

BOARD OF TRUSTEES

Carmen. Hulu Lindsey, *Chairperson*

Leina'ala Ahu Isa, *Vice Chairperson*

Dan Ahuna, Trustee Kaua'i & Ni'ihau

Kalei Akaka, Trustee O'ahu

Keli'i Akina, Trustee At-Large

Luana Alapa, Trustee Moloka'i & Lāna'i

Brendon Kalei'aina Lee, Trustee At-Large

Keola Lindsey, Trustee Hawai'i Island

John Waihe'e IV, Trustee At-Large



**STATE OF HAWAII
OFFICE OF HAWAIIAN AFFAIRS**

MEETING OF THE BOARD OF TRUSTEES

ISLAND OF KAUAI MEETING

DATE: Thursday, May 6, 2021

TIME: 10:00 am

PLACE: Virtual Meeting

Viewable at www.oha.org/livestream OR

Listen by phone: (213) 338-8477,

Webinar ID: 950 0453 9901

Due to the threat of COVID-19, Governor Ige issued the most recent Emergency Nineteenth Supplementary Proclamation dated April 9, 2021 that suspends parts of Hawai'i Revised Statutes Chapter 92, Public Agency Meetings and Records to, among other things, enable boards to conduct business without any board members or members of the public physically present at the same location.

The OHA Board of Trustees will hold virtual meetings until further notice. The virtual meetings can be viewed and observed via livestream on OHA's website at www.oha.org/livestream or listen by phone: (213) 338-8477, Webinar ID: 950 0453 9901

AGENDA

- I. Call to Order
- II. Approval of Minutes
 1. April 15, 2021
 2. April 22, 2021
- III. Public Testimony on Items Listed on the Agenda* (Please see page 1 & 2 on how to submit written testimony or provide oral testimony online. Oral testimony by phone will **not** be accepted)
- IV. **New Business**
 1. Hanalei River Heritage Foundation – Kamealoha Smith
 2. Workshop: Board Governance Framework: Policy Framework; T-Trustee Level Strategic Granting Policy; Ad-Hoc Committee on Grants and Sponsorships Update
- V. **Executive Session†**
 1. Consultation with Board Counsel Robert G. Klein re: questions and issues pertaining to the Board's powers, duties, privileges, immunities, and liabilities with respect to The Office of Hawaiian Affairs v. Leslie H. Kondo, et al.; Civil No. 1CCV-20-0000259 (JPC) lawsuit and House Bill 204, Relating to the Budget of the Office of Hawaiian Affairs, pursuant to HRS § 92-5(a)(4).
 2. Consultation with Board Counsel Robert G. Klein and Kurt W. Klein re: questions and issues pertaining to the Board's powers, duties, privileges, immunities, and liabilities with respect to *The Office of Hawaiian Affairs v. State of Hawai'i, et al.*; Civil No. 17-1-1823-11 (JPC). Pursuant to HRS § 92-5(a)(4).
- VI. Community Concerns and Celebrations* (Please see page 2 on how to submit written testimony or provide oral testimony online. Oral testimony by phone will **not** be accepted)
- VII. Announcements
- VIII. Adjournment

If you require an auxiliary aid or accommodation due to a disability, please contact Raina Gushiken at telephone number

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STATE OF HAWAII

OFFICE OF HAWAIIAN AFFAIRS

594-1772 or by email rainag@oha.org no later than three (3) business days prior to the date of the meeting.

Meeting Materials will be available to the public on Monday, May 3, 2021 and posted to OHA's website at:

www.oha.org/bot

†Notice: The 72 Hour rule, pursuant to OHA BOT Operations Manual, Section 49, shall be waived for distribution of new committee materials.

‡ Notice: This portion of the meeting will be closed pursuant to HRS § 92-5.

* **Public Testimony on Items Listed on the Agenda must be limited to matters listed on the meeting agenda. Community Concerns and Celebrations is not limited to matters listed on the meeting agenda.** Hawai'i Revised Statutes, Chapter 92, Public Agency Meetings and Records, prohibits Board members from discussing or taking action on matters not listed on the meeting agenda.

**Notice: Trustees may establish or revise an OHA position on ANY proposed bill / resolution / executive message currently moving through the state legislature or other relative elected body. The Matrices, which are available for public review in the meeting materials at this stated meeting, provide a brief description of each bill, the bill's number, the bill's title, the bill's intent, and the proposed and specific OHA position on each measure. However, the Trustees both in committee and as the Board of Trustees (BOT) reserve the right to discuss any and all bills on the Matrix, as well as those that time does not permit to be placed on the Matrix, in order to discharge their fiduciary obligations as Trustees of the Office of Hawaiian Affairs

Testimony can be provided to the OHA Board of Trustees either as: (1) **written testimony** emailed at least 24 hours prior to the scheduled meeting, or (2) live, **oral testimony online** during the virtual meeting.

(1) Persons wishing to provide **written testimony** on items listed on the agenda should submit testimony via **email** to BOTmeetings@oha.org at least **24 hours prior** to the scheduled meeting. Any testimony received after this deadline will be late testimony and will be distributed to the Board members after the scheduled meeting. **Due to COVID- 19, please do not fax, mail, or hand-deliver written testimony**

(2) Persons wishing to provide **oral testimony online** during the virtual meeting must first register at:
https://zoom.us/webinar/register/WN_Be95_64oSc2UGiZnXxuAbg

You need to register if you would like to orally testify. Once you have completed your registration, a confirmation email will be sent to you with a link to join the virtual meeting, along with further instructions on how to provide oral testimony during the virtual meeting. The registration page will close during the Public Testimony or Community Concerns agenda item. Oral testimony by telephone/landline **will not** be accepted at this time.

To provide oral testimony online, you will need:

- (1) a computer or mobile device to connect to the virtual meeting;
- (2) internet access; and
- (3) a microphone to provide oral testimony.

Oral testimony online will be limited to five (5) minutes. Once your oral testimony is completed, you will be asked to disconnect from the meeting, unless you are also signed up for oral testimony during Community Concerns and Celebrations. If you do not sign off on your own, support staff will remove you from the Zoom meeting. You can continue to view the remainder of the meeting on the livestream or by telephone, as provided at the beginning of this agenda.

Please visit OHA's website for more detailed information on how to submit Public Testimony OR Community Concerns at: <https://www.oha.org/how-to-submit-testimony-for-oha-bot-meetings/>

PHONE (808) 594-1888

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STATE OF HAWAII
OFFICE OF HAWAIIAN AFFAIRS

FAX (808) 594-1868

A handwritten signature in cursive script that reads "Carmen Hulu Lindsey". The signature is written in black ink on a light-colored background.

Trustee Carmen Hulu Lindsey
Chairperson, Board of Trustees

4/29/2021

Date

Office of Hawaiian Affairs
Meeting of the Board of Trustees
May 6, 2021
10:00 A.M.

II. Approval of Minutes

1. April 15, 2021
2. April 22, 2021

STATE OF HAWAII
OFFICE OF HAWAIIAN AFFAIRS
560 N. NIMITZ HIGHWAY, SUITE 200
(VIRTUAL MEETING - VIA ZOOM WEBINAR)

Due to the threat of COVID-19, Governor Ige issued the most recent Emergency Eighteenth Supplementary Proclamation dated February 12, 2021 that suspend parts of Hawai'i Revised Statutes Chapter 92, Public Agency Meetings and Records to, among other things, enable boards to conduct business without any board members or members of the public physically present at the same location.

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Minutes of the Office of Hawaiian Affairs
Board of Trustees
MINUTES
April 15, 2021

ATTENDANCE:

Chairperson Carmen Hulu Lindsey
Trustee Dan Ahuna
Trustee Kaleihikina Akaka
Trustee Keli'i Akina
Trustee Luana Alapa
Trustee Brendon Kalei'āina Lee
Trustee Keola Lindsey
Trustee John Waihe'e, IV

BOT STAFF:

Colin Kippen
Amber Kalua
Kanani Iaea
Lehua Itokazu

ADMINISTRATION STAFF:

Sylvia Hussey, Ka Pouhana / CEO
Casey Brown, Pou Nui / COO
Sterling Wong, Chief Advocate
Kalani Fronda, Land Assets Director
Raina Gushiken, CC
Everett Ohta, CC
Ramona Hink, CFO
Kevin Chak, IT Support
Erin Nakama, IT Support

GUEST:

Healani Sonoda-Pale
Kaniloa Kamaunu
Ke'eaumoku Kapu

Call to Order

Chair Hulu Lindsey Calls the Board of Trustees Meeting to order for Thursday April 22, 2021 at 10:01 a.m. Chair Hulu Lindsey calls for a roll call.

MEMBERS			Present	TIME ARRIVED
TRUSTEE	LEINA‘ALA	AHU ISA	X	
TRUSTEE	DAN	AHUNA	X	
TRUSTEE	KALEI	AKAKA	X	
TRUSTEE	KELI‘I	AKINA	X	
TRUSTEE	LUANA	ALAPA	X	
TRUSTEE	BRENDON KALEI‘ĀINA	LEE	X	
TRUSTEE	KEOLA	LINDSEY	X	
TRUSTEE	JOHN	WAIHE‘E	X	
CHAIRPERSON	CARMEN HULU	LINDSEY	X	
			9	

At the Call to Order, **nine (9)** Trustees are PRESENT, thereby constituting a quorum.

Approval of Minutes

Chairperson Hulu Lindsey Moving on to Item II. Approval of Minutes. We will take it one at a time incase there are any additions or corrections.

Trustee Ahu Isa Moves to approve the March 25, 2021 minutes.

Trustee Akina Seconds the motion.

Chairperson Hulu Lindsey Any discussion?

Trustee Lee No corrections, just a quick discussion and question for Ka Pouhana. On page 15, Ka Pouhana talks about all the questions being asked during the Travel Workshop and that they will send out a memo clarifying all of those questions. Do we have a timeline to receive that memo?

Sylvia Hussey, CEO I have been working with our CFO, Mona. We can commit on getting that memo out to you folks by next week.

Minutes: March 25, 2021						
	1	2	‘AE (YES)	‘A‘OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA	X		X			
TRUSTEE DAN AHUNA			X			
TRUSTEE KALEI AKAKA			X			

TRUSTEE KELI'I	AKINA		X	X			
TRUSTEE LUANA	ALAPA			X			
TRUSTEE BRENDON	LEE			X			
TRUSTEE KEOLA	LINDSEY			X			
TRUSTEE JOHN	WAIHE'E			X			
CHAIRPERSON HULU	LINDSEY			X			
TOTAL VOTE COUNT				9			
MOTION: <input type="checkbox"/> UNANIMOUS <input checked="" type="checkbox"/> PASSED <input type="checkbox"/> DEFERRED <input type="checkbox"/> FAILED							
Motion passes with nine (9) YES votes and one (0) ABSTENSION vote.							

Trustee Ahu Isa moves to approve the April 1, 2021 minutes.

Trustee Akaka Seconds the motion.

Minutes: April 1, 2021							
		1	2	'AE (YES)	'A'OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI	AHU ISA	X		X			
TRUSTEE DAN	AHUNA			X			
TRUSTEE KALEI	AKAKA		X	X			
TRUSTEE KELI'I	AKINA			X			
TRUSTEE LUANA	ALAPA			X			
TRUSTEE BRENDON	LEE			X			
TRUSTEE KEOLA	LINDSEY			X			
TRUSTEE JOHN	WAIHE'E			X			
CHAIRPERSON HULU	LINDSEY			X			
TOTAL VOTE COUNT				9			
MOTION: <input type="checkbox"/> UNANIMOUS <input checked="" type="checkbox"/> PASSED <input type="checkbox"/> DEFERRED <input type="checkbox"/> FAILED							
Motion passes with nine (9) YES votes and one (0) ABSTENSION vote.							

Trustee Ahu Isa moves to approve the April 8, 2021 minutes.

Trustee Akaka Seconds the motion.

Minutes: April 8, 2021							
		1	2	'AE	'A'OLE	KANALUA	EXCUSED

			(YES)	(NO)	(ABSTAIN)	
TRUSTEE LEI	AHU ISA	X	X			
TRUSTEE DAN	AHUNA		X			
TRUSTEE KALEI	AKAKA	X	X			
TRUSTEE KELI'I	AKINA		X			
TRUSTEE LUANA	ALAPA		X			
TRUSTEE BRENDON	LEE		X			
TRUSTEE KEOLA	LINDSEY		X			
TRUSTEE JOHN	WAIHE'E		X			
CHAIRPERSON HULU	LINDSEY		X			
TOTAL VOTE COUNT			9			
MOTION: <input type="checkbox"/> UNANIMOUS <input checked="" type="checkbox"/> PASSED <input type="checkbox"/> DEFERRED <input type="checkbox"/> FAILED						
Motion passes with nine (9) YES votes and one (0) ABSTENSION vote.						

Chairperson Hulu Lindsey Trustees, I will be taking Community Concerns out of order and have it followed back-to-back with Public Testimony. I anticipate the executive session and new business to be long, I do not want to inconvenience our beneficiaries. At this time we will start public testimony.

Public Testimony

Board Secretary Our first speaker is Healani Sonoda-Pale.

Healani Sonoda-Pale Aloha Trustees, I will follow up with written testimony as well. My testimony is on V.B. 2021 and beneficiary concerns. Ka Lāhui Hawaii Kumi kane 'āina and the ad hoc of the nation of Hawai'i – Native Initiative of self-governance formed by and for native Hawaiians and their descendant's aka kanaka maoli without the interference of the State or Federal government agencies. They have been in existence since 1987. Ka Lāhui Hawai'i is part of a grass roots group effort for self-determination. First, I want to commend the OHA Advocacy team this year. In past years, it has been difficult working with some of the OHA advocates at the legislature, who were making deals and taking odd positions in opposition of the lāhui and not providing the full picture of what was going on at the legislature to the Board of Trustees. This year was like a whole other ball game with the advocacy team. They really helped with stopping the taking ceded lands aka illegally seized Hawaiian Kingdom crowning government lands out of Chapter 171, protections and out of the Public Lands Trusts. They have been really consistent and have really worked with the community this year. It is so much better, I love working with them, they are awesome. The second thing, we have concerns on OHA's public support of House Resolution 33 (HR33), which seeks to convene a working group to develop recommendations for new governance and management structure for Maunakea. Even though your official testimony was comments only. You did not take a position on it. You did not support it or oppose it. The majority of the testimony given for this measure only had one hearing, there was over a hundred testimonies in opposition. Most of the oral testimonies were in opposition. OHA sent out two emails recruiting people to go onto this committee. This is hewa loa because I do not think you should be

playing politics with Mauna Kea especially if it goes against your official position, which is comments only- you have concerns about this committee as much as the lāhui does. From what I hear, they are having difficulty recruiting. OHA is sending out emails to thousands of beneficiaries telling them to go on and the deadline is coming up. I don't think you should be doing that and that is Ka Lāhui Hawai'i's opinion. My other testimony is on Senate Resolution (SR) 171 – Creating a working group looking at the State Historic Preservation Division (SHPD). We support this resolution; we think it's a wonderful resolution all though we feel there should be more community representatives on there. There is only one community person who is sitting on the working group. I commend OHA for taking the lead on that and for working with the Senate on convening this working group. I just wanted to give some recommendations; I will follow up with my written testimony by today. These are my recommendations on who should be on the working group, they are all from Maui. Noelani Ahia, she is helping to stop the Mauilani desecration. Keeaumoku Kapu, he is on the forefront of this issue. Claire Apana and Kaniloa Kamaunu. Again, we will be following up with our written testimony and attach our concerns regarding House Resolution 33. Mahalo.

Kaniloa Kamaunu Aloha. Mahalo to the Board for having this meeting today. One of the concerns I have is so much encumbering, especially with the Senate and the House bills, they have promoted divesting our rights as Native tenants or kanaka maoli. Some of the things happening on the agenda have been on there for a while. There are many other concerns affecting our lāhui and I believe these are things the Trustees should chime in on. About nine years ago the Board came out here, to Waihe'e and saw what was happening. They saw my confrontation with Wailuku water company as well as one of the private owners to access to our ala nui. That incident never came up on an agenda and I think it's an item that needs to be discussed because it's not only happening here. We are unable to get to our sacred areas because of access rights – which are already provided for us. I am still being encumbrance by that with out assistance by the County at all or to the State, even though I've reached out. The water rights that we have here, I have been pursuing for years to the contested cases to see about the County's water development plan. There is no discussion as far as Kuleana and Native tenant rights to water which is listed in many HRS's and our own Kingdom Law. To now have these items on the agenda and we are still discussing items that came up ten years ago. We have pressing items. I have my kuleana lands and they are not being addressed, that is my concern. I know you have control on only certain things, but I would wish that the Board of Trustees would take more of an effort to include us as far as these pressing issues, especially the iwi. I thank the previous speaker mentioning our plight with iwi kupuna. These concerns are very devastating to us and we need as much help as we possibly can. We've done everything in our power as participants to save our iwi through the ways that are given to us. To no avail; we've lost our last two contested cases based on what SHPD has come forward with. They have been a hinderance to us being able to protect our iwi kupuna but also DLNR *in audible* not caring for our rights to water as well as lands. I believe these are items that need to be addressed. I am reaching out to the Trustees to do that because these are rising concerns so our lāhui can move forward. Mahalo for your time.

Chair Hulu Lindsey Mahalo Kaniloa. I just want to clarify that on the agenda, the Public Testimony needs to be related to what ever we have listed on the agenda, but we also have Community Concerns and you can talk about what ever concerns you. We have this on the agenda every meeting. I do have you listed to come back under Community Concerns. If you do want to discuss anything else with us. I am going to move on to Community Concerns and call upon Ke'eumoku Kapu.

