uses have deviated from 75% by either +15% (greater than 40%) or -15% (less than 10%), are explained on the next page.

**Budget Variance Explained**

Expense Category (FY20 budgeted, Q3 Balance, % available)

The virulent novel coronavirus emerged in China in November 2019, and in just a few months has advanced across the globe. Over 200,000 people have died and millions have fallen seriously ill. The COVID-19 pandemic is confronting every level of the US economy with an unprecedented challenge. The impact of COVID-19 over the past few months has affected OHA's operations and are reflected in this quarter's budget variance report.

On March 5, 2020, Governor Ige issued an emergency proclamation that allowed the state to work quickly and efficiently to prevent, contain and mitigate the spread of the novel coronavirus of COVID-10, and to provide disaster relief if necessary. The disaster emergency relief was to begin immediately and to continue through April 29, 2020. Hawai'i first case of COVID-19 was confirmed on March 6.

OHA administration weighed many factors regarding the operational impacts of COVID-19 and quickly initiated telework for non-essential staff as early as March 12 (DC office), on March 18 for staff identified as non-essential, and followed by all staff beginning March 24, 2020. Effective 4:30pm, March 23, 2020, all OHA offices were closed to the public as well as all OHA employees. This closure follows Honolulu Mayor Caldwell's emergency order, Emergency Order No. 2020-02, copy in attachment #1, for all Honolulu employees to shelter in place until April 30<sup>th</sup>. Based on announcements by State of Hawaii officials, particularly the Departments of Health and Education, coupled with Administration/Ka 'Aha's discussion, the remote work schedule will continue to be in effect until May 29, 2020.

***A. Personnel (FY20 Budgeted \$16,933,809 , Q3 Balance \$6,956,382; 41% available)***

Approximately 42% of the Total Operating Budget is for Personnel costs. During Q3 FY2020 Personnel uses were less than the expected 75% of the budget due to higher than anticipated staff turnover this fiscal year and vacant positions are unfilled. Current forecast estimates an end of year savings of \$1.4M due to the unplanned vacancies.

***B. Program (FY20 Budgeted \$1,583,703, Q3 Balance \$707,492; 45%)***

Approximately 4% of the Total Operating Budget is for expenditures directly related to implementing Program activities and comprises of such expenditures as promotional items, printing, advertising, bulk mail, conference, and seminar fees. During Q3 FY2020 Program uses were less than the expected 75% of the budget and can be explained as follows:

1. Advertising budget variance \$157,000: Procurement solicitation for a new media buyer contract is in progress.
2. Trustee Protocol Allowance budget variance \$63,000: year to date reimbursement at minimum.
3. Impact of COVID-19 Pandemic Resulting in Postponing or Cancellation of Conference, Meetings, and Events: Total about \$218,000
  - a. \$100,000<sup>2</sup>, for use in June 2020 for OHA to host groups coming to HI in conjunction with FestPac 2020, (e.g. 2020 Annual Polynesian Leaders Grp Mtg, Austronesian Forum);
  - b. \$95,000, for use in Native Hawaiian Education Summit (\$55K), Scholarship ‘Aha (\$15K), Native Hawaiian Education Association (\$15K), Kukulu Kumuhana (\$10K); and
  - c. \$23,000, for Alaska Federal of Native Annual Convention (\$5K), National Congress of American Indians Annual Convention (\$5K), Native Hawaiian Cultural Festival – Smithsonian National Museum of the American Indian (\$5K), National Indian Education Association Annual Convention (\$5K), Pacific Day – New Zealand Embassy (\$3K).

***E. Travel (FY20 Budget \$558,667, Q3 Balance \$265,351, 47%)***

Approximately 1.4% of the Total Operating Budget is for Travel costs which includes all expenditures associated with in-state and out-of-state travel to include transportation, accommodation, car rental, parking, mileage and subsistence and includes professional training and staff development not available within the State of Hawai‘i.

On January 31, President Trump announced travel restrictions to slow the spread of COVID-19 for travel into the US. On March 17, Governor Ige issued an automatic two-week quarantine for people traveling to Hawaii. Per Mayor Caldwell’s 3/23/20 Emergency Order No. 2020-02, Order 4, “All travel, including, but not limited to, travel on foot, bicycle, scooter, motorcycle, automobile, or public transit, except Essential Travel and Essential Activities is prohibited.

The COVID-19 pandemic has brought the travel industry to a standstill. Many conference organizers cancelled or postponed the event and travel plans were cancelled or postponed. Current forecast estimates an end of year savings of \$116,000 due to the shelter in place order. With the present situation of the US still in coronavirus lockdown and as more conference and events are cancelled in Q4, the savings figure may rise.

---

<sup>2</sup> These are funds beyond \$100K approved and committed to the organizing committee.

**OFFICE OF THE MAYOR  
CITY AND COUNTY OF HONOLULU**

530 SOUTH KING STREET, ROOM 300 • HONOLULU, HAWAII 96813  
PHONE: (808) 768-4141 • FAX: (808) 768-4242 • INTERNET: [www.honolulu.gov](http://www.honolulu.gov)



KIRK W. CALDWELL  
MAYOR

ROY K. AMEMIYA, JR.  
MANAGING DIRECTOR  
  
GEORGETTE T. DEEMER  
DEPUTY MANAGING DIRECTOR

March 22, 2020

**OFFICE OF THE MAYOR  
CITY AND COUNTY OF HONOLULU  
EMERGENCY ORDER NO. 2020-02  
(COVID-19 [Novel Coronavirus])**

**STAY AT HOME / WORK FROM HOME ORDER**

By the authority vested in me as Mayor of the City and County of Honolulu (the “City”) pursuant to Revised Charter of the City and County of Honolulu 1973 (2017 Edition), as amended; the Revised Ordinances of the City and County of Honolulu 1990, as amended; the Hawai‘i Revised Statutes, as amended (“**Haw. Rev. Stat.**”), the Constitution and laws of the State of Hawai‘i, I, KIRK W. CALDWELL, hereby issue this order (the “**Order**”) to address the emergency declared in the Proclamation COVID-19 [Novel Coronavirus] that I issued on March 4, 2020, and Supplemental Proclamation of Emergency or Disaster (COVID-19 [Novel Coronavirus]) that I issued on March 18, 2020.

The virus that causes Coronavirus 2019 Disease (“**COVID-19**”) is easily transmitted, especially in group settings, and it is essential that the spread of COVID-19 be slowed to protect the ability of public and private health care providers to handle the influx of new patients and to safeguard public health and safety.

Due to the risk of the rapid spread of the virus causing COVID-19, and the need to protect all members of the City, especially including our members most vulnerable to the virus and also health care providers, this Order requires all individuals anywhere in the City to shelter in place—that is, stay at home and work from home—except for certain essential activities and work to provide essential business and government services or perform essential public infrastructure construction, including housing. This Order is effective at **4:30 p.m. on Monday, March 23, 2020**, and will continue through Thursday, April 30, 2020, at 4:30 p.m., subject to the limited exceptions and under the terms and conditions more particularly set forth below.

Pursuant to Haw. Rev. Stat. § 127A-25 and the Rules of the Mayor City and County of Honolulu, dated March 20, 2020 (“**Mayor’s Rules**”) promulgated under that section, each of the orders contained in this Order (“the Orders”) shall have the force and effect of law. The Orders are in accordance with and incorporate by reference the Proclamation COVID-19 [Novel Coronavirus] that I issued on March 4, 2020; the Supplemental Proclamation of Emergency or



Disaster (COVID-19 [Novel Coronavirus]) that I issued on March 18, 2020, and Hawai'i Governor David Y. Ige's Proclamation dated March 4, 2020, and Supplementary Proclamation dated March 16, 2020, Second Supplementary Proclamation dated March 21, 2020, and the Order for Self-Quarantine dated March 21, 2020, issued by the Hawai'i Emergency Management Agency.

Violation of any of the Orders is punishable as a misdemeanor, with fines of up to \$5,000, up to a year in jail, or both. Haw. Rev. Stat. § 127A-29; Mayor's Rules.

## **I. ORDERS**

**Order 1:** All individuals currently living within the City are ordered to stay at their place of residence. To the extent individuals are using shared or outdoor spaces, they must at all times as reasonably possible maintain social distancing of at least six feet from any other person when they are outside their residence and comply with Social Distancing Requirements (as defined in Section II). All persons may leave their residences only for Essential Activities, Essential Governmental Functions, or to operate Essential Businesses, as those terms are defined in Section II. Individuals experiencing homelessness are exempt from this section, but are strongly urged to obtain shelter, and governmental and other entities are strongly urged to make such shelter available as soon as possible and to the maximum extent practicable (and to use COVID-19 risk mitigation practices in their operation).

**Order 2:** All businesses with a facility in the City, except **Essential Businesses** (as defined in Section II), are required to cease all activities within such facilities, except Minimum Basic Operations (as defined in Section II). For clarity, businesses may also continue operations consisting exclusively of employees or contractors performing activities at their own residences (i.e., working from home). All Essential Businesses are strongly encouraged to remain open. To the greatest extent feasible, Essential Businesses shall comply with Social Distancing Requirements (defined in Section II), including by maintaining six-foot social distancing for both employees and members of the public, including, but not limited to, customers standing in line.

**Order 3:** All public and private gatherings of any number of people occurring outside a single household or living unit are prohibited, except for the limited purposes as expressly permitted in Section II. Nothing in this Order prohibits the gathering of members of a household or living unit.

**Order 4:** All travel, including, but not limited to, travel on foot, bicycle, scooter, motorcycle, automobile, or public transit, except Essential Travel and Essential Activities (as defined in Section II), is prohibited. People must use public transit only for purposes of performing Essential Activities or to travel to and from work to operate Essential Businesses or maintain Essential Governmental Functions. People riding on public transit must comply with Social Distancing Requirements (as defined in Section II), to the greatest extent feasible. This Order allows travel into or out of the City to perform Essential Activities, operate Essential Businesses, or maintain Essential Governmental Functions.

## II. DEFINITIONS AND EXEMPTIONS

- A. For purposes of this Order, individuals may leave their residence only to perform any of the following **“Essential Activities.”** However, people at high risk of severe illness from COVID-19 and people who are sick are urged to stay in their residence to the extent possible except as necessary to seek medical care.
1. To engage in activities or perform tasks essential to their health and safety, or to the health and safety of their family or household members (including, but not limited to, pets), such as, by way of example only and without limitation, obtaining medical supplies or medication, visiting a health care professional, or obtaining supplies needed to work from home.
  2. To obtain necessary services or supplies for themselves and their family or household members, or to deliver those services or supplies to others, such as, by way of example only and without limitation, canned food, dry goods, fresh fruits and vegetables, pet supply, fresh meats, fish, and poultry, and any other household consumer products, and products necessary to maintain the safety, sanitation, and essential operation of residences.
  3. To engage in outdoor activity in locations as allowed by law, provided the individuals comply with Social Distancing Requirements as defined in this section, such as, by way of example and without limitation, walking, hiking, or running (with or without pets).
  4. To perform work providing essential products and services at an Essential Business or to otherwise carry out activities specifically permitted in this Order, including Minimum Basic Operations.
  5. To care for a family member or pet in another household.
- B. For purposes of this Order, individuals may leave their residence to work for or obtain services at any **“Healthcare Operations”** including hospitals, clinics, dentists, pharmacies, pharmaceutical and biotechnology companies, other healthcare facilities, healthcare suppliers, home healthcare services providers, mental health providers, or any related and/or ancillary healthcare services, organizations collecting blood, platelets, plasma, and other necessary materials, licensed medical marijuana dispensaries and licensed medical marijuana production centers, eye care centers, including those that sell glasses and contact lenses. “Healthcare Operations” also includes veterinary care and all healthcare services provided to animals. Further, “Healthcare Operations” includes prepaid health care plan contractors as that term is defined under Haw. Rev. Stat. ch. 393, and other employer-sponsored health and welfare benefit plans, and/or individual or group health insurance plans that provides healthcare insurance benefits for payment or reimbursement for healthcare services provided by Healthcare Operations. This exemption shall be construed broadly to avoid any impacts to

the delivery of healthcare, broadly defined. “Healthcare Operations” does not include fitness and exercise gyms and similar facilities.

- C. For purposes of this Order, individuals may leave their residence to provide any services or perform any work necessary to the operations and maintenance of **“Essential Infrastructure,”** including, but not limited to, public works construction, construction of housing (in particular affordable housing or housing for individuals experiencing homelessness), airport operations, water, sewer, gas, electrical, oil refining, roads and highways, public transportation, solid waste collection and removal, internet, and telecommunications systems (including the provision of essential global, national, and local infrastructure for computing services, business infrastructure, communications, and web-based services), provided that they carry out those services or that work in compliance with Social Distancing Requirements as defined this section, to the extent possible. This Order shall be construed and applied in compliance and consistent with the United States Department of Homeland Security, Cybersecurity & Infrastructure Security Agency Memorandum on Identification of Essential Critical Infrastructure Workers During COVID-19 Response, dated March 19, 2020 (and any subsequent guidance memoranda thereto).
- D. For purposes of this Order, all first responders, emergency management personnel, emergency dispatchers, court personnel, and law enforcement personnel, jails and prisons personnel, and others working for or to support Essential Businesses are categorically exempt from this Order. Further, nothing in this Order shall prohibit any individual from performing or accessing **“Essential Governmental Functions.”** **“Essential Government Functions”** means all services needed to ensure the continuing operation of the government agencies and provide for the health, safety and welfare of the public. All Essential Governmental Functions shall be performed in compliance with Social Distancing Requirements as defined this section, to the extent possible.
- E. For the purposes of this Order, covered businesses include any for-profit, non-profit, or educational entities, regardless of the nature of the service, the function they perform, or its corporate or entity structure.
- F. For the purposes of this Order, **“Essential Businesses”** means:
  - 1. Healthcare Operations and Essential Infrastructure;
  - 2. Grocery stores, certified farmers’ markets, farm and produce stands, supermarkets, food banks, convenience stores, and other establishments engaged in the retail sale of canned food, dry goods, fresh fruits and vegetables, pet supply, fresh meats, fish, and poultry, and any other household consumer products (such as cleaning and personal care products). This includes stores that sell groceries and also sell other non-grocery products, and products necessary to maintaining the safety, sanitation, and essential operation of residences;

3. Food cultivation, including farming, livestock, and fishing;
4. Businesses that provide food, shelter, and social services, and other necessities of life for economically disadvantaged or otherwise needy individuals;
5. Newspapers, television, radio, and other media services;
6. Gas stations and auto and bicycle supply, auto and bicycle repair, towing services, and related facilities;
7. Banks and related financial institutions. This provision shall be construed and applied in compliance and consistent with the United States Department of Homeland Security, Cybersecurity & Infrastructure Security Agency Memorandum on Identification of Essential Critical Infrastructure Workers During COVID-19 Response, dated March 19, 2020 (and any subsequent guidance memoranda thereto), and the United States Department of the Treasury Memorandum for Financial Services Sector Essential Critical Infrastructure Workers, dated March 22, 2020 (and any subsequent guidance memoranda thereto);
8. Hardware stores;
9. Plumbers, electricians, exterminators, and other service providers who provide services that are necessary to maintaining the safety, sanitation, and essential operation of residences, Essential Activities, and Essential Businesses;
10. Businesses providing mailing and shipping and delivery services, and businesses that ship or deliver groceries, food, alcoholic and non-alcoholic beverages, goods or services to residences and end users or through commercial channels, and including post office boxes;
11. Educational institutions—including public and private K-12 schools, colleges, and universities—for purposes of facilitating distance learning, performing critical research, or performing essential functions, provided that social distancing of six-feet per person is maintained to the greatest extent possible;
12. Laundromats, dry cleaners, industrial laundry services, and laundry service providers;
13. Restaurants and other facilities that prepare and serve food, but only for delivery or carry out.
  - a. Schools and other entities that typically provide food services to students or members of the public may continue to do so under this Order on the condition that the food is provided to students or



members of the public on a pick-up and takeaway basis only, provided that social distancing of six-feet per person is maintained to the greatest extent possible. Schools and other entities that provide food services under this exemption shall not permit the food to be eaten at the site where it is provided, or at any other gathering site;

- b.** Cafeterias, lunchrooms, or dining facilities providing food and beverage services located within “healthcare facilities” as that term is defined under Haw. Rev. Stat. § 321-15.2 and similar facilities, may continue to do so under this order, provided that consumption within the cafeteria, lunchroom, or dining facility located within the healthcare facility is restricted to employees of the healthcare facility; patients of the healthcare facility; and no more than two (2) authorized visitors of the patient of the healthcare facility that have been appropriately screened by the healthcare facility in compliance with all of the facility’s protocols related to infectious disease control measures and processes.;
- 14.** Businesses that supply products needed for people to work from home;
- 15.** Businesses that supply other essential businesses with the support or supplies necessary to operate;
- 16.** Airlines, taxis, and other private transportation providers, vehicle rental services, paratransit, and other private, public, and commercial transportation and logistics providers providing transportation services necessary for Essential Activities and other purposes expressly authorized in this Order;
- 17.** Home-based care for seniors, adults, or children, and/or people with developmental disabilities, intellectual disabilities, substance use disorders, and/or mental illness, including care givers such as nannies who may travel to the child’s home to provide care, and other in-home services, including meal delivery;
- 18.** Residential facilities and shelters for seniors, adults, and children, and/or people with developmental disabilities, intellectual disabilities, substance use disorders, and/or mental illness;
- 19.** Professional services, such as legal or accounting services, insurance services, real estate services (including appraisals and title services) when necessary to assist in compliance with legally mandated activities;
- 20.** Childcare facilities providing services that enable employees exempted in this Order to work as permitted. To the extent possible, childcare facilities must operate under the following mandatory conditions:

- a. Childcare must be carried out in stable groups of 12 or fewer (“stable” means that the same 12 or fewer children are in the same group each day).
  - b. Children shall not change from one group to another.
  - c. If more than one group of children is cared for at one facility, each group shall be in a separate room. Groups shall not mix with each other.
  - d. Childcare providers shall remain solely with one group of children.;
- 21. Businesses that provide food, shelter, and other necessities of life for animals, including animal shelters, rescues, kennels, and adoption facilities, provided they must, at all times reasonably possible, comply with Social Distancing Requirements defined in this section;
  - 22. Hotels and motels, to the extent used for lodging; and service providers to hotels and motels that provide services that are necessary to maintaining the safety, sanitation, and essential operations of the hotel and/or motel, provided that they must, at all times reasonably possible, comply with Social Distancing Requirements defined in this section
  - 23. Funeral, mortuary, cremation, burial, cemetery, and related services, provided, the services provided must comply with Social Distancing Requirements defined in this section at all times reasonably possible; and
  - 24. Critical trades. Building and construction tradesmen and tradeswomen, and other trades, including but not limited to, plumbers, electricians, exterminators, cleaning and janitorial staff for commercial and governmental properties,, security staff, operating engineers, HVAC, painting, moving and relocation services, and other service providers who provide services that are necessary to maintaining the safety, sanitation, and essential operations of residences, Essential Activities, and Essential Businesses, provided that they must, at all times reasonably possible, comply with Social Distancing Requirements defined in this section.
  - 25. Critical labor union functions that are essential activities that include the administration of health and welfare funds and personnel checking on the well-being and safety of members providing services in Essential Businesses, provided that these checks should be done by telephone or remotely.
  - 26. Licensed private detectives and agencies and guards and agencies as those terms are defined under Haw. Rev. Stat. ch. 463.

- G.** For the purposes of this Order, “**Minimum Basic Operations**” include the following, provided that employees comply with Social Distancing Requirements as defined this section, to the extent possible, while carrying out such operations:
1. The minimum necessary activities to maintain the value of the business’s inventory, ensure security, process payroll and employee benefits, or for related functions.
  2. The minimum necessary activities to facilitate employees of the business being able to continue to work remotely from their residences.
- H.** For the purposes of this Order, “**Essential Travel**” includes travel for any of the following purposes. Individuals engaged in any Essential Travel must comply with all Social Distancing Requirements as defined in this section.
1. Any travel related to the provision of or access to Essential Activities, Essential Governmental Functions, Essential Businesses, or Minimum Basic Operations.
  2. Travel to care for elderly, minors, dependents, persons with disabilities, or other vulnerable persons.
  3. Travel to or from educational institutions for purposes of receiving materials for distance learning, for receiving meals, and any other related services.
  4. Travel to return to a place of residence from outside the jurisdiction.
  5. Travel required by law enforcement or court order.
  6. Travel required for non-residents to return to their place of residence outside the City. Individuals are strongly encouraged to verify that their transportation out of the City remains available and functional prior to commencing such travel.
- I.** For purposes of this order, residences include hotels, motels, shared rental units, and similar facilities.
- J.** For purposes of this order **Social Distancing Requirements** includes maintaining at least six-foot social distancing from other individuals, washing hands with soap and water for at least twenty seconds as frequently as possible or using hand sanitizer, covering coughs or sneezes (into the sleeve or elbow, not hands), regularly cleaning high-touch surfaces, and not shaking hands.

### **III. BASIS FOR THE ORDER**

This Order is issued based on evidence of increasing occurrence of COVID-19 within the City, as reported by the Centers for Disease Control and Prevention, the State Department of Health,

and guidance from the City's medical advisory experts, scientific evidence and best practices regarding the most effective approaches to slow the transmission of communicable diseases generally and COVID-19 specifically, and evidence that the age, condition, and health of a significant portion of the population of the City places it at risk for serious health complications, including death, from COVID-19. Due to the outbreak of the COVID-19 virus in the general public, which is a pandemic according to the World Health Organization, there is a public health emergency throughout the City. In addition, some individuals who contract the COVID-19 virus have no symptoms or have mild symptoms, which means they may not be aware they carry the virus. Because even people without symptoms can transmit the virus, and because evidence shows that it is easily spread, gatherings can result in preventable transmission of the virus. The scientific evidence shows that at this stage of the emergency, it is essential to slow virus transmission as much as possible to protect the most vulnerable and to prevent the health care system from being overwhelmed. One proven way to slow the transmission is to limit interactions among people to the greatest extent practicable. By reducing the spread of the COVID-19 virus, this Order helps preserve critical and limited healthcare capacity in the City.

This Order also is issued in light of the existence of 41 cases of COVID-19 in the City, as well as at least 56 total confirmed cases in the State of Hawai'i, as of March 22, 2020.

#### IV. INTENT

The intent of this Order is to ensure that the maximum number of people self-isolate in their places of residence to the maximum extent feasible, while enabling essential services to continue, to slow the spread of COVID-19 to the maximum extent possible. When people need to leave their places of residence, whether to obtain or perform vital services, or to otherwise facilitate authorized activities necessary for continuity of social and commercial life, they should at all times reasonably possible comply with Social Distancing Requirements as defined in Section II. All provisions of this Order should be interpreted to effectuate this intent.

#### V. GENERAL

- A. **Superseding Order.** The Office of the Mayor City and County of Honolulu Emergency Order No. 2020-01 (COVID-19 [Novel Coronavirus]) dated March 20, 2020 ("**Order 2020-01**") is hereby rescinded and superseded by this Order.
- B. **Duration.** This Order shall take effect on Monday, March 23, 2020, at 4:30 p.m., and shall continue in force and effect until Thursday, April 30, 2020, at 4:30 p.m., or until it is extended, rescinded, superseded, or amended by a subsequent order, or as otherwise provided under Haw. Rev. Stat. ch. 127A.
- C. **Enforcement.** All law enforcement of the State of Hawai'i and City shall ensure compliance with and enforce these Orders in accordance with Haw. Rev. Stat. § 127A-29 and Mayor's Rules.
- D. **Promulgation/posting.** This Order shall be posted on the City website as soon as practicable in one or more appropriate places, and shall remain posted while in effect.



- E. **Severability.** If any provision of the Orders or their application to any person or circumstance is held to be invalid, the remainder of the Orders, including the application of such part or provision to other persons or circumstances, shall not be affected and shall continue in full force and effect. To this end, the provisions of the Orders are severable.