Ke'eumoku Kapu Mahalo for this opportunity to testify infront of all of you. I commend you for your work you've been doing so far. My issue is pertaining to some specifics on the agenda and some personal reasons as to why I feel it is important to testify before this body. There is alot of things going on in Lahaina and it has to do with developers circumventing plans such as putting in two miles of pipe line, with the fear

being iwi kupuna. It has been horrendous for us the last few months since COVID. We have been stuck in the trenches trying to deal with the illegality happening in Lahaina especially with the County Government and the preservation division. Dealing with the heinous crimes that are happening here in Lahaina; the pipelines and the iwi kupuna. The iwi kupuna have been dormant for awhile in that area for awhile but now we are dealing with five inadvertent finds. This is what the State Historic Preservation Division should have mandated that these iwi were protected but it was not. During the course of the pipeline they hit another iwi kupua in the base yard. I'm not sure if the Office of Hawaiian Affairs is taking under consideration Native Hawaiian Legal Corporation is now representing my wife, U'ilani Kapu in another issue. This pertains *inaudible* and the Highways act 1892. It is very troublesome and hard to deal with, with my immediate 'ohana. The developer had a temporary restraining order (TRO) on me so I had to stand on a hill and watch my wife and 'ohana get arrested. They felt SHPD was not doing their due diligence or doing their job. Our mana wahine felt the only thing they could do was to jump in the trench and stop the pipeline from further desecration. There are numerous issues we are facing here in Lahaina and I am still trying to figure out what is the remedy. I know there is an issue in front of the Trustees, talking about putting an Adhoc together. I mahalo Healani Sonoda for mentioning my name. I also, want to say that Na'aaikane o Maui cultural center was also recognized as a repository for iwi kupuna. From what I know, it is the first in Maui to be recognized as a curation center. This was passed and acknowledged by Maui Island Burial Council and SHPD. That our building becomes the careship of iwi kupuna that are misplaced in the area. We knew we had to go to the forefront and do what ever we needed to do to follow the law and set precedence on certain issues because we find ourselves up against the wall. I would also like to give another suggestion, that I be apart of the Office of Hawaiian Affairs Native Hawaiian Historic Preservation Council (NHHPC). I sat on the council for nine years and that was the remedy for the general community or the kanaka maoli. That was the opportunity for our beneficiaries to come forward in front of this body NHHPC to bring their concerns to the board. I would like to see if possible that the Board of Trustees bring that NHHPC back. We are really having a hard time on the things that we are dealing with. In the end, I will follow up on my side giving correspondence. Our Island trustee, I want to mahalo you for allowing me time to testify.

Chair Hulu Lindsey Mahalo. Although the Trustees cannot interface with your comments our staff is being very attentive and taking notes. We will get back to you. So mahalo nui for coming before us.

Healani Sonoda-Pale Aloha, thank you again for the opportunity to testify. I am still concerned about the deaf community, lāhui. I want to continue to encourage OHA to provide interpreters for our deaf lāhui in any open meetings that you have. That allows them to engage politically. Some will say there is not enough people to justify the expense but I think being inclusive of those that are disabled in our community is very important. Especially, now that people can meet in person. They are trying to engage politically and they do appreciate when you provide these services. Mahalo

Kaniloa Kamaunu There are concerns about the bills that are being produced by the Senate and the House. I believe they are divesting us, someone of our rights. For years I have advocated for the rights of our Kanaka Maoli especially in water and on kuleana, and recently iwi kupuna. These rights are separated from the rest of the public. Its due to the publications by the United States has towards us as Kanaka, meaning that our resources such as water is being used by the State and the County. A lot of the water that is being mis-used by the allocation that is being permitted by such entities. Our rights become more prevalent, the concerns are, we as Kanaka are being compensated any type of restitution for the use of such items like the water. We know this is a finite resource and yet the mistreatment of this resource is really concerning. If you look at the South side of Maui and what mayor Victorino's directive is to push construction, to be essential and was able to work throughout this pandemic. A lot of places have started up, especially on the South side and if you do not know, they do not have their own water resource, it comes from this side of the island. It especially

comes from my ahupua'a. Almost 50% of the water usage comes from Waihe'e through wells or the stream flow. When I look at the amount of water taken especially from the south side; and what they're proposing, it is very upsetting to me. Also, looking the County's water usage plan and development plan. The Kanaka rights are put down on *inaudible*. When they talk about the usage of water, our right should always be upfront because we have these rights which are vested to us from the 1839 constitution of rights through the kuleana act of 1859 and according to the United States they still recognize. HRS 7-1, HRS 174 C. When looking at these, they still protect our rights but yet our rights are not being protected. They may be stated in these laws and yet they are not being protected. The misuse, the way they are permitting usage by the County *inaudible* and to bring us into the conversation and the rights can be afforded us but they are trying to say that our rights are no greater than the rest of the public which is a misnomer because we do have superior rights. I fought for them. This is only on water; we are not talking about iwi. SHPD continues to give permits-areas that continue to devastate our iwi kupuna. Many of our lands being encumbrance by TMKs by these illegal actions. So, I reach out to you Trustees to please help us in this area. We really need your kōkua.

Chair Hulu Lindsey Mahalo nui Kaniloa. I want to mahalo everyone that has come forward this morning. We are always happy to hear from you. At this time I will move on to item IV. on the agenda.

Executive Session

IV.

- A. Consultation with Board Counsel Robert G. Klein re: questions and issues pertaining to the Board's powers, duties, privileges, immunities, and liabilities with respect to The Office of Hawaiian Affairs v. Leslie H. Kondo, et al.; Civil No. 1CCV-20-0000259 (JPC) lawsuit and House Bill 204, Relating to the Budget of the Office of Hawaiian Affairs, pursuant to HRS § 92-5(a)(4).
- B. Consultation with Board Counsel Robert G. Klein re: questions and issues pertaining to the Board's powers, duties, privileges, immunities, and liabilities with respect to the Report of the Permitted Interaction Group re: to Investigate the Development of Land and Commercial Property Policies and Strategies relating to the Development of OHA's Nā Lama Kukui and Kaka'ako Makai Properties, pursuant to HRS§92-2.5(b)(1)(C) and HRS§92-5(4).

Trustee Akaka Madam Chair I move to excuse our selves into Executive Session.

Trustee Ahu Isa Seconds the motion.

The Board recuses into Executive Session at 10:32 a.m.

Motion to recuse into executive session pursuant to HRS Section 92-5						
	1	2	'AE (YES)	'A'OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA		X	X			
TRUSTEE DAN AHUNA			X			
TRUSTEE KALEI AKAKA	X		X			
TRUSTEE KELI'I AKINA			X			

TRUSTEE LUANA	ALAPA			X		
TRUSTEE BRENDON	LEE			X		
TRUSTEE KEOLA	LINDSEY			X		
TRUSTEE JOHN	WAIHE'E			X		
CHAIRPERSON HULU	LINDSEY			X		
TOTAL VOTE COUNT				9		
MOTION: <input type="checkbox"/> UNANIMOUS <input checked="" type="checkbox"/> PASSED <input type="checkbox"/> DEFERRED <input type="checkbox"/> FAILED						
Motion passes with nine (9) YES votes and one (1) EXCUSED vote.						

The Board returns to open session at 12:39 p.m.

New Business

V.

A. V. Deliberation and decision making on the Report of the Permitted Interaction Group re: to Investigate the Development of Land and Commercial Property Policies and Strategies relating to the Development of OHA's Nā Lama Kukui and Kaka'ako Makai Properties, pursuant to HRS§92-2.5(b)(1)(c)

1. Action Item BOT#21-03: Accept the Report of the Land and Commercial Property Permitted Interaction Group

Casey Brown, COO Trustees you've been presented the report for the Land and Commercial preoperties for the PIG and we have summarized and recommended strategies for Nā Lama Kukui and Kaka'ako Makai including third party assistance recommendations. We've summarized the implication to the policies, the Land and Commercial Property policies that are impacted by the strategies and we are recommending the formation and focus of a second PIG. If anyone, including our beneficiaries and the public need more detail they can refer to the report that was publicly released. At this time administration suggest moving to the action items.

Trustee Lee In the spirit of transparency I would like to make a motion to:

Reaffirm the action taken by the Board in Executive session to accept Action Item BOT#21-03: Accept the Report of the Land and Commercial Property Permitted Interaction Group

Trustee Ahu Isa Seconds the motion.

Trustee Lee Just for clarification for the beneficiaries that are watching, in order for the Trustees to have a full and free discussion about this matter in executive session we had to take a vote to accept the committees report because the committee report was not in possession of the board at the time. So we had to take that motion up in executive session. For transparency, for our beneficiaries we are reaffirming that vote in open session.

Chair Hulu Lindsey Thank you Trustee Lee.

Trustee Lee moves

**Reaffirm the action taken by the Board in Executive session to accept Action Item BOT#21-03:
Accept the Report of the Land and Commercial Property Permitted Interaction Group**

Trustee Ahu Isa seconds the motion.

	1	2	‘AE (YES)	‘A‘OL E (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA		X	X			
TRUSTEE DAN AHUNA			X			
TRUSTEE KALEI AKAKA			X			
TRUSTEE KELI‘I AKINA			X			
TRUSTEE LUANA ALAPA			X			
TRUSTEE BRENDON LEE	X		X			
TRUSTEE KEOLA LINDSEY			X			
TRUSTEE JOHN WAIHE‘E			X			
CHAIRPERSON HULU LINDSEY			X			
TOTAL VOTE COUNT			9			
MOTION: <input type="checkbox"/> UNANIMOUS <input checked="" type="checkbox"/> PASSED <input type="checkbox"/> DEFERRED <input type="checkbox"/> FAILED						
Motion passes with nine (9) YES votes and zero (0) EXCUSED vote.						

2. Action Item BOT#21-04: Approve the Solicitation of a Request for Proposal for a Development Consultant

Trustee Akaka Moves to

Approve the Solicitation of a Request for Proposal for a Development Consultant

Trustee Waihe‘e Seconds the motion.

Discussion:

Trustee Lee Madam Chair, I noticed in the Permitted Interaction Group (PIG) report, that’s apart of our packet, various previous policies that are still in place and reports that were used as part of the work papers for the PIG. It was stated that the PIG had difficulty with the real estate vision policy given it was passed in 2007 so, there was no institutional knowledge because it was so long ago. I am curious from the Chair of the PIG if institutional knowledge is something the working group finds necessary and that they need, in the future I would hope that the other groups would seek out input from past trustees that would have that institutional knowledge. They are not precluded from being apart of this PIG because they are no longer on the board. I do not know if this is why a former Trustee was there, but I do know I saw a former Trustee in the Board suite on a day the PIG was meeting. I do not know if that former Trustee was meeting with the PIG but I would hope that if that Institutional knowledge is needed in the future, those working groups would call on the former trustees. Thank you, Madam Chair.

Trustee Ahu Isa I don't know about other Trustees, but I have seeked out institutional knowledge from former Trustees that were here in 2006.

Trustee Akaka moves						
Approve the Solicitation of a Request for Proposal for a Development Consultant						
Trustee Waihe'e seconds the motion.						
	1	2	'AE (YES)	'A'OL E (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA			X			
TRUSTEE DAN AHUNA			X			
TRUSTEE KALEI AKAKA	X		X			
TRUSTEE KELI'I AKINA			X			
TRUSTEE LUANA ALAPA			X			
TRUSTEE BRENDON LEE			X			
TRUSTEE KEOLA LINDSEY			X			
TRUSTEE JOHN WAIHE'E		X	X			
CHAIRPERSON HULU LINDSEY			X			
TOTAL VOTE COUNT			9			
MOTION: [] UNANIMOUS [X] PASSED [] DEFERRED [] FAILED						
Motion passes with nine (9) YES votes and zero (0) EXCUSED vote.						

Chair Hulu Lindsey I will entertain a motion for action three.

- 3. Action Item BOT #21-05: Approve the Formation of a Permitted Interaction Group to Investigate: and Recommend a Short List of Eligible Entities for Selection of a Development Consultant; Land and Commercial Property Policies; and the Development and Implementation of a Request for Proposal for a Community Planner**

Trustee Ahu Isa moves to approve the Formation of a Permitted Interaction Group to Investigate: and Recommend a Short List of Eligible Entities for Selection of a Development Consultant; Land and Commercial Property Policies; and the Development and Implementation of a Request for Proposal for a Community Planner

Trustee Akaka Seconds the motion.

Discussion:

Trustee Lee Madame Chair, I'm referring to page three of six of the report of this action item specifically figure one. The Kaka'ako Makai development road map, given that administration is going to be doing the RFP's and solicitation of this consultant and whittling it down to a short list to bring to the BOT for approval, I intend to vote no on this matter. I do not believe a PIG is necessary of having only four trustees. While I

appreciate Chair Hulu Lindsey's comments on, this allows the administration to get guidance from trustees, I don't see why that guidance can not be provided by the Resource Management Committee; which then could report back to all nine trustees vs. the four PIG. Thank you, Madam Chair.

Chair Hulu Lindsey Anu other comments?

Trustee Ahu Isa moves						
moves to approve the Formation of a Permitted Interaction Group to Investigate: and Recommend a Short List of Eligible Entities for Selection of a Development Consultant; Land and Commercial Property Policies; and the Development and Implementation of a Request for Proposal for a Community Planner						
Trustee Akaka seconds the motion.						
	1	2	'AE (YES)	'A'OL E (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA	X		X			
TRUSTEE DAN AHUNA				X		
TRUSTEE KALEI AKAKA		X	X			
TRUSTEE KELI'I AKINA			X			
TRUSTEE LUANA ALAPA			X			
TRUSTEE BRENDON LEE				X		
TRUSTEE KEOLA LINDSEY			X			
TRUSTEE JOHN WAIHE'E			X			
CHAIRPERSON HULU LINDSEY			X			
TOTAL VOTE COUNT			7	2		
MOTION: [] UNANIMOUS [X] PASSED [] DEFERRED [] FAILED						
Motion passes with seven (7) YES votes and two NO Votes zero (0) EXCUSED vote.						

Trustee Lee Point of order Madam Chair, I am so sorry. We can not approve a Permitted Interaction Group with out knowing who will be on it.

Chair Hulu Lindsey It is in your itinerary, action item.

Trustee Lee I apologize if I missed it. Was it on the action item? I did not hear it stated on the action item.

Chair Hulu Lindsey Please allow me to state the members, the PIG members are: Carmen Hulu Lindsey, Leina'ala Ahu Isa, John Waihee IV, and Kalei Akaka. I just want to add that in talking with our administration, it was thought that the same mind thought as we enjoyed in the first PIG should be a continuity, there would be the same mind set all the way through. Because these people have the leadership of the Board, I have re-appointed them.

Trustee Lee Thank you Madam Chair, I see it under the recommended action. It is not in the action item. Thank you for clarifying that.

Chair Hulu Lindsey I will call on Trustee Akaka for our Advocacy and Empowerment report.

B. Committee on Beneficiary Advocacy and Empowerment

1. 2021 OHA Legislative Positioning – Matrix 2†, April 14, 2021**

Trustee Akaka Your Committee on Beneficiary Advocacy and Empowerment, having met on April 14, 2021 and after full and free discussion, recommends approval of the following motion to the Board of Trustees:

To approve Administration’s recommendations on the following positions:

GM786, as SUPPORT

Trustee K. Lindsey Seconds the motion.

Trustee Akaka moves						
To approve Administration’s recommendations on the following positions:						
GM786, as SUPPORT						
Trustee K. Lindsey seconds the motion.						
	1	2	‘AE (YES)	‘A‘OL E (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA			X			
TRUSTEE DAN AHUNA			X			
TRUSTEE KALEI AKAKA	X		X			
TRUSTEE KELI‘I AKINA			X			
TRUSTEE LUANA ALAPA			X			
TRUSTEE BRENDON LEE			X			
TRUSTEE KEOLA LINDSEY		X	X			
TRUSTEE JOHN WAIHE‘E			X			
CHAIRPERSON HULU LINDSEY			X			
TOTAL VOTE COUNT			9			
MOTION: [] UNANIMOUS [X] PASSED [] DEFERRED [] FAILED						
Motion passes with nine (9) YES votes and zero (0) EXCUSED vote.						

Trustee Akaka Your Committee on Beneficiary Advocacy and Empowerment, having met on April 14, 2021 and after full and free discussion, recommends approval of the following motion to the Board of Trustees:

To approve Administration’s recommendations on the following positions:

HB862, as OPPOSE

Trustee K. Lindsey Seconds the motion.

Trustee Akaka moves

To approve Administration's recommendations on the following positions:

HB862, as OPPOSE

Trustee K. Lindsey seconds the motion.

	1	2	'AE (YES)	'A'OL E (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA			X			
TRUSTEE DAN AHUNA			X			
TRUSTEE KALEI AKAKA	X		X			
TRUSTEE KELI'I AKINA			X			
TRUSTEE LUANA ALAPA			X			
TRUSTEE BRENDON LEE					X	
TRUSTEE KEOLA LINDSEY		X	X			
TRUSTEE JOHN WAIHE'E			X			
CHAIRPERSON HULU LINDSEY			X			
TOTAL VOTE COUNT			8		1	
MOTION: [] UNANIMOUS [X] PASSED [] DEFERRED [] FAILED						
Motion passes with eight (8) YES votes, one (1) Abstain, and zero (0) EXCUSED vote.						

Announcements

Chair Hulu Lindsey Just a reminder, next week Thursday we have another Board meeting at 10 am.

Adjournment

Chair Hulu Lindsey I would like to entertain a motion for adjournment.

Trustee Akaka moves to adjourn the meeting.

Trustee Ahu Isa Seconds the motion.

Adjournment							
TRUSTEE		1	2	'AE (YES)	A'OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
LEINA'ALA	AHU ISA		X	X			
DAN	AHUNA			X			
KALEIHIKINA	AKAKA	X		X			
KELI'I	AKINA			X			
LUANA	ALAPA			X			

BRENDON KALEI'ĀINA	LEE			X			
KEOLA	LINDSEY			X			
JOHN	WAIHE'E			X			
CHAIR CARMEN HULU	LINDSEY			X			
TOTAL VOTE COUNT				9		0	0

Chairperson Carmen Hulu Lindsey adjourns the Board of Trustees meeting at 12:57 p.m.

Respectfully submitted,

Lehua Itokazu
Board Secretary

As approved by the Board of Trustees on May 6, 2021.

Carmen Hulu Lindsey
Chairperson, Board of Trustees

Attachments:

DRAFT
To be approved on
5/6/2021

STATE OF HAWAII
OFFICE OF HAWAIIAN AFFAIRS
560 N. NIMITZ HIGHWAY, SUITE 200
(VIRTUAL MEETING - VIA ZOOM WEBINAR)

Due to the threat of COVID-19, Governor Ige issued the most recent Emergency Nineteenth Supplementary Proclamation dated April 9, 2021 that suspend parts of Hawai'i Revised Statutes Chapter 92, Public Agency Meetings and Records to, among other things, enable boards to conduct business without any board members or members of the public physically present at the same location.

The OHA Board of Trustees will hold virtual meetings until further notice. The virtual meetings can be viewed and observed via livestream on OHA's website at www.oha.org/livestream or listen by phone: (213) 338-8477

Minutes of the Office of Hawaiian Affairs
Board of Trustees
MINUTES
April 22, 2021

ATTENDANCE:

Chairperson Carmen Hulu Lindsey
Trustee Lei Ahu Isa
Trustee Dan Ahuna
Trustee Kaleihikina Akaka
Trustee Luana Alapa
Trustee Brendon Kalei'āina Lee
Trustee Keola Lindsey
Trustee John Waihe'e, IV

BOT STAFF:

Colin Kippen
Amber Kalua
Kanani Iaea
Lehua Itokazu

ADMINISTRATION STAFF:

Sylvia Hussey, Ka Pouhana / CEO
Casey Brown, Pou Nui / COO
Kalani Fronda, Land Assets Director
Raina Gushiken, CC
Everett Ohta, CC
Ramona Hink, CFO
Kevin Chak, IT Support
Erin Nakama, IT Support

Call to Order

Chair Hulu Lindsey Calls the Board of Trustees Meeting to order for Thursday April 22, 2021 at 10:02 a.m. Chair Hulu Lindsey calls for a roll call.

MEMBERS			Present	TIME ARRIVED
TRUSTEE	LEINA‘ALA	AHU ISA	X	
TRUSTEE	DAN	AHUNA		Joins meeting at 10:13 am
TRUSTEE	KALEI	AKAKA	X	
TRUSTEE	KELI‘I	AKINA		Excused
TRUSTEE	LUANA	ALAPA	X	
TRUSTEE	BRENDON KALEI‘ĀINA	LEE	X	
TRUSTEE	KEOLA	LINDSEY	X	
TRUSTEE	JOHN	WAIHE‘E		Joins meeting at 10:15 am
CHAIRPERSON	CARMEN HULU	LINDSEY	X	
			6	

At the Call to Order, **six (6)** Trustees are PRESENT, thereby constituting a quorum.

Chair Hulu Lindsey Due to the threat of COVID-19, Governor Ige issued the most recent Emergency Nineteenth Supplementary Proclamation dated April 9, 2021 that suspends parts of Hawai‘i Revised Statutes Chapter 92, Public Agency Meetings and Records to, among other things, enable boards to conduct business without any board members or members of the public physically present at the same location.

The OHA Board of Trustees will hold virtual meetings until further notice. The virtual meetings can be viewed and observed via livestream on OHA’s website at www.oha.org/livestream

New Business

A. Committee on Resource Management

- 1. Action Item RM #21-04: Approval of a Third Amendment to BOT #12-05 – Kaka‘ako Makai Policy Relating to the Allocation of Revenue from Kaka‘ako Makai Properties to the Land Legacy Program. (1st reading)**

Chair Hulu Lindsey Since there is no one signed up for Public testimony, I will move on to New Business. I would like to call upon the Resource Committee. I will have Trustee Alapa read the motion on the board.

Trustee Alapa Your Committee on Resource Management, having met on April 20, 2021 and after full and free discussion, recommends approval of the following two actions to the Board of Trustees:

To approve a third amendment to BOT #12-05 – Kaka‘ako Makai Policy, relating to the allocation of revenue from Kaka‘ako Makai properties to the Land Legacy Program at Attachment A, including ministerial edits.

Such an amendment includes the following changes:

- A. Eliminate the allocation of the gross revenues from Kaka‘ako Makai (KM) to OHA’s Grants program**
- B. Increase the allocation of the net revenues to OHA’s Legacy Land Program (LLP) from 50% to 60%;**
- C. Amend the review date of June 30, 2021 to June 30, 2025; and**
- D. Address ministerial edits.**

Trustee Ahu Isa Seconds the motion.

Trustee Alapa moves

To approve a third amendment to BOT #12-05 – Kaka‘ako Makai Policy, relating to the allocation of revenue from Kaka‘ako Makai properties to the Land Legacy Program at Attachment A, including ministerial edits.

Such an amendment includes the following changes:

- A. Eliminate the allocation of the gross revenues from Kaka‘ako Makai (KM) to OHA’s Grants program**
- B. Increase the allocation of the net revenues to OHA’s Legacy Land Program (LLP) from 50% to 60%;**
- C. Amend the review date of June 30, 2021 to June 30, 2025; and**
- D. Address ministerial edits.**

Trustee Ahu Isa Seconds the motion.

	1	2	‘AE (YES)	‘A‘OL E (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA		X	X			
TRUSTEE DAN AHUNA						
TRUSTEE KALEI AKAKA			X			
TRUSTEE KELI‘I AKINA						X
TRUSTEE LUANA ALAPA	X		X			
TRUSTEE BRENDON LEE			X			
TRUSTEE KEOLA LINDSEY			X			
TRUSTEE JOHN WAIHE‘E						
CHAIRPERSON HULU LINDSEY			X			
TOTAL VOTE COUNT			6			

MOTION: [] UNANIMOUS [X] PASSED [] DEFERRED [] FAILED

Motion passes with six (6) YES votes and zero (1) EXCUSED vote.

A. Committee on Resource Management

2. Action Item RM #21-05: Amendment to the Executive Policy Manual Section, Budget Management Policy. (1st reading)

Trustee Alapa Action two: To approve the expansion of the Executive Policy Manual section described in Attachment A:

- (1) New section 3045 Budget Management;**
- (2) New section 3046 Fiscal Stabilization Policy;**
- (3) Relocate and renumber two sections currently located in section 3050 Fiscal to section 3040 Planning, Programming, Budget; and**
- (4) Relocate and renumber five sections currently located in section 3040 Planning, Programming, Budget to new section 3045 Budget Management.**

Trustee Ahu Isa Seconds the motion

Trustee Alapa moves						
To approve the expansion of the Executive Policy Manual section described in Attachment A:						
<ul style="list-style-type: none"> (3) New section 3045 Budget Management; (4) New section 3046 Fiscal Stabilization Policy; (3) Relocate and renumber two sections currently located in section 3050 Fiscal to section 3040 Planning, Programming, Budget; and (4) Relocate and renumber five sections currently located in section 3040 Planning, Programming, Budget to new section 3045 Budget Management. 						
Trustee Ahu Isa Seconds the motion.						
	1	2	‘AE (YES)	‘A‘OL E (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA		X	X			
TRUSTEE DAN AHUNA						
TRUSTEE KALEI AKAKA			X			
TRUSTEE KELI‘I AKINA						X
TRUSTEE LUANA ALAPA	X		X			
TRUSTEE BRENDON LEE			X			
TRUSTEE KEOLA LINDSEY			X			
TRUSTEE JOHN WAIHE‘E						

CHAIRPERSON HULU LINDSEY			X			
TOTAL VOTE COUNT			6			
MOTION: <input type="checkbox"/> UNANIMOUS <input checked="" type="checkbox"/> PASSED <input type="checkbox"/> DEFERRED <input type="checkbox"/> FAILED						
Motion passes with six (6) YES votes and zero (1) EXCUSED vote.						

- B. Action Item BOT#21-06: Approval of Exempt Status of Trustee Aide (SR 22), Trustee Secretary, and Board Secretary consistent with the Fair Labor Standards Act of 1938, as amended, in accordance with 29 C.F.R. § 553.11.**

Executive Session

Trustee Lee I am not comfortable taking action on this item and then talking about it in executive session. I would rather talk about it in executive session before we take action. I move that we take the agenda out of order and recuse ourselves into executive session.

Trustee K. Lindsey Seconds the motion.

The Board recuses into Executive Session at 10:10 a.m.

Motion to recuse into executive session pursuant to HRS Section 92-5						
	1	2	‘AE (YES)	‘A‘OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA			X			
TRUSTEE DAN AHUNA						
TRUSTEE KALEI AKAKA			X			
TRUSTEE KELI‘I AKINA						X
TRUSTEE LUANA ALAPA			X			
TRUSTEE BRENDON LEE	X		X			
TRUSTEE KEOLA LINDSEY		X	X			
TRUSTEE JOHN WAIHE‘E						
CHAIRPERSON HULU LINDSEY			X			
TOTAL VOTE COUNT			6			
MOTION: <input type="checkbox"/> UNANIMOUS <input checked="" type="checkbox"/> PASSED <input type="checkbox"/> DEFERRED <input type="checkbox"/> FAILED						
Motion passes with six (6) YES votes and one (1) EXCUSED vote.						

The Board returns to open session at 11:36 a.m.

Continuation of New Business

- B. Action Item BOT#21-06: Approval of Exempt Status of Trustee Aide (SR 22), Trustee Secretary, and Board Secretary consistent with the Fair Labor Standards Act of 1938, as amended, in accordance with 29 C.F.R. § 553.11.**

Trustee K. Lindsey Madam Chair, I think the session we just came out of, we had valuable discussion and received a lot of information for us to think about. For me at this time, I am not ready to take action on this matter. I'd like to review the material more and have some question for administration. **I move to defer this matter till a future meeting.**

Trustee Akaka Seconds the motion.

Trustee K. Lindsey moves						
To defer agenda item III.B. to a future meeting.						
Trustee Akaka Seconds the motion.						
	1	2	'AE (YES)	'A'OL E (NO)	KANALUA (ABSTAIN)	EXCUSED
TRUSTEE LEI AHU ISA			X			
TRUSTEE DAN AHUNA			X			
TRUSTEE KALEI AKAKA		X	X			
TRUSTEE KELI'I AKINA						X
TRUSTEE LUANA ALAPA			X			
TRUSTEE BRENDON LEE					X	
TRUSTEE KEOLA LINDSEY	X		X			
TRUSTEE JOHN WAIHE'E				X		
CHAIRPERSON HULU LINDSEY			X			
TOTAL VOTE COUNT			6	1	X	
MOTION: [] UNANIMOUS [X] PASSED [] DEFERRED [] FAILED						
Motion passes with six (6) YES votes, one (1) NO vote, and one (1) ABSTAIN						

No Community Concerns

Announcements

Chair Hulu Lindsey Our next Board meeting is scheduled for next week Thursday, April 29, 2021 at 10:00 am.

Adjournment

Chair Hulu Lindsey I would like to entertain a motion for adjournment.

Trustee Waihe'e moves to adjourn the meeting.

Trustee Akaka Seconds the motion.

Adjournment							
TRUSTEE		1	2	'AE (YES)	A'OLE (NO)	KANALUA (ABSTAIN)	EXCUSED
LEINA'ALA	AHU ISA			X			
DAN	AHUNA			X			
KALEIHIKINA	AKAKA		X	X			
KELI'I	AKINA						X
LUANA	ALAPA			X			
BRENDON KALEI'ĀINA	LEE			X			
KEOLA	LINDSEY			X			
JOHN	WAIHE'E	X		X			
CHAIR CARMEN HULU	LINDSEY			X			
TOTAL VOTE COUNT				8		0	1

Chairperson Carmen Hulu Lindsey adjourns the Board of Trustees meeting at 11:41 a.m.

Respectfully submitted,

Lehua Itokazu
Board Secretary

As approved by the Board of Trustees on May 6, 2021.

Carmen Hulu Lindsey
Chairperson, Board of Trustees

Attachments:

1. Excused Memo – Trustee Akina

Office of Hawaiian Affairs
Meeting of the Board of Trustees
May 6, 2021
10:00 A.M.

IV. New Business

2. Workshop: Board Governance Framework:
Policy Framework; T-Trustee Level Strategic
Granting Policy; Ad-Hoc Committee on Grants
and Sponsorships Update



OFFICE OF HAWAIIAN AFFAIRS

Interoffice Memorandum

Date: May 3, 2021

To:

Ke Kauhuhu Carmen Hulu Lindsey	Ke Kua Luana Alapa
Ke Kua Leina'ala Ahu Isa	Ke Kua Brendon Kalei`āina Lee
Ke Kua Dan Ahuna	Ke Kua Keola Lindsey
Ke Kua Kaleihikina Akaka	Ke Kua John Waihe'e IV
Ke Kua Keli'i Akina	

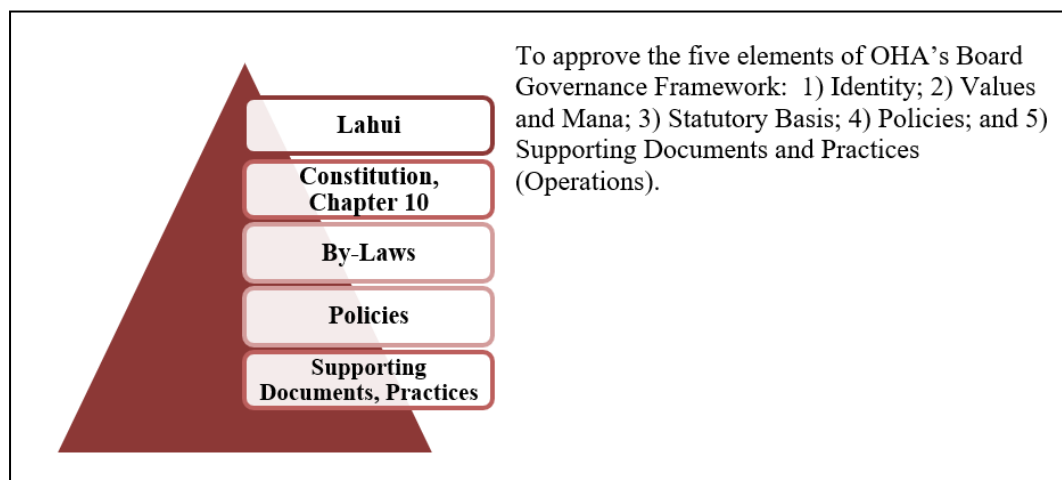
From: Sylvia M. Hussey, Ed.D., Ka Pouhana, Chief Executive Officer

cc: Casey K. Brown, Ka Pou Nui, Chief Operating Officer
Ramona G. Hinck, Ka Pou Kihi Kanaloa Wai, Chief Financial Officer
Raina Gushiken, Ka Paepae Puka, Senior Legal Counsel
Everett Ohta, Ka Paepae Puka, Assistant Senior Legal Counsel

Subject: Board Governance Framework, Policy Framework

Attachment: Discussion Powerpoint; (B) - February 7, 2021 - Introduction of a Proposed Policy Framework for OHA and Facilitated Discussion re: Development of a T-Level Grant Policy

The purpose of this memo is to transmit a proposed policy framework using the Board Governance Framework in a discussion powerpoint.





OFFICE OF HAWAIIAN AFFAIRS


Interoffice Memorandum

Date: February 7, 2021

To:

Ke Kauhuhu Carmen Hulu Lindsey
Ke Kua Leina'ala Ahu Isa
Ke Kua Dan Ahuna
Ke Kua Kaleihikina Akaka
Ke Kua Keli'i Akina

Ke Kua Luana Alapa
Ke Kua Brendon Kalei`āina Lee
Ke Kua Keola Lindsey
Ke Kua John Waihe'e IV

From: Sylvia M. Hussey, Ed.D., Ka Pouhana, Chief Executive Officer 

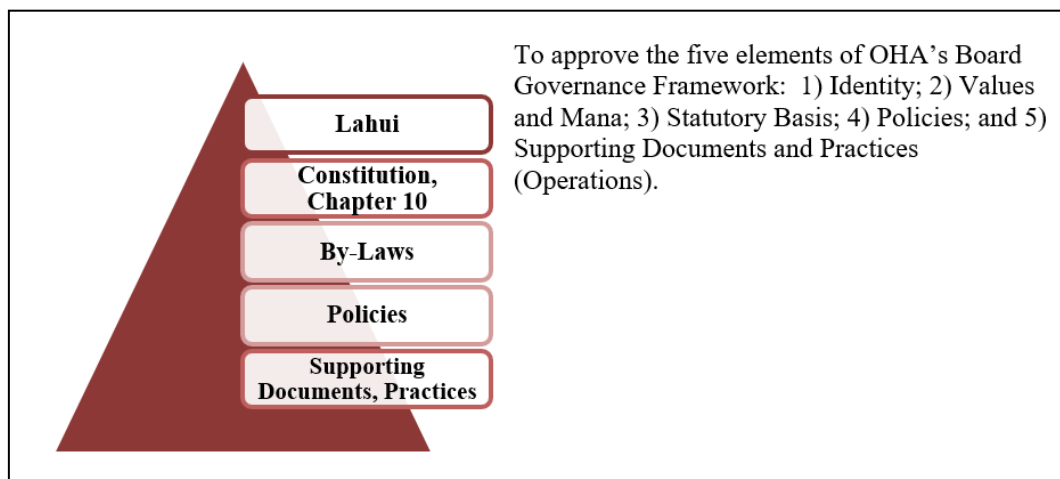
cc: Casey K. Brown, Ka Pou Nui, Chief Operating Officer
Ramona G. Hinck, Ka Pou Kihi Kanaloa Wai, Chief Financial Officer
Raina Gushiken, Ka Paepae Puka, Senior Legal Counsel
Lisa M. Watkins-Victorino, Ph.D., Ka Pou Kihi Kane, Research Director
Carla Hostetter, Ka Pou Kihi Onaehana, Systems Office Director
Maile Lu'uwai, Pou Kāko'o Kaiāulu, Grants Manager

Subject: Introduction of a Proposed Policy Framework for OHA and Facilitated Discussion
re: Development of a T-Level Grant Policy

Attachments: None

References: None

The purpose of this memo is to provide an overview of the proposed policy framework for OHA; and context for a facilitated discussion in developing a Trustee (T) - Level Grant policy for Board approval. An illustration of the proposed policy framework will be provided in the Board workshop, and be aligned with the Board Governance Framework (approved in spring 2019).