KIRK W. CALDWELL

Mayor

City and County of Honolulu

Date: March 22, 2020

Time: 2:00 PM

APPROVED:



PAUL S. AOKI

Acting Corporation Counsel

City and County of Honolulu

CND.EHN/882120

**OHA GRANTS**  
**STATUS: ACTIVE, IN PROCESS, CLOSEOUT**

	C	D	E	F	G	H	I	J	K
1	Type of Grant & FY /FB	Grantee	Description	Contract #	Island	Amount Awarded	Expenditures To March 31, 2020	Expenditures To Date	Status
2	*Ahahui FY20 - Round 2	Daughters of Hawai'i	Day at Hulihe'e Palace	N/A	Hawai'i	\$ 7,000	\$ 7,000	\$ 7,000	Active
3	*Ahahui FY20 - Round 2	East Maui Taro Festival	28th Annual East Maui Taro Festival	N/A	Maui	\$ 10,000	\$ 10,000	\$ 10,000	Active
4	*Ahahui FY20 - Round 2	Friends of the Palace Theater	Made in Hawai'i Film Festival	N/A	Hawai'i	\$ 2,500	\$ 2,500	\$ 2,500	Active
5	*Ahahui FY20 - Round 2	Hui Makua Punana Leo O	'Aha 'Ōpio o Moloka'i Youth Summit	N/A	Moloka'i	\$ 4,000	\$ 4,000	\$ 4,000	Closeout In Progress
6	*Ahahui FY20 - Round 2	Kaikeha, INC.	2nd Annual Garden Island Boogie Board Classic	N/A	Kaua'i	\$ 3,000	\$ 3,000	\$ 3,000	Active
7	*Ahahui FY20 - Round 2	Maui Family Support Services,	Nā Mākua Kāne – Celebration of Fathers	N/A	Maui	\$ 4,000	\$ 4,000	\$ 4,000	Active
8	*Ahahui FY20 - Round 2	Purple Maia Foundation	Purple Prize	N/A	O'ahu	\$ 2,500	\$ 2,500	\$ 2,500	Active
9	*Ahahui FY20 - Round 2	Read To Me International Foundation	2020 Literacy Conference	N/A	O'ahu	\$ 4,000	\$ 4,000	\$ 4,000	Active
10	*Ahahui FY20 - Round 2	Ulu A'e Learning Center	Kapu'uola Hula Festival (KHF)	N/A	O'ahu	\$ 3,500	\$ 3,500	\$ 3,500	Active
11	CG - FB20/21	Ahupua'a O Moloka'i	The purpose of this project is to provide direct support and education to Native Hawaiians of Moloka'i to grow significant native crops (of both land and sea) to further economic sustainability—while requiring ahupua'a stewardship through restoration efforts and service	3349	Kaua'i	\$ 81,000	\$ 7,784	\$ 7,784	Active
12	CG - FB20/21	Alu Like, Inc.	The purpose of this project is to reduce the rate of alcohol, tobacco, and illicit drug use among Native Hawaiians through prevention services that include education, outreach and advocacy to youth ages 9-20 and their families on the island of Moloka'i to proactively prevent substance use.	3342	Moloka'i	\$ 102,554	\$ 14,240	\$ 14,240	Active
13	CG - FB20/21	Boys & Girls Club of Maui, Inc.	The purpose of this project, Power Hour –Papa Hana Ha'awina, is to provide homework assistance to all members of Boys & Girls Clubs of Maui, including Native Hawaiian members who attend Hawaiian Immersion Schools, to improve their grades in school and in standardized testing.	3336	Maui	\$ 203,740	\$ 51,532	\$ 51,532	Active
14	CG - FB20/21	Council for Native Hawaiian Advancement	The Native Hawaiian Trades Academy is a middle-skill career institute for Native Hawaiians with low-to-moderate income. This program would provide successful participants with starting wages more than the single-adult Household Survival Budget and, within 5 years, can exceed the statewide median family income of \$79,187.	3354	Statewide	\$ 300,145	\$ 58,953	\$ 58,953	Active
15	CG - FB20/21	Edith Kanaka'ole Foundation	To mālama the waters of Keaukaha surrounding the only remaining loko i'a kuapā on the east side of Hawai'i island through community engagement, education, research, social media and community events. This is a collaboration between Haleolono fishpond and Kaiaulu Hanakahi to mālama its coastal areas and to revive and sustain the local ecosystem.	3344	Hawaii	\$ 180,200	\$ 31,732	\$ 31,732	Active

**OHA GRANTS**  
**STATUS: ACTIVE, IN PROCESS, CLOSEOUT**

	C	D	E	F	G	H	I	J	K
1	Type of Grant & FY /FB	Grantee	Description	Contract #	Island	Amount Awarded	Expenditures To March 31, 2020	Expenditures To Date	Status
16	CG - FB20/21	Educational Services Hawaii Foundation	The Project utilizes culture-based pedagogy to provide comprehensive differentiated instruction along with a variety of educationally enriching activities, designed to increase the number of Native Hawaiian students in foster, kith and kinship care, to meet and exceed Reading and Math Standards, to achieve yearly grade promotion, and to graduate.	3322	Hawaii	\$ 160,868	\$ 31,820	\$ 31,820	Active
17	CG - FB20/21	Hawaiian Community Assets	The purpose of this project is to establish the Hawaii Affordable Housing Fund that will serve Native Hawaiians with financial counseling, individual development accounts, & loans to rent or own homes & provide Native Hawaiian communities/nonprofits with technical assistance & loans to build or preserve 1500 units of affordable housing by 2026.	3340	Statewide	\$ 515,886	\$ 53,917	\$ 53,917	Active
18	CG - FB20/21	Homestead Community Development Corp	The purpose of the Native Hawaiian Housing Stability Project is to support the delivery of the HCDC Financial Literacy Program (FLP) and Micro Enterprise Assistance Program (MEAP) on the island of Kauai to assist Native Hawaiians to achieve homeownership or rental housing on Kauai, through knowledge and training necessary for housing stability.	3334	Kauai	\$ 484,114	\$ 156,200	\$ 156,200	Active
19	CG - FB20/21	Hui Malama I ke Ala 'Ulili	The Ho'onohopapa Koholālele Project engages 'Ōiwi (Native Hawaiian) stewards of the ahupua'a of Koholālele in 'āina restoration, 'ōiwi research, and cultural regeneration to cultivate abundance, renew ancestral responsibilities, and empower 'ohana with the capacity to live and thrive in Hāmākua for generations.	3348	Hawaii	\$ 120,480	\$ 30,646	\$ 30,646	Active
20	CG - FB20/21	Hui Malama O Ke Kai Foundation	The Papahana Kālai Papa Me Pōhaku Ku'i 'Ai project is a workshop series that teaches participants to carve their own board and stone for pounding poi. Through the series at least 50 Native Hawaiians will create their own board and stone, connect with their culture, learn traditional skills, and strengthen the bonds between 'ohana and community.	3335	Oahu	\$ 78,340	\$ 7,598	\$ 7,598	Active

**OHA GRANTS**  
**STATUS: ACTIVE, IN PROCESS, CLOSEOUT**

	C	D	E	F	G	H	I	J	K
1	Type of Grant & FY /FB	Grantee	Description	Contract #	Island	Amount Awarded	Expenditures To March 31, 2020	Expenditures To Date	Status
21	CG - FB20/21	Hui Malama Ola Na Oihi	The purpose of Hui Malama Ola Nā 'Ōihi is to uplift the health of the Hawaiian nation. Hui Malama's indigenous knowledge programming, in service of creating a deeper understanding & practice of cultural methods by Native Hawaiians for their health & wellness via Ho'oponopono, Lā'au Lapa'au, Lomilomi, & Healthy Hāpai, will increase.	3351	Hawaii	\$ 307,822	\$ 49,255	\$ 49,255	Active
22	CG - FB20/21	I Ola Lahui Inc.	I Ola Lāhui in collaboration with Lunalilo Home will provide behavioral health and cultural nutrition supports to Native Hawaiian kūpuna and caregivers in Honolulu and Waimānalo to improve their health conditions and well-being, enhance day to day living, and increase opportunities for cultural and physical activities and social interaction.	3331	Oahu	\$ 298,000	\$ 60,724	\$ 60,724	Active
23	CG - FB20/21	Institute for Native Pacific Education & Culture	The purpose of this project is to provide vocational development training and support to Native Hawaiian families in West O'ahu through a small incubator and post-secondary supports for teacher preparation to strengthen the economic self-sufficiency of families and the economic base of the community.	3345	Oahu	\$ 699,855	\$ 145,277	\$ 145,277	Active
24	CG - FB20/21	Kaala Farms Inc.	The purpose of this project is to provide culturally relevant learning experiences for Nanakuli Intermediate and High School students (NHIS) in order to increase academic and social growth. Students will engage in authentic projects that are connected to their community, to their own lives, and support their kuleana of community stewardship.	3323	Oahu	\$ 526,568	\$ 123,158	\$ 123,158	Active
25	CG - FB20/21	Kako'o Oihi	The purpose of this project is to restore and effectively manage ecologically and geographically linked kipuka within He'eia uli, increasing the capacity and resilience of ecological and food-producing systems in our ahupua'a for the benefit of Hawaiians and other community members on O'ahu.	3321	Oahu	\$ 358,320	\$ 79,829	\$ 79,829	Active

**OHA GRANTS**  
**STATUS: ACTIVE, IN PROCESS, CLOSEOUT**

	C	D	E	F	G	H	I	J	K
1	Type of Grant & FY /FB	Grantee	Description	Contract #	Island	Amount Awarded	Expenditures To March 31, 2020	Expenditures To Date	Status
26	CG - FB20/21	Ma Ka Hana Ka 'Ike	The purpose of this project is to provide youth-led services including home modifications, produce and poi deliveries, and meaningful engagement in community-based activities to Hāna's kūpuna and their caregivers to meet their physical, emotional, and spiritual needs while ultimately elevating their health, well-being, and longevity.	3337	Maui	\$ 202,000	\$ 47,430	\$ 47,430	Active
27	CG - FB20/21	Malama Na Makua A Keiki, Inc.	The purpose of this project is to support Maui's Native Hawaiian community by providing a combination of comprehensive women-specific substance abuse treatment services with activities that strengthen mother-child bonding and increase attentive parenting practices in order to improve and strengthen the entire family's well-being.	3338	Maui	\$ 119,234	\$ 22,866	\$ 22,866	Active
28	CG - FB20/21	Molokai Community Service Council	Ho'omana Hou School's purpose is to graduate students who can think critically, who actively support their community, and who are academically, environmentally, ethically and culturally competent. The school's philosophy is that students learn best from hands-on instruction that is place-based and grounded in historic culture.	3341	Molokai	\$ 108,824	\$ 16,000	\$ 16,000	Active
29	CG - FB20/21	Pu'uhonua Society	Keanahala: A Place for Hala will perpetuate the Native Hawaiian practice ulana lau hala and help bring Hawaiian lau hala mats back to the home. Keanahala honors process from 'āina to moena (including harvesting, proper preparation of the leaves, weaving, and repairing moena) and brings community together to weave, share stories, and heal.	3320.01	Oahu/Kauai	\$ 80,000	\$ 10,012	\$ 10,012	Active
30	CG - FB20/21	Salvation Army - Family Treatment Services	The project aims to improve the health of Hawaiian women recovering from substance use disorders by integrating cultural practices into the treatment curriculum and by providing substance abuse treatment, education, relapse prevention, and skills to live a healthy lifestyle in response to cessation of tobacco, methamphetamine and other drugs.	3343	Oahu	\$ 278,212	\$ 70,612	\$ 70,612	Active

**OHA GRANTS**  
**STATUS: ACTIVE, IN PROCESS, CLOSEOUT**

	C	D	E	F	G	H	I	J	K
1	Type of Grant & FY /FB	Grantee	Description	Contract #	Island	Amount Awarded	Expenditures To March 31, 2020	Expenditures To Date	Status
31	CG - FB20/21	The Kohala Center	This project will reestablish native forest and stabilize two riparian restoration corridors in the ahupua'a of Kawaihae. Our watershed restoration efforts with DHHL's Kailapa community will increase access to fresh water, provide habitat for native flora and fauna species and build Hawaiian stewardship capacity as aloha 'āina practitioners.	3346	Hawaii	\$ 260,000	\$ 26,104	\$ 26,104	Active
32	CG - FB20/21	Ulu A'e Learning Center	The Ulu Ae Project is an afterschool/intercession program which will provide cultural, place based learning to at least 361 Native Hawaiian keiki in schools within Ewa. In these sessions, learners receive lessons in cultural practices like hana hei , oli, hula, ulana lauhala, kuku kapa, kalai ohe, kanu, ku'i kalo, kui lei, moolelo, kakaolelo, haku mele (poetry), paani makahiki and more.	3339	Oahu	\$ 291,982	\$ 65,763	\$ 65,763	Active
33	CG - FB20/21	Waimanalo Health Center	The Waimanalo Health Center is proposing to expand its cultural healing program to increase the number of patients and community members who practice lomilomi and la`au lapa`au by providing individualized instruction through its primary care setting and cultural healing classes to the community.	3347	Oahu	\$ 141,936	\$ 31,767	\$ 31,767	Active
34	FB 20-21 Charter School	KALO	To ensure successful outcomes and timely reporting in administering OHA funds to 17 HFPCS	3358	Statewide	\$ 2,750,589	\$ 23,528	\$ 23,528	Active
35	FB 20-21 Charter School Administration	KANU	Implement culture-based public charter school education in furtherance of OHA's Ho'ona'auao (Education) Strategic Result.	3359	Hawaii	\$ 249,411	\$ -	\$ -	Active
36	FB 20-21 Emergency Financial Assistance	CNHA	Maui, and Kaua'i) program for Native Hawaiian individuals and families for emergency financial assistance following an emergent crisis, financial literacy education, and multiservice referrals for employment, education, healthcare, housing and social services.	3363	Statewide	\$ 3,830,000	\$ 147,885	\$ 147,885	Active
37	GIA FY20 (Non-OHA Grant)	CNHA	The Native Hawaiian Trades Academy is a middle-skill career institute for Native Hawaiians with low-to-moderate income. This program would provide successful participants with starting wages more than the single-adult Household Survival Budget and, within 5 years, can exceed the statewide median family income of \$79,187.	3364	O'ahu	\$ 75,000	\$ -	\$ -	Active

**OHA GRANTS**  
**STATUS: ACTIVE, IN PROCESS, CLOSEOUT**

	C	D	E	F	G	H	I	J	K
1	Type of Grant & FY /FB	Grantee	Description	Contract #	Island	Amount Awarded	Expenditures To March 31, 2020	Expenditures To Date	Status
38	KULIA FY19	Hana Arts	To inspire youth of East Maui through arts & culture by hosting classroom teachings, workshops & events that stimulate & broaden youths potential.	3305	Maui	\$ 25,000	\$ 19,040	\$ 19,040	Active
39	KULIA FY19	Honolulu Habitat for Humanity	To provide education about home ownership and home restoration programs to low-income Native Hawaiian families to improve housing stability and conditions, and increase home ownership among this population.	3309	Oahu	\$ 40,717	\$ 23,956	\$ 23,956	Active
40	KULIA FY19	Kipuka o ke Ola	To provide a full range of bio-psycho-social services to residents of North Hawai'i, with special emphasis on addressing the physical and mental health disparities of the Kanaka Maoli population. KOKO provides culturally-informed direct services, collaborates with other like-spirited organizations, and is led by the community it serves. Our vision is that Native Hawaiians in North Hawai'i will achieve parity with non-Native Hawaiians across all of the major indicators of wellness.	3308	Hawaii	\$ 51,000	\$ 38,412	\$ 38,412	Active
41	KULIA FY19	Malama Loko Ea Foundation	Loko ea is currently a living classroom, perpetuating Native Hawaiian culture through education, land stewardship, and community building. While continuing to teach and practice indigenous knowledge, MLEF strives to mālama honua by joining a network of productive loko i'a across the pae 'āina. This revived system will provide nutritious and environmentally sustainable food through the combination of traditional Hawaiian aquaculture methods and science-based fishery management.	3311	Oahu	\$ 75,000	\$ 21,483	\$ 21,483	Active
42	KULIA FY19	Moloka'i General Hospital	Molokai General Hospital is a part of the Queen's Health Systems. The Mission of the Queen's organization is To fulfill the intent of Queen Emma and King Kamehameha IV to provide in perpetuity quality health care services to improve the well-being of Native Hawaiians and all of the people of Hawaii.	3306	Molokai	\$ 41,150	\$ 25,874	\$ 25,874	Active
43	KULIA FY19	Pa'a Pono Miloli'i	To provide a DHS certified kitchen at the Miloli'i Community Center so that Miloli'i can have its own Charter School and to allow the community to prepare for the community and cater food.	3303	Hawaii	\$ 74,000	\$ 59,594	\$ 59,594	Active
44	KULIA FY19	Partners in Development Foundation	To provide family education programming and outreach to homeless/at-risk NH families w/ young children.	3304	Hawaii	\$ 100,000	\$ 70,238	\$ 70,238	Active

**OHA GRANTS**  
**STATUS: ACTIVE, IN PROCESS, CLOSEOUT**

	C	D	E	F	G	H	I	J	K
1	Type of Grant & FY /FB	Grantee	Description	Contract #	Island	Amount Awarded	Expenditures To March 31, 2020	Expenditures To Date	Status
45	KULIA FY19	Project Vision Hawaii	The mission of Project Vision Hawai'i is to work in partnership with the people of Hawai'i to increase access to healthcare.	3307	Statewide	\$ 26,515	\$ 22,772	\$ 22,772	Active
46	CG - FB18/19	UH - Maui	To provide vocational training, career development and financial literacy services to Maui County native Hawaiians while encouraging and building confidence to obtain and maintain employment and become economically self-sufficient.	3068.01	Maui	\$ 350,000	\$ 349,985	\$ 349,985	Closeout In Progress
47	FB 18-19 Higher Ed Scholarship	UH Manoa	To administer higher education scholarships and related services to Native Hawaiian students.	3254	Statewide	\$ 1,100,000	\$ 807,374	\$ 807,374	Active
48	FB 20-21 Higher Ed Scholarship	UH Manoa	To administer higher education scholarships and related services to Native Hawaiian students.	3372	Statewide	\$ 1,100,000	\$ -	\$ -	Active
49						<b>\$ 14,728,962</b>	<b>\$ 2,843,859</b>		
50	<b>REFERENCE:</b>								
51	<b>Active:</b> Grants that are currently active. Active grants includes 'Ahahui grants that have postponed activities								
52	<b>Closeout In Progress:</b> Grants that are in the process of being closed, i.e., Final Rpts under review, Closeout Rpts in progress and/or final closeout notice to be sent to Grantee								
53	<b>In Process:</b> Contracting in progress								



**OHA GRANTS**  
STATUS: RECENTLY CLOSED

	Type of Grant & FY /FB	Grantee	Contract #	Island	Amount Awarded	Description	Amount Expended	**PO Balance at Closeout	PO Closed Y/N	Status
1	*Ahahui FY19 - Round	East Maui Taro Festival	N/A	Maui	\$ 7,000	27th Annual East Maui Taro Festival	\$ 7,000.00		N	Closed
2	*Ahahui FY19 - Round	Hale Mua Cultural Group Inc.	N/A	Hawaii	\$ 5,000	Ha'a Koa Conference: Today's Innovations - Tomorrow's Traditions	\$ 5,000.00		N	Closed
3	*Ahahui FY19 - Round	Hawaii Book and Music Festival	N/A	Oahu	\$ 9,648	ALANA Hawaiian Culture Program	\$ 9,648.00		N	Closed
4	*Ahahui FY19 - Round	Hawaii Public Health Institute	N/A	Oahu	\$ 2,020	Community Health Worker Training on Trauma Informed Care	\$ 2,020.00		N	Closed
5	*Ahahui FY19 - Round	Hui o Hauula	N/A	Oahu	\$ 5,000	Hau'ula Ho'olaule'a	\$ 5,000.00		N	Closed
6	*Ahahui FY19 - Round	Huliauapaa	N/A	Oahu	\$ 8,000	Kali'uokapa'akai Collective Think Tank - A Conference with Kuleana	\$ 8,000.00		N	Closed
7	*Ahahui FY19 - Round	Ka Hale Pono Inc.	N/A	Kauai	\$ 5,000	9th Annual Anahola Prince Kūhiō Day Celebration	\$ 5,000.00		N	Closed
8	*Ahahui FY19 - Round	Ka Molokai Makahiki Inc.	N/A	Molokai	\$ 6,000	Ka Moloka'i Makahiki 2019	\$ 6,000.00		N	Closed
9	*Ahahui FY19 - Round	Kai Loa Inc.	N/A	Oahu	\$ 8,000	Makahiki Kuilima 2019	\$ 8,000.00		N	Closed
10	*Ahahui FY19 - Round	Kualoa-Heeia Ecumenical Youth Project	N/A	Oahu	\$ 6,000	2019 Ku'i Festival	\$ 6,000.00		N	Closed
11	*Ahahui FY19 - Round	Kula no na Poe Hawaii	N/A	Oahu	\$ 8,000	Nā Hulu Kahu o Papakōlea - Papakōlea 'Ohana Health Fair 2019	\$ 8,000.00		N	Closed
12	*Ahahui FY19 - Round	Laiopua 2020	N/A	Hawaii	\$ 4,000	10th Prince Kūhiō Ho'olaule'a	\$ 4,000.00		N	Closed
13	*Ahahui FY19 - Round	Malama Learning Center	N/A	Oahu	\$ 3,000	Ola nā Kini - Our 'Āina, Our Community	\$ 3,000.00		N	Closed
14	*Ahahui FY19 - Round	Molokai Homestead Farmers Alliance	N/A	Molokai	\$ 5,332	E Hui Pū	\$ 5,332.00		N	Closed
15	*Ahahui FY19 - Round	Na Puuwai, Native Hawaiian Health Care System	N/A	Lanai/Molokai	\$ 3,000	Wahine Hāpai	\$ 3,000.00		N	Closed
16	*Ahahui FY19 - Round	Pu'uhonua Society	N/A	Oahu	\$ 5,000	CONTACT 2019	\$ 5,000.00		N	Closed
17	*Ahahui FY19 - Round	Ulu A'e Learning Center	N/A	Oahu	\$ 7,000	Kapu'uola Hula Festival	\$ 7,000.00		N	Closed
18	*Ahahui FY19 - Round	Youth in Motion	N/A	Molokai	\$ 3,000	Moloka'i Holokai Festival	\$ 3,000.00		N	Closed
19	*Ahahui FY20 - Round	ALEA Bridge	N/A	O'ahu	\$ 3,000	Kapolei Resource Fair	\$ 3,000.00		N	Closed
20	*Ahahui FY20 - Round	Bishop Museum	N/A	O'ahu	\$ 1,000	"Pāka'a Lanakila! - Hawaiian culture education for children through music	\$ 1,000.00		N	Closed
21	*Ahahui FY20 - Round	Breastfeeding Hawai'i	N/A	O'ahu	\$ 1,000	Indigenous Breastfeeding Counselor Training	\$ 1,000.00		N	Closed
22	*Ahahui FY20 - Round	Friends of Molokai High & Middle Schools Foundation	N/A	Moloka'i	\$ 6,000	Future Fest and Resource Fair 2018	\$ 6,000.00		N	Closed
23	*Ahahui FY20 - Round	Hamakua Youth Foundation	N/A	Hawai'i	\$ 4,500	Ka Hamakua Makahiki	\$ 4,500.00		N	Closed
24	*Ahahui FY20 - Round	Hui No Ke Ola Pono	N/A	Maui	\$ 6,000	'Aha Maui - A Native Hawaiian Wellness Hō'ike	\$ 6,000.00		N	Closed
25	*Ahahui FY20 - Round	Lanai Academy of Performing Arts	N/A	Lāna'i	\$ 5,000	Ha'ina Ka Puana--"Let Our Story Echo On": Caring for the Traditions of Lana'i's Storied Places	\$ 5,000.00		N	Closed
26	*Ahahui FY20 - Round	Malama Kaua'i	N/A	Kaua'i	\$ 5,000	Kaua'i Pohaku Implement Making Workshop for Cultural Practitioners	\$ 5,000.00		N	Closed
27	*Ahahui FY20 - Round	Na Mamo o Mu'olea	N/A	Maui	\$ 7,000	Hana Limu Festival	\$ 7,000.00		N	Closed
28	*Ahahui FY20 - Round	Na Wahine O Ke Kai	N/A	Moloka'i ; O'ahu	\$ 5,000	Na Wahine O Ke Kai Molokai to Oahu Canoe	\$ 5,000.00		N	Closed
29	*Ahahui FY20 - Round	Papahana Kuaola	N/A	O'ahu	\$ 6,500	Kamaha'o Na Hulu Ko'o	\$ 6,500.00		N	Closed
30	*Ahahui FY20 - Round	Wahea Foundation	N/A	O'ahu	\$ 1,000	I Le'a Ka Hula	\$ 1,000.00		N	Closed
31	*Ahahui FY20 - Round	Big Island Substance Abuse Council	N/A	Hawai'i	\$ 2,500	Summer Jam 2018 - Health & Fitness Fair	\$ 2,500.00		N	Closed
32	*Ahahui FY20 - Round	Boys & Girls Club of Hawaii	N/A	O'ahu	\$ 4,000	Nanakuli Summer Night Event	\$ 4,000.00		N	Closed
33	*Ahahui FY20 - Round	Hawai'i Pono'i Foundation	N/A	O'ahu	\$ 8,000	'Onipa'a Celebration 2019	\$ 8,000.00		N	Closed
34	*Ahahui FY20 - Round	Hawaiian Canoe Racing Association	N/A	Kaua'i	\$ 3,000	2019 Hawaiian Canoe Racing Association (HCRA) State Championship Regatta	\$ 3,000.00		N	Closed
35	*Ahahui FY20 - Round	Kai'Ōpua Canoe Club	N/A	Hawai'i	\$ 3,000	Hoeamaui	\$ -	\$ 3,000.00	N	Closed
36	*Ahahui FY20 - Round	Kailapa Community Association	N/A	Hawai'i	\$ 5,000	Annual Nā Kilo 'Āina Camp	\$ 5,000.00		N	Closed
37	*Ahahui FY20 - Round	Ko'olauloa Health Center, Inc.	N/A	O'ahu	\$ 4,500	National Health Center Week	\$ 4,500.00		N	Closed