Elements of a policy framework may include, but not be limited to: existing fiscal, land, human resources policies; recognizing the dual identity of OHA as a state agency and perpetual trust; identifying compliance and internal audit functions; cascading to operating policies, processes, procedures and practices.

Strategic Outcome 9.5: Increased strategic granting

ACTIONS	
Endowment	
Strategic Outcomes (Endowment)	
Increased value of, investments in and value from financial, commercial and land resources.	
Strategy 9. Steward financial and commercial resources to enable strategic outcomes	Strategy 10. Steward land resources to fulfill fiduciary responsibilities and enable strategic outcomes
9.1. Increased value of the Native Hawaiian Trust Fund (NHTF)	10.1. Protected traditional and customary rights
9.2. Increased value of the commercial resources	10.2. Auamo kuleana for land resources
9.3. Increased direct investments in Hawaii	10.3. Strengthen and elevated cultural resource management practices
9.4. Increased value derived from the NHTF and commercial resources	10.4. Broaden cadre of cultural resource managers
9.5. Increased strategic granting	10.5. Increase stewarded direct land investments

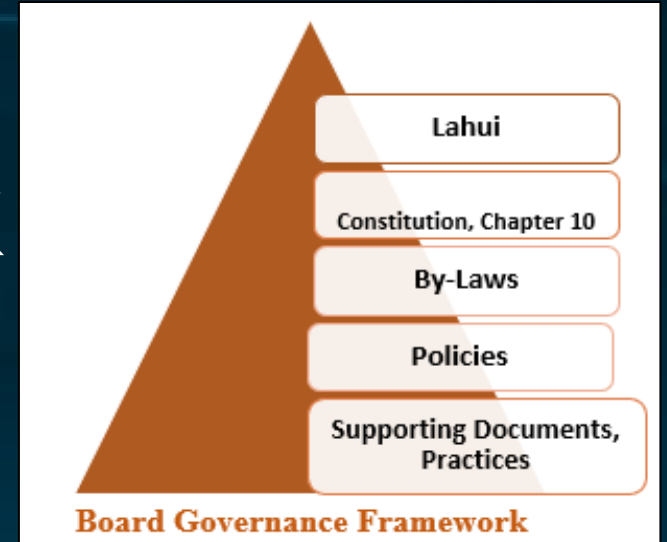
Please share your thoughts for discussion with your colleagues re:

- What does “increased strategic granting” looks like in your “minds eye”?
- What are other organizations in Hawaii, on the continent and/or internationally that can be models or examplars for OHA to consider in granting policy and practices?
- What lessons can be learned from philanthropy for granting?
- Are there lessons learned from Native Americans and Alaska Natives that can be applied to our context?

Administration looks forward to this open and free flowing discussion to inform drafting of a policy to return for Board discussion and action.

Board of Trustees Board Governance Framework Policy Framework

THURSDAY, MAY 6, 2021

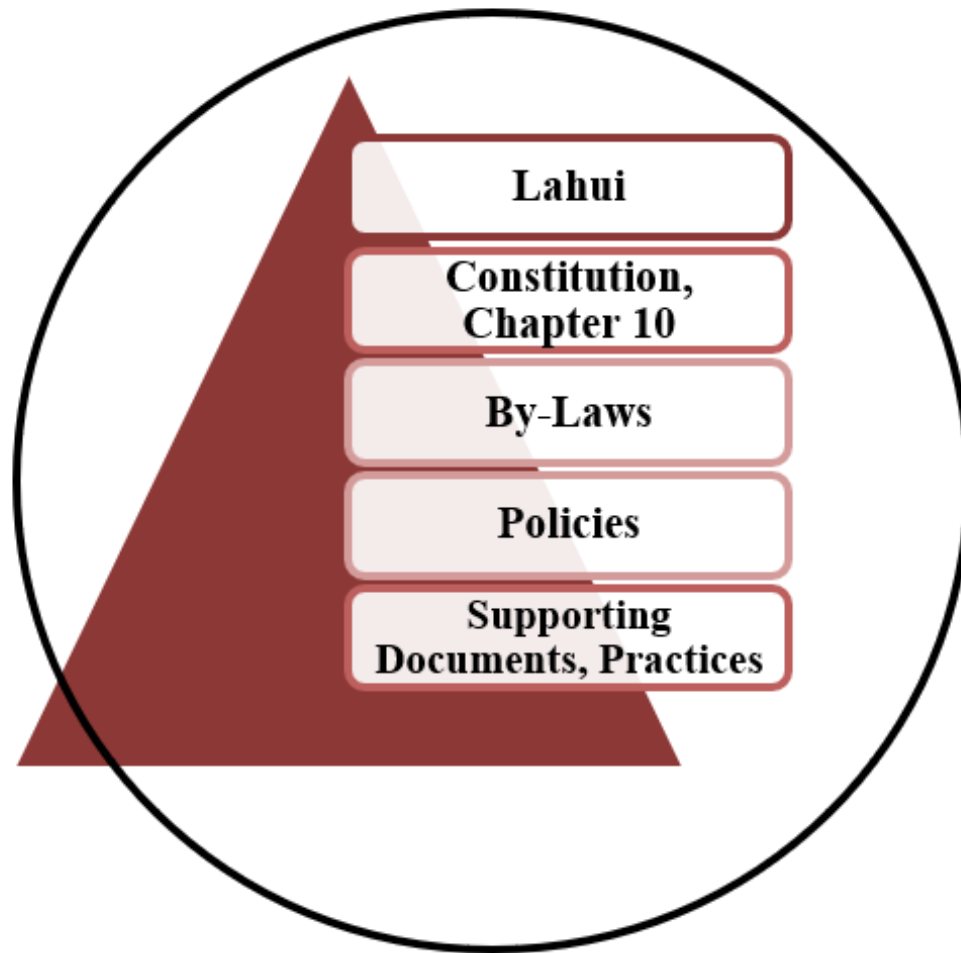


Working Definition of Governance

FOR PURPOSES OF THIS DOCUMENT AND THE PROPOSED BOARD OF TRUSTEES' PROJECT, A WORKING DEFINITION OF "GOVERNANCE" IS PROVIDED AND WILL BE USED TO FRAME THE PROJECT, ACTIVITIES AND DELIVERABLES.

Establishment of policies, and continuous monitoring of their proper implementation, by the members of the governing body of an organization. It includes the mechanisms required to balance the powers of the members (with the associated accountability), and their primary duty of enhancing the prosperity and viability of the organization.

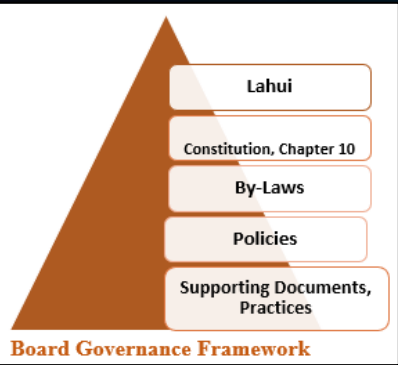
<http://www.businessdictionary.com/definition/governance.html>, retrieved 1/1/2019



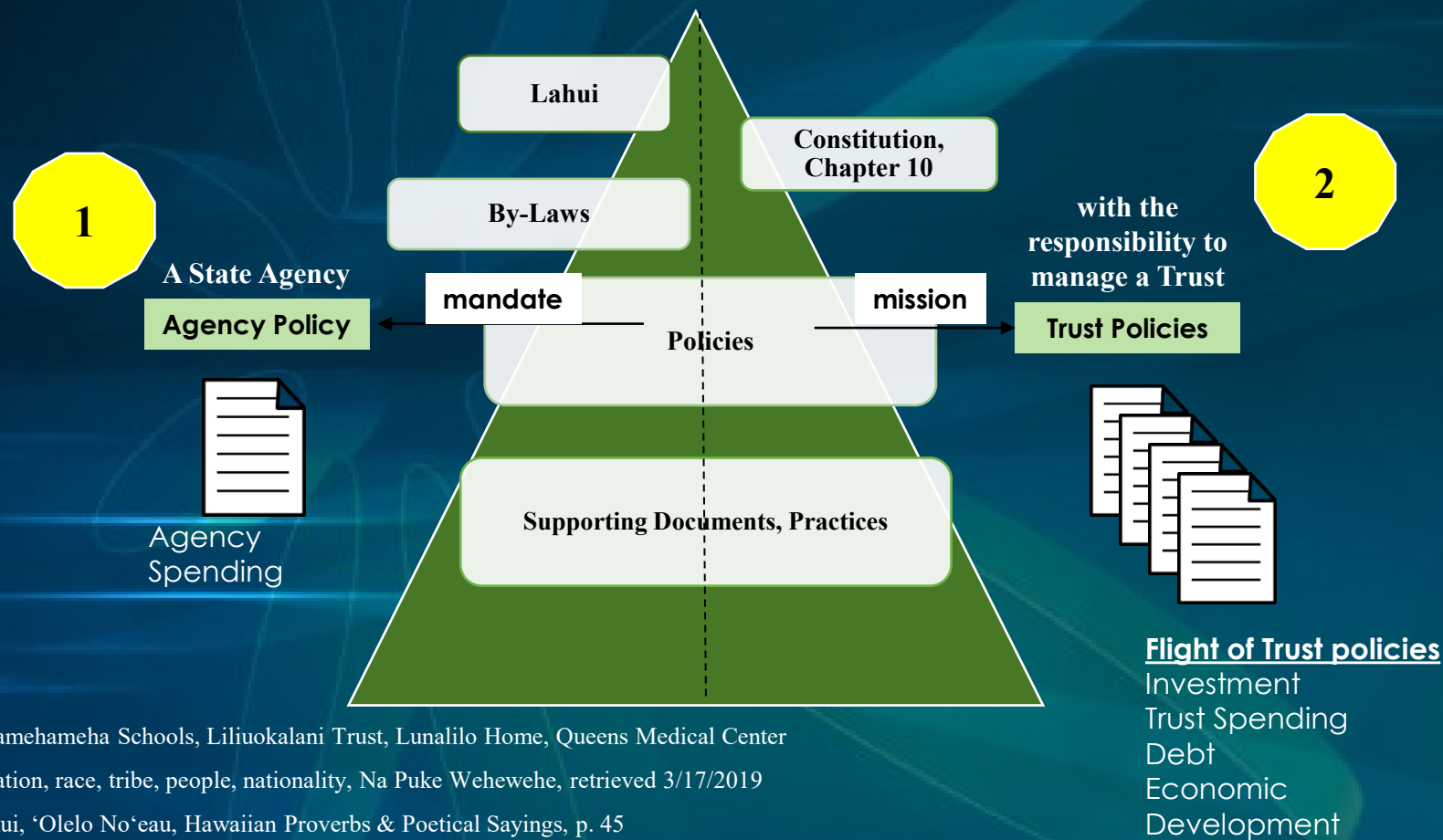
The PIG recommended five elements of OHA's Board Governance Framework: 1) Identity; 2) Values and Mana; 3) Statutory Basis; 4) Policies; and 5) Supporting Documents and Practices (Operations).

Element 1: Identity. Ho'oulu Lahui Aloha – To Raise a Beloved Lahui. A State Agency with the responsibility to manage a Trust, that identifies and operates more similarly to Ali'i Trusts⁵ with a lahui⁶ mindset in improving the well-being of our lahui. Such an identity is rooted in the 'ōlelo no'eau, E 'ōpū ali'i, have the heart of a chief, have the kindness, generosity, and even temper of a chief, 'ōlelo no'eau 369⁷. OHA's

identity is also place based, that OHA's kuleana is to this place of Hawaii.



Element 1: Identity. Ho`oulu Lahui Aloha – To Raise a Beloved Lahui. A State Agency with the responsibility to manage a Trust, that identifies and operates more similarly to Ali`i Trusts [1] with a lahui mindset in improving the well-being of our lahui [2]. Such an identity is rooted in the ‘ōlelo no‘eau, *E ‘ōpū ali`i*, have the heart of a chief, have the kindness, generosity, and even temper of a chief, ‘ōlelo no‘eau 369 [3]. OHA’s identity is also place based, that OHA’s *kuleana* is to this place of Hawaii.



OHA's identity manifests itself in four roles: advocate, researcher, community engager and asset manager.

Element 2: Values and Mana

The PIG recommends that the Board Governance Framework acknowledge the surrounding intangible, yet invaluable role of values and mana in governance.

Effecting the operating values of kūlia, kākou, pono, ho'omau and aloha, begins with Trustees and Board work as exemplars for Administration and the Organization as a whole. *O ke kahua mamua, mahope ke kūkulu*, the site first, and then the building, learn all you can, then practice, 'ōlelo no'eau 2459⁸.



OHA CORE VALUES

KŪLIA	KĀKOU	PONO	HO'OMAU	ALOHA
Innovation Excellence	Collaboration Cooperation Unity	Integrity Truth	Legacy	Respect Value

'O ke kahua ma mua, ma hope ke Kūkulu.

E ho'ōikaika ana i nā Kānaka i mana ka lāhui.

In addition, the concept of mana⁹, often oversimplified as "power", belies the complexity of the concept and its acquisition, development and maintenance toward OHA enabling capital.

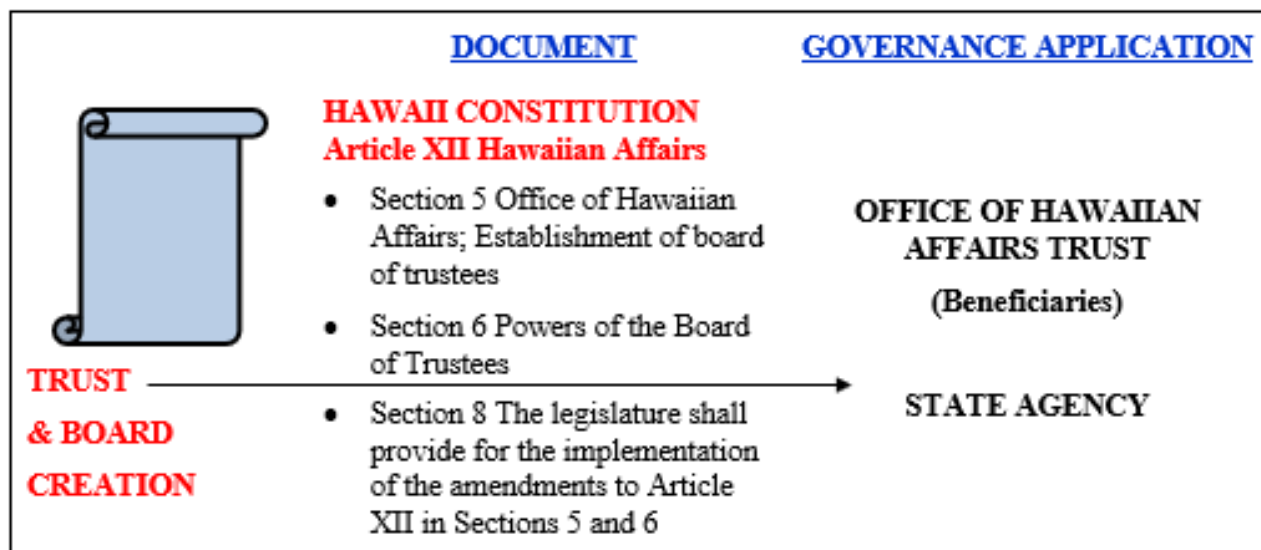
Mana as Capital. The acquisition, development and maintenance of mana toward strengthening OHA's influence capital, cultural capital and financial capital.

Mana – OHA will:

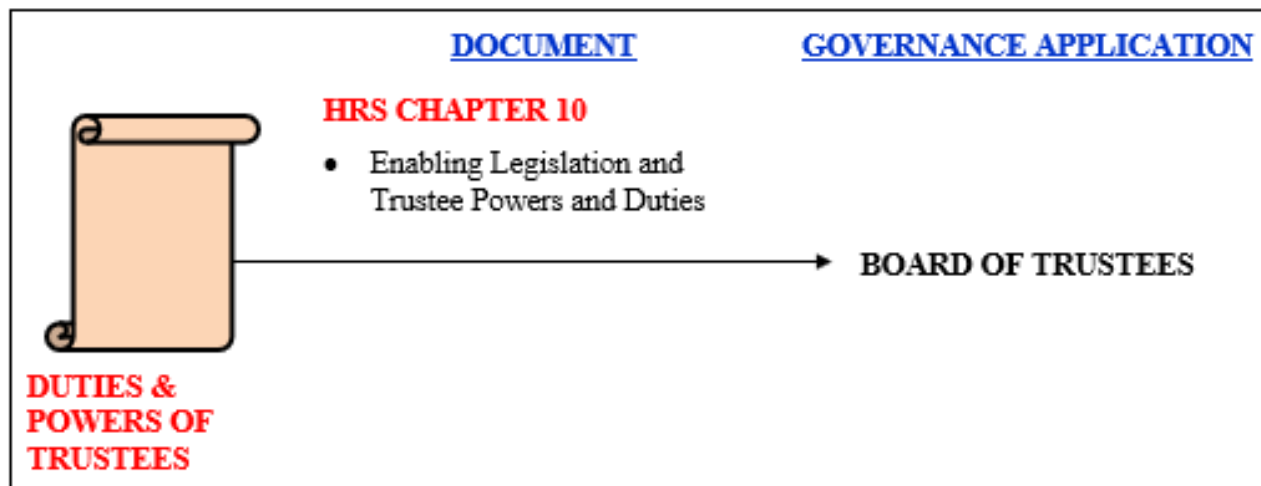


- Build upon the wisdom of our ancestors;
- Build upon the patriots who came before us; and
- Acquire and grow its mana as it strives to serve for the betterment of Hawaiians.

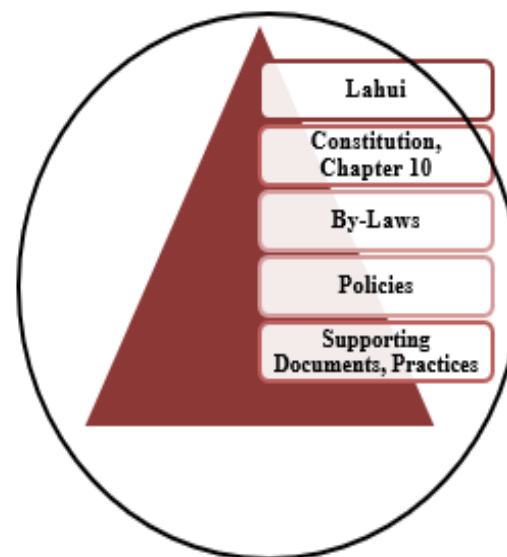
Element 3: Statutory Basis OHA was established under the Hawai'i Constitution, article XII, section 5, with powers described in article XII, section 6. Hawai'i Revised Statutes (HRS) Chapter 10 provides that OHA is meant to address the needs of the Native Hawaiian people.