**OHA GRANTS**  
STATUS: RECENTLY CLOSED

	Type of Grant & FY /FB	Grantee	Contract #	Island	Amount Awarded	Description	Amount Expended	**PO Balance at Closeout	PO Closed Y/N	Status
38	*Ahahui FY20 - Round 1	Project Vision Hawaii	N/A	Hawai'i	\$ 7,000	Hui for Health - Moloka'i	\$ 7,000.00		N	Closed
39	*Ahahui FY20 - Round 1	Waianae Coast Comprehensive Health Center	N/A	O'ahu	\$ 5,000	Mauka to Makai	\$ 5,000.00		N	Closed
40	*Ahahui FY20 - Round 1	Aloha Week Hawaii DBA Aloha Festivals	N/A	O'ahu	\$ 7,000	Aloha Festivals	\$ 7,000.00		N	Closed
41	*Ahahui FY20 - Round 1	Hui O Hauula	N/A	O'ahu	\$ 2,500	Hauula Hoolaulea 2020	\$ 2,500.00		N	Closed
42	*Ahahui FY20 - Round 1	Ka Molokai Makahiki, Inc.	N/A	Moloka'i	\$ 8,100	Ka Molokai Makahiki 2020	\$ 8,100.00		N	Closed
43	*Ahahui FY20 - Round 1	Kai Loa, Inc.	N/A	O'ahu	\$ 3,000	Makahiki Kuilima 2020	\$ 3,000.00		N	Closed
44	*Ahahui FY20 - Round 1	Molokai Land Trust	N/A	Moloka'i	\$ 6,000	Molokai Land Trust Open House & Return to the Aina Event	\$ -	\$ 6,000.00	N	Closed
45	*Ahahui FY20 - Round 1	Historic Hawaii Foundation	N/A	O'ahu	\$ 2,500	Native Hawaiian Organizations and Section 106: ACHP Online Course Presentation & Panel Discussion	\$ 2,500.00		N	Closed
46	*Ahahui FY20 - Round 1	Saint Louis School	N/A	O'ahu	\$ 8,500	Ko Kula Uka, Ko Kula Kai - Kalo a 'Upena	\$ 8,500.00		N	Closed
47	*Ahahui FY20 - Round 1	Pohaha I Ka Lani	N/A	Hawai'i	\$ 8,100	Mahina 'Ai	\$ 8,100.00		N	Closed
48	*Ahahui FY20 - Round 1	Aha Punana Leo, Inc.	N/A	Hawaii	\$ 8,100	Pulama Maui Ola	\$ 8,100.00		N	Closed
49	*Ahahui FY20 - Round 1	Ka Hale Pono Inc.	N/A	Kaua'i	\$ 5,000	10th Annual Anahola Prince Kuhio Day Celebration	\$ 4,230.41	\$ 769.59	N	Closed
50	*Ahahui FY20 - Round 1	Council for Native Hawaiian Advancement	N/A	Kaua'i	\$ 6,700	Kauai Ocean Fest	\$ 6,700.00		N	Closed
51	*Ahahui FY20 - Round 1	Waianae Coast Community Mental Health Center Inc.	N/A	O'ahu	\$ 4,000	Wai'anae Coast Keiki Spring Fest	\$ -	\$ 4,000.00	N	Closed
52	CG - FB18/19	Afterschool All-Stars	3179	Oahu/Hawaii	\$ 490,810	Provide comprehensive after-school programs in five Title I middle schools to improve Native Hawaiian student proficiency in reading and math.	\$ 490,809.93	\$ 0.07	Y	Closed
53	CG - FB18/19	Awaiaulu	3196	Statewide	\$ 353,600	Train Hawaiian language translators and editors. Research, translate and re-present Hawaiian language texts from the past for modern audiences. Generate Hawaiian language books and other educational materials to bridge Hawaiian knowledge from the past to the present and future.	\$ 353,600.00	\$ -	Y	Closed
54	CG - FB18/19	Boys & Girls Club of Big Island, Inc.	3203	Hawaii	\$ 250,638	Strengthen academic success for Native Hawaiian and other Club members by instilling lifelong learning habits through culturally responsive, experiential academic support delivered by Club mentors.	\$ 250,638.00	\$ -	Y	Closed
55	CG - FB18/19	Educational Services Hawaii Foundation	3193	Statewide	\$ 258,552	Provide comprehensive instruction utilizing culture-based pedagogy, combined with a variety of educationally enriching activities, designed to help more Native Hawaiian students in foster, kith, and kinship care, to meet and exceed Reading and Math Standards, achieve yearly grade promotion, and graduate.	\$ 255,099.18	\$ 3,452.82	Y	Closed

**OHA GRANTS**  
STATUS: RECENTLY CLOSED

	Type of Grant & FY /FB	Grantee	Contract #	Island	Amount Awarded	Description	Amount Expended	**PO Balance at Closeout	PO Closed Y/N	Status
56	CG - FB18/19	EPIC 'Ohana	3176	Statewide	\$ 92,000	Provide financial literacy training and matching funds for asset purchases for Native Hawaiians ages 14-25 who were in foster care. Eligible asset purchases include obtaining stable rental housing (e.g., security deposit/first month's rent).	\$ 82,262.00	\$ 4,743.00	Y	Closed
57	CG - FB18/19	Goodwill Industries of Hawaii, Inc.	3197	Hawaii	\$ 720,000	Provide education and training and career support services, in partnership with University of Hawai'i Community Colleges, to eligible Native Hawaiians to improve their ability to obtain higher-wage employment, thereby increasing their economic self-sufficiency.	\$ 437,856.00	\$264,999.00	Y	Closed
58	CG - FB18/19	Habitat for Humanity - Maui	3198	Maui/Lanai	\$ 120,000	Educate Native Hawaiians on Maui and Lāna'i in effective financial literacy strategies with the goals of long-term economic self-sufficiency and successful homeownership.	\$ 120,000.00	\$ -	Y	Closed
59	CG - FB18/19	Halau Ke'alaokamaile	3174	Maui	\$ 240,697	Plant and maintain a 6-acre Native Habitat Kīpuka, for use by Native Hawaiian cultural practitioners and their students. Restore native flora and fauna, enhance the watershed, perpetuate cultural practices, and create a template for	\$ 228,558.91	\$ 12,138.09	Y	Closed
60	CG - FB18/19	Hawaii Forest Institute	3194	Hawaii	\$ 172,262	Tend, honor, and grow a place of peace and safety for the native dryland lama forest of Ka'ūpūlehu, within a regional homeland context. Foster restorative kinship relationships between the community and the 'āina, utilizing educational stewardship, Traditional Ecological Knowledge, and contemporary and institutional scientific methods.	\$ 166,124.00	\$ 6,138.00	Y	Closed
61	CG - FB18/19	Hawaiian Community Assets	3183	Statewide	\$ 470,000	Provide culturally-relevant, place-based financial literacy education, HUD-certified housing counseling, and asset building products to low- and moderate-income Native Hawaiians to improve their capacity to own or rent homes.	\$ 470,000.00	\$ -	Y	Closed
62	CG - FB18/19	Hui Malama O Ke Kai Foundation	3173	Oahu	\$ 133,638	Perpetuate Hawaiian cultural practices and educational methods while strengthening bonds within and between 'ohana for Hawaiians in the Waimānalo community. Contribute to the vision of "a board and stone in every home" and "a wa'a	\$ 124,721.06	\$ 8,916.94	Y	Closed
63	CG - FB18/19	Kokua Kalihi Valley Comprehensive Family Ser	3172	Oahu	\$ 189,720	Increase and perpetuate traditional knowledge and cultural practices around childbirth. Native Hawaiian wāhine hāpai and their kāne, cultural practitioners, and health professionals will learn cultural birthing practices, empowering families to give their child a strong foundation in life while	\$ 189,720.00	\$ -	Y	Closed

**OHA GRANTS**  
STATUS: RECENTLY CLOSED

	Type of Grant & FY /FB	Grantee	Contract #	Island	Amount Awarded	Description	Amount Expended	**PO Balance at Closeout	PO Closed Y/N	Status
64	CG - FB18/19	Kualapu'u PCCS	3209	Molokai	\$ 267,849	The project empowers students and families to improve their overall health to address the disproportionate burden of overweight, obesity and associated negative health outcomes. The evidence-based approach builds on the success of a 2-year pilot project in engaging students and families to increase physical activity and nutrition knowledge.	\$ 267,848.90	\$ 0.10	Y	Closed
65	CG - FB18/19	KUPA Friends of Hookena Beach Park	3195	Hawaii	\$ 97,741	Preserve and perpetuate traditional Hawaiian cultural practices for 'ōpelu fishing as handed down to the fishermen of Ho'okena and the greater South Kona region. Reintroduce seasonal closures to allow time for regeneration of fish stocks and increased fish catch. Train a new	\$ 97,741.00	\$ -	Y	Closed
66	CG - FB18/19	Nanakuli Housing Corporation	3106	Oahu	\$ 318,000	Provide financial and homeownership training to Native Hawaiian households with low to moderate income to increase their economic self-sufficiency.	\$ 294,658.00	\$ 23,342.00	Y	Closed
67	CG - FB18/19	PA'I Foundation	3178	Oahu	\$ 178,532	Perpetuate hula by providing instruction and creative spaces to Native Hawaiian kumu hula and hālau hula to continue to share and teach hula, to increase the number of Native Hawaiian 'ōlapa, ho'opa'a, and kumu hula, and to develop access to and interest in hula for future generations of Native Hawaiians to ensure these practices continue.	\$ 60,922.00	\$ 117,610.00	N	Closed
68	CG - FB18/19	PAC Foundation	3181	Oahu	\$ 73,810	Increase the number of cultural practitioners in the area of 'ie'ie basketry by providing cultural based experiences through lectures, resource gathering, workshops, and promoting opportunities for the Hawaiian community to connect with their heritage.	\$ 51,436.00	\$ 22,374.00	N	Closed
69	CG - FB18/19	Pacific American Foundation	3182	Oahu	\$ 60,000	Restore, revitalize, and preserve the Waikalua Loko Fishpond. Inspire, educate, and practice the art and engineering of Hawaiian fishponds as a catalyst to restore Kāne'ohe Bay and the near shore fisheries environment, and to help re-connect ma uka to ma kai in the ahupua'a of Kāne'ohe.	\$ 60,000.00	\$ -	Y	Closed
70	CG - FB18/19	QMC	3204	Maui	\$ 382,151	The purpose of this project is to implement a culturally relevant, community-based program based on direct (physical activity, clinical assessment) and prevention services (education, research) to reduce the rate and severity of obesity among Native Hawaiians, to improve well-being and reduce the burden of cardiovascular risk factors.	\$ 382,151.00	\$382,151.00	Y	Closed

**OHA GRANTS**  
STATUS: RECENTLY CLOSED

	Type of Grant & FY /FB	Grantee	Contract #	Island	Amount Awarded	Description	Amount Expended	**PO Balance at Closeout	PO Closed Y/N	Status
71	CG - FB18/19	Salvation Army	3175	Oahu	\$ 180,000	The project aims to improve the health of Hawaiian women recovering from substance abuse and addiction and prevent obesity and reduce weight gain related to cessation of tobacco, methamphetamine and other drugs, by engaging women in Hawaiian cultural practices that support health and by providing information and skills to live a healthy lifestyle.	\$ 178,168.94	\$ 1,831.06	Y	Closed
72	CG - FB18/19	Ka Honua Momona	3200	Molokai/Kauai	\$ 500,000	A collaboration between Ka Honua Momona of Moloka'i and Waipā Foundation of Kaua'i to grow environmental resources, cultural practices, and financial sustainability, ultimately ensuring abundance for Native Hawaiians and future generations.	\$ 401,525.85	\$ 98,473.85	Y	Closed
73	CG - FB18/19	YWCA	3202	Oahu	\$ 280,000	Help Native Hawaiian ex-offenders and other low-income women establish economic security by providing experiential and learning opportunities related to employment, financial literacy and work/life balance.	\$ 205,296.00	\$ 74,704.00	Y	Closed
74	PCS - FB18/19	Hakipuu Learning Center	3232	Oahu	\$ 110,000	Implement culture-based public charter school education	\$ 110,000.00	\$ -	Y	Closed
75	PCS - FB18/19	Halau Ku Mana PCS	3233	Oahu	\$ 252,526	Implement culture-based public charter school education	\$ 252,526.00	\$ -	Y	Closed
76	PCS - FB18/19	Ka Umeke Kaeo	3234	Hawaii	\$ 250,327	Implement culture-based public charter school education	\$ 250,327.00	\$ -	Y	Closed
77	PCS - FB18/19	Ka Waihona o ka Naauao PCS	3235	Oahu	\$ 313,199	Implement culture-based public charter school education	\$ 313,199.00	\$ -	Y	Closed
78	PCS - FB18/19	Kamaile Academy PCS	3237	Oahu	\$ 225,001	Implement culture-based public charter school education	\$ 225,001.00	\$ -	Y	Closed
79	PCS - FB18/19	Kanu o ka Aina New Century PCS	3238	Hawaii	\$ 272,023	Implement culture-based public charter school education	\$ 272,023.00	\$ -	Y	Closed
80	PCS - FB18/19	Kanuikapono PCS	3239	Kauai	\$ 125,435	Implement culture-based public charter school education	\$ 125,435.00	\$ -	Y	Closed
81	PCS - FB18/19	Kawaikini New Century PCS	3240	Kauai	\$ 163,881	Implement culture-based public charter school education	\$ 163,881.00	\$ -	Y	Closed
82	PCS - FB18/19	Ke Ana Laahana PCS	3241	Hawaii	\$ 110,000	Implement culture-based public charter school education	\$ 110,000.00	\$ -	Y	Closed
83	PCS - FB18/19	Ke Kula Nawahiokalaniopuu Iki Lab PCS	3243	Hawaii	\$ 289,217	Implement culture-based public charter school education	\$ 289,217.00	\$ -	Y	Closed
84	PCS - FB18/19	Ke Kula Niihau O Kekaha Learning Center	3242	Kauai	\$ 110,000	Implement culture-based public charter school education	\$ 110,000.00	\$ -	Y	Closed
85	PCS - FB18/19	Ke Kula o Samuel M. Kamakau Lab PCS	3243	Oahu	\$ 151,839	Implement culture-based public charter school education	\$ 151,839.00	\$ -	Y	Closed
86	PCS - FB18/19	Kua O Ka La New Century PCS	3244	Hawaii	\$ 122,420	Implement culture-based public charter school education	\$ 122,420.00	\$ -	Y	Closed
87	PCS - FB18/19	Kualapuu School: A Public Conversion Charter	3244	Molokai	\$ 170,132	Implement culture-based public charter school education	\$ 170,132.00	\$ -	Y	Closed
88	PCS - FB18/19	Kula Aupuni Niihau A Kahelelani Aloha	3246	Kauai	\$ 110,000	Implement culture-based public charter school education	\$ 110,000.00	\$ -	Y	Closed

**OHA GRANTS**  
STATUS: RECENTLY CLOSED

	Type of Grant & FY /FB	Grantee	Contract #	Island	Amount Awarded	Description	Amount Expended	**PO Balance at Closeout	PO Closed Y/N	Status
89	PCS - FB18/19	Malama Honua	3247	Oahu	\$ 110,000	Implement culture-based public charter school education	\$ 110,000.00	\$ -	Y	Closed
90	PCS - FB18/19	Waimea Middle	3248	Hawaii	\$ 114,000	Implement culture-based public charter school education	\$ 114,000.00	\$ -	Y	Closed
91	KULIA FY19	Hawaiian Kamalii Inc	3302	Maui	\$ 25,110	To provide a seven week cultural education exploration program centered around Huaka'i including a voyage to Kanaloa Kaho'olawe. Goal is for 60 Native Hawaiian children to develop a strong identity through Hawaiian culture.	\$ 17,785.56	\$ 7,324.44	Y	Closed
92	KULIA FY19	Marimed Foundation	3310	Oahu	\$ 41,508	To provide maritime training, education and job placement services for unemployed and underemployed Native Hawaiian men and women.	\$ 7,815.00	\$ 33,693.00	Y	Closed
93	FY 20 Non-Competiti	Habitat for Humanity - Maui (Disaster Relief for Maui Families)	3353	Maui	\$ 35,000	The purpose of this project is provide Disaster Relief funding to pre-determined recipients living in Kaua'ula Valley who were affected by fires after Hurricane Lane in 2018.	\$ 35,000.00	\$ -	y	Closed
94	CG - FB20/21	KUPA Friends of Hookena Beach Park	3350	Hawaii	\$ 99,920	The purpose of this project is to perpetuate Hawaiian cultural practice of 'ōpelu (mackerel scad) fishing as handed down to fishers in Ho'okena and South Kona. The project will build on current OHA support to revitalize seasonal closures, train a new generation of 'ōpelu fishers, and increase demand for traditionally harvested 'ōpelu so these cultural practices continue.	\$ 1,400.00	\$ 48,560.00	N	Closed
95	Multi-Services FB18/19 (Emergency Fin.Asst.)	Helping Hands Hawaii	3192	Statewide	\$ 1,660,000	To provide the services for 1) Emergency Financial Assistance to aid individual and families in reestablishing economic self-sufficiency; 2) Asset Building to encourage the establishment of Individual Development Accounts and administer funding to match savings to promoted asset building; and 3) Multi-Service Referrals to provide direct links to resources relating to employment, business development, education, healthcare, housing, culture, and/or other social services.	\$ 1,040,016.17	\$619,983.83	Y	Closed
<b>TOTAL</b>					<b>\$ 9,194,118</b>		<b>\$ 9,519,884</b>	<b>\$ 1,744,205</b>		

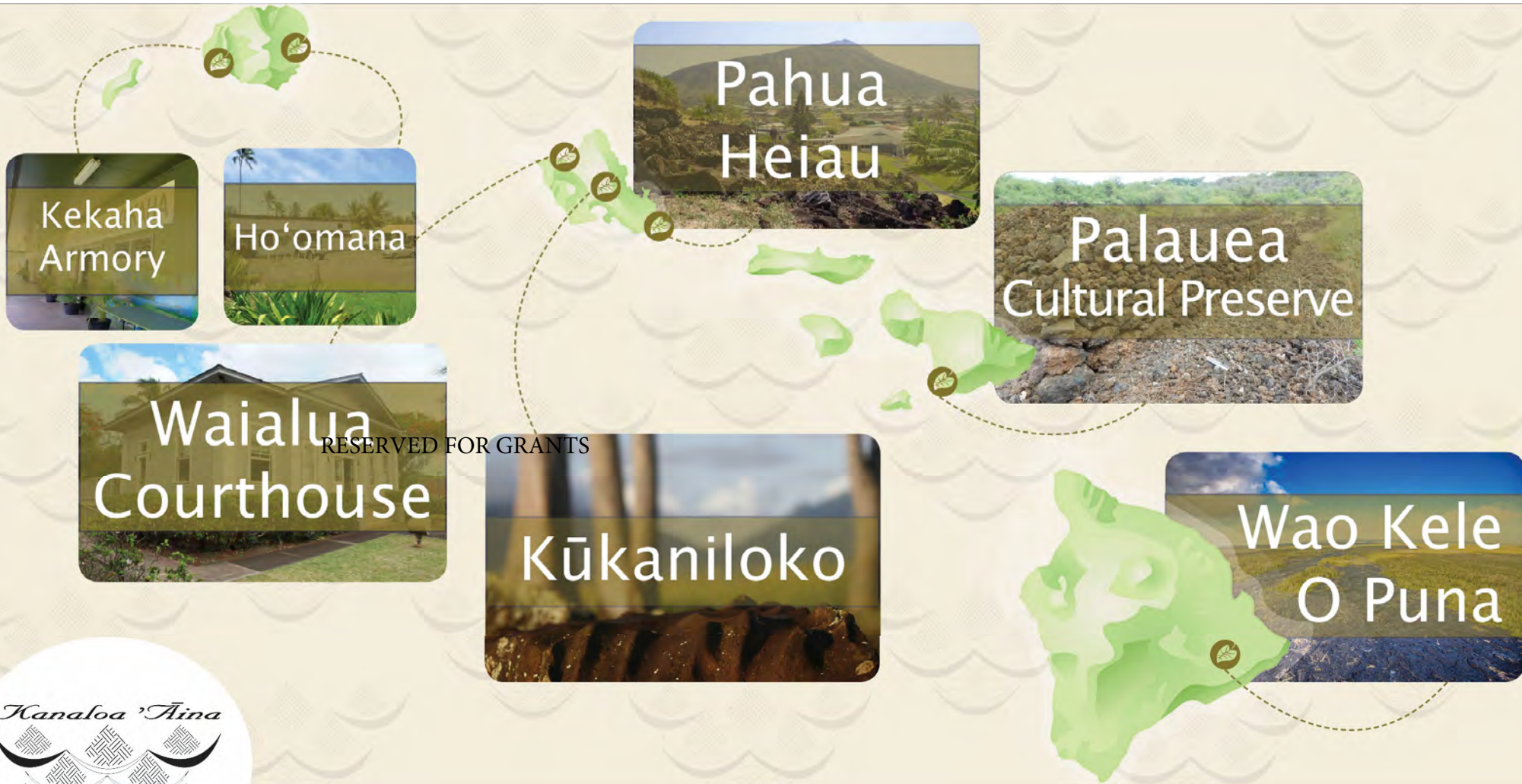
**REFERENCE:**

**Closed:** Grants recently closed (July 2019 to date), i.e., Closeout Rpt completed, Final Pymt on Contract submitted, Closeout notice emailed to Grantee

\* 'Ahahui grant agreements do not have contract numbers.

\*\* PO Balance at Closeout - If amount is different than amount awarded i.e. Grantee spent less than award





## Legacy & Programmatic Lands Update May 2019



# Kūkaniloko

*Birthing Stones of High Ranking Ali'i*

Located: *Wahiawā, O'ahu*

Size: *511 Acres*

Ownership: *Fee Simple*

Acquired: *2012*

Purchase Price: *\$3 million*

Zoning: *Ag-1*

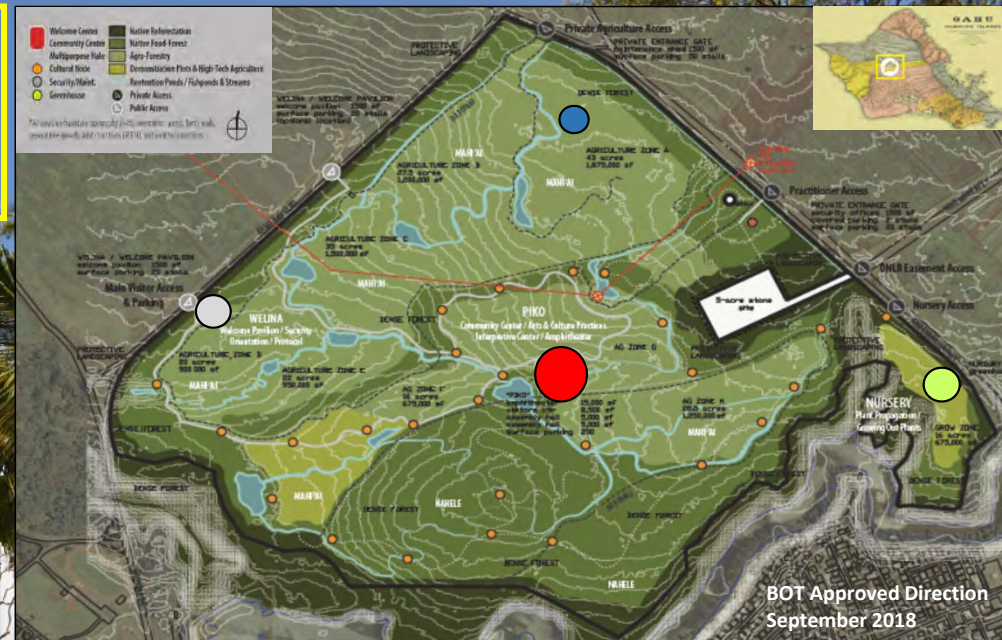
Special Conditions: *Conservation Easement*

BOT Approved Purpose of Acquisition:

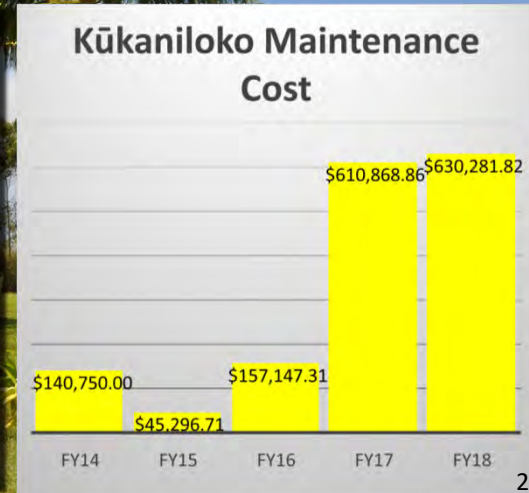
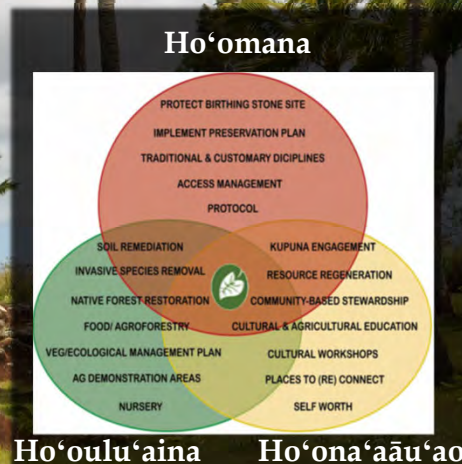
- *Protect Kūkaniloko;*
- *Explore compatible agriculture;*
- *Contribute to Hawai'i's food sustainability.*

Priority Projects

1. Finalize Conceptual Master Plan (Draft approved Sep 2018);
2. Finalize Soil Conservation Plan; Continue Veg Maint.
3. Transfer 5-acre property to OHA from DLNR (EO)
4. Design—Nursery & Greenhouse; Water Storage; Interpretive & welcome center; Cultural Nodes and Circulation Pathways.