The State of Hawaii's Constitution, Article XII Hawaiian Affairs creates the Office of Hawaiian Affairs, establishes a board of trustees, articulates the powers of the board of trustees and empowers the legislature to implement amendments to sections 5 and 6.



Hawaii Revised Statutes (HRS) Chapter 10 enables legislation and trustee powers and duties.



Element 4: Policies¹⁰. The PIG recommends that OHA policies be organized into three tiers: L-Lahui¹¹, T-Trustee and C-CEO level policies. L-Lahui level policies would articulate the Hawaiian cultural foundation of the organization as a basis for the *kaumaha* (heavy weight, sadness) or significant *kuleana* (responsibility) to normalize Hawaiian language, protect and exercise native rights regarding 'āina, water, wahi pana and iwi kupuna, strengthen 'ohana and kaiāulu, perpetuate Hawaiian culture, knowledge and practices and engage in global, international indigenous contexts. T-Trustee level policies would articulate broad, systemic, strategic, overarching policies that are attributed to OHA's Trustee role as a result of the

Constitution and Chapter 10 purposes and duties (e.g., fiduciary, care, obedience, code of ethics). C-CEO level policies guide and direct operations such as compensation, recruitment, procurement, contracting, data retention, asset protection, risk management, etc.

Element 5: Supporting Documents and Practices (Operations). Element 5 supports policy development, alignment, implementation, maintenance and monitoring, etc. by developed and "operationalized" documents (e.g., BOT Executive Policy Manual, BOT Operations Manual standard operating procedures, guidelines, operating manuals) and consistent practices.



IV. Funding Source:

Not applicable, no dedicated funding needed to approve the five elements of the Board Governance Framework.

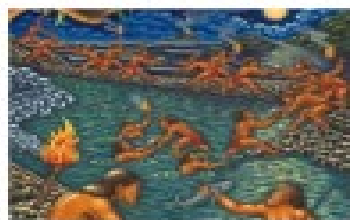
OHA CORE VALUES

KŪLIA



*Innovation
Excellence*

KĀKOU



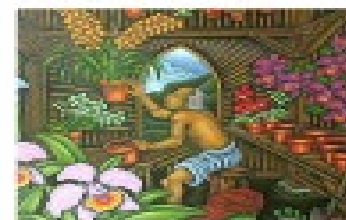
*Collaboration
Cooperation
Unity*

PONO



*Integrity
Truth*

HO'OMAU



Legacy

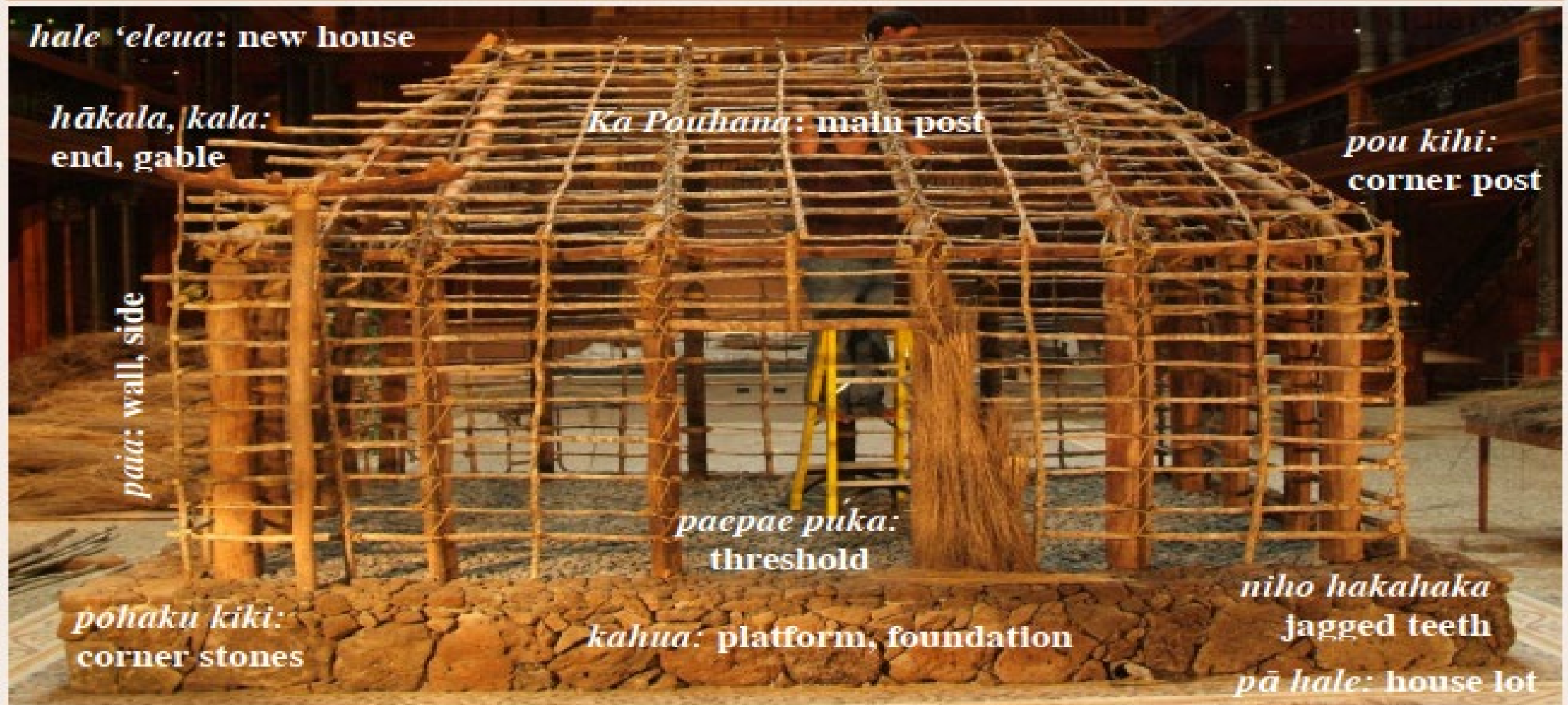
ALOHA



*Respect
Value*

**‘O ke kahua ma mua, ma hope ke Kūkulu.
E ho‘oikaika ana i nā Kānaka i mana ka lāhui.**

OHA HALE MODEL



Ke kahua mamua, mahope ke kūkulu. The foundation first, the building afterwards. (Pukui, 1983, #2459).

Lahui

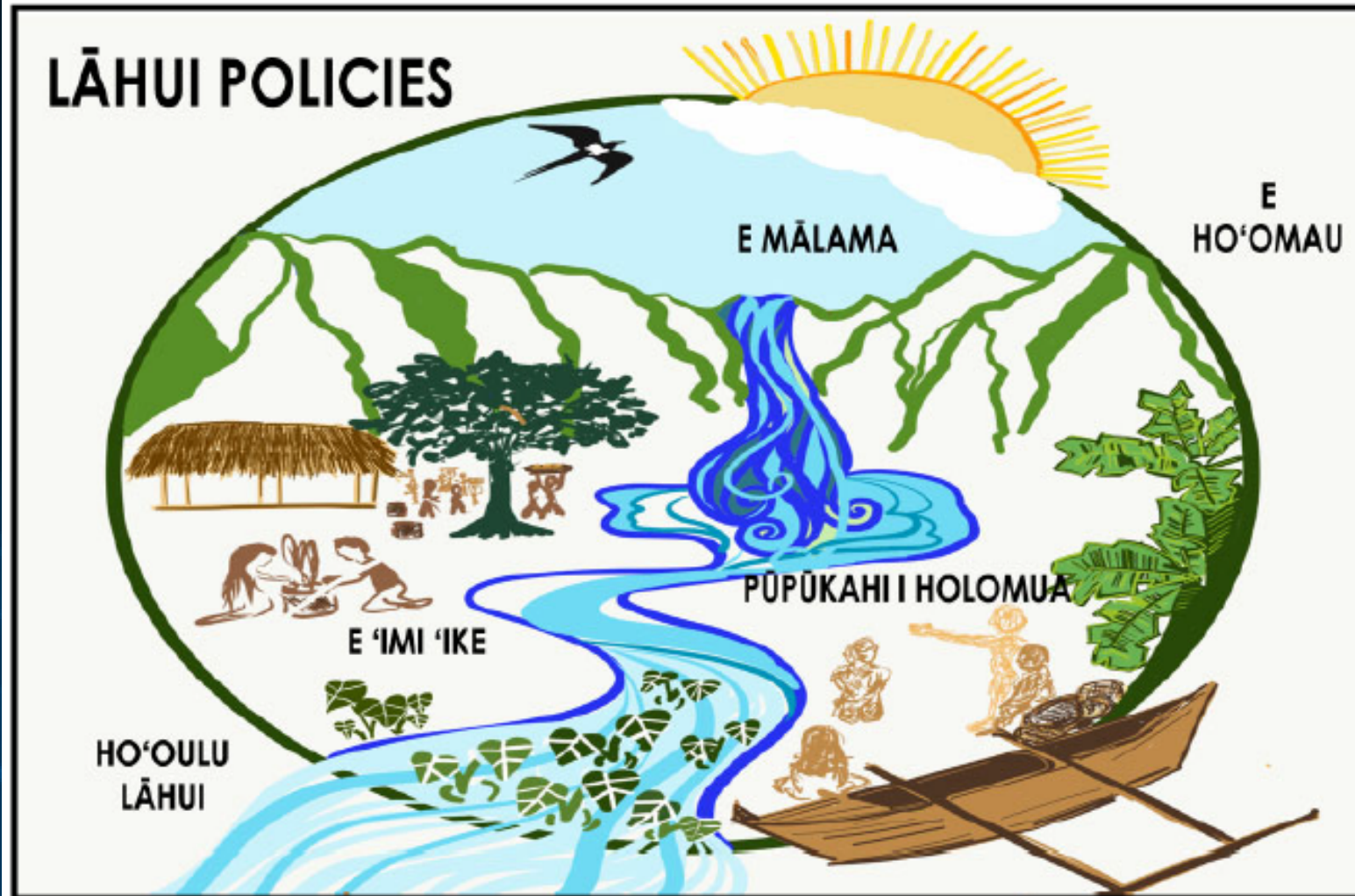
Constitution, Chapter 10

By-Laws

Policies

Supporting Documents,
Practices

Board Governance Framework



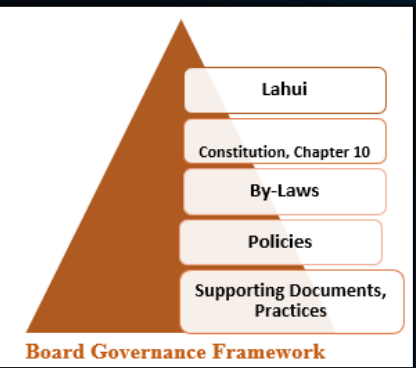
(1) E Malama
(to protect)

(2) E Ho'omau
(to perpetuate);

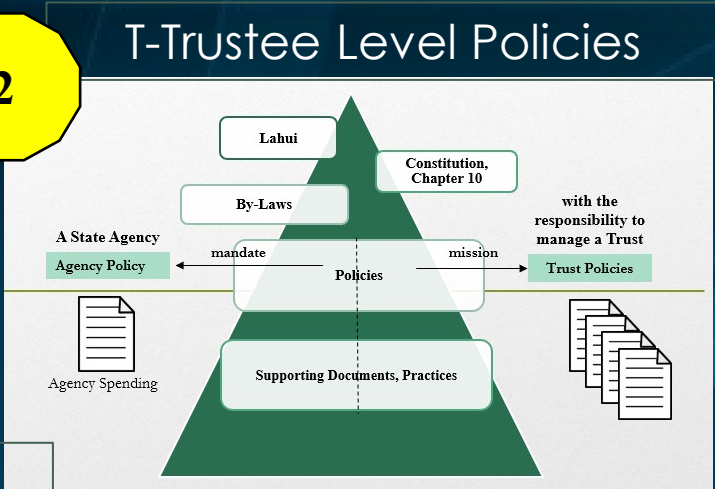
(3) E Pupukahi i Holomua
(to unite in order
to progress);

(4) E 'Imi 'ike
(to seek
knowledge);

(5) E Ho'oulu Lahui (to grow
the Lahui).

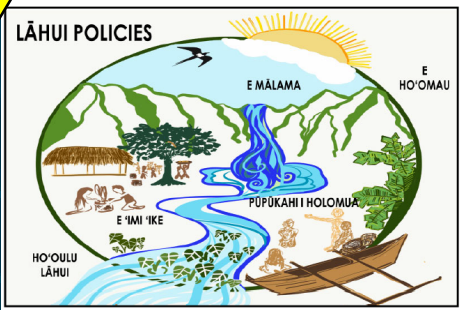


2



1

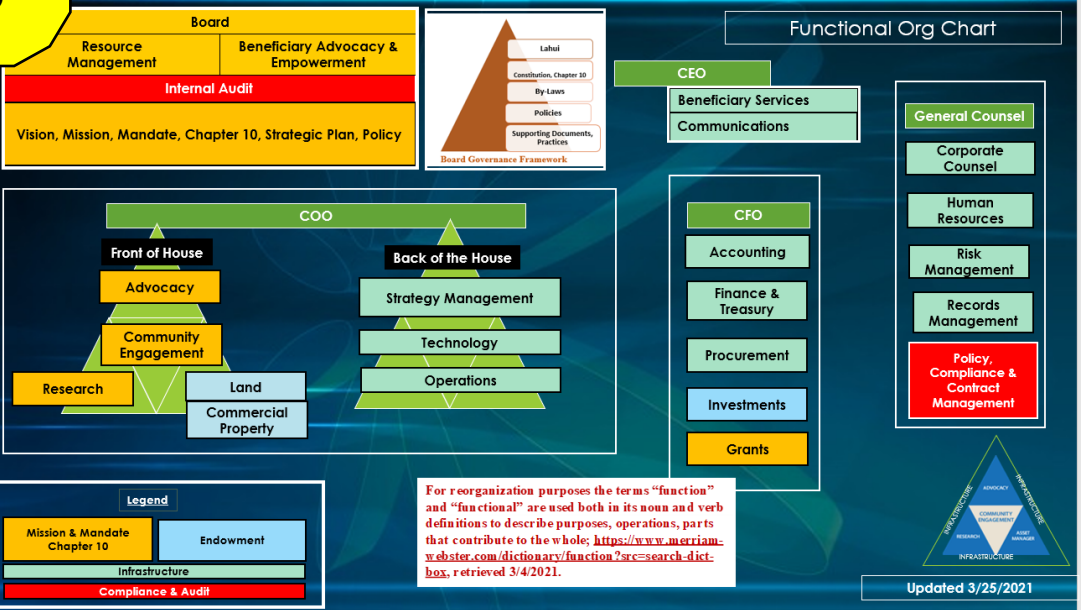
L-Lahui Level Policies



- (1) E Mālama (to protect)
- (2) E Ho'omau (to perpetuate);
- (3) E Pupukahi i Holomua (to unite in order to progress);
- (4) E 'Imi 'ike (to seek knowledge);
- (5) E Ho'oulu Lahui (to grow the Lahui).



C-CEO Level Policies



3a



Policy Inventory

3b



Procedures

Business Processes



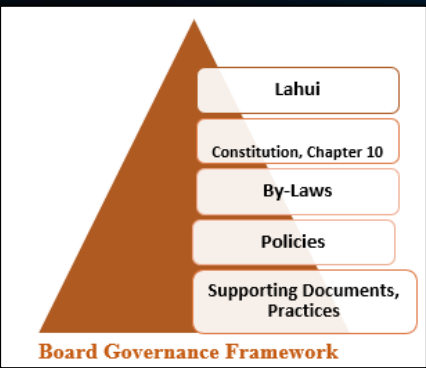
Segregation of Duties (Authorizing, Executing, Recording, Reconciling, Reporting, Monitoring)

5

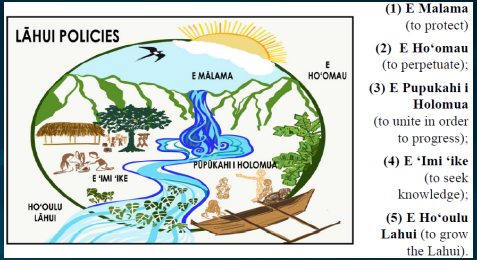
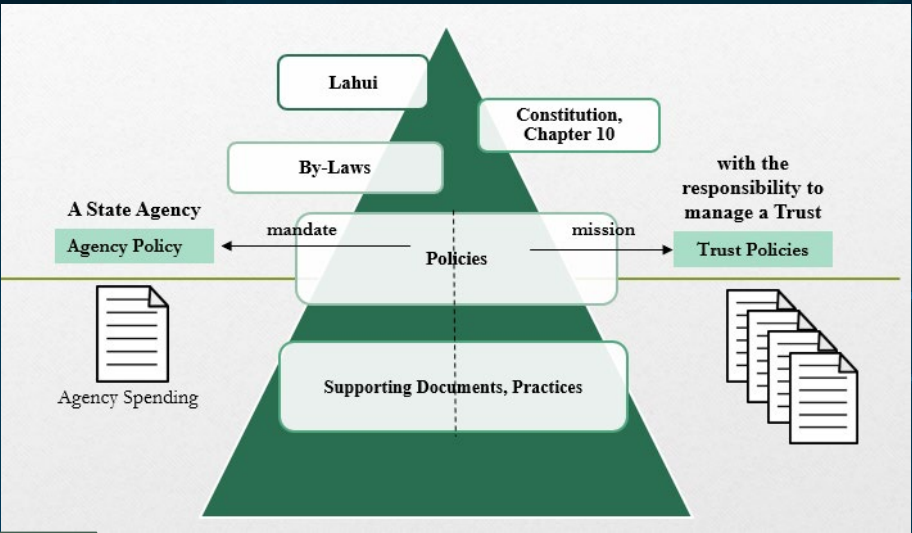


Manuals, Documentation, Systems

4

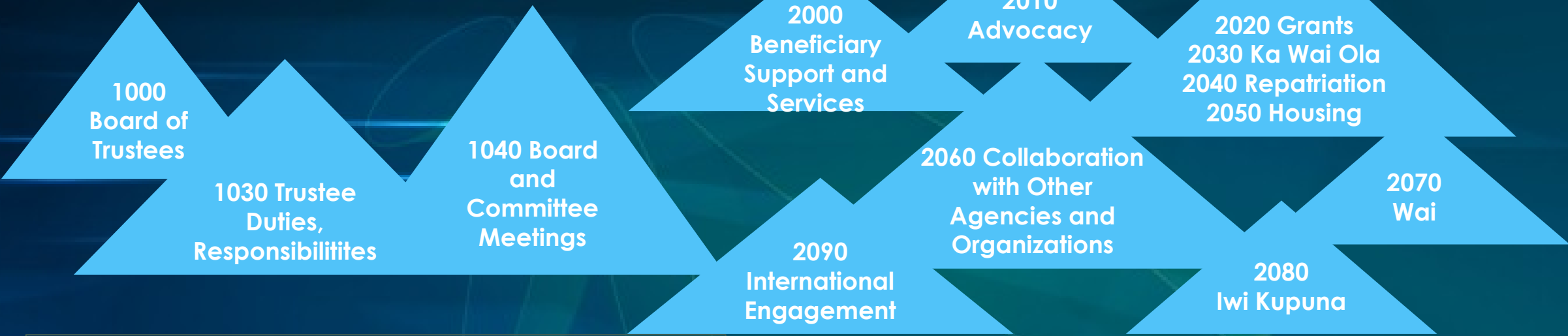


AS IS



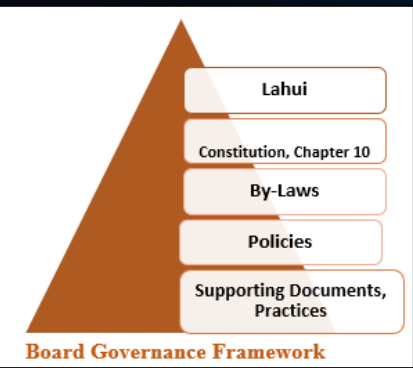
Executive Policy Manual

BOT Operations Policy

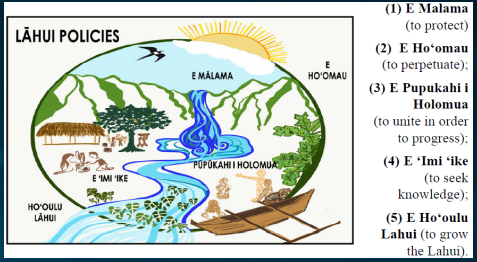
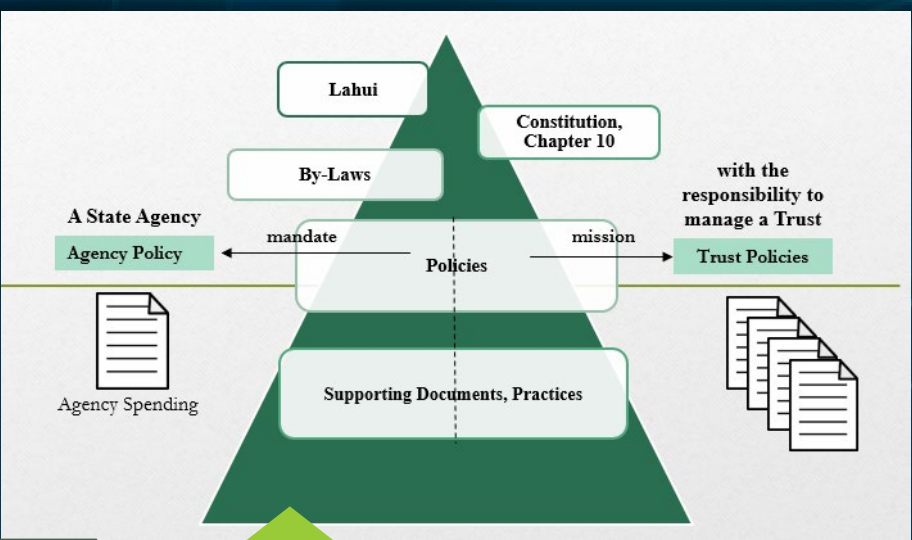


Series 1000 Board of Trustees

Series 2000 Beneficiary Support and Services



AS IS

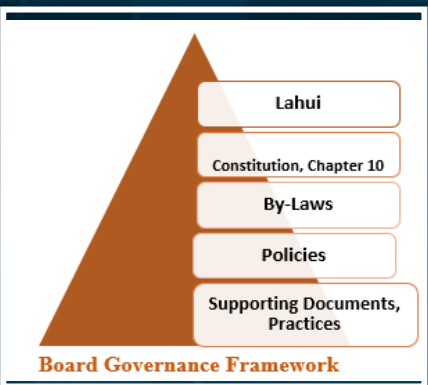
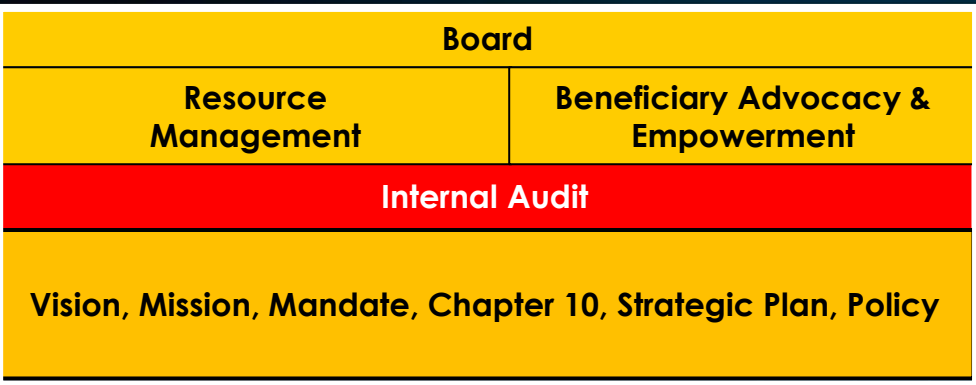


Executive Policy Manual

BOT Operations Policy



Series 3000 Administration
Series 4000 General Policies



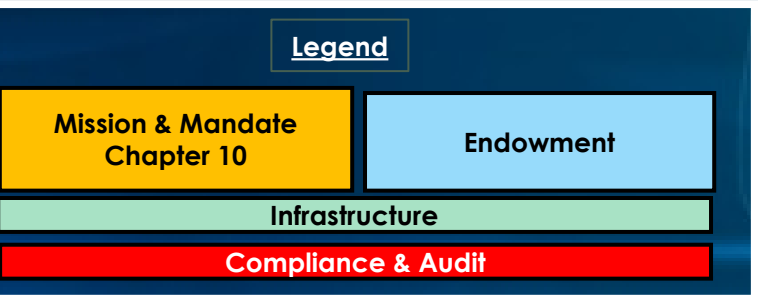
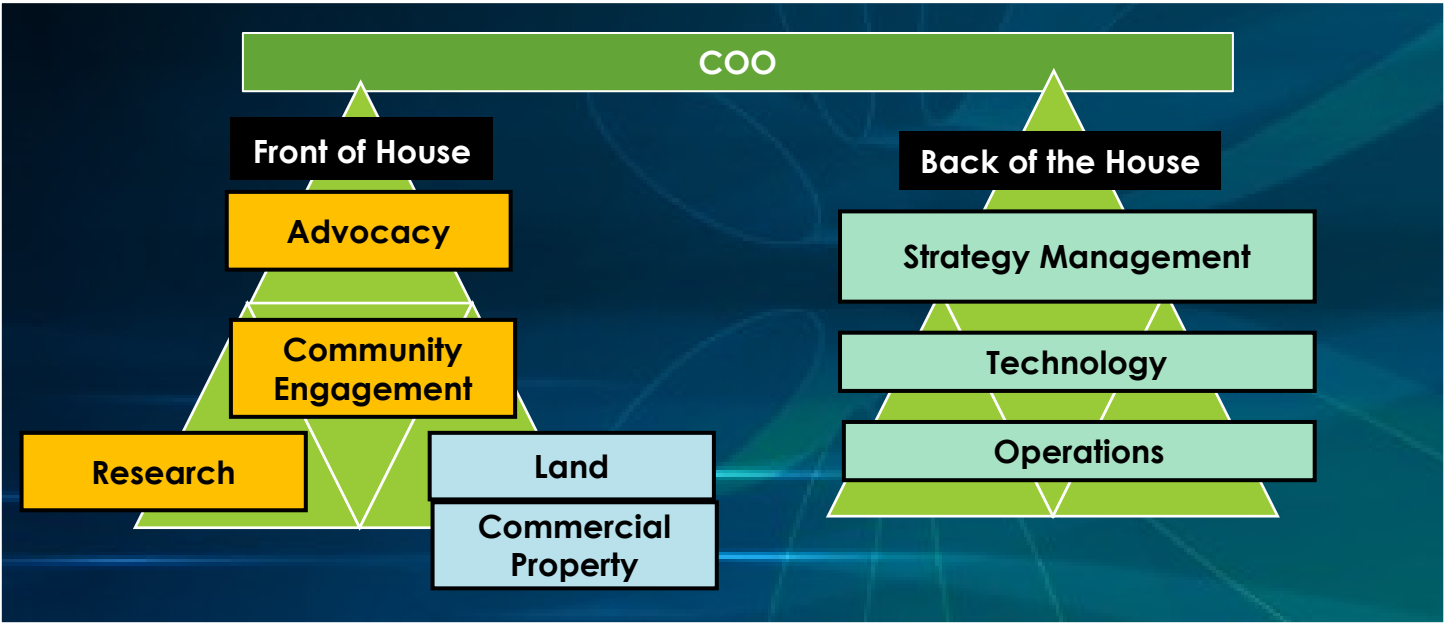
Functional Org Chart

CEO

Beneficiary Services
Communications

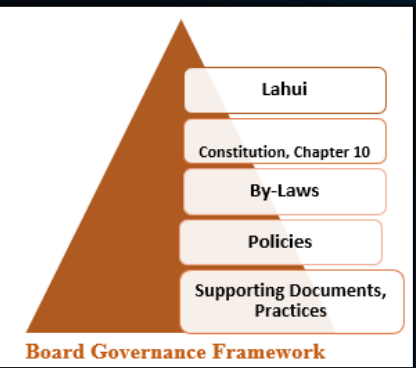
General Counsel
Corporate Counsel
Human Resources
Risk Management
Records Management
Policy, Compliance & Contract Management

CFO
Accounting
Finance & Treasury
Procurement
Investments
Grants

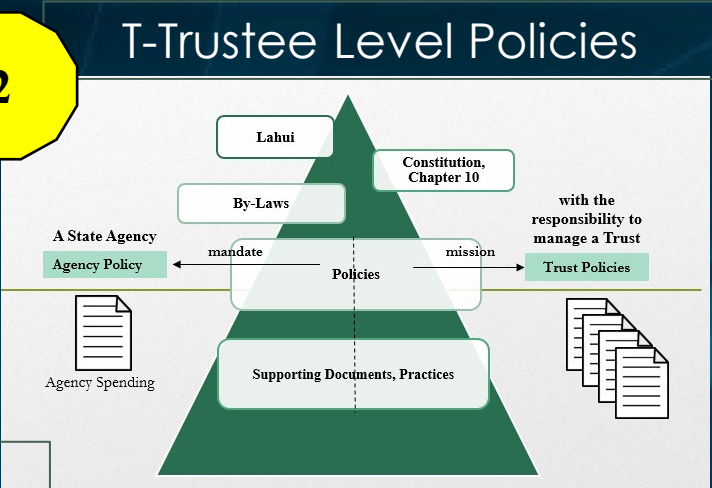


For reorganization purposes the terms “function” and “functional” are used both in its noun and verb definitions to describe purposes, operations, parts that contribute to the whole; <https://www.merriam-webster.com/dictionary/function?src=search-dict-box>, retrieved 3/4/2021.



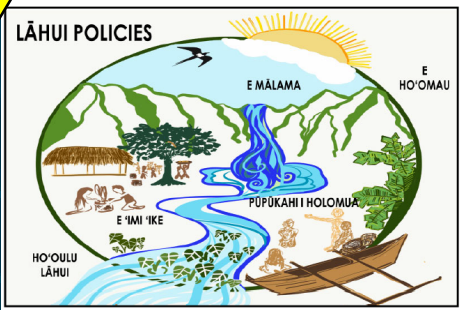


2



1

L-Lahui Level Policies

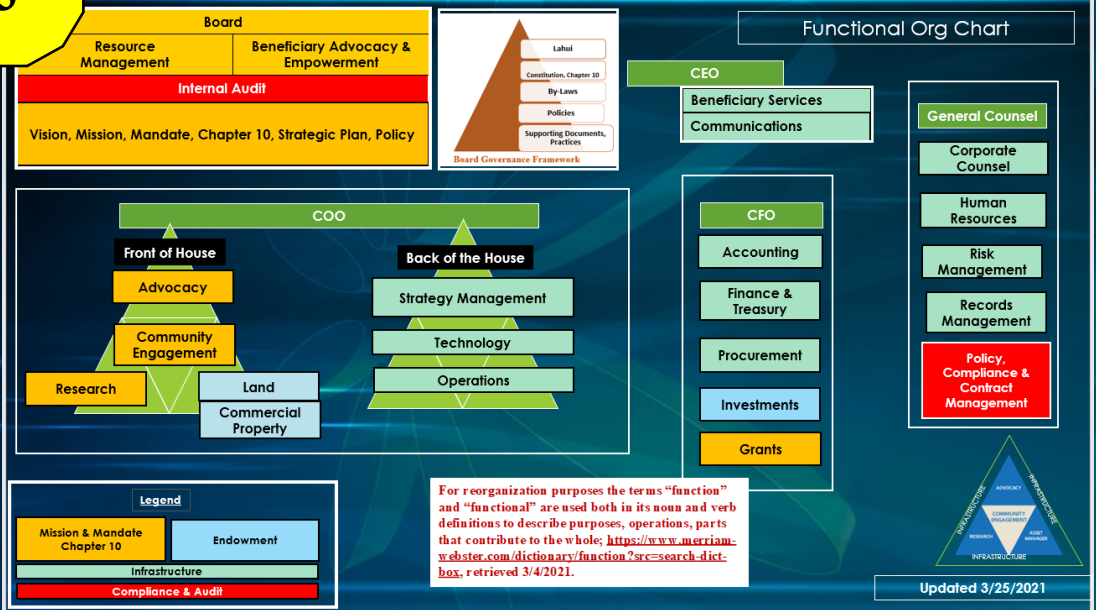


- (1) E Mālama (to protect)
- (2) E Ho'omau (to perpetuate);
- (3) E Pūpukahi i Holomua (to unite in order to progress);
- (4) E 'Imi 'ike (to seek knowledge);
- (5) E Ho'oulu Lahui (to grow the Lahui).



C-CEO Level Policies

3



3a



Policy Inventory

3b



Procedures

Business Processes



Segregation of Duties (Authorizing, Executing, Recording, Reconciling, Reporting, Monitoring)

4

5



Manuals, Documentation, Systems



He Ninou Kau



OFFICE OF HAWAIIAN AFFAIRS

Interoffice Memorandum

Date: May 3, 2021

To:

Ke Kauhuhu Carmen Hulu Lindsey	Ke Kua Luana Alapa
Ke Kua Leina‘ala Ahu Isa	Ke Kua Brendon Kalei`āina Lee
Ke Kua Dan Ahuna	Ke Kua Keola Lindsey
Ke Kua Kaleihikina Akaka	Ke Kua John Waihe‘e IV
Ke Kua Keli‘i Akina	

From: Sylvia M. Hussey, Ed.D., Ka Pouhana, Chief Executive Officer

cc: Casey K. Brown, Ka Pou Nui, Chief Operating Officer
Ramona G. Hinck, Ka Pou Kihi Kanaloa Wai, Chief Financial Officer
Raina Gushiken, Ka Paepae Puka, Senior Legal Counsel
Everett Ohta, Ka Paepae Puka, Assistant Senior Legal Counsel
Sterling Wong, Ka Pou Kihi Kū, Chief Advocate

Subject: Update re: Ad-Hoc Committee on Grants and Sponsorships

Attachments: (A) - Kūlia Grants 2018 Guidelines and Process; (B) – Trustee Protocol Allowance

I. Purpose and Background

The purpose of this memo is to provide the Board of Trustees (BOT) an update of the Ad-Hoc Committee on Grants and Sponsorships (“Ad Hoc Committee”) that was established pursuant to HRS § 92-2.5(b)(1), on August 1, 2017. Then OHA Chairperson Colette Machado and Trustee John Waihe‘e IV was established as Ad Hoc Committee Chair and Vice Chair, respectively. The Ad Hoc Committee was charged with the following duties:

- Review current Strategic Plan priorities and current policies and how they guide the grant and sponsorship process;
- Assess the existing grant making programs, Community Grants and ‘Ahahui Grants;
- Assess the grant applicant review and scoring process, and the awarding process;
- Review the outreach made to community to better inform beneficiaries of grant availability and requirements;
- Provide recommendations to improve the grant making process;
- Solicit, develop, and provide recommendations for Kūlia Grant criteria;
- Review and assess the process in which sponsorships are awarded, and breakdown the levels of sponsorships;
- Present findings and recommendation [sic] to the BOT; and

- Identify, develop, and recommend policies as necessary for approval by the BOT.