BOT Approved Direction  
September 2018





# Wao Kele O Puna

*The last intact lowland rainforest*

**Location:** Puna, Hawai'i Island

**Size:** 25,856 Acres

**Ownership:** Fee simple

**Acquired:** 2006

**Purchase Price:** \$3.65 M

- Trust for Public Land – Negotiates purchase with Campbell Estate
- USDA Forest Legacy Program contributed \$3.35 M; and OHA contributed \$300,000 to secure title

**Zoning:** Conservation District – Protective sub-zone

## **Special Conditions:**

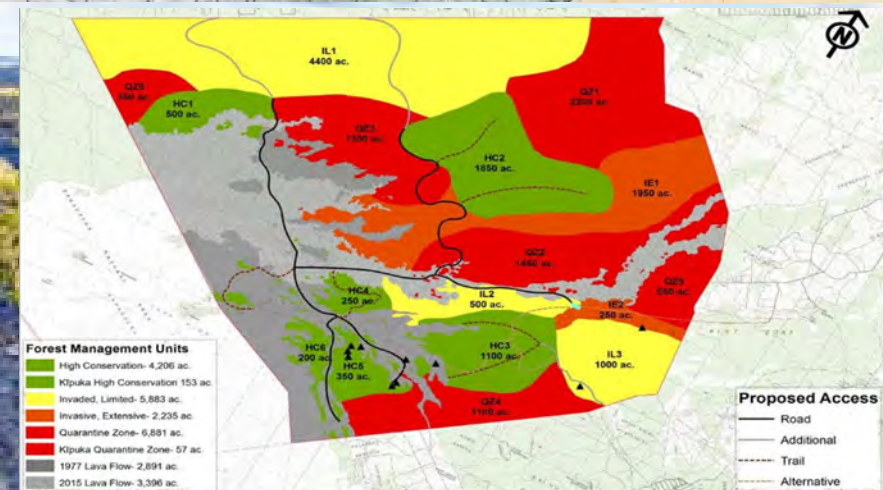
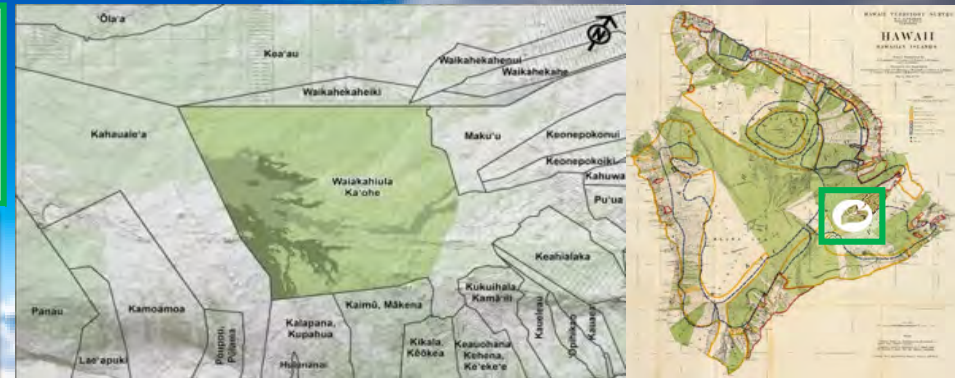
- Forest reserve and grant requirements
- Joint management w/ DLNR 2006 – 2016 (note: 2010)
- Active lava threat

## **BOT Approved Purpose of Acquisition:**

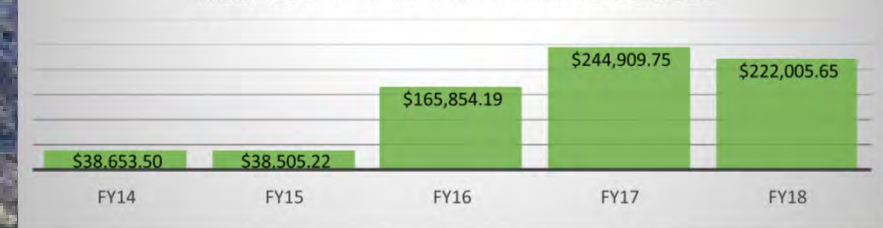
- Protect cultural resources and Native Hawaiian customary rights;
- Ensure lands will transfer to lāhui;
- Build lāhui land management capacity.

## **Priority Projects:**

1. Community Engagement Education and Stewardship
2. Native Habitat Restoration
3. Invasive Species Control



## Wao Kele O Puna Maintenance Cost





# Palauea Cultural Preserve

*Remnants of a pre-contact Hawaiian fishing village*

Location: Palauea, Maui

Size: 20.7 Acres

Ownership: Fee Simple

Acquired: 2012

Unique Features: Donated with funding mechanism, house structure (896 sq. ft.), and drainage easement.

Zoning: Preservation Zone

BOT Approved Purpose of Acquisition:

- Protect and preserve cultural sites
- Enable stewardship that integrate the Native Hawaiian community
- Work with UHMC to continue their long-term stewardship

Priority Projects:

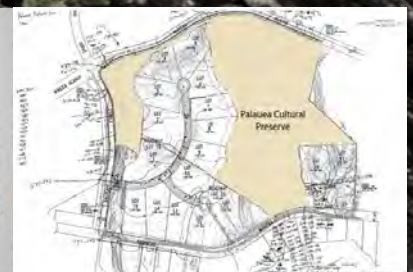
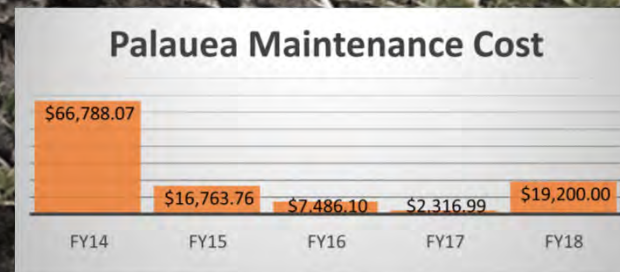
1. Preservation Plan
2. Deer Fence; Viewing area/Makai Fence
3. Education and Stewardship



Wiliwili Tree



Hale





# Pahua Heiau

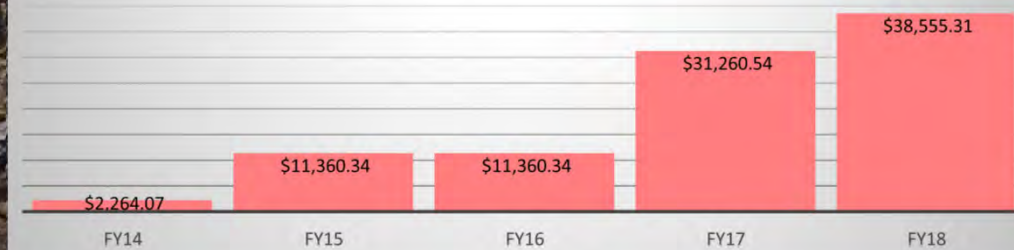
*Intact Heiau Amongst Residential Development*

Location: Maunaloa, O'ahu      Size: 1.15 Acres  
Ownership: Fee Simple      Acquired: 1988  
Purchase Price: Donated by KS  
Zoning: R- 5 Residential  
Special Conditions: Historic Use Restriction  
BOT Approved Purpose of Acquisition:  
Educational/cultural opportunities for Native Hawaiians.  
Priority Projects:

1. Landscape and Site Improvement plan
2. Barrier/Fence Construction



## Pahua Maintenance Cost





# Waialua Courthouse

*On the State Historic Register Since 1979*

Location: Waialua, O'ahu

Size: 1.06 Acres

Ownership: DLNR, 35 yr. Gratis lease till 2033, original lease dated 1998, amended 2012

Unique Features: Single story building (~2,000 sq ft) with basement jail

Zoning: R-5 Residential District

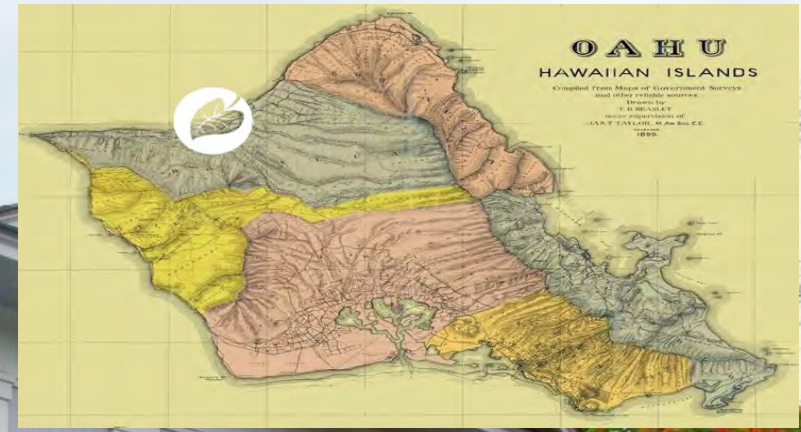
Special Conditions: OHA responsible for operating and repair costs

BOT Approved Purpose of Acquisition:

Provide a base for beneficiary organizations to gather, meet, plan, practice and participate in Hawaiian culture.

## Priority Projects

1. Asbestos Inspection/Refinish floors
2. Site drainage and parking lot paving
3. Secure 3rd party management



## Waialua Courthouse Maintenance Cost





# Kekaha Armory

**Located:** Kekaha, Kauai      **Zoning:** Industrial Mixed Use

**Size:** 1.46 Acres w/14,000 sf structure

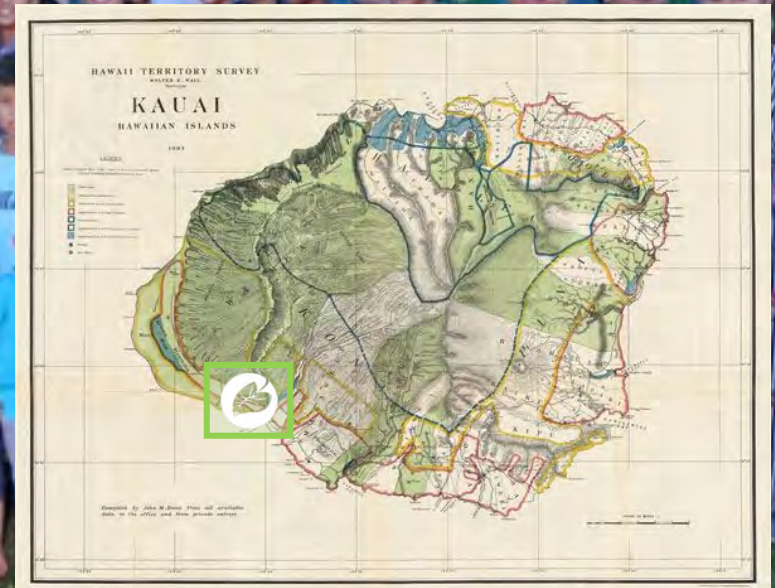
**Ownership:** 65 yr lease from DLNR, OHA subleased to Ke Kula Ni'ihau o Kekaha for 30 yrs

**Acquired in 1998**

**BOT Approved Purpose of Acquisition**

- Hawaiian cultural and educational purposes

**Management:** Ke Kula Ni'ihau O Kekaha





# Ho'omana

**Located:** Wailua, Kauai

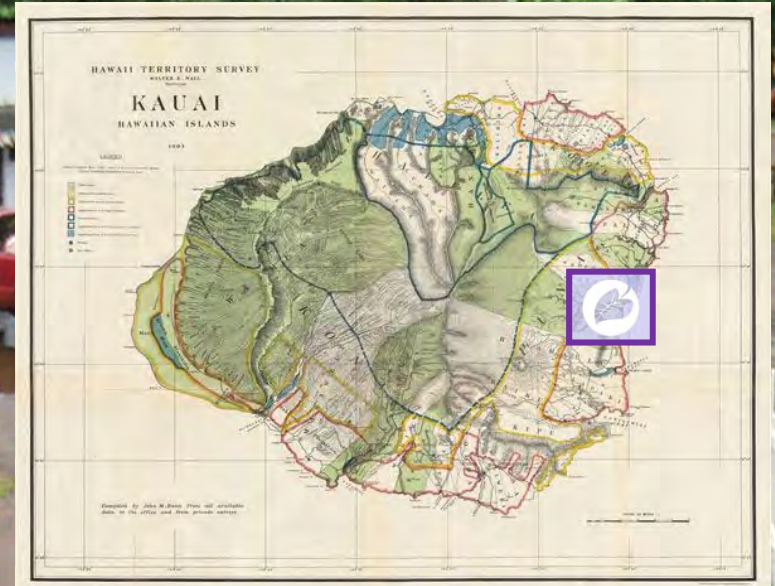
**Zoning:** Open

**Size:** 0.8013 Acres w/7,500 sf structure for

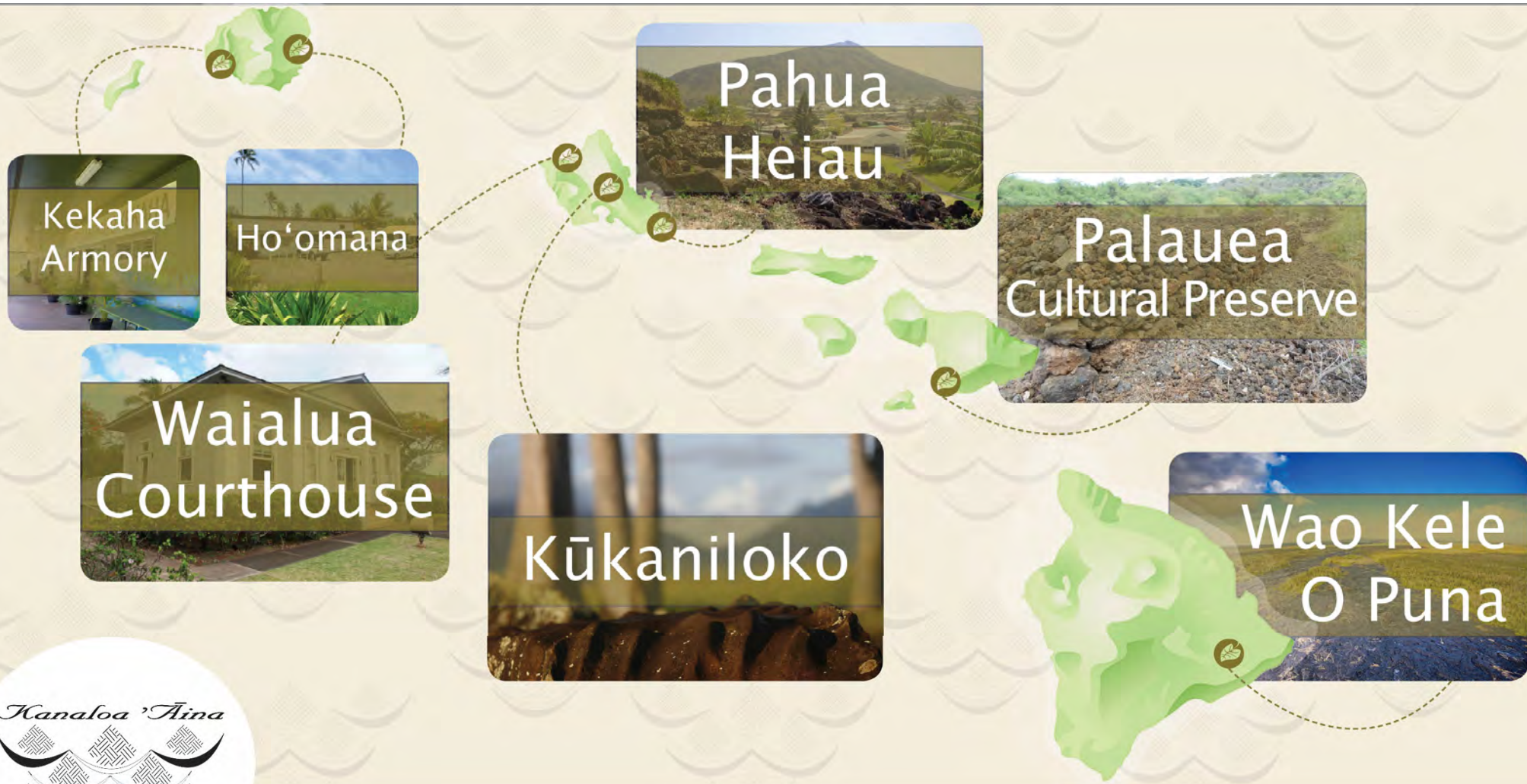
**Ownership:** Leased from DLNR, OHA subleased to Ho'omana

**BOT Approved Purpose of Acquisition**

- Educational purposes and community support







# Mahalo





CONCEPTUAL MASTER PLAN FOR  
THE OFFICE OF HAWAIIAN AFFAIRS'

# WAHIAWĀ LANDS

---

2020 EXECUTIVE SUMMARY



# KŪKANILOKO

## THE PIKO OF OʻAHU

The birthing stones of Kūkaniloko are one of the most sacred sites in Hawaiʻi. It is the piko (center) of Oʻahu where the highest-ranking aliʻi (royalty) were born. These stones and the surrounding areas were places of learning for kahuna (experts), lua (martial arts), kilo (observations), and other cultural practices.

## PURPOSE OF THE CONCEPTUAL MASTER PLAN

To guide the development of 511 acres surrounding the Kūkaniloko birthing stones in a way that delivers meaningful social, ecological, and economic impacts that are culturally nuanced, regenerative, and align with OHA's mission.

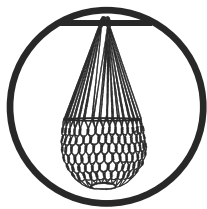
## WHY OHA CREATED THIS PLAN

As one of the most sacred wahi pana (legendary places), Kūkaniloko deserves the highest degree of response and planning rigor. Shaping its future will require a long-term vision guided by ancestral ʻike (knowledge) of this wahi pana as well as ʻike of Hawaiian resource management and land use. The Office of Hawaiian Affairs (OHA) engaged in a cultural and community-driven planning process to ensure a plan that was responsive to community as well as aligned with the purposes of aquisition, which were to protect the birthing stones, explore compatible agriculture, and contribute to Hawaiʻi's food security.

The 511 acres surrounding the birthing stones (OHA's Wahiawā Lands) is currently overgrown with invasive species, and its soil is degraded as a result of decades of monoculture in pineapple. To restore and holistically manage these Wahiawā Lands, OHA worked closely with community members and subject matter experts to come up with a plan that would honor this wahi pana and its history.

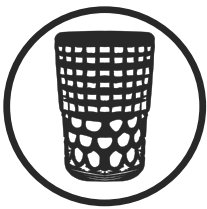
## VALUES

The values hoʻomana, hoʻonaʻauao, and hoʻoulu ʻāina were created in collaboration with the Hawaiian Civic Club of Wahiawā (HCCW), long-term stewards of the birthing stones. These values served as a filter for the planning process and continue to be a foundation for further development and implementation of this plan. Bullets listed below refer to the overarching actions associated with each value.



### HOʻOMANA: *Protection/Sanctification*

- › Protecting the birthing stones through stewardship training, security, implementation of a Preservation Plan, establishing site buffer zones;
- › Enabling traditional and customary practices/protocol; and
- › Managing access to the site.



### HOʻONAʻAUAO: *Education/Connection*

- › Implementing kūpuna to keiki engagement through cultural and agricultural education programs;
- › Grow and regenerate resources through community-based stewardship; and
- › Establish places for individuals to reconnect with ʻāina and reinforce self-worth.



### HOʻOULUAINA: *Agriculture, Ecological Rehabilitation, & Soil Remediation*

- › “Eco-Cultural Ag” - culturally informed ecological and agricultural practices;
- › Remediate the soil in order to grow culturally appropriate agriculture;
- › Remove invasive species to restore the native forest; and
- › Contribute to Hawaiʻi's food sovereignty through various methods (agroforestry to high-tech agriculture).

## KŪKANILOKO TIMELINE

### Quick History of Significant Events

Pre - 1795		1804 - Early 1990		1895 - 1900		1950		1972 - 1973		1992		2012		2016		2018	
1804 - 1824		1880s		1925 - 1950		1960s		1988		1997 - 2008		2015		2018			
It is generally accepted that Kūkaniloko was established as a chiefly birthing site around AD 1000. Some of Oʻahu's most celebrated chiefs were born at Kūkaniloko, such as: Kapawa (the very first chief born there), Laʻamaikahiki, Māʻilikūkahi, Kalanimanuia, and Kākuhihewa.		Lands surrounding the birthing stones were used for large scale ranching to supply the whaling industry.		The surrounding cultural landscape of the Central Plateau of Oʻahu dramatically changed to pineapple, military uses, and the town of Wahiawā		The Waialua Hawaiian Civic Club takes over stewardship of the site.		› Kūkaniloko is listed on the Hawaiʻi Register of Historic Places (1972).  › Kūkaniloko is listed on the National Register of Historic Places (1973).		Kūkaniloko officially becomes a state park and a 4.5 acre buffer zone is established around the birthing stones; expanding the parcel to its current size (5-acres).		The OHA acquires the 511-acres surrounding the birthing stones and enters into agreement with DLNR for management of the 5-acre parcel containing the birthing stones.		The OHA begins the Kūkaniloko conceptual master planning process with the Wahiawā community and surrounding land owners.			
› Major harvesting of ʻiliahī (sandalwood) on Oʻahu occurred within the Wahiawā/Halemano area.  › Aikapu occurred in 1819 drastically transforming the systems of governance and religion eventually opening up the management distribution of land to non-natives.		In 1882, George Galbraith purchased the lands for ranching and decided to fence off the area where the birthing stones are located.		In 1918, the Daughters of Hawaiʻi were asked to steward the scared site, and did so from 1925 till 1950.		The Hawaiian Civic Club of Wahiawā (HCCW) was established and assumes duties to guard and maintain Kūkaniloko.		Department of Land and Natural Resources (DLNR), State Parks Division, acquires the 0.585 acre area containing the Kūkaniloko birthing stones by Governor's Executive Order.		State Parks enters into a stewardship agreement with HCCW.		The OHA enters into stewardship agreement with HCCW for the 5-acres parcel containing the birthing stones.		The OHA's Wahiawā Lands Conceptual Master Plan was completed.			

# COMMUNITY OUTREACH PROCESS

## 2.5-YEAR PLANNING PROCESS

The OHA recognized that the planning process required a thorough understanding of the place and the people who interact with it. The following represents the community outreach process that took place to explore the various visions the OHA's beneficiaries and community members have for the site.

### PHASE 1: PRE-PLANNING

#### THOUGHT LEADER INTERVIEWS

› Hawaiian community leaders were consulted to include their vision and considerations for the conceptual master plan. The Thought Leaders provided comments on managing access, perpetuating culture, cultural education, and protecting the place for current and future generations.

### PHASE 2: START OF CONCEPTUAL MASTER PLANNING

#### WORKING GROUP FORMED

› 10 working group members - with expertise in cultural and natural resource management, agriculture, archaeology, business and marketing, education, Hawaiian culture, environmental and property law - were selected through a public process to represent diverse communities and support in the development of the Kūkaniloko Conceptual Master Plan.

#### NEIGHBORING LANDOWNER ENGAGEMENT

› Key neighboring landowners were engaged to discuss any plans, priorities, or issues that might impact the conceptual master plan. Wahiawā landowners and tenants surrounding the OHA's 511-acres were invited to a meeting to share the Conceptual Master Plan timeline and process, build relationships and gather feedback.

#### COMMUNITY MEETING #1

› The community was introduced to the project, the planning team, and were asked to give their mana'o on the identified values. This helped the Working Group to further define the values.

#### KUMUPA'A FOUNDATION & GUIDING PRINCIPLES

› The Working Group developed the Kumupa'a foundation to stand upon for further development and a set of guiding principles to serve as the filter for the planning process. The actions that shall occur on the site will be guided by the values described previously (ho'omana, ho'ona'auao, and ho'oulu 'āina).

#### CASE STUDIES

Case studies and research were shared with the Working Group. The purpose of the exercise was to provide a range of local and global examples of existing and proposed projects to generate ideas for landscaping and supporting infrastructure appropriate for the 511-acre property surrounding the Kūkaniloko Birthing Stones Site.

### PHASE 3: MID-PLANNING

#### PROGRAM DEVELOPMENT

› Brainstorming workshops were conducted on what kind of programming would take place on the property and what physical elements and infrastructure were needed. 4 major categories emerged: Cultural landscape, vegetation, education, and revenue generation. The working group further broke down the categories which became the priorities for the plan.

#### COMMUNITY MEETING #2

› The civic groups and larger community reconvened for a recap of the first round of community engagement and an update from the Working Group. The larger group broke into three stations to give their comments and feedback on the 4 major program categories developed by the Working Group.

### PHASE 4: MASTER PLAN

#### COMMUNITY MEETING #3

› The final opportunity for the community to review and comment on the OHA's Conceptual Master Plan recommendations before going before the plan would be presented to OHA's Board of Trustees. The comments and outcomes from this meeting helped to reinforce the process and direction developed by the Working Group.

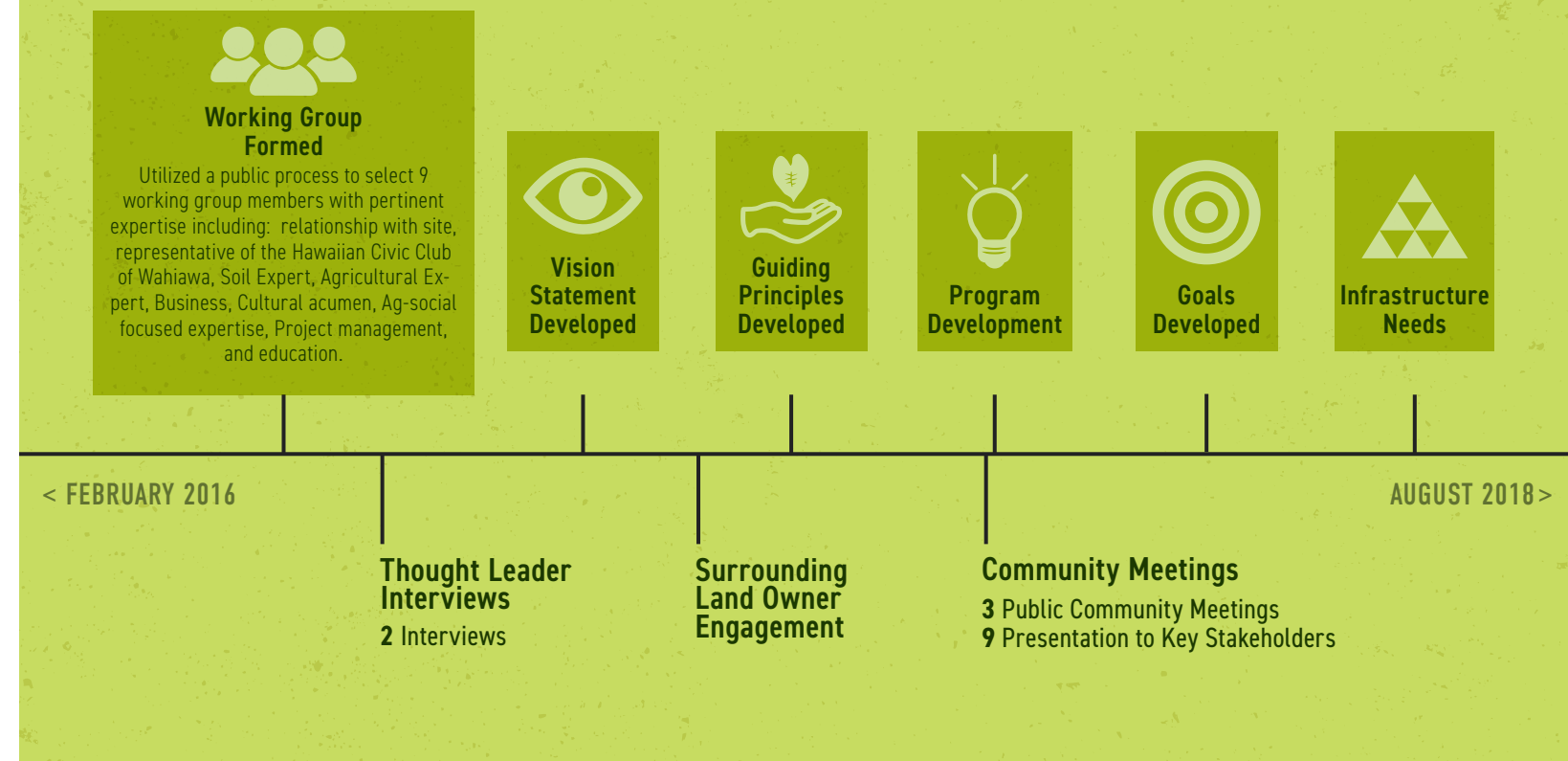
#### VISION STATEMENT DEVELOPED

› The Working Group and community members produced a guiding charter for the site that was envisioned to be implemented over the next 100 years the outcomes from this discussion are best represented by this vision statement:

*Kūkaniloko Ka'ānani'au is an ecosystem of connectivity between our 'āina and people. The piko for the lāhui and a wahi kapu to honor O'ahu's royal lineage, Kūkaniloko will inspire and educate future 'ōiwi leaders to ho'omana, ho'ona'auao and ho'oulu 'āina.*

#### CONCEPTUAL GOAL DEVELOPMENT & IDENTIFICATION OF PHYSICAL NEEDS

› Goals were developed for each value (ho'omana, ho'ona'auao, and ho'oulu 'āina) and infrastructural needs were also identified for each goal (ie. Outdoor classrooms, gardens, etc).



## PROGRAMMATIC OUTCOMES

The community outreach outcomes that rose to the top as priorities are best represented by the following guiding themes:



### INTERGRATED PROGRAMMING

The types of programming that will take place at Kūkaniloko can be categorized by Ho'omana (preservation plan, protocol, access management), Ho'ona'auao (Kūpuna engagement, community-based stewardship, cultural workshops), and Ho'oulu 'Āina (soil remediation, agriculture, native forest restoration). When braided together as the strands of olonā cordage, these three categories fortify connectivity between our people and this place.



### EDUCATION CONTINUUM

Like most indigenous cultures, Native Hawaiians understood that human life cycles are relatively short and information gained needs to be transmitted to future generations to understand lessons learned over time. This concept will be perpetuated through educational and engagement programs at Kūkaniloko. Generationally integrated education supports a traditional model of reciprocal learning that will help grow people, place, food, environment and natural/cultural resources.



### HUB & SPOKE

Kūkaniloko will become a hub to connect other efforts on O'ahu and the pae 'āina. It will bridge between movements and leverage resources and initiatives to implement meaningful action for the lāhui. People will come to Kūkaniloko to gain knowledge and experience, than take 'ike back to their moku, ahupua'a, and 'ili to educate and expand its reach. This hub & spoke model aligns with the site's geological position, historic use and significance.



### VEGETATION CONTINUUM

The vegetation continuum considers the full spectrum of vegetation planting strategies: native forest to high-tech agriculture. By creating a diverse ecosystem, the watershed will be restored through the restoration of native trees, which will feed the understory below and ultimately feed the lāhui. Kūkaniloko will grow food, people, and other things that will serve the needs of the 'āina and kanaka. Healthy lands, healthy people.





## WELINA ZONE

### WELCOME PAVILION

The welcome pavilion aligns with the values ho'omana and ho'ona'auao and is part of the access management strategies to protect the birthing stones. As recommended by the working group, a home base for community stewards and security to operate from. At the welcome pavilion, visitors can be educated about the place and how to behave while visiting.

## PIKO ZONE

### INTERPRETIVE CENTER (& AMPITHEATER)

The interpretive center aligns with the values ho'omana and ho'ona'auao and will be the gathering place for education, training and launa (socializing). It will also provide alternative experiences of the birthing stones, which will enable continued and accurate interpretation of the site without visiting the stones.

## KUPU ZONE

### GREENHOUSE & NURSERY

The greenhouse aligns with the value, ho'oulu 'aina and will produce plants for the reforestation efforts that will take place on the property as part of the vegetation continuum. It will also serve as the "high tech" agriculture component of the continuum, which is the use of best-science agricultural methodologies available to date.

## CULTURAL NODES

Along the walking and vehicle pathways one can connect further to Hawaiian culture, knowledge, and practices. These cultural nodes will serve multiple functions for educational purposes to enlighten the next generations of leaders.

## VEGETATION CONTINUUM

The vegetation continuum is a spectrum of vegetation ranging from native flora, resource crops, food crops, and highly modified, technological agricultural systems that will be established throughout the property.





## KŪKANILOKO WILL BECOME A PLACE WHERE...

- » The legacy of the ali'i born and trained there is carried on by the next generation who will be educated to become leaders in their communities;
- » Kūpuna, mākua, and keiki can come together to learn and perpetuate Hawaiian culture;
- » Beneficiaries and community members can gather to celebrate the rich cultural history of their home; and
- » Natural and cultural resources are restored and continue to flourish through a holistic regenerative system that is supported by community stewardship and the economic and social opportunities articulated in the plan.

## SHARE YOUR MANA'O

Email us your thoughts at [info@oha.org](mailto:info@oha.org)



## Executive Summary

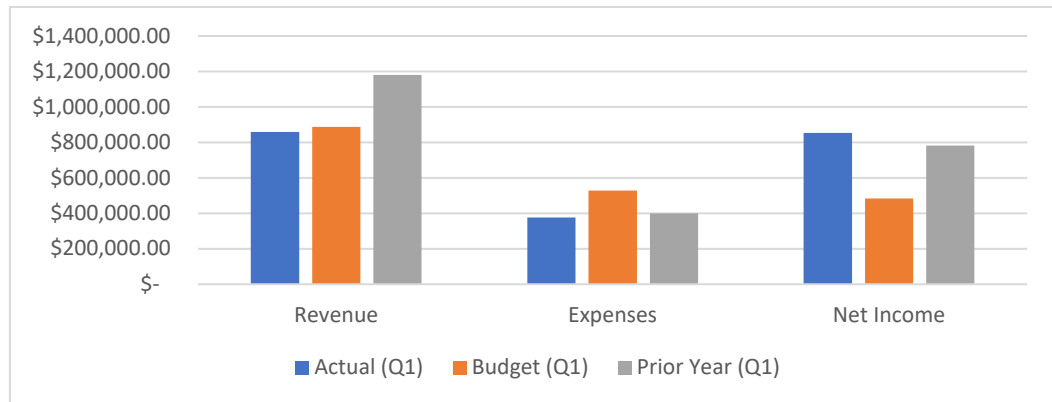
Calendar Year 2020, Quarter 1

January 2020 - March 2020

### Q1 Occupancy as of March 2020

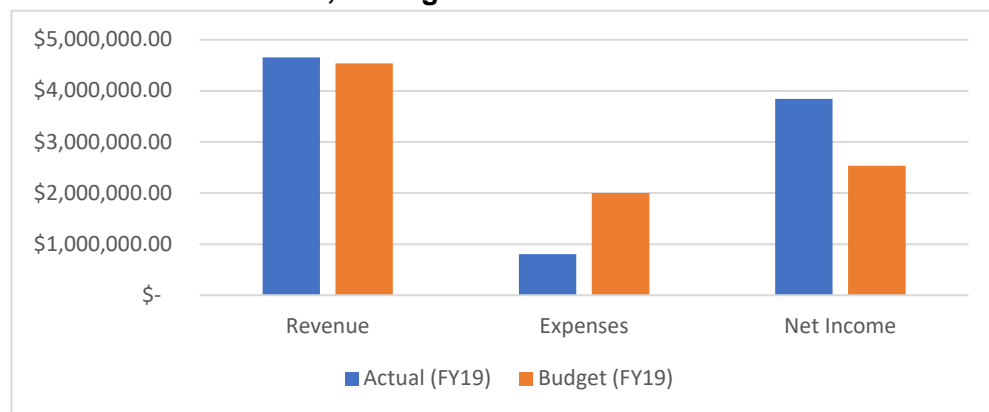
Actual	983,045 sf	78.17%
Budget	983,045 sf	78.17%
GLA	1,257,639 sf	100%

### Q1 Financial Results as of March 2020



	Actual (Q1)	Budget (Q1)	Prior Year (Q1)
<b>Revenue</b>	\$ 858,245.13	\$ 887,848.66	\$ 1,181,016.88
<b>Expenses</b>	\$ 376,354.63	\$ 528,972.00	\$ 399,667.07
<b>Net Income</b>	\$ 853,980.12	\$ 483,881.66	\$ 781,349.81

### FY19 Financial Results for the 12 months, ending 6/30/2019



	Actual (FY19)	Budget (FY19)
<b>Revenue</b>	\$ 4,651,930.71	\$ 4,537,690.56
<b>Expenses</b>	\$ 807,576.94	\$ 2,002,363.22
<b>Net Income</b>	\$ 3,844,353.77	\$ 2,535,327.34





**Executive Summary**

Calendar Year 2020, Quarter 1

January 2020 - March 2020

**COVID-19 Update**

[1] - Total Number of Tenants	21
[2] - Rental Delinquencies	4
[3] - Requested rent relief	6
[4] - Completed submission for landlord review	0
[5] - Rent deferral approved	0

**Additional Notes**

[A] - Revenue variance is primarily attributed to one (1) tenant that was delinquent prior to COVID crisis; OHA received summary judgement for another tenant, eviction stayed by COVID crisis.

OHA rent deferral program initiated on 4/7/20 for all Commercial Properties

<b>Expiring Leases FY21</b>	425,013 sf	33.79%
-----------------------------	------------	--------



OFFICE OF HAWAIIAN AFFAIRS  
 Nä Lama Kukui  
 560 North Nimitz Highway Honolulu, HI 96817

V05.13.2020

## Executive Summary

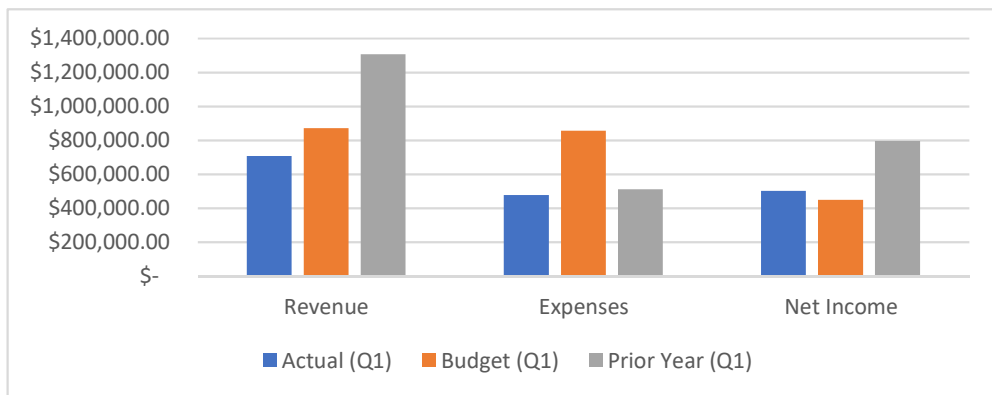
Calendar Year 2020, Quarter 1

January 2020 - March 2020

### Q1 Occupancy as of March 2020

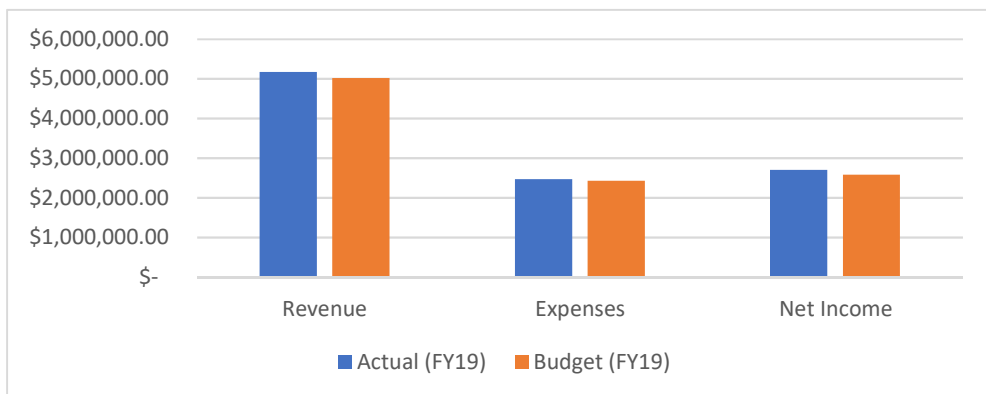
Actual	136,062 sq ft	100%
Budget	136,062 sq ft	100%
GLA	136,062 sq ft	100%

### Q1 Financial Results as of March 2020\*



	Actual (Q1)	Budget (Q1)	Prior Year (Q1)
<b>Revenue</b>	\$ 706,871.99	\$ 870,777.33	\$ 1,306,447.22
<b>Expenses</b>	\$ 477,747.84	\$ 857,104.25	\$ 511,474.59
<b>Net Income</b>	\$ 500,765.74	\$ 448,810.75	\$ 794,972.63

### FY19 Financial Results for the 12 months, ending 6/30/2019\*



	Actual (FY19)	Budget (FY19)
<b>Revenue</b>	\$ 5,168,696.44	\$ 5,017,312.00
<b>Expenses</b>	\$ 2,465,685.74	\$ 2,431,847.28
<b>Net Income</b>	\$ 2,703,010.70	\$ 2,585,464.72



OFFICE OF HAWAIIAN AFFAIRS  
Nä Lama Kukui  
560 North Nimitz Highway Honolulu, HI 96817

V05.13.2020

**Executive Summary**

Calendar Year 2020, Quarter 1

January 2020 - March 2020

*\*Data excludes NLK Debt Service*

**COVID-19 Update**

[1] - Total Number of tenants	43
[2] - Rental delinquencies <i>incl. OHA (timing) &amp; tenant on a payment plan</i>	3
[3] - Requested rent relief	18
[4] - Completed submission for landlord review	0
[5] - Rent deferral approved	0

**Additional Notes**

[A] - The revenue variance is primarily due to OHA's early rent and CAM payment for January 2020 in December 2019 and delayed payment for March 2020.

[B] - OHA's Rent Deferral Program launched April 7, 2020 for the agency's Commercial Properties

## EXCERPT FROM 6/30/2019 AUDIT REPORT

### LONG-TERM LIABILITIES

On August 14, 2012, OHA entered into a \$21,370,000 long term note payable with a bank, to finance the purchase of Na Lama Kukui. The interest rate is fixed at 3.35%. The note is due in full on September 1, 2022 and is collateralized by a mortgage lien on the property, assignment of OHA's right, title and interest as landlord in and to any rents under tenant leases and rental agreements on Na Lama Kukui and security interest in all furniture, fixtures, and equipment. On July 26, 2013 the agreement was modified to increase the interest rate to 3.6% and include certain financial covenants. At June 30, 2019, OHA was in compliance with those covenants. In the event of default, OHA is liable for the immediate repayment of 53.2% of the outstanding principal balance of the loan, together with accrued interest and reimbursement of the fees and costs incurred by the bank in connection with the loan. The remaining 46.8% of the principal balance of the loan and all other obligations under the loan shall be enforced solely against the collateral.

As of June 30, 2019, future principal and interest payments from direct borrowings for the note payable are as follows:

<u>Fiscal Year ending June 30,</u>	<u>Principal</u>	<u>Interest</u>	<u>Total</u>
2020	618,274	679,319	1,297,593
2021	640,903	656,691	1,297,594
2022	664,360	633,234	1,297,594
2023	<u>17,212,948</u>	<u>154,543</u>	<u>17,367,491</u>
	\$ <u>19,136,485</u>	\$ <u>2,123,787</u>	\$ <u>21,260,272</u>

On June 28, 2013 OHA entered into a \$6,758,000 line of credit to finance the renovation of Na Lama Kukui, which is due in full on June 28, 2023. On January 28, 2015, OHA entered into a \$5,000,000 line of credit to finance OHA's governance planning initiative and other projects, which is due in full on February 3, 2024. OHA had drawn a total of \$6,711,040 between the lines of credit as of June 30, 2019. The interest rates are contingent upon certain elections by OHA. The lines of credit are collateralized by all investment property and financial assets held in certain investment accounts. The lines of credit are also subject to certain financial covenants. At June 30, 2019, OHA was in compliance with those covenants. Future principal and interest payments for direct borrowings on the lines of credit are as follows:

<u>Fiscal Year ending June 30,</u>	<u>Principal</u>	<u>Interest</u>	<u>Total</u>
2020	1,614,666	221,100	1,835,766
2021	1,614,666	172,443	1,787,109
2022	1,614,666	123,771	1,738,437
2023	1,614,666	55,227	1,669,893
2024	<u>252,375</u>	<u>4,151</u>	<u>256,526</u>
	\$ <u>6,711,039</u>	\$ <u>576,692</u>	\$ <u>7,287,731</u>

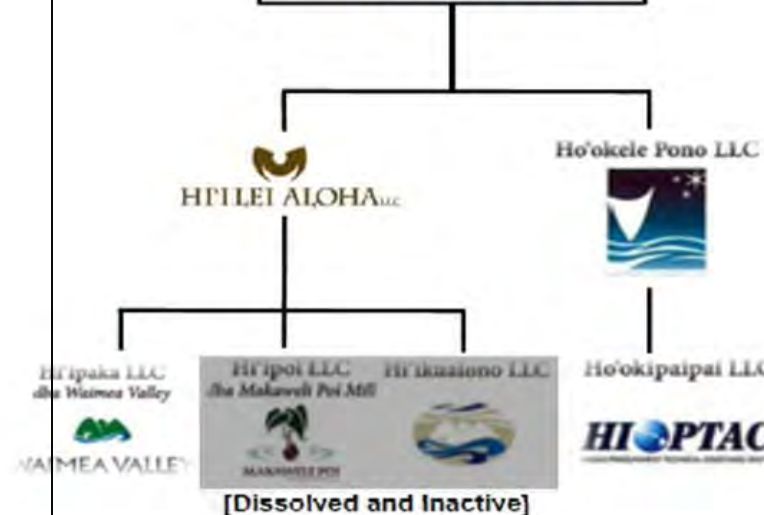
**THE OFFICE OF HAWAIIAN AFFAIRS**  
*Empowering Hawaiians, Strengthening Hawai'i*



**Actions Approved by BOT**  
**5/30/2019**

**4. Non-OHA  
 EE Executive  
 Managers'  
 Selection and  
 Seating Process**

- Process Approved by BOT 11/20/2019
- Position Description Posted 11/29/2019
- Deadline extended to end of January 2020 1/3/2020
- 4 applications in process of vetting



**3. Termination &  
 Dissolution of  
 Hi'ikualono, LLC**

- Filed Notice of Intent to Dissolve @ AG - 2/01/2020

**1. Termination &  
 Dissolution of  
 Ho'okipaipai LLC**

- Completed Program/Contract 9/30/2019
- Separated from all EEs (other than COO) 9/30/2019
- Vacated Offices 11/30/2019
- Transitioned Equipment, Operations, Reporting 11/30/2019
- 2019 Financial Audit in Process
- Final Program Reports in Progress
- Filed Notice of Intent to Dissolve @ AG - 02/01/2020

**2. Termination &  
 Dissolution of  
 Ho'okele Pono LLC**

- Filed Notice of Intent to Dissolve @ AG - 2/01/2020

**Updated 5/2020**





# **Native Hawaiian Loan Fund (NHRLF)**





# **Native Hawaiian Revolving Loan Fund (NHRLF) History**

- NHRLF Legacy Loans (1989 – 2005)
- Malama Loans (2007 – Present)
- Hua Kanu Business Loans (2012 – Present)



# Loan Program Products

## Mālama Loan

- Designed for the Purpose of Business and Consumer Loans:
  - Business;
  - Debt Consolidation, Education, & Home Improvement.
  - Disaster Relief
- Loans amounts from \$2,500 to \$100,000
- Up to a 7 year term
- 4.00% APR for Business
- 5.00% or 6.00% APR for consumer based on FICO Score

## Hua Kanu

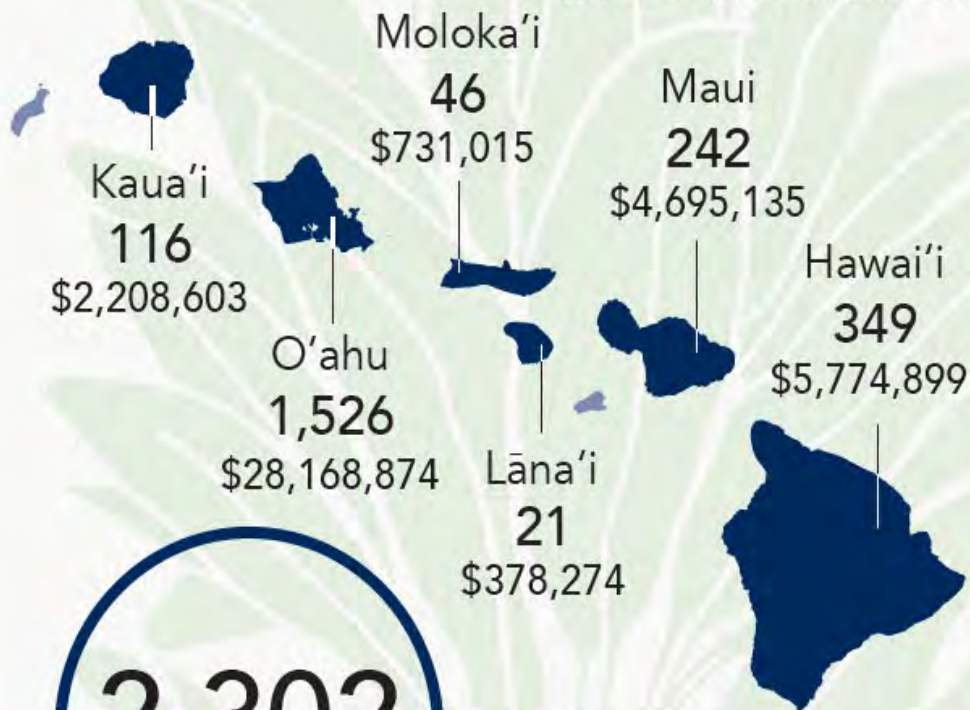
- Designed for established Native Hawaiian-owned businesses
- Loan amounts from \$150,000 to \$1 million
- 7 year term and can be amortized up to 14 years
- 4.00% APR for business



# Eligibility Requirements

- ☐ Applicant must be at least 18 yrs. of age and of Native Hawaiian Ancestry
- ☐ Credit score of 600 or above for Business, Education, and Home Improvement
- ☐ Credit score of 650 or above for Debt Consolidation
- ☐ Debt-to-Income Ratio limit is 45%; up to 50% with Compensating Factors
- ☐ Co-applicant must be of Native Hawaiian ancestry
- ☐ Business must be 100% Native Hawaiian owned

## OHA DIRECTLY SUPPORTED ECONOMIC SELF-SUFFICIENCY IN 2019 THROUGH ITS LOAN PROGRAMS:



### MĀLAMA LOAN DISBURSEMENT

(July 1, 2007 To July 18, 2019)

BUSINESS	\$9,016,544
DEBT CONSOLIDATION	\$10,868,480
EDUCATION	\$4,784,747
HOME IMPROVEMENT	\$17,251,029
<b>TOTAL</b>	<b>\$41,920,801</b>

HUA KANU BUSINESS	12	\$5,186,000.00
NHRLF LEGACY	386	\$17,602,326.00

Total NHRLF loans 2,700 for \$65,445,005

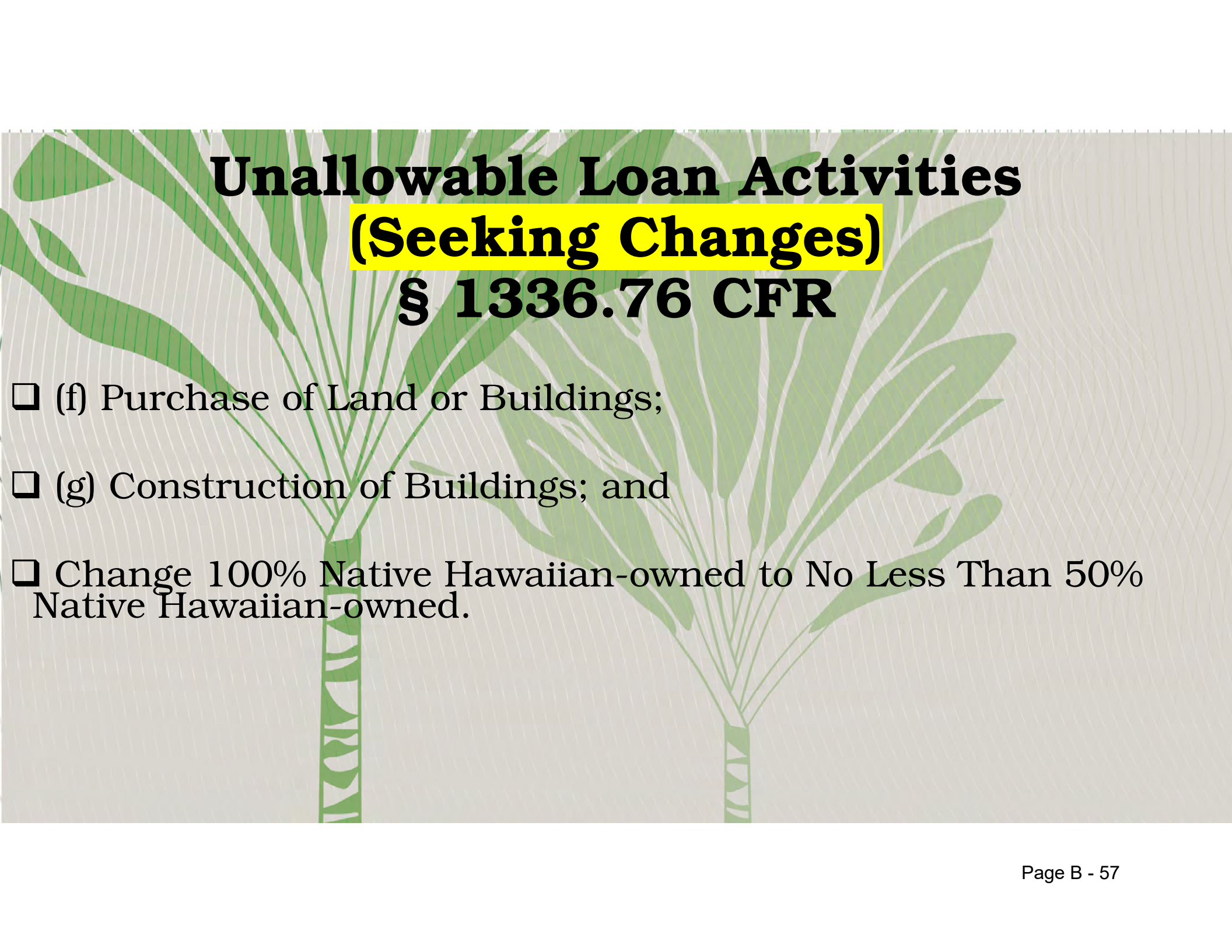


**LOAN PROGRAM**



# NHRLF Capital Information

Capital Information as of March 31, 2020	
Category	Dollar Value
Bank of Hawaii Checking Account (Mālama Loans)	2,807,836
Bank of Hawaii Investment Account (Mālama Loans)	14,566,371
<b>FUNDS AVAILABLE FOR MĀLAMA LOAN DISBURSEMENT</b>	<b>\$17,374,207</b>
<b>FUNDS AVAILABLE FOR HUA KANU LOAN DISBURSEMENT</b>	<b>\$1,770,994</b>
<b>TOTAL CAPITAL AVAILABLE</b>	<b>\$19,145,201</b>



# **Unallowable Loan Activities** **(Seeking Changes)** **§ 1336.76 CFR**

- ☐ (f) Purchase of Land or Buildings;
- ☐ (g) Construction of Buildings; and
- ☐ Change 100% Native Hawaiian-owned to No Less Than 50% Native Hawaiian-owned.