II. Ad Hoc Committee Composition

Supporting the named Chair and Vice Chair of the Ad Hoc Committee were the initially named members including the then CFO, a Board Chair's Aide, Grants Specialist and Public Policy Advocate. At various points during the work, additional BOT and Administration staff were enlisted by the Ad-Hoc Committee to assist. There have been changes (e.g., retirement, new position, departure from organization) in the initial names and positions of the Ad Hoc Committee since inception (2017) to present; and Corporate Counsel currently holds the institutional knowledge of the Ad Hoc Committee's work.

III. Completed and Unfinished Work of the Ad Hoc Committee

A. Completed Work. The table below summarizes key actions related to the completed work of the Ad Hoc Committee since its inception in August 2017:

Date	Action Description
February 27, 2018 Moratorium	Action Item BOT #18-01: Approval for OHA to impose a moratorium effective February 27, 2018 on the use of Trustee Sponsorship and Allowance Fund (TSAAF) and CEO-initiated Sponsorships
June 7, 2018 Kūlia Grants	Findings and Recommendations from the Ad Hoc Committee on Grants and Sponsorships related to Kūlia Grants - Interoffice Memorandum to OHA BOT re: Kūlia Grants, dated May 25, 2018, and presented to the BOT on June 7, 2018 <ul style="list-style-type: none"> • "...Administration identified funds and created a new grant category, Kūlia Grants, for smaller startup organizations to have opportunities to compete for and receive OHA grant monies outside of the two-year award cycle for Community Grants." • "The Ad Hoc Committee agreed that the 2018 Kūlia Grants should be implemented as a pilot program with a competitive process."
July 26, 2018 Kūlia Grants	Kūlia Grants 2018 Guidelines and Process brought before the BOT and adopted by the BOT; Kūlia Grants solicitation released on September 25, 2018
October 2, 2018 Trustee Protocol Allowance	Findings and Recommendations from the Ad Hoc Committee on Grants and Sponsorships related to the Trustee Sponsorship and Annual Allowance Fund - Interoffice Memorandum to OHA BOT re: Kūlia Grants, dated September 20, 2018, and presented

Date	Action Description
	to the BOT on October 2, 2018
March 27, 2019 Kūlia Grants	Action Item BAE/RM #19-02: Authorizing Disbursement of Kūlia Grants - \$500,000
April 10, 2019 Trustee Protocol Allowance	Action Item RM #19-03: Approval of BOT executive policy amendments relating to a Trustee Protocol Allowance to cover expenses incurred in the course of a trustee's duties and responsibilities

B. Unfinished Work. In 2019, the Ad Hoc Committee undertook a review of the overall grant making policies and the administration of grant and sponsorship activities by OHA. Review activities included an identification of overall grant and sponsorship activities of OHA, cross walking existing grant and sponsorship activities, drafting a proposed grant structure and related BOT policy. The work was disrupted by the operational COVID-19 pandemic response in early 2020 and has the following outstanding items:

1. Evaluation of the pilot Kūlia Grants;
2. Address sponsorships as a whole, including the BOT and Kaiaulu (fka as CEO) sponsorships; and
3. Draft and implement related BOT policy(ies).

IV. FY21 Budgeted Kulia Grants

Total 56530 Community Account: 56540 Level II	\$ 250,000	\$ 3,450,000	\$ 3,700,000	\$ -	\$ 3,700,000
A. Kulia	\$ -	\$ 250,000	\$ 250,000	\$ 250,000 [a]	\$ 500,000
B. Charter Schools	\$ 365,000	\$ 365,000	\$ 730,000	\$ -	\$ 730,000
		\$ 770,000	\$ 770,000	\$ -	\$ 770,000
		\$ 1,135,000	\$ 1,500,000	\$ -	\$ 1,500,000
C. DHHL	\$ -	\$ 3,000,000	\$ 3,000,000	\$ -	\$ 3,000,000

Figure 1 – Excerpt from Page A-43, Budget Realignment #2 Budget Book

Via Budget Realignment #2 in June 2020, an additional \$250,000 was added to the previously budgeted amount of \$250,000 for a total of \$500,000 for FY21. Grant Solicitation No. OHA 21-07 Kūlia Grants was issued on March 29, 2021 with a response date of April 16, 2021 and the final action item will be brought to the Board with awarding recommendations.

V. FY21 Budgeted Board of Trustees and Kaiaulu Sponsorships

Table 16: Grants Category FY 2021 Proposed Realignment #2 Adjustments Summary				
Grants	FY 2021			
	Approved Realignment #1	Proposed Realignment #2	Adjustments	Ref Page(s)
56510 GRANTS IN AID - PROGRAM GRANTS	830,000	830,000	-	A-13
56530 GRANTS IN AID - COMMUNITY GRANTS	3,700,000	3,700,000	-	
56540 GRANTS IN AID - LEVEL II GRANTS	4,750,000	4,750,000	-	
56560 GRANTS IN AID -				
SPONSORSHIPS				
Board of Trustees	135,000	135,000	-	A-13
<u>Kaiaulu</u>	70,921	70,921	-	

Figure 2 – Excerpt from Table 16 of the Budget Realignment #2 Budget Book

In February 2018, the Board placed a moratorium on BOT and Kaiaulu sponsorships (fka CEO Sponsorships). The work of the Ad Hoc Committee has not brought forward recommendations to the Board to date and therefore the FY21 amounts of \$135,000 and \$70,921 for BOT and Kaiaulu sponsorships will not be spent in FY21.

VI. Replacement of Ad Hoc Committee Leadership in 2021

At the January 14, 2021 Board meeting, Chair Hulu Lindsey advised the Board that she is: replacing former-Chair Colette Machado on the Ad Hoc Committee as Chair and Vice Chair of the Maunakea Ad Hoc Committee, respectively. Trustee Dan Ahuna will continue as the Chair for the Maunakea Ad Hoc Committee.

VII. References

The following documents are references for the subject matter:

- A. Action Item BOT #18-01: Approval for OHA to impose a moratorium effective February 27, 2018 on the use of Trustee Sponsorship and Allowance Fund (TSAAF) and CEO-initiated Sponsorships, February 27, 2018
- B. Action Item BAE/RM #19-02: Authorizing Disbursement of Kulia Grants - \$500,000, March 27, 2019
- C. Action Item RM #19-03: Approval of BOT executive policy amendments relating to a Trustee Protocol Allowance to cover expenses incurred in the course of a trustee's duties and responsibilities, April 10, 2019

VIII. Considerations for Workshop Discussion

The following discussion prompts are provided for the workshop scheduled on Thursday, May 6, 2021:

- What next steps should be considered for the Ad Hoc Committee (e.g., continue, end, create new)?
- What classifications of sponsorships¹ should be considered (e.g., events, legacy, cultural, table sales, fundraisers, Ali`i Trusts, institutions)?
- How would a sponsorship differ from an ‘Ahahui grant (e.g., purpose, process, size)?
- How might the BOT and Kaiaulu sponsorships be aligned to the new Strategic Plan?

¹ Working definition, a person or an organization that pays for or plans and carries out a project or activity, <https://www.merriam-webster.com/dictionary/sponsorship>, retrieved May 2, 2021



OFFICE OF
HAWAIIAN AFFAIRS
STATE OF HAWAII
RECEIVED-BOT

Kūlia Grants
2018 Guidelines & Process

2018 MAY 25 P 3 01

(approved by Ad Hoc Committee on Grants and Sponsorship on 5/25/18)

Purpose

The Office of Hawaiian Affairs (OHA) Kūlia Grants provide funding to eligible organizations with programs that benefit the Hawaiian community and align with OHA's Strategic Priorities and mission. These grants are provided as a pilot program for Kūlia Grants consideration in 2018.

Under state law, OHA is tasked with disbursing grants for Native Hawaiian programs and services.¹ Kūlia Grants are provided and approved as an OHA Board of Trustees (BOT) Initiative Funding Request, as a grant initiated outside of the established grants program.²

"Kūlia," as one of OHA's core values, connotes excellence, innovation, and initiative. This funding opportunity is intended to allow OHA to support unique and innovative programs that continue to assist OHA to "Ho'oulu Lāhui Aloha."

Program Guidelines

Minimum Requirements

- **Non-Profit Status.** Organizations must have IRS tax-exempt non-profit status and be registered to do business in the State of Hawai'i.³
- **Compliance with applicable law.** Applicants must comply with applicable federal, state, and county laws, ordinances, and administrative rules and must hold applicable licenses and permits as required to conduct its activities and operations.⁴ Organization applicants must possess a Certificate of Vendor Compliance from the State of Hawai'i (available through <http://vendors.ehawaii.gov>). Even if an organization is currently receiving funding support from OHA, the organization must provide proof that it is compliant and in good standing prior to requesting funding through Kūlia Grants.
- **Matching Funds.** Applicants must provide a percentage of project costs from other funding sources.
- **Funding Requests.** Applicants shall submit an application online that addresses all of the requirements contained in the Solicitation.

¹ Hawai'i Revised Statutes (HRS) § 10-3(5).

² OHA BOT Executive Policy Manual § 3.5.g.

³ See HRS § 10-17(c).

⁴ HRS § 10-17(b)(1)-(2), (4).

FOR INTERNAL USE ONLY

Eligibility Criteria

OHA seeks to support organizations that offer programs that are designed to improve the lives of Native Hawaiian individuals, families, and/or communities by increasing access to needed public and private resources, programs, and information.

This funding opportunity is **not intended** to support programs that could otherwise apply through the Community Grants Program (CGP) for funding consideration. The CGP fiscal biennium funding (FB18-19) application period ran from September 2016 to December 2016 and was designed for programs that specifically address the targets set in OHA's Strategic Results.

Examples of eligible Kūlia Grants requests include:

- Requests that fall outside of the CGP fiscal biennium funding period;
- Requests that align with the broader OHA Strategic Priorities of health, education, income, land, culture, and housing; and
- Requests for projects that are ineligible for consideration under the CGP, such as capital improvement projects (CIP) and capacity-building.

Source of Funding

Kūlia Grants are competitive grants, for a total budgeted amount of \$500,000 for Fiscal Year 2018, as approved and set aside by the OHA BOT in OHA's core operating budget.⁵

There is a minimum award amount of \$25,000 and a maximum award amount of \$100,000; however, all awards are subject to the availability of funding.

Program Process

Funding Request Submission

Funding requests for Kūlia Grants must be submitted for consideration via an online application by the announced deadline. The application should give OHA an accurate and complete picture of the proposed program and the following information:

- a. Identify the non-profit organization making the request and its mission statement.⁶
- b. Identify the OHA Strategic Priority that will be addressed by the program and describe how this program impacts the identified Strategic Priority.⁷

⁵ OHA Action Item RM #17-07.

⁶ HRS §10-17(a)(1).

⁷ HRS §10-17(a)(6).

- c. Explain how the proposed program services will benefit the Native Hawaiian community and estimate the number of Native Hawaiian beneficiaries to be served.⁸ Explain how the number of Native Hawaiians to be served was estimated or derived.
- d. Describe the proposed project purpose and plan including: the program objectives, activities or tasks, program timeline, staffing, and anticipated results and outcomes.⁹
- e. Explain why the request is being submitted as a Kūlia Grants request and not through the Community Grants Program solicitation process.
- f. Provide a list of applicable licenses or permits required for the proposed activities or services and the status in applying for each license or permit.¹⁰
- g. Provide a detailed program budget that identifies costs to be supported with OHA funding.¹¹ Include documentation of any matching funding to the program. All units and price/unit information should be provided. Explain the relevance of each budgeted item to the program.

Organization applicants must certify that they possess sufficient funds available to its effective operations of activities for the purpose for which the grant is sought.¹²

Applicants must make available to OHA all records relating to operations and programs for which Kūlia Grants funding is sought.¹³

Additionally, OHA reserves the right to offer partial awards and/or restrict OHA funds from being used to pay for unallowable, inappropriate, or restricted expenses. This includes the purchase of real property (land or buildings) or entertainment and perquisites.¹⁴

- h. Certify that board members have no material conflicts of interest and that they serve without compensation.
- i. Provide support documents: (1) IRS letter of determination of non-profit status and (2) a copy of organization bylaws or other policy related to the conduct of business and conflicts of interest, including nepotism.¹⁵

Funding Request Review and Recommendation

- 1. Funding requests for Kūlia Grants will be received by the OHA Grants program. Requests will be assessed and scored based on benefit to the Native Hawaiian community, alignment with the identified OHA Strategic Priority, the level of matching funds, relevance and appropriateness of budget, and justified need. The scoring criteria and review process for application evaluation shall be included in the Kūlia Grants Solicitation.

⁸ HRS §10-17(a)(4), (6).

⁹ HRS §10-17(a)(2), (3).

¹⁰ HRS § 10-17(b)(1), (4).

¹¹ HRS §10-17(a)(5).

¹² HRS § 10-17(c)(4).

¹³ HRS § 10-17(c)(3).

¹⁴ HRS § 10-17(b)(3).

¹⁵ HRS § 10-17(c)(2).

2. A list of applicants with scores will be subject to review and approval by CFO, to ensure consistency and compliance with 2018 Kūlia Grants Guidelines and Process and Kūlia Grant Solicitation.
3. Applicant must indemnify and hold OHA and the State of Hawai‘i harmless for activities undertaken with grant funding.¹⁶
4. A matrix containing a list of top five applicants, with scores and funding request amounts, in each Strategic Priority will be prepared by OHA's Grants program and presented in a workshop to a OHA Joint RM/BAE Committee (“Joint Committee”). The Joint Committee shall make a recommendation that includes the recommended Kūlia Grant applicants and the amount of award for each applicant.
5. The Joint Committee’s recommendation will be forwarded to the Grants program to prepare an Action Item. The Joint Committee will agendaize a meeting to consider and vote upon the Action Item, which shall be based upon the Joint Committee’s recommendation. If approved, the Action Item will be referred to the BOT for decision making.
6. If the BOT’s decision is to award, OHA will send an award letter to Kūlia Grants awardees, signed by the BOT Chairperson. OHA administration will negotiate and execute contracts with Kūlia Grants awardees. The BOT decision to award a Kūlia Grant is final.
7. If an applicant is not funded, Grants staff will send a letter notifying applicant of the decision not to fund.

Post-Award Reporting

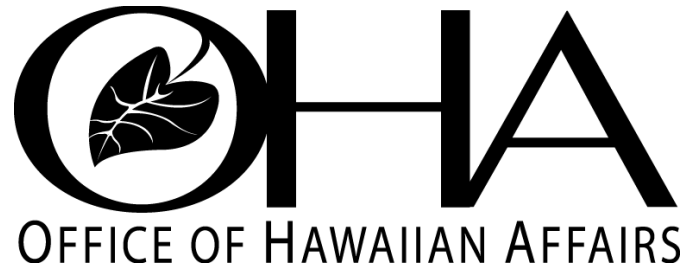
On a quarterly basis, each of the Kūlia Grants recipients shall prepare and submit a report to the OHA TAP program, on forms provided by OHA, which shall include a narrative statement of the work performed, performance measures, expenditures incurred, and assurance of services provided to Native Hawaiians. Each recipient shall further accommodate requests for on-site visits or requests for additional financial or other information, as requested by OHA Grants staff.

On a quarterly basis, the OHA Grants program will conduct a review of compliance with grant requirements and the project purpose of each of the grants awarded.¹⁷ On an annual basis, the OHA Grants program will conduct an evaluation of the activities performed with Kūlia Grants funding and the results and outcomes produced under each of the grants awarded.¹⁸ The annual report shall also include a final report on program objectives met; activities or tasks performed; program progress; staffing; and results and outcomes achieved. The quarterly and annual reviews will be provided as a report and transmitted to the OHA BOT. The annual reviews of each of the Kūlia Grants recipients shall be presented to the OHA BOT for review and final closeout findings, if any.

¹⁶ HRS § 10-17(b)(5).

¹⁷ HRS § 10-17(d)(1).

¹⁸ HRS § 10-17(d)(2).



Trustee Protocol Allowance

Internal Guidelines and Procedures

July 2019

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1. Purpose

Each Trustee is allowed a Trustee Protocol Allowance (TPA) to be used to cover expenses that are reasonably related to a Trustee's official duties and responsibilities (i.e., the normal and ordinary types of expenditures that a Trustee is reasonably expected to incur in performing his or her representational and fiduciary duties), that are consistent with OHA's mission to better the conditions of Native Hawaiians, and that comply with applicable laws and OHA policies. Use of the TPA for personal purposes or purposes that are not reasonably related to a Trustee's official duties and responsibilities is prohibited, and such personal use may violate the Fair Treatment law under the state Ethics Code, Section 84-13, Hawai'i Revised Statutes (HRS) and may result in the personal use portion of the allowance being converted to additional taxable income that must be reported to the Internal Revenue Service.

The purpose of the TPA is to develop and maintain an ongoing communication network with beneficiaries and the general public, to promote a broader understanding of Native Hawaiian issues within the Native Hawaiian community and among the general public, and to encourage participation in the resolution of those issues; provided that such communications comply with applicable laws and OHA policies, such as the Board of Trustees' Code of Conduct and restrictions related to campaign activities.

2. Background¹

Through two readings of Action Item RM #19-03 (April 11, 2019 and May 2, 2019, respectively), OHA's Board of Trustees (BOT) adopted BOT executive policy amendments relating to a TPA to cover expenses incurred in the course of a trustee's duties and responsibilities. Action Item RM#19-03 resulted from the work of the Ad Hoc Committee on Grants and Sponsorships, established August 2017. The Ad Hoc Committee convened, with the assistance of support staff, to review existing policies, review external guidelines, and to discuss a proposed revised trustee allowance policy, among other duties. The Ad Hoc Committee reviewed the policies of other elected bodies, along with current OHA policies and guidelines, Trustee fiduciary duties, the state Ethics Code, and audits conducted of other governing bodies. Although a moratorium was placed on the Trustee Sponsorship and Annual Allowance Fund, predecessor to the TPA, in February 2018, the work of the Ad Hoc Committee continued, and key recommendations were made, and discussed by Trustees, in September and October 2018, respectively.

By approving the policies contained in Action Item RM #19-03, the OHA BOT: (1) revised the purpose of the TPA, (2) set the amount of the TPA at \$7,200 per Trustee seat, or a total of \$64,800 for all nine Trustees per fiscal year (July 1 through June 30); (3) established allowed and disallowed uses of TPA funds; (4) made TPA funds available on a reimbursable basis; (5) established reporting and appeals processes for the TPA; (6) made the TPA available for use beginning July 1, 2019; (7) required the quarterly report of expenditures made by each Trustee from his or her TPA to be posted to OHA's public website; and (8) established other guidelines related to the TPA.