# NHRLF's New Storefront

## September 2017





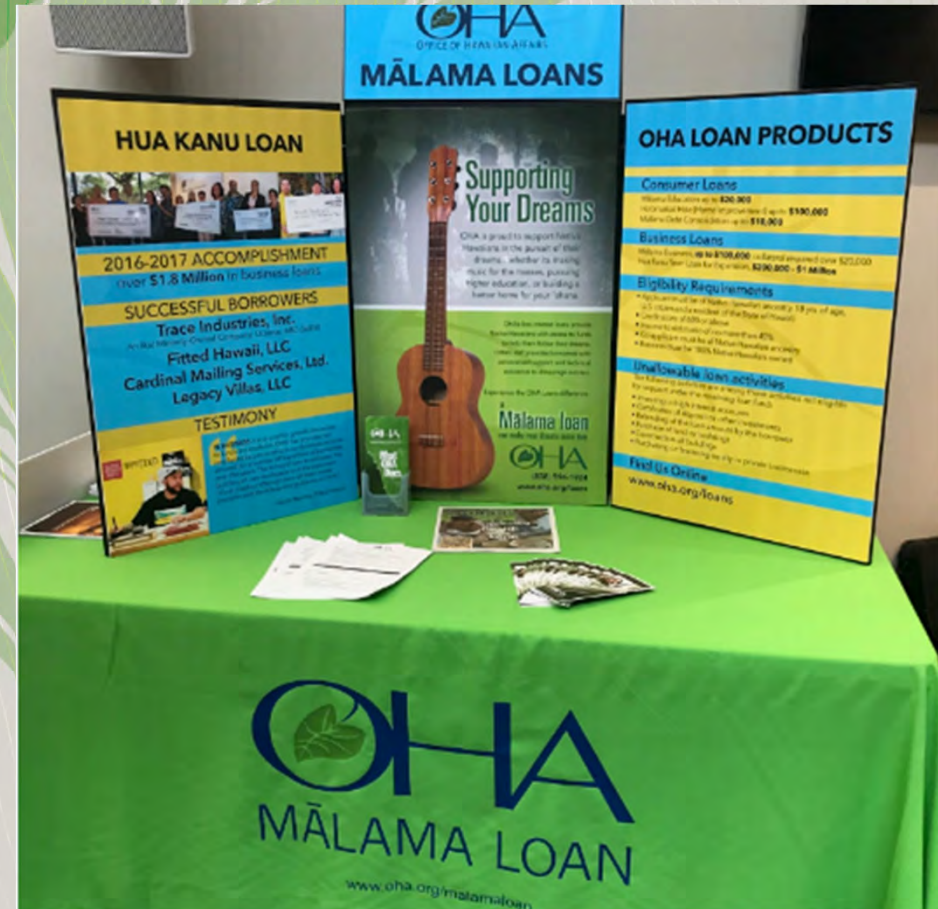
# Outreach



Ola and Pua Tripp, Liquid Life in Keeau  
I Mana Ka Lāhui  
Small Business Workshop, Feb 9, 2019  
Big Island Top Dogs  
Sponsored by OHA's Hilo office


## Outreach totals FY 2019

20 Outreaches, Oahu, Maui, Hawaii Island  
352 NH Reached  
737 Total Attendees





# Marketing, Ka Wai Ola



## Supporting Your Dreams


OHA is proud to support Native Hawaiians in the pursuit of their dreams...whether its making music for the masses, pursuing higher education, or building a better home for your 'ohana.

Get access to funds to help make your dreams a reality. OHA provides low-interest loans to Native Hawaiians. Borrowers benefit from personalized support that encourages business and personal success.

Experience the OHA Loans difference.

### Mālama Loans

Can help make your dreams come true



(808) 594-1924  
[www.oha.org/loans](http://www.oha.org/loans)



## Dreaming of the future?

Hāloanuiakea Early Learning Center is a place where keiki love to go to school. It's also a safe place where staff feel good about helping their students to learn and prepare for a bright future.

The center is run by Native Hawaiian U'ilani Corr-Yorkman. U'ilani wasn't always a business owner. She actually taught at DOE for 8 years. A Mālama Loan from OHA helped make her dream of owning her own preschool a reality. The low-interest loan allowed U'ilani to buy fencing for the property, playground equipment, furniture, books...everything needed to open the doors of her business. U'ilani and her staff serve the community in 'Ele'ele, Kaua'i, and have become so popular that they have a waiting list.

OHA is proud to support Native Hawaiian entrepreneurs in the pursuit of their business dreams. OHA's staff provide Native Hawaiian borrowers with personalized support and provide technical assistance to encourage the growth of Native Hawaiian businesses. Experience the OHA Loans difference.

Visit [www.oha.org/loans](http://www.oha.org/loans) or call (808) 594-1924 to learn how a loan from OHA can help grow your business.

A NEW  
**Mālama loan**  
can make your dreams come true



(808) 594-1924  
[www.oha.org/loans](http://www.oha.org/loans)



# Marketing, Commercials

Thomson Enos,  
Typical Hawaiian



Lea Young Hunt,  
Goldwings Supplies and Services



<https://drive.google.com/file/d/1i7BU3japHUI7XlcaGirVvkfiM8pAo0MC/view?usp=sharing>

Keola Rapozo,  
Fitted Hawaii



<https://drive.google.com/file/d/19MnX3HcMwkt2MP-lpbemqJVZ4iPiNF5j/view?usp=sharing>





# **Mahalo OHA Trustees & Administration**



Consumer Micro Loan Program  
Performing Report  
As of April 30, 2020

Count	OLD Loan ID	Loan ID	Loan Amount	Island	Loan Purpose	Loan Balance	Last Pay Date	Pay thru Date	Accrued Interest(\$)	Date	Days Past Due
1		12420101	\$7,000.00	Molokai	Home repairs	\$ 2,006.22	4/1/2020	4/1/2020	\$ 8.10	4/1/2020	0
2		12600101	\$5,800.00	Oahu	Funeral	\$ 1,968.72	4/5/2020	4/1/2020	\$ 7.02	4/1/2020	0
3		13280201	\$7,500.00	Oahu	Auto repairs	\$ 5,512.85	4/15/2020	4/1/2020	\$ 12.16	4/1/2020	0
4		15350101	\$5,000.00	Hawai'i island	Auto repairs	\$ 3,798.96	4/5/2020	4/1/2020	\$ 13.52	4/1/2020	0
5	1243-C	690201	\$1,800.00	Molokai	Auto repairs	\$ 627.65	4/13/2020	4/1/2020	\$ 1.62	4/1/2020	0
6		14720101	\$4,000.00	Oahu	Medical expenses	\$ 1,297.52	4/5/2020	4/1/2020	\$ 4.68	4/1/2020	0
7	1528-C	11660101	\$4,250.00	Oahu	Career Advancem	\$ 677.40	4/5/2020	4/1/2020	\$ 2.34	4/1/2020	0
8		13160101	\$3,000.00	Oahu	Medical expense	\$ 1,163.48	3/16/2020	4/1/2020	\$ 7.36	4/1/2020	0
9		13180101	\$3,200.00	Hawai'i island	Medical expense	\$ 1,344.25	4/5/2020	4/1/2020	\$ 5.40	4/1/2020	0
10		9800201	\$7,500.00	Kauai	Auto repairs	\$ 3,953.08	4/5/2020	4/1/2020	\$ 16.20	4/1/2020	0
11		11650301	\$2,925.00	Kauai	Career Advancement	\$ 2,545.15	4/15/2020	4/1/2020	\$ 36.75	4/1/2020	0
13		14120101	\$4,000.00	Oahu	Home repairs	\$ 2,769.37	4/13/2020	4/1/2020	\$ 6.84	4/1/2020	0
14		14850101	\$5,500.00	Oahu	Career Advancement	\$ 4,768.35	4/15/2020	4/1/2020	\$ 10.40	4/1/2020	0
15		13130101	\$7,157.00	Hawai'i island	Funeral	\$ 3,902.77	4/1/2020	4/1/2020	\$ 31.80	4/1/2020	0
16		14840101	\$6,000.00	Kauai	Career Advancement	\$ 5,193.30	4/5/2020	4/1/2020	\$ 18.46	4/1/2020	0
18		12740101	\$7,500.00	Oahu	Home repairs	\$ 2,983.66	4/15/2020	4/1/2020	\$ 6.56	4/1/2020	0
19		13370101	\$4,500.00	Oahu	Home repairs	\$ 3,198.07	4/1/2020	4/1/2020	\$ 13.20	4/1/2020	0
21		2740201	\$6,784.00	Molokai	Home repairs	\$ 303.07	4/1/2020	4/1/2020	\$ 1.20	4/1/2020	0
22		12730101	\$7,500.00	Maui	Home repairs	\$ 2,832.60	4/1/2020	4/1/2020	\$ 11.70	4/1/2020	0
23		14610101	\$2,190.00	Hawai'i island	Auto repairs	\$ 1,495.63	4/1/2020	4/1/2020	\$ 6.00	4/1/2020	0
25		12750201	\$1,620.00	Oahu	Career Advancement	\$ 877.28	4/1/2020	4/1/2020	\$ 3.60	4/1/2020	0
26		3600201	\$7,500.00	Hawai'i island	Home repairs	\$ 5,682.78	4/1/2020	4/1/2020	\$ 23.40	4/1/2020	0
27		15170101	\$7,000.00	Molokai	Home repairs	\$ 6,478.65	4/1/2020	4/1/2020	\$ 26.70	4/1/2020	0
29		15160101	\$7,500.00	Oahu	Funeral	\$ 6,956.97	4/13/2020	4/1/2020	\$ 17.10	4/1/2020	0
30		12620101	\$5,500.00	Kauai	Funeral	\$ 857.01	4/15/2020	4/1/2020	\$ 1.92	4/1/2020	0
31		12690101	\$5,000.00	Hawai'i island	Auto repairs	\$ 2,217.76	4/13/2020	4/1/2020	\$ 5.40	4/1/2020	0
32		15140101	\$2,550.00	Hawai'i island	Medical expense	\$ 2,361.63	4/5/2020	4/1/2020	\$ 8.32	4/1/2020	0
35		14750101	\$1,550.00	Oahu	Career Advancement	\$ 1,141.98	4/15/2020	4/1/2020	\$ 2.56	4/1/2020	0
36		12230301	\$4,157.00	Molokai	Auto repairs	\$ 3,335.59	4/5/2020	4/1/2020	\$ 11.96	4/1/2020	0
37		13140101	\$7,500.00	Hawai'i island	Home repairs	\$ 4,105.02	4/1/2020	4/1/2020	\$ 33.60	4/1/2020	0



Consumer Micro Loan Program  
Performing Report  
As of April 30, 2020

Count	OLD Loan ID	Loan ID	Loan Amount	Island	Loan Purpose	Loan Balance	Last Pay Date	Pay thru Date	Accrued Interest(\$)	Date	Days Past Due
38	1507-C	5460201	\$6,670.00	Oahu	Funeral	\$ 4,848.57	4/5/2020	4/1/2020	\$ 17.16	4/1/2020	0
39		14100101	\$7,500.00	Hawai'i island	Auto repairs	\$ 5,202.68	4/13/2020	4/1/2020	\$ 12.78	4/1/2020	0
40		5480201	\$7,500.00	Molokai	Medical expenses	\$ 1,638.69	4/5/2020	4/1/2020	\$ 5.72	4/1/2020	0
41		12410301	\$7,500.00	Oahu	Funeral	\$ 3,756.03	2/11/2020	4/1/2020	\$ 40.80	4/1/2020	0
42		13210101	\$2,460.00	Oahu	Auto repairs	\$ 1,035.83	4/15/2020	4/1/2020	\$ 2.24	4/1/2020	0
43		15290101	\$4,675.00	Oahu	Emergency home fees	\$ 4,540.90	4/1/2020	4/1/2020	\$ 18.90	4/1/2020	0
45		13350101	\$7,500.00	Oahu	Home repairs	\$ 4,593.80	4/1/2020	4/1/2020	\$ 18.90	4/1/2020	0
46		12700101	\$4,000.00	Hawai'i island	Auto repairs	\$ 786.94	4/1/2020	4/1/2020	\$ 3.30	4/1/2020	0
47		12970101	\$7,500.00	Molokai	Auto repairs	\$ 3,628.85	4/5/2020	4/1/2020	\$ 13.00	4/1/2020	0
48		7400201	\$1,300.00	Oahu	Auto repairs	\$ 876.31	4/5/2020	4/1/2020	\$ 3.12	4/1/2020	0
49		13010101	\$3,500.00	Oahu	Career Advancement	\$ 1,239.30	4/1/2020	4/1/2020	\$ 5.10	4/1/2020	0
50	1520-C	11610101	\$7,500.00	Kauai	Funeral	\$ 948.44	4/13/2020	4/1/2020	\$ 2.34	4/1/2020	0
51		7350201	\$6,500.00	Oahu	Auto repairs	\$ 5,927.41	4/5/2020	4/1/2020	\$ 21.06	4/1/2020	0
52		12890101	\$3,000.00	Oahu	Funeral	\$ 847.52	4/15/2020	4/1/2020	\$ 1.92	4/1/2020	0
55		14540101	\$7,500.00	Maui	Home repairs	\$ 6,258.99	4/13/2020	4/1/2020	\$ 122.98	4/1/2020	0
56		14370101	\$7,500.00	Oahu	Career Advancement	\$ 5,417.25	4/1/2020	4/1/2020	\$ 22.20	4/1/2020	0
57		11550201	\$3,665.00	Oahu	Home repairs	\$ 1,758.21	4/5/2020	4/1/2020	\$ 6.24	4/1/2020	0
58	1513-C	6950101	\$4,309.00	Hawai'i island	Auto repairs	\$ 265.92	4/5/2020	4/1/2020	\$ 1.04	4/1/2020	0
59		13300101	\$2,000.00	Hawai'i island	Home repairs	\$ 903.58	4/5/2020	4/1/2020	\$ 3.12	4/1/2020	0
60		15280101	\$1,500.00	Oahu	Career Advancement	\$ 1,386.27	4/15/2020	4/1/2020	\$ 3.04	4/1/2020	0
63		14310101	\$2,000.00	Hawai'i island	Home repairs	\$ 1,286.20	4/15/2020	4/1/2020	\$ 2.88	4/1/2020	0
65		12790201	\$2,500.00	Maui	Home repairs	\$ 1,430.97	4/5/2020	4/1/2020	\$ 5.20	4/1/2020	0
66		15360101	\$5,000.00	Kauai	Medical expenses	\$ 5,000.00	4/1/2020	4/1/2020	\$ 29.92	4/1/2020	0
67		12810101	\$7,500.00	Hawai'i island	Home repairs	\$ 3,072.97	4/1/2020	4/1/2020	\$ 12.60	4/1/2020	0
68		15190101	\$2,800.00	Oahu	Auto repairs	\$ 2,530.18	4/5/2020	4/1/2020	\$ 9.10	4/1/2020	0
70		14770101	\$1,400.00	Oahu	Medical expenses	\$ 1,032.65	4/15/2020	4/1/2020	\$ 4.20	4/1/2020	0
71		13100101	\$2,784.00	Oahu	Auto repairs	\$ 389.47	4/1/2020	4/1/2020	\$ 1.50	4/1/2020	0
72		12200101	\$4,895.00	Hawai'i island	Auto repairs	\$ 1,156.20	4/15/2020	4/1/2020	\$ 2.56	4/1/2020	0
74		13490101	\$3,200.00	Oahu	Auto repairs	\$ 1,109.89	4/1/2020	4/1/2020	\$ 4.50	4/1/2020	0
77		14050101	\$7,050.00	Oahu	Funeral	\$ 4,877.61	4/5/2020	4/1/2020	\$ 17.42	4/1/2020	0

Consumer Micro Loan Program  
Performing Report  
As of April 30, 2020

Count	OLD Loan ID	Loan ID	Loan Amount	Island	Loan Purpose	Loan Balance	Last Pay Date	Pay thru Date	Accrued Interest(\$)	Date	Days Past Due
78		14030101	\$7,500.00	Hawai'i island	Home repairs	\$ 5,207.94	4/5/2020	4/1/2020	\$ 18.46	4/1/2020	0
79		12940101	\$7,500.00	Molokai	Home repairs	\$ 3,492.50	4/1/2020	4/1/2020	\$ 14.40	4/1/2020	0
81		13880101	\$7,000.00	Oahu	Career Advancement	\$ 4,745.69	4/13/2020	4/1/2020	\$ 11.70	4/1/2020	0
82	1524-C	9910301	\$3,000.00	Hawai'i island	Auto repairs	\$ 2,298.79	4/5/2020	4/1/2020	\$ 8.06	4/1/2020	0
84		12540101	\$6,500.00	Oahu	Auto repairs	\$ 2,134.75	4/15/2020	4/1/2020	\$ 4.64	4/1/2020	0
85		10610201	\$7,500.00	Oahu	Funeral	\$ 1,047.45	3/25/2020	4/1/2020	\$ 5.18	4/1/2020	0
86		12880101	\$5,500.00	Molokai	Home repairs	\$ 2,460.30	4/5/2020	4/1/2020	\$ 8.84	4/1/2020	0
87		15330101	\$7,500.00	Molokai	Career Advancement	\$ 7,413.62	4/13/2020	4/1/2020	\$ 18.36	4/1/2020	0
89	1521-C	11630101	\$7,500.00	Oahu	Home repairs	\$ 1,119.06	4/5/2020	4/1/2020	\$ 3.90	4/1/2020	0
90		12820101	\$4,200.00	Oahu	Funeral	\$ 1,781.48	4/5/2020	4/1/2020	\$ 6.24	4/1/2020	0
91		14040101	\$7,500.00	Kauai	Home repairs	\$ 5,190.43	4/1/2020	4/1/2020	\$ 21.30	4/1/2020	0
93	1527-C	11620101	\$7,500.00	Maui	Home repairs	\$ 908.29	4/5/2020	4/1/2020	\$ 3.12	4/1/2020	0
24	1204-C	3340101	\$4,325.00	Oahu	Auto repairs	\$ 19.52	5/24/2019	4/1/2020	\$ -	4/1/2020	0
75		12850101	\$1,200.00	Hawai'i island	Medical expenses	\$ 78.98	4/15/2020	3/1/2020	\$ 0.16	4/1/2020	31
Total Performing Loans						\$ 202,003.20			\$ 895.07		
CMLP Non-Performing Loans											
88		14760101	\$5,700.00	Hawai'i island	Other: Emergency	\$ 5,236.28	4/13/2020	1/1/2020	\$ 12.96	4/1/2020	91
61	1221-C	7370101	\$7,000.00	Oahu	Auto	\$ 1,464.17	3/9/2020	12/1/2019	\$ 10.60	4/1/2020	122
80	1526-C	11520101	\$5,500.00	Oahu	Auto	\$ 262.21	1/15/2020	12/1/2019	\$ 4.92	4/1/2020	122
53	9012-C	6190101	\$7,500.00	Maui	Medical expenses	\$ 2,211.22	10/28/2019	11/1/2019	\$ 55.80	4/1/2020	152
92	1318-C	11320101	\$7,500.00	Hawai'i island	Auto repairs	\$ 5,227.28	10/1/2019	10/1/2019	\$ 40.70	4/1/2020	183
28	1508-C	3700101	\$7,500.00	Molokai	Home repairs	\$ 809.99	8/6/2019	8/1/2019	\$ 29.59	4/1/2020	244
12		14400101	\$1,400.00	Maui	Home repairs	\$ 1,254.41	3/1/2019	3/1/2019	\$ 72.59	4/1/2020	397
33	1519-C	11560101	\$4,000.00	Oahu	Medical expenses	\$ 1,701.22	3/1/2019	11/1/2018	\$ 34.27	4/1/2020	517
64		12960101	\$2,000.00	Oahu	Auto repairs	\$ 1,202.91	10/5/2018	9/1/2018	\$ 96.64	4/1/2020	578
69	1504-C	8450101	\$5,000.00	Oahu	Career advancement	\$ 1,274.28	9/16/2019	9/1/2018	\$ -	4/1/2020	578
73		14010101	\$5,621.00	Hawai'i island	Auto repairs	\$ 5,621.00	9/1/2018	9/1/2018	\$ 503.58	4/1/2020	578

Consumer Micro Loan Program  
Performing Report  
As of April 30, 2020

Count	OLD Loan ID	Loan ID	Loan Amount	Island	Loan Purpose	Loan Balance	Last Pay Date	Pay thru Date	Accrued Interest(\$)	Date	Days Past Due
62		12020101	\$7,500.00	Hawai'i island	Home repairs	\$ 4,333.27	6/1/2018	6/1/2018	\$ 413.00	4/1/2020	670
83	1509-C	10210101	\$7,036.00	Molokai	Auto repairs	\$ 3,228.53	6/1/2018	6/1/2018	\$ 74.36	4/1/2020	670
17	1237-C	1820101	\$7,500.00	Oahu	Auto repairs	\$ 971.69	9/16/2019	2/1/2018	\$ -	4/1/2020	790
76		12440101	\$5,000.00	Maui	Emergency property tax	\$ 3,875.67	3/1/2018	12/1/2017	\$ 162.58	4/1/2020	852
34	1244-C	5140101	\$7,500.00	Kauai	Auto repairs	\$ 1,126.92	5/15/2017	6/1/2017	\$ 162.30	4/1/2020	1,035
44	1103-C	5660101	\$7,500.00	Oahu	Funeral	\$ 516.37	9/1/2017	12/1/2016	\$ 68.11	4/1/2020	1,217
54	1418-C	6400101	\$7,500.00	Hawai'i island	Auto repairs	\$ 4,843.45	12/2/2016	7/1/2016	\$ 822.36	4/1/2020	1370
20	1428-C	2400101	\$7,500.00	Molokai	Home repairs	\$ 6,684.11	3/18/2016	3/1/2016	\$ 12.88	4/1/2020	1492
<b>Total Non-Performing Loans</b>						<b>51,844.98</b>			<b>2577.24</b>		

	Principal	Interest	No. of loans
<b>Performing Loans</b>	\$202,003.20	\$895.07	74
<b>Non-Performing Loans</b>	51,844.98	\$2,577.24	19
<b>Total</b>	\$253,848.18	\$3,472.31	93
<b>GRAND TOTAL (P + I)</b>	\$257,320.49		

<b>New Loans</b>
Balance to be recovered from Aargon Agency- No Accrual of Interest
Deceased

Consumer Micro Loan Program  
Charge Off History Report  
As of May 26, 2020

LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
---------	------	--------------	-----------------	----------------	--------------------	----------------------	----------

FY 2010 No Charge Offs

FY 2011 No Charge Offs

FY 2012							
LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
1032-C		9/7/2010	Medical Emergency	2,500.00	10/14/2011	(2,399.93)	\$1,696.37
4001-C		3/30/2004	Home Repairs	7,500.00	10/14/2011	(599.40)	
4011-C		4/23/2004	Auto Repairs	5,000.00	10/14/2011	(1,822.43)	
4083-C		12/27/2004	Home Repairs	2,700.00	10/14/2011	(533.28)	
4091-C		2/8/2005	Emergency	7,500.00	10/14/2011	(434.90)	
5017-C		8/1/2005	Home Repairs	7,500.00	1/27/2012	(499.74)	
7024-C		7/16/2007	Career Advancement	2,500.00	10/14/2011	(2,334.79)	
8004-C		2/8/2008	Medical Emergency	5,000.00	10/14/2011	(989.15)	
TOTAL= 8				40,200.00		(9,613.62)	\$1,696.37

FY 2013							
LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
4059-C		8/26/2004	Legal Expenses	5,000.00	9/28/2012	(1,903.35)	
5030-C		12/2/2005	Career Advancement	7,500.00	9/28/2012	(4,260.87)	
6005-C		7/6/2006	Home Repair	7,500.00	9/28/2012	(5,846.77)	
8022-C		6/6/2008	Legal Expenses	7,500.00	9/28/2012	(3,589.56)	\$654.19
8023-C		6/6/2008	Emergency	7,500.00	9/28/2012	(5,813.40)	

Consumer Micro Loan Program  
Charge Off History Report  
As of May 26, 2020

LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
9004-C		2/10/2009	Medical Emergency	4,000.00	9/28/2012	(3,234.76)	
9007-C		3/31/2009	Career Advancement	7,500.00	9/28/2012	(6,130.19)	
9014-C		7/14/2009	Career Advancement	4,371.00	9/28/2012	(4,316.74)	
TOTAL= 8				50,871.00		(35,095.64)	654.19

FY 2014							
LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
6003-C		4/6/2006	Emergency	5,000.00	9/4/2013	(709.51)	
9002-C		10/1/2009	Purchase Medical Eq	7,500.00	9/16/2013	(232.96)	
1116-C		6/8/2011	Auto Repairs	5,000.00	2/10/2014	(5,001.09)	
1148-C		12/2/2011	Home Repairs	7,500.00	12/2/2013	(4,690.46)	
TOTAL= 4				25,000.00		(10,634.02)	\$0.00

FY 2015							
LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
1030-C		9/1/2010	Career advancement	\$4,500.00	5/8/2015	(\$751.26)	
1044-C		12/28/2010	Auto Repairs	\$4,222.00	5/8/2015	(\$3,560.94)	
1112-C		5/31/2011	Career advancement	\$5,035.00	5/8/2015	(\$2,363.15)	
1128-C		8/15/2011	Career advancement	\$1,500.00	5/8/2015	(\$1,382.98)	
1142-C		11/23/2011	Auto Repairs	\$1,800.00	5/8/2015	(\$1,260.97)	
1229-C		8/3/2012	Moving expenses	\$7,000.00	5/8/2015	(\$7,250.82)	
4088-C		1/11/2005	Career advancement	\$6,000.00	5/8/2015	(\$2,595.87)	
7019-C		1/26/2007	Legal fees	\$7,500.00	5/8/2015	(\$6,081.06)	
7083-C		11/2/2007	Legal fees	\$7,500.00	5/8/2015	(\$3,321.62)	



Consumer Micro Loan Program  
Charge Off History Report  
As of May 26, 2020

LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
8030-C		8/8/2008	Home Repairs	\$7,500.00	5/8/2015	(\$913.60)	
8044-C		11/1/2011	Rental Assistance	\$7,500.00	5/8/2015	(\$6,259.72)	
9019-C		9/25/2009	Medical Expenses	\$2,900.00	5/8/2015	(\$1,820.84)	
9031-C		12/17/2009	Medical Expenses	\$7,000.00	5/8/2015	(\$5,535.09)	
TOTAL= 13				69,957.00		(43,097.92)	\$0.00

FY 2016 No Charge Off's

FY 2017							
LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
5590101		8/5/2014	Career advancement	\$7,500.00	1/13/2017	(\$2,264.87)	
10230101		7/24/2014	Funeral expenses	\$3,183.00	1/13/2017	(\$2,436.47)	\$25.00
4110101		9/28/2011	Auto repairs	\$4,182.00	1/13/2017	(\$1,311.70)	
7220101		10/29/2012	Home repairs	\$7,500.00	1/13/2017	(\$6,254.49)	
5970101		10/19/2012	Medical expenses	\$5,300.00	1/13/2017	(\$3,812.76)	
5880101		11/1/2010	Funeral expenses	\$7,500.00	1/13/2017	(\$3,914.83)	
10830101		1/9/2012	Funeral expenses	\$1,713.00	1/13/2017	(\$571.60)	
8660101		1/3/2013	Auto repairs	\$7,500.00	1/13/2017	(\$3,734.04)	
10590101		5/8/2008	Other; Mortgage	\$6,534.77	1/13/2017	(\$1,211.99)	
4290101		10/24/2011	Home repairs	\$7,500.00	1/13/2017	(\$5,404.28)	
7300101		12/8/2011	Auto repairs	\$7,200.00	1/13/2017	(\$3,651.43)	
5440101		1/7/2009	Medical expenses	\$7,500.00	1/13/2017	(\$138.12)	
5670101		12/29/2009	Home repairs	\$7,500.00	1/13/2017	(\$4,389.31)	
150101		4/1/2010	Home repairs	\$7,500.00	1/13/2017	(\$1,188.67)	\$1,188.67

Consumer Micro Loan Program  
Charge Off History Report  
As of May 26, 2020

LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
10260101		4/17/2012	Home repairs	\$7,300.00	1/13/2017	(\$4,758.79)	
940101		11/1/2011	Auto repairs	\$5,000.00	1/13/2017	(\$1,661.25)	
10270101		3/31/2004	Home repairs	\$7,500.00	1/13/2017	(\$3,904.05)	\$381.61
8550101		8/5/2014	Home repairs	\$7,400.00	1/13/2017	(\$2,686.87)	\$15.40
11590101		10/6/2015	Other; Auto loan	\$5,903.00	1/13/2017	(\$5,440.55)	
TOTAL= 19				121,215.77		(58,736.07)	\$1,610.68

FY 2018 No Charge Off's

FY 2019							
LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
3020101		8/31/2015	Auto repairs	\$2,272.00	9/27/2018	(\$2,153.00)	
40101		10/6/2014	Auto repairs	\$4,718.97	9/27/2018	(\$3,036.13)	\$75.00
5810101		12/29/2011	Home repairs	\$5,000.00	9/27/2018	(\$1,124.04)	
9840101		1/20/2015	Home repairs	\$7,500.00	9/27/2018	(\$6,577.61)	
710101		8/30/2013	Home repairs	\$7,491.79	9/27/2018	(\$578.46)	
5410101		3/30/2012	Home repairs	\$7,500.00	9/27/2018	(\$2,319.42)	
8490101		4/25/2013	Auto repairs	\$6,381.00	9/27/2018	(\$4,888.07)	
8510101		10/1/2009	Home repairs	\$7,500.00	9/27/2018	(\$1,072.48)	
8410101		3/12/2014	Medical expenses	\$7,500.00	9/27/2018	(\$5,566.29)	
12830101		4/3/2017	Funeral expenses	\$3,800.00	9/27/2018	(\$3,139.94)	
11200201		7/13/2016	Home repairs	\$6,740.00	9/27/2018	(\$4,365.31)	
6880101		5/29/2014	Medical expenses	\$6,000.00	9/27/2018	(\$3,843.04)	
TOTAL= 12				72,403.76		(38,663.79)	\$75.00

Consumer Micro Loan Program  
Charge Off History Report  
As of May 26, 2020

LOAN ID	NAME	Date of Loan	Purpose of Loan	Amount of Loan	Date of Charge-off	Amount of Charge-Off	Recovery
TOTAL CHARGE OFF FY 2010-2019							
NO. OF LOANS	LOAN AMOUNT (\$)		CHARGE OFF (\$)		RECOVERED (\$)		% RECOVERED
64	313,243.77		(195,841.06)		\$4,036.24		2.10%

## MEMORANDUM

**To:** Office of Hawai'ian Affairs - RM Committee

**From:** John Marco and Craig Chaikin

**Date:** May 27, 2020

**Re:** Notes from May 7, 2020 Presentation

From Segal Marco, John Marco and Craig Chaikin participated in the call.

John Marco provided an overview of the market environment:

- The outbreak of COVID-19 and government mandated stay-at-home orders significantly impacted the first quarter
- The S&P 500 was down 19.6%, developed non-US was down 22.8% and Emerging Market Equity was down 23.6%
- The Federal Reserve cut rates to 0.0% and started a massive quantitative easing program for government and corporate debt; government backed securities (e.g. Treasuries and Agency mortgages) were positive for the quarter while every other bond sector was down
- Despite all of the uncertainty, April was the best one-month performance in the equity market since 1987 and occurred despite the backdrop of dire economic news
  - U.S. GDP growth declined -4.8%
  - Reported unemployment spiked to 14.7%
  - Retail sales declined over 16%
- The S&P 500 was up 12.8%, non-US equity was up 6.5% and Emerging Markets gained 9.2%.
- The Fed's program's stabilized bond markets, and the Bloomberg Barclays Aggregate returned 1.8% for the month with other bond sectors positive as well (corporate credit was up 4.6% for the month).
- Earnings season has started and has been rough
- Market might be discounting near-term issues
- States are starting the reopening process, which will look different across the board and longer-term implications may become more clear as we restart

Craig provided an update on the OHA portfolio:

- On April 1, 2020 call reported that assets had declined to between \$340-345 million with a return of -12% to -14% year-to-date
- April month-to-date return of approximately 5.0% bringing year-to-date return to -9% to -11%; numbers still exclude final private market returns

## Summary of Fiscal Sustainability Model Stress Tests & Scenarios

In collaboration with OHA Administration, Spire has updated the FSP model and measured the following stress tests and scenarios against a heatmap. The stress tests and scenarios are grouped into four categories – NHTF, General Funds, Commercial Real Estate and General Operations.

LIKELIHOOD	SEVERITY		
	1	2	3
1	LOW -1-	LOW -2-	MEDIUM -3-
2	LOW -2-	MEDIUM -4-	HIGH -6-
3	MEDIUM -3-	HIGH -6-	HIGH -9-

### Stress Legend

Outside of OHA's Purview

Inside of OHA's Purview

### NHTF

**Stress #1** – A sustained drop in the return rate of the Portfolio. This stress test uses a 30% drop in returns to 4.2% for the next 10 years.

LOW  
-1-

**Stress #3** – A sustained drop in the return rate of the Portfolio. This stress test uses a 60% drop in returns to 2.25% for the next 10 years.

LOW  
-1-

**Stress #9** – A draw down against the Fiscal Stabilization Fund. This stress test assumes draw down of \$3mm in FY20 and \$1.2mm FY21.

HIGH  
-6-

### General Funds

**Stress #2** – A loss of general funds from the State. This stress test assumes no general funds for FY20, FY21 and FY22.

HIGH  
-9-

### Commercial RE

**Stress #4** – An increase in vacancies across commercial real estate holdings. This stress test assumes a 20% drop in the occupancy rate.

MEDIUM  
-3-

**Stress #8** – A throttling of the local economy over the next three years. This assumes a 20%, 15% and 5% decrease in non-PLT and non-Portfolio revenue.

LOW  
-1-

### Operating Expenses

**Stress #5** – An increase in the fringe rate for OHA employees. This stress test increases the fringe by 9% to 72.08%.

HIGH  
-6-

**Stress #6** – A one-time "x" event. This stress test applies a \$5mm one-time event against OHA's

MEDIUM  
-4-

**Stress #7** – An overall increase in all non-payroll and non-grant operating expenses. This stress test applies

HIGH  
-6-

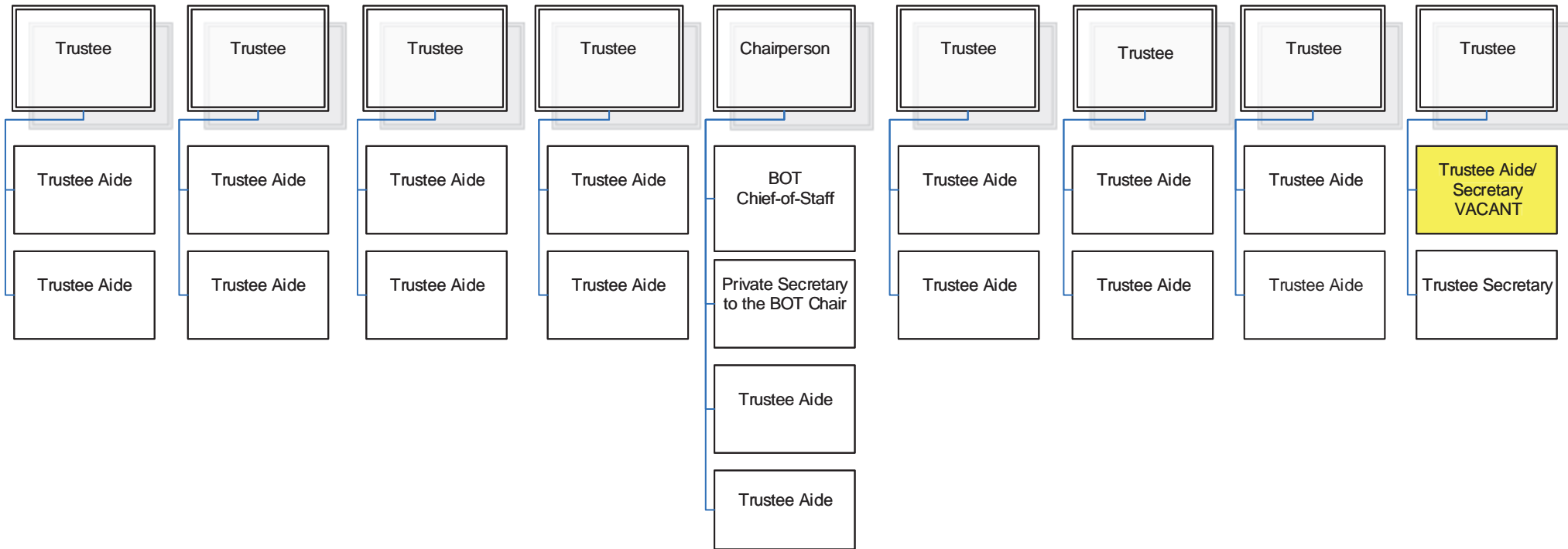
**Stress #10** – An increase in grants awarded. This ramps grant awards by \$2mm and then \$3.4mm to \$15.1mm total.

MEDIUM  
-4-



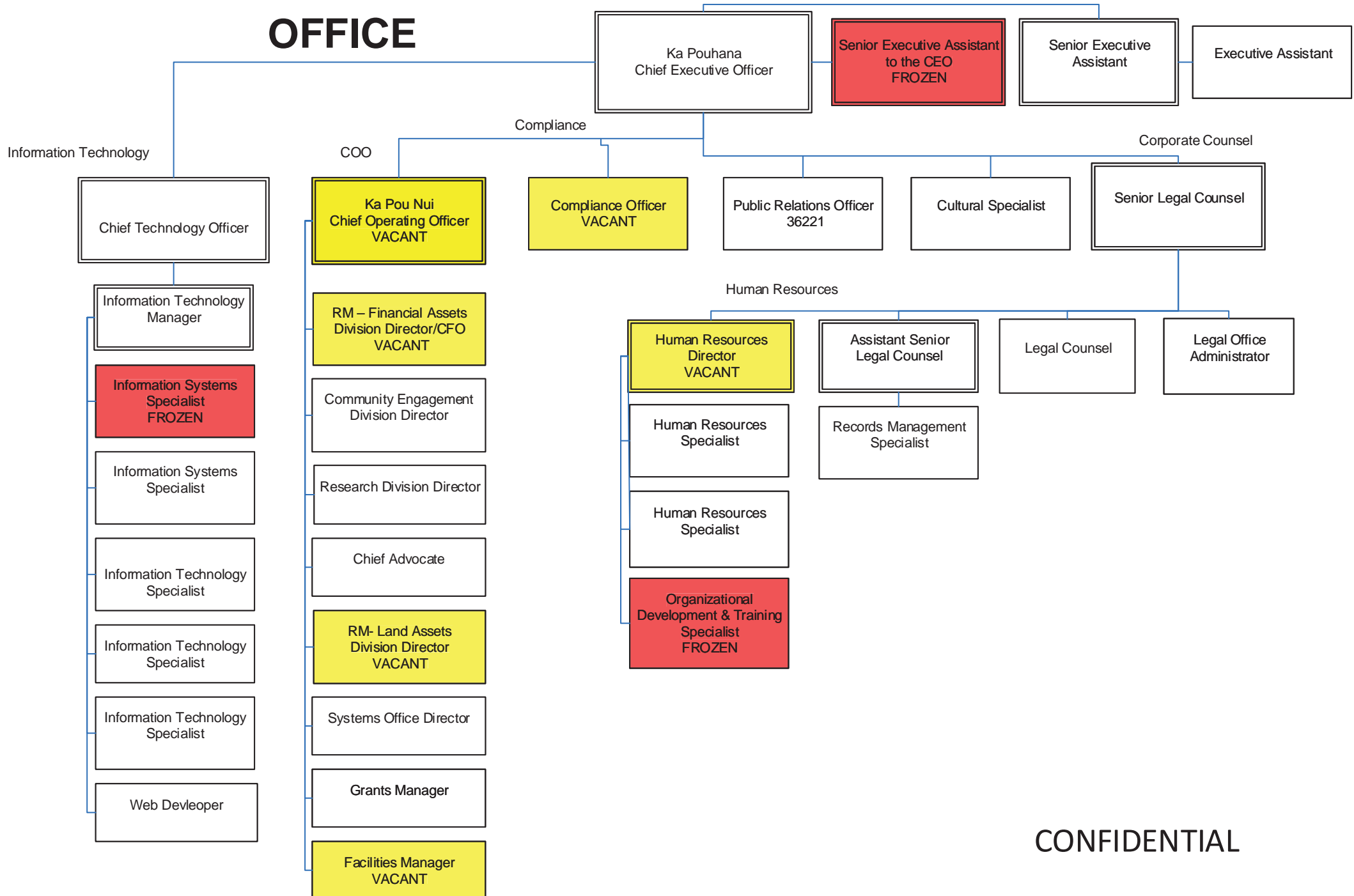
<b>SECTION C. SUPPORTING MATERIALS.....</b>	<b>C-1</b>
<b>ATTACHMENT 1: OHA ORGANIZATIONAL CHARTS .....</b>	<b>C-2</b>
<b>ATTACHMENT 2: L-LAHUI LEVEL POLICIES.....</b>	<b>C-12</b>
<b>ATTACHMENT 3: PERSONNEL BUDGET REALIGNMENT DETAIL (Oversize 11” x 17”) .....</b>	<b>C-13</b>

# BOARD OF TRUSTEES



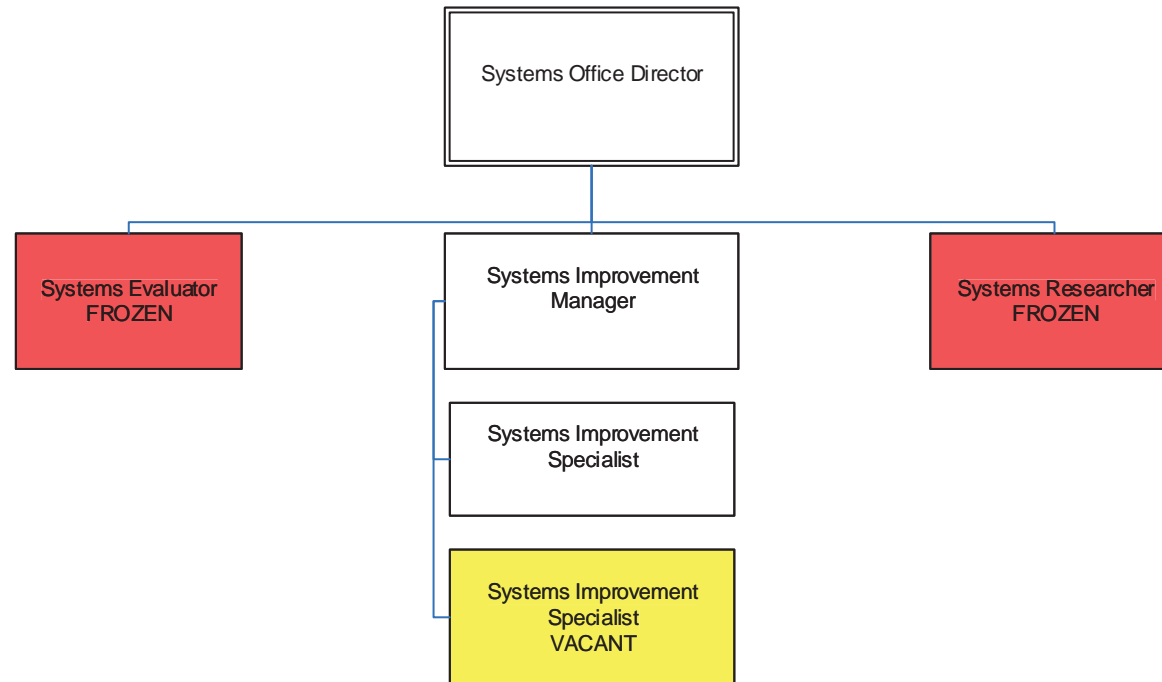
CONFIDENTIAL

# EXECUTIVE OFFICE



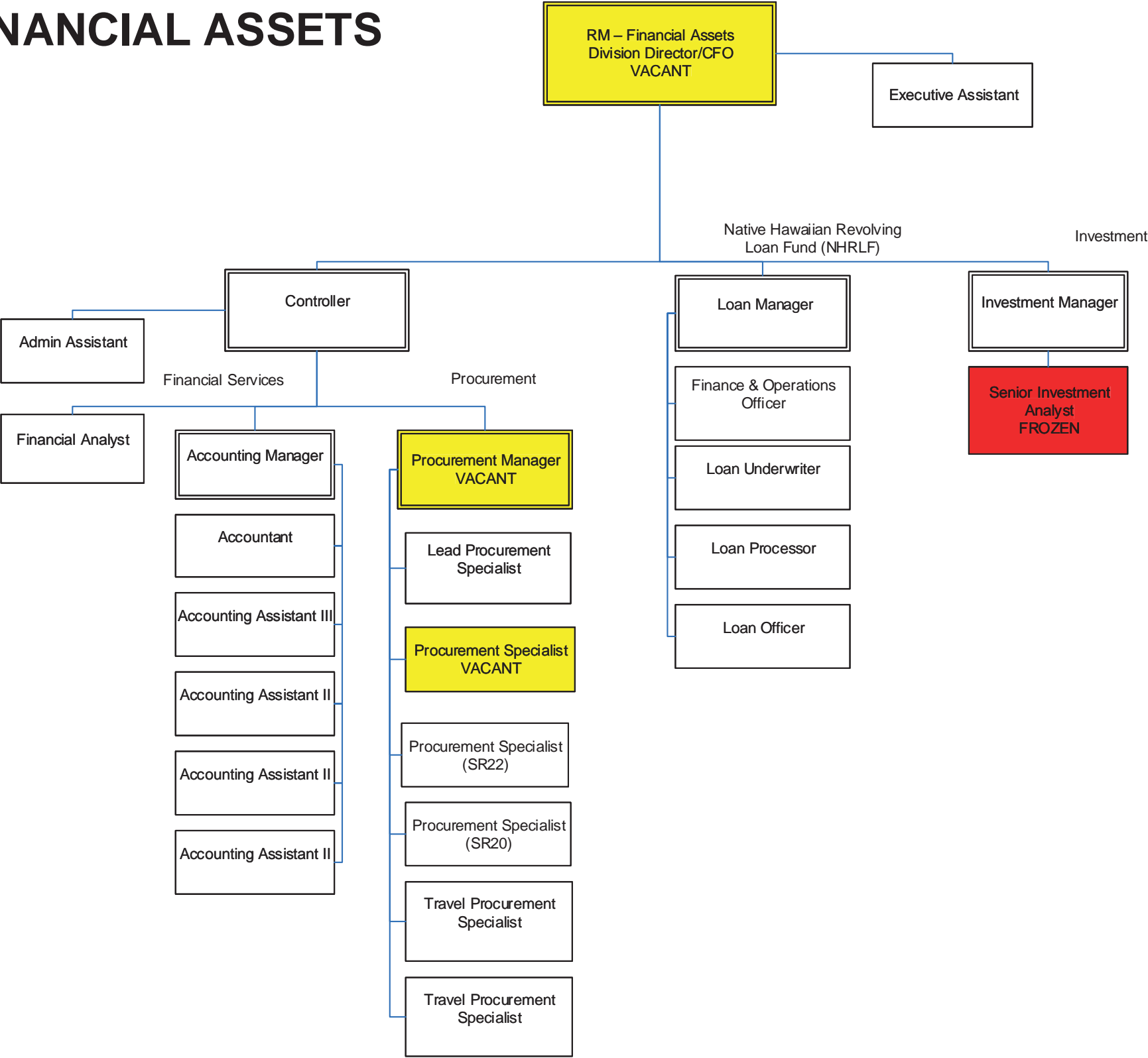
CONFIDENTIAL

# SYSTEMS OFFICE



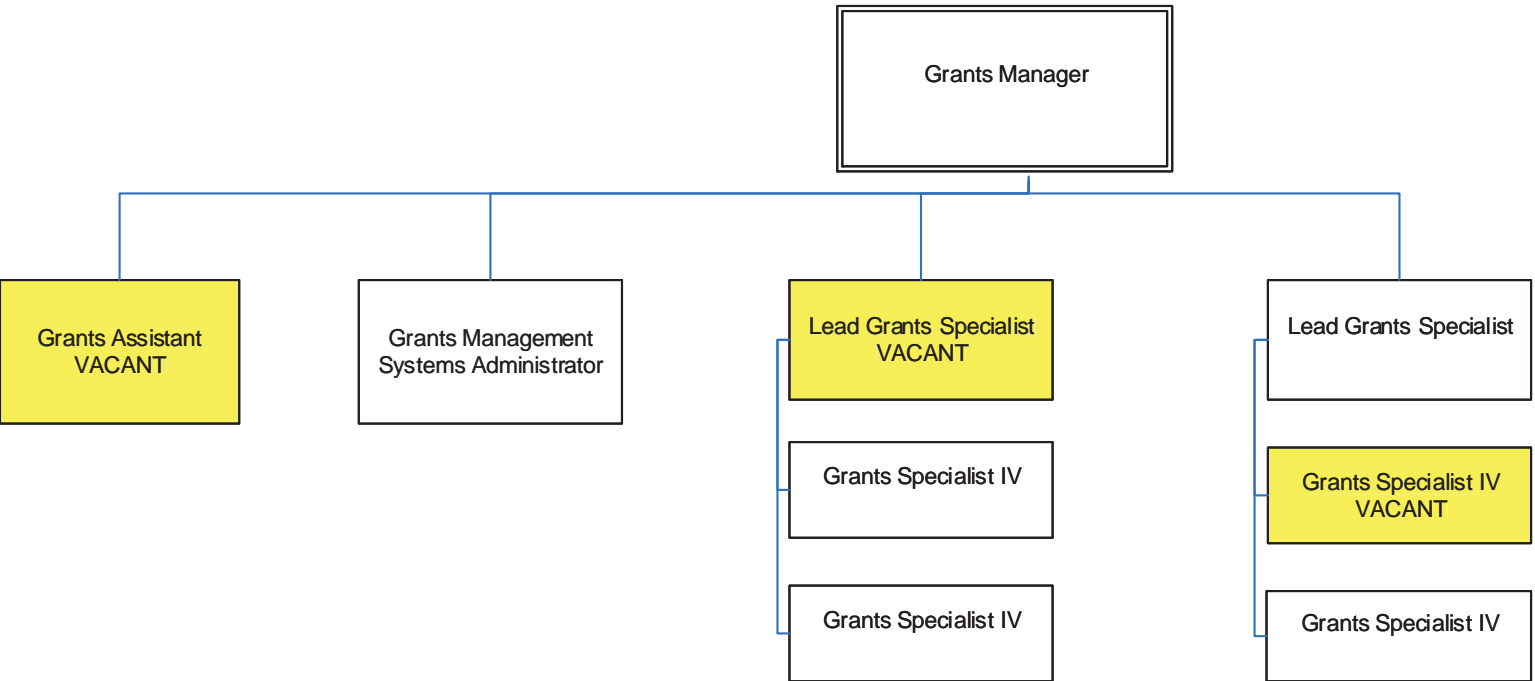
CONFIDENTIAL

RESOURCE  
MANAGEMENT-  
FINANCIAL ASSETS





# GRANTS



CONFIDENTIAL

# COMMUNITY ENGAGEMENT

```
graph TD
    CEDD[Community Engagement Division Director] --> EA[Executive Assistant VACANT]
    CEDD --> CO[Community Outreach]
    CEDD --> HR[Hawaiian Registry]
    CEDD --> DPM[Digital and Print Media]

    CO --> COM[Community Outreach Manager]
    COM --> AA[Admin Assistant]
    COM --> COAM[Community Outreach Assistant Manager]
    COAM --> COCI1[Community Outreach Coordinator I (NI)]
    COCI1 --> COCI2[Community Outreach Coordinator I (NI)]
    COCI2 --> COCI3[Community Outreach Coordinator I (NI)]
    COCI3 --> COCI4[Community Outreach Coordinator I (NI)]
    COCI4 --> COCI5[Community Outreach Coordinator I (NI) FROZEN]
    COCI5 --> COCI6[Community Outreach Coordinator III (NI)]

    COAM --> COAI1[Community Outreach Advocate III (NI)]
    COAI1 --> COAI2[Community Outreach Advocate (NI)]
    COAI2 --> COAI3[Community Outreach Coordinator III (NI)]
    COAI3 --> COAI4[Community Outreach Coordinator III (NI)]

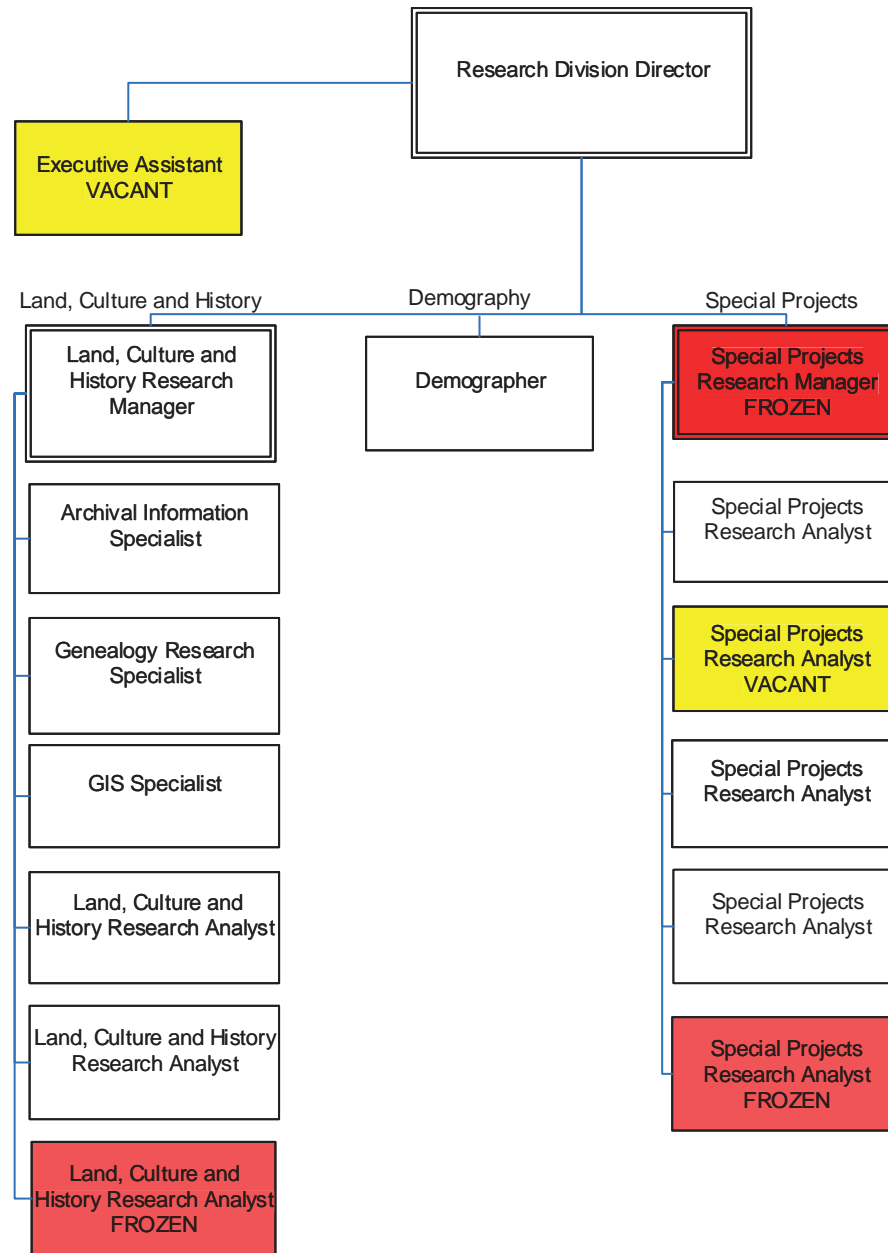
    HR --> HRP[Community Outreach Advocate]
    HRP --> HRA[Community Outreach Advocate]
    HRA --> HRA2[Community Outreach Advocate]
    HRA2 --> HRC[Community Outreach Coordinator III (Oahu)]

    DPM --> DPM_M[Digital and Print Media Manager]
    DPM_M --> CSS4[Communications Specialist IV]
    CSS4 --> CSS3[VACANT]
    CSS3 --> CSS3_2[Communications Specialist III]
    CSS3_2 --> DMS4[Digital Media Specialist]
    DMS4 --> DMS3[Digital Media Specialist]
    DMS3 --> DMS3_VACANT[Digital Media Specialist VACANT]
```

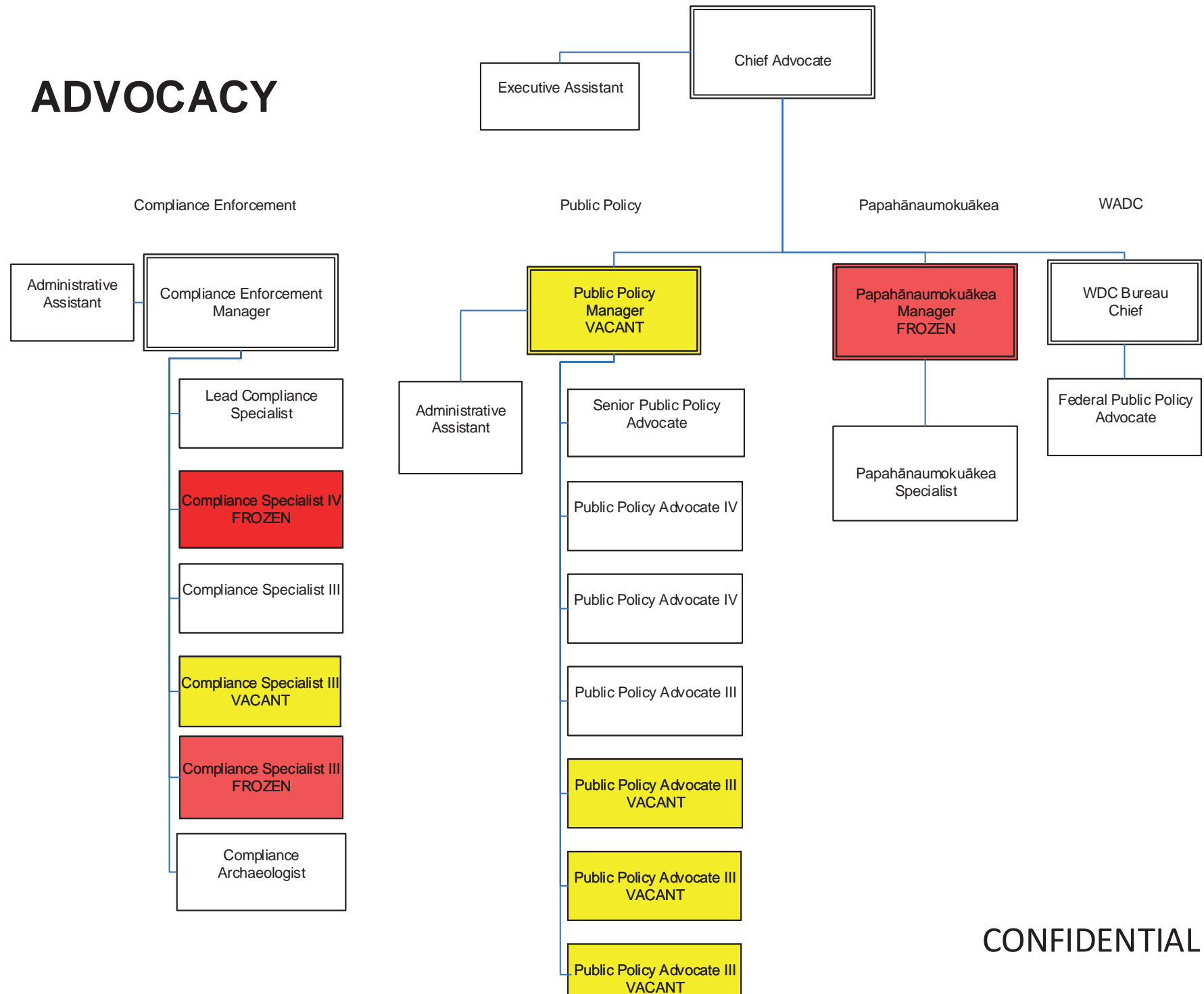
CONFIDENTIAL

CONFIDENTIAL

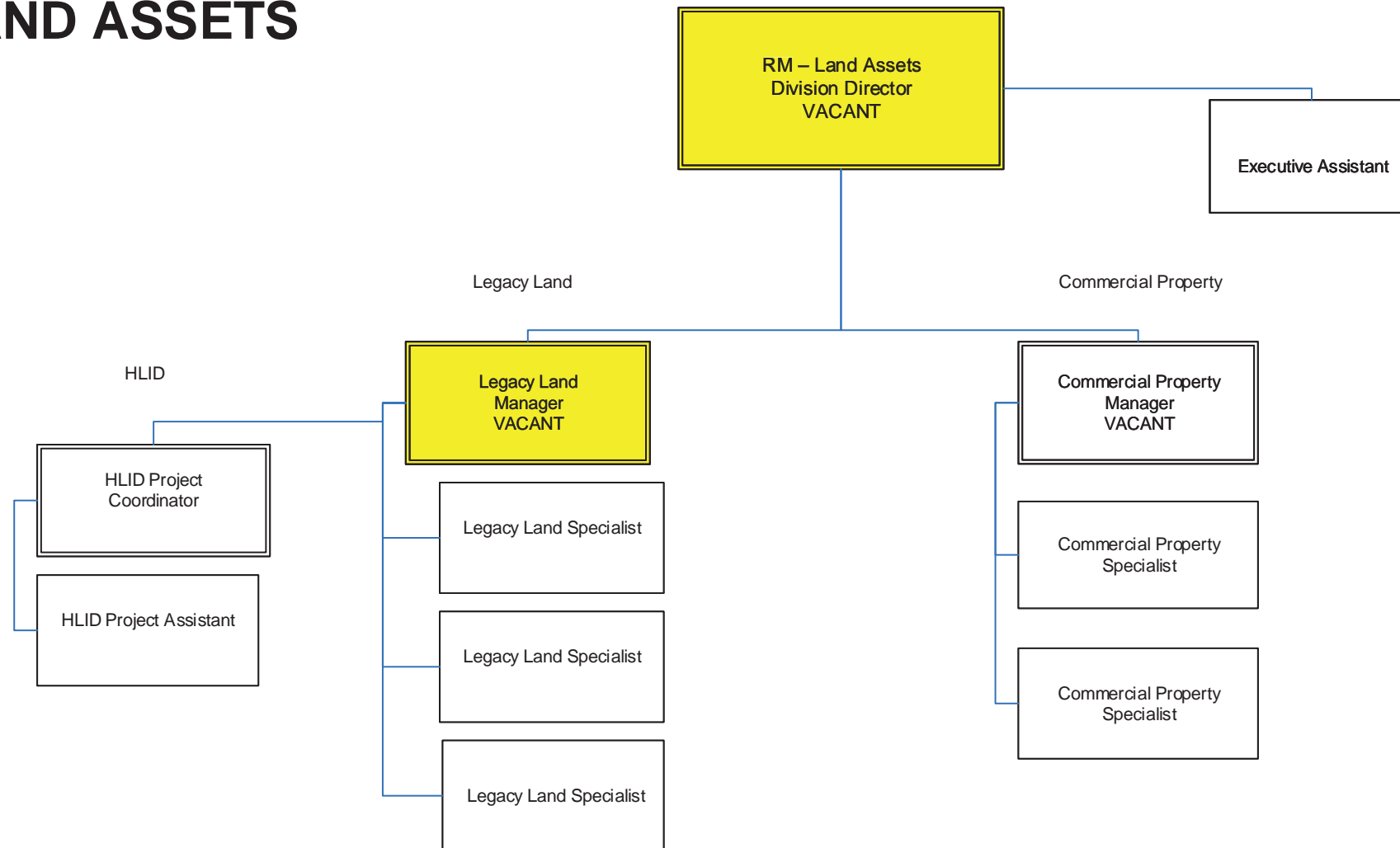
# RESEARCH



# ADVOCACY



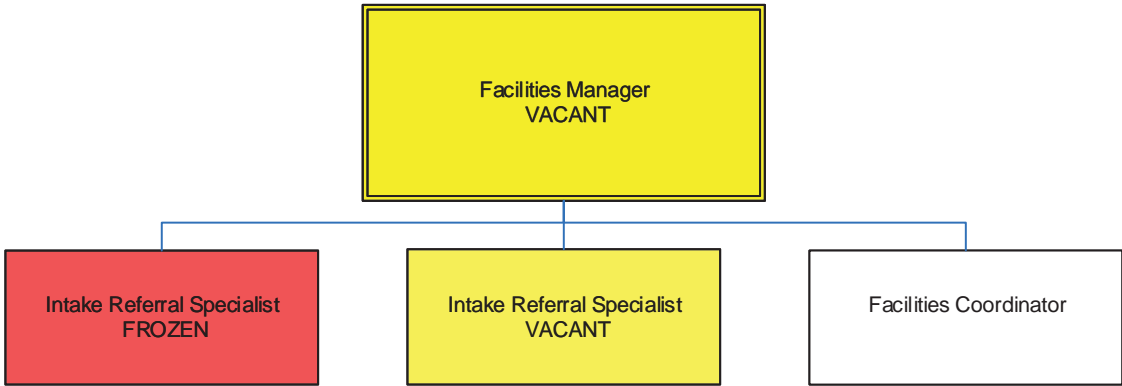
# RESOURCE MANAGEMENT- LAND ASSETS



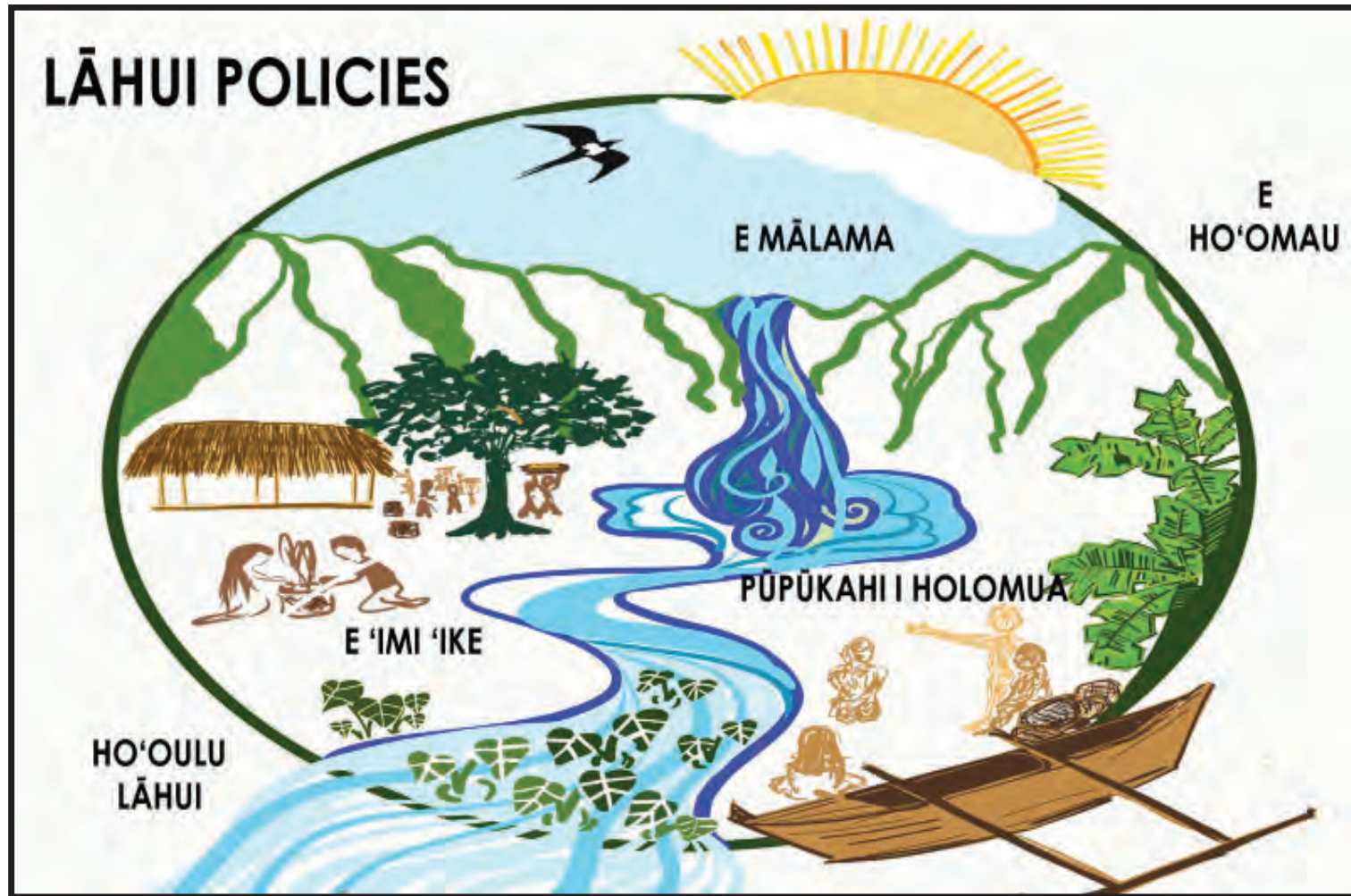
CONFIDENTIAL



# FACILITIES MANAGEMENT



CONFIDENTIAL



- (1) **E Malama**  
(to protect)
- (2) **E Ho'omau**  
(to perpetuate);
- (3) **E Pupukahi i Holomua**  
(to unite in order to progress);
- (4) **E 'Imi 'ike**  
(to seek knowledge);
- (5) **E Ho'oulu Lahui** (to grow the Lahui).

Core Budget	FTE			BOT Approved Budget		FTE			Realignment #1		FTE			Realignment #2		Adjustments	
	# FTE	Frozen	Active	FY 20	FY 21	Reassigned	Unfreeze	Active	FY 20	FY 21	Reassigned	Addtl Frozen	Active	FY 20	FY 21	FY 20	FY 21
Salaries & Fringe:																	
Board of Trustee Offices	29	0	29	\$2,848,572	\$2,905,543	0	0	29	\$ 2,852,045	\$ 2,905,543	0	0	29	\$ 2,813,822	\$ 2,771,433	\$ (38,223)	\$ (134,111)
Executive Offices	34	-7	27	3,596,778	3,664,153	10	7	44	4,578,377	3,664,153	0	-6	38	4,262,444	4,461,645	(315,934)	797,493
Financial Assets	29	-1	28	2,872,967	2,833,639	-7	0	21	1,945,335	2,833,639	0	-1	20	1,642,768	1,933,193	(302,567)	(900,446)
Community Engagement	30	0	30	2,658,836	2,712,011	-2	0	28	2,550,409	2,712,011	0	-1	27	2,294,741	2,415,979	(255,668)	(296,032)
Research	16	-2	14	1,493,052	1,522,913	0	0	14	1,489,015	1,522,913	0	-1	13	1,438,281	1,338,487	(50,734)	(184,426)
Advocacy	23	-1	22	2,440,221	2,489,026	0	0	22	2,411,943	2,489,026	0	-2	20	2,157,833	2,133,577	(254,110)	(355,449)
Land Assets	8	0	8	695,013	708,911	-1	0	7	806,684	708,911	-1	0	6	789,841	675,595	(16,843)	(33,316)
Student Helper Program				104,050	104,050				104,050	104,050				104,050	104,050	0	0
Sub-total Salaries & Fringe:	169	-11	158	\$16,709,490	\$16,940,247	0	7	165	\$ 16,737,859	\$ 16,940,247	-1	-11	153	\$ 15,503,779	\$ 15,833,961	\$ (1,234,079)	\$ (1,106,286)
Reserves																	
Vacation Payouts				\$150,000	\$150,000				\$150,000	\$150,000				\$150,000	\$150,000	\$0	\$0
Overtime				10,000	10,000				10,000	10,000				10,000	10,000	0	0
Workers' Compensation				5,950	5,950				5,950	5,950				5,950	5,950	0	0
Continuing Education Program				30,000	30,000				30,000	30,000				30,000	30,000	0	0
Sub-total Reserves				\$195,950	\$195,950				\$ 195,950	\$ 195,950				\$ 195,950	\$ 195,950	\$0	\$0
Total Core Personnel Budget:				\$16,905,440	\$17,136,197				\$ 16,933,809	\$ 17,136,197				\$ 15,699,730	\$ 16,029,911	\$ (1,234,079)	\$ (1,106,286)

Non-Core Budget	FTE			BOT Approved Budget		FTE			Realignment #1		FTE			Realignment #2		Adjustments	
	# FTE	Frozen	Active	FY 20	FY 21	Reassigned	Unfreeze	Active	FY 20	FY 21	Reassigned	Addtl Frozen	Active	FY 20	FY 21	FY 20	FY 21
Salaries & Fringe:																	
NHRLF	5	-1	4	\$451,776	\$460,812	0	0	4	\$ 451,776	\$ 460,812	0	0	4	\$ 451,776	\$ 460,812	\$0	\$0
HLID	3	0	3	312,472	318,770	0	0	3	312,472	318,770	0	0	3	312,472	242,474	0	(76,296)
Commercial Property	2	0	2	278,161	283,724	0	0	2	363,265	283,724	1	0	3	363,265	384,492	0	100,768
Total Non-Core Personnel Budget:	10	-1	9	\$1,042,409	\$1,063,306	0	0	9	\$ 1,127,513	\$ 1,063,306	1	0	10	\$ 1,127,513	\$ 1,087,778	\$0	\$ 24,472

Grant Total	179	-12	167	\$17,751,899	\$18,003,553	0	7	174	\$ 17,865,372	\$ 18,003,553	0	-11	163	\$ 16,631,292	\$ 16,921,739	\$ (1,234,079)	\$ (1,081,814)
-------------	-----	-----	-----	--------------	--------------	---	---	-----	---------------	---------------	---	-----	-----	---------------	---------------	----------------	----------------