¹ See generally Action Item RM #19-03 (providing further details of the Ad Hoc Committee's work).

3. Allowed Expenditures

Each Trustee is allowed an annual allowance, referred to as the “Trustee Protocol Allowance” or “TPA”, as provided by HRS 10-9(4), in an amount determined by the OHA BOT, to be used to cover expenses incurred in the course of a Trustee’s official duties and responsibilities. Allowable uses of TPA funds are:

- a. Communication expenses dedicated solely to OHA business:
 - (1) Service plans for home office internet, home office phone and fax, and cellular phone, and
 - (2) Web-based communication services;
- b. Copying and postage costs;
- c. Office supplies;
- d. Books and reference materials;
- e. Renting of meeting facilities, if OHA facilities are not available within a reasonable vicinity of the activity or event location, and related expenses, such as security services, cleaning or maintenance charges, and rental of equipment. Meetings must be organized and attended by a Trustee or Trustee’s staff to communicate with or educate beneficiaries or the general public on matters relating to Native Hawaiians or the OHA Board of Trustees;
- f. Reasonable expenses for food and beverages, provided that if the food or beverage expenses have been reimbursed by another source, then reimbursement for that portion from the TPA is prohibited:
 - (1) Purchased for a meeting or function, which includes one or more person(s) who are not a Trustee or an employee of OHA, the purpose of which is to communicate with or educate beneficiaries or the general public on matters relating to Native Hawaiians or the OHA Board of Trustees; or
 - (2) Purchased for Trustees and staff who are required to be present at a Board or Committee meeting that extends through the lunch or evening hours. Expenses for food and beverages consumed by a Trustee or staff as part of their daily work during the scope of their normal work day shall not be reimbursed;
- g. Reasonable expenses for lei and gifts, which are customarily exchanged with fellow officials, honorees, special guests, and dignitaries at an event; and
- h. Reasonable expenses related to a Trustee’s official travel, registration fees, and associated costs to attend conferences, seminars, or meetings, which shall comply with existing OHA travel guidelines and approvals; provided that if the travel cost or associated expenses have been reimbursed by another source (example: per diem), then reimbursement for that portion from the TPA is prohibited.

4. Disallowed Expenditures

Certain expenditures may be found to be inappropriate uses of the TPA because: the expenditure appears to be more personal in nature or otherwise does not appear to be reasonably related to a Trustee's official duties and responsibilities; the expenditure is contrary to OHA's mission to better the conditions of Native Hawaiians; or the expenditure contravenes OHA policies or the law. The following expenditures are specifically disallowed by this policy:

- a. Food or beverages for Trustees and staff only, except when reasonably related to a Trustee's or staff member's official duties, e.g., purchase of meals for Trustees and staff who are required to be present at a Board or Committee meeting that extends through the lunch or evening hours. Food or beverages purchased to thank or appreciate staff or for social occasions or events, such as birthday celebrations, farewell parties, or retirement parties, are personal expenses and are not reasonably related to a Trustee's official duties;
- b. Alcoholic beverages;
- c. Political or charitable contributions;
- d. Expenses related to campaign activities;
- e. Expenses which are personal in nature, such as dry cleaning or other related laundry expenses, transportation costs to and from work (including mileage), legal fees and costs, monthly parking costs for employee or Trustee parking, and airline club membership and lounge access;
- f. Gifts: purchase of retirement gifts; thank you or appreciation gifts; bereavement, funeral, or sympathy gifts or flowers; welcome gifts; farewell gifts; anniversary gifts; birthday gifts; congratulation gifts; and any other gifts for Trustees, staff, and others, except for gifts purchased in compliance with subsection 3.g., above;
- g. Tickets for recreational or entertainment events and fundraisers;
- h. Membership fees and dues for business, community, or social organizations;
- i. Financial support, grants, loans, or sponsorships, whether direct or indirect, to individuals, groups, or organizations;
- j. Any expenses reimbursed from another source that would otherwise duplicate payment or reimbursement;
- k. Any services provided and goods received for the personal benefit of the Trustee or his or her immediate family members, other Trustees or their immediate family members, and OHA employees;
- l. Computer hardware and software;
- m. General office and communication equipment; and
- n. General office furniture.

5. Request for Reimbursement

a. Forms.

To utilize TPA funds, Trustees must submit a request for reimbursement or a request for an advance; provided that such an advance will be payable directly to a merchant or vendor only (Refer to Appendix A – Trustee Protocol Allowance (TPA) Disbursement Form). Trustees must show that the primary purpose of the expenditure is in support of, or a result of, an official Trustee duty consistent with the purpose of the TPA and the allowable expenses listed above. The Trustee shall be required to certify that the claimed reimbursement or advance is true and correct and that it complies with applicable laws and OHA policies.

For requests for reimbursement, Trustees must provide an original receipt or proof of payment that includes a detailed breakdown of the purchase. For requests for advance, Trustees must provide an original itemized invoice and a completed W-9 form for the vendor.

b. Supporting Details.

Trustees should provide supporting details for a claimed reimbursement or advance on the TPA Disbursement Form. For example, supporting details for a food and beverage reimbursement or advance should include: the date, time, and location of the meeting or function, the names of the actual or intended beneficiaries or participants, a brief description of the purpose for the meeting or function, and a meeting agenda or flyer, if applicable. In processing a request for reimbursement or advance, OHA Administration may request additional information necessary to determine compliance of a claimed expenditure with applicable laws and OHA policies.

The approval by OHA Administration of a request for reimbursement or advance shall not be construed to mean that an expenditure complies with the state Ethics Code; the final authority on the Ethics Code is the Hawai'i State Ethics Commission. It is the responsibility of the individual Trustee to ensure compliance with the state Ethics Code and Trustees are encouraged to consult with the state Ethics Commission on questions related to compliance with the state Ethics Code.

c. Ownership of Items Purchased.

Items purchased with TPA funds are the property of OHA. Non-perishable and non-consumable items purchased with TPA funds, except for gifts purchased in compliance with subsection 3.g., above, shall be surrendered to the OHA Administration upon the Trustee's separation from OHA.

d. Reimbursement Year.

A TPA expense must be deducted from the same fiscal year's allocation in which the expense was incurred. A prior year's expense cannot be paid from the current or a future fiscal year's TPA allocation. Each Trustee is responsible for paying any TPA expenses incurred that

are in excess of a given fiscal year's TPA allocated amount (i.e., expenses in excess of the \$7,200 annual amount are ineligible for a reimbursement request).

e. Purchases in Excess of \$2,500.

The use of the TPA to purchase goods or services in the amount of \$2,500 or more shall be from businesses that provide proof of compliance with state and federal taxes and the Department of Labor and Industrial Relations, and are also in good standing with the Department of Commerce and Consumer Affairs. Further, the purchase of goods or services in the amount of \$5,000 or more shall be in accordance with all applicable laws and administrative rules governing procurement.

6. Reporting

The OHA Administration shall compile and transmit to the OHA BOT a quarterly report of expenditures made by each Trustee from his or her TPA no later than thirty (30) days after the end of the quarter. The quarterly report of expenditures shall also be posted to OHA's public website in a timely manner thereafter. The fund created by this policy will be called the OHA Board of Trustees' Protocol Allowance Fund and the quarterly report of expenditures will be called the Trustee Quarterly Protocol Allowance Expenditure Report.

7. Determination of Annual Allowance Amount

The annual TPA for each Trustee shall be \$7,200.00, or other amount approved by the Board, per fiscal year cycle (July 1 through June 30). TPA funds shall be retained by OHA.

8. Disposition of Unused Annual Allowance

Unspent amounts in the TPA fund will lapse at the end of the fiscal year and will not carry over.

9. Statutory, Regulatory, Appeal Process and Code of Conduct

Hawaii Revised Statutes.

It is not intended that the TPA supersede, replace, diminish, or reduce the statutory compensation allowed to Trustees as provided for in Chapter 10, Hawai'i Revised Statutes, as amended.

Hawaii State Ethics Commission.

In cases where an expenditure is determined to be impermissible by OHA Administration, the Trustee or the OHA CEO may consult with the Hawai'i State Ethics Commission, as necessary. The Trustee may appeal the determination made by OHA

Administration, in writing, to the Chairperson of the BOT. Within seven (7) business days of receipt of a written appeal from a Trustee, the Chairperson shall render a written decision on the appeal, which shall be final.

Code of Conduct.

This policy is subject to the Board of Trustees' Code of Conduct and sanctions contained therein.

Appendix A – Trustee Protocol Allowance (TPA) Disbursement Form

Trustee Protocol Allowance (TPA) Disbursement Form

Trustee: _____ Date of Purchase: _____

____ Reimbursement

Receipts and other supporting documents (e.g., meeting agenda or flyer) are attached.

____ Advance Payment

Advance payments will be made payable to vendors only. Receipts verifying actual purchases must be submitted to Financial Services Program within 2 weeks of the receipt or delivery of the items.

Payable To: _____ Amount: _____

Vendor Address: (if applicable) _____

City: _____ State: _____ Zip Code: _____

Details/Purpose of the Purchase (e.g., description of the use, expenditure, or supported event; date(s) of service or event; name(s) of meeting participant(s) or gift recipient(s)):

I certify that the above claimed reimbursement or vendor payment is true and correct and that it complies with applicable laws and OHA policies.

Signature of Trustee

Date

For Trustee's Office, please attach:

- Proof of payment/original itemized receipt (for reimbursements only)
- W-9 and original itemized invoice (for vendor payments only)
- Event flyer/meeting agenda (if applicable)



OFFICE OF HAWAIIAN AFFAIRS

Interoffice Memorandum

Date: May 3, 2021

To:

Ke Kauhuhu Carmen Hulu Lindsey	Ke Kua Luana Alapa
Ke Kua Leina'ala Ahu Isa	Ke Kua Brendon Kalei`āina Lee
Ke Kua Dan Ahuna	Ke Kua Keola Lindsey
Ke Kua Kaleihikina Akaka	Ke Kua John Waihe'e IV
Ke Kua Keli'i Akina	

From: Sylvia M. Hussey, Ed.D., Ka Pouhana, Chief Executive Officer

cc: Casey K. Brown, Ka Pou Nui, Chief Operating Officer
Ramona G. Hinck, Ka Pou Kihi Kanaloa Wai, Chief Financial Officer
Raina Gushiken, Ka Paepae Puka, Senior Legal Counsel
Everett Ohta, Ka Paepae Puka, Assistant Senior Legal Counsel
Sterling Wong, Ka Pou Kihi Kū, Chief Advocate

Subject: T-Trustee Level - Strategic Granting Policy

Attachment: DRAFT T-Trustee Level - Strategic Granting Policy

The purpose of this memo is to provide

2020 Grants

2.2.a. OHA implements grant programs, in compliance with Section 10-17, Hawai'i Revised Statutes, as amended, to provide support and resources to organizations, and individuals working to address the needs and promote the well-being of the Native Hawaiian community as follows:

1. a for-profit subsidiary of a nonprofit organization incorporated under the law of the State;
2. a nonprofit community-based organization determined to be exempt from federal income taxation by the Internal Revenue Service;
3. a cooperative association; or

4. an individual, who in the BOT's determination, is able to provide the services or activities proposed in the application for a grant.

2.2.b. The CEO is responsible for developing procedures and guidelines for the grant programs in accordance with all applicable statutes and BOT policies.

The following OHA Grants Program consists of the minimum criteria for funding requests:¹

1. The requesting organization must show proof of IRS tax-exempt non-profit status in the State of Hawai'i (or operate as a government agency).
2. The proposed program/project must benefit Native Hawaiians individually or as a group.
3. The proposed program/project must support OHA's Strategic Plan conform to the program priorities established by the Board of Trustees.

OHA Grants Program funding methods consist of 4 levels of funding and detail award authority and award schedule.

<u>Level</u>	<u>Funding Request</u>	<u>Matching Funds Ratio</u>	<u>Award Authority</u>	<u>Schedule</u>
<u>Level I</u>	<u>Up to \$10,000</u>	<u>0</u>	<u>Administrative</u>	<u>Monthly</u>
<u>Level II</u>	<u>\$10,000 - \$24,999²</u>	<u>10%</u>	<u>Administrative</u>	<u>Monthly</u>
<u>Level III</u>	<u>\$25,000 - \$49,999</u>	<u>25%</u>	<u>BOT</u>	<u>Quarterly</u>
<u>Level IV</u>	<u>\$50,000 and above</u>	<u>50%</u>	<u>BOT</u>	<u>Semi-Annually</u>

2.2.c. Reserved.

~~**2.2.d.** The CEO is granted authority to approve Grants Program funding requests up to \$24,999. Grant requests \$25,000 and over requires the concurrence of a majority (5) of all members to which the BOT is entitled. The exception is for Community~~

¹ October 30, 2002 (first reading) and December 10, 2002 (second reading) the BOT adopted policies for OHA Grants Program that described the minimum criteria levels of funding requests, matching funds required, award authority and award schedule. (Action Item PM 02-24)

² **Note:** Level II and authority oversight was based on State Procurement small purchased monetary cap of \$25,000. Act 173 SLH 2012 increased small purchase monetary cap for goods and services to less than \$100,000 or \$250,000 for construction which was signed into law on June 27, 2012.

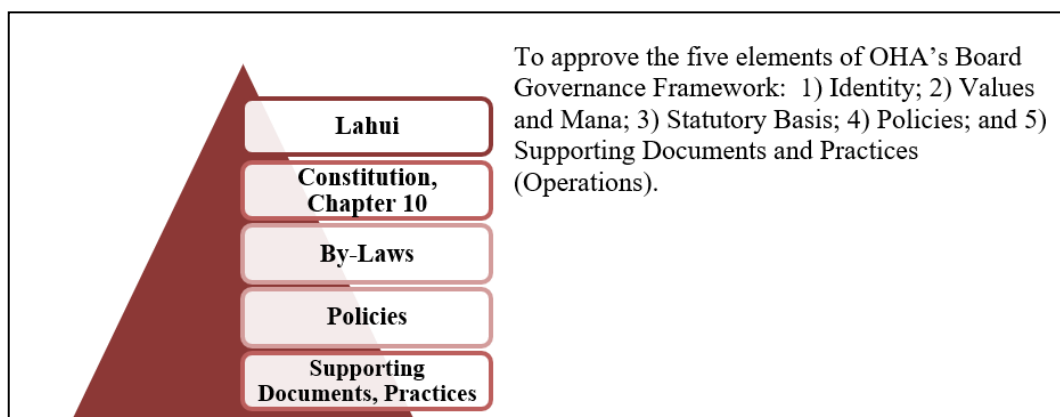
~~Based Economic Development (CBED) Grants, for which the CEO can approve grant requests up to \$50,000.~~

2.2.e.d. Grants funding requests shall be submitted by Administration in an established Grants Action Item format and will contain all items included on the Grants Action Item Checklist as approved by the CEO. Grant Action Items shall be submitted to the Chair(s) of the Standing Committee(s) with purview over grant making for their review no less than one-week prior to formal consideration. If more than one Standing Committee is deemed to have purview over a grant related matter, a joint Standing Committee meeting shall be held to consider the issue.

2.2.f.e. Reserved.

Elements of a policy framework may include, but not be limited to: existing fiscal, land, human resources policies; recognizing the dual identity of OHA as a state agency and perpetual trust; identifying compliance and internal audit functions; cascading to operating policies, processes, procedures and practices.

Strategic Outcome 9.5: Increased strategic granting



Please share your thoughts for discussion with your colleagues re:

- What does “increased strategic granting” looks like in your “minds eye”?
- What are other organizations in Hawaii, on the continent and/or internationally that can be models or examplars for OHA to consider in granting policy and practices?

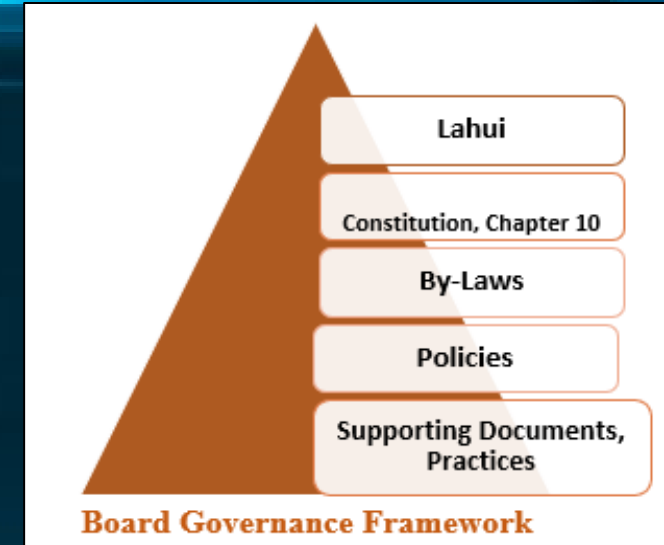
ACTIONS	
Endowment	
Strategic Outcomes (Endowment)	
Increased value of, investments in and value from financial, commercial and land resources.	
Strategy 9. Steward financial and commercial resources to enable strategic outcomes	Strategy 10. Steward land resources to fulfill fiduciary responsibilities and enable strategic outcomes
9.1. Increased value of the Native Hawaiian Trust Fund (NHTF)	10.1. Protected traditional and customary rights
9.2. Increased value of the commercial resources	10.2. Auamo kuleana for land resources
9.3. Increased direct investments in Hawaii	10.3. Strengthen and elevated cultural resource management practices
9.4. Increased value derived from the NHTF and commercial resources	10.4. Broaden cadre of cultural resource managers
9.5. Increased strategic granting	10.5. Increase stewarded direct land investments

- What lessons can be learned from philanthropy for granting?
- Are there lessons learned from Native Americans and Alaska Natives that can be applied to our context?

Administration looks forward to this open and free flowing discussion to inform drafting of a policy to return for Board discussion and action.

Board of Trustees Board Governance Framework Policy Framework Strategic Granting Policy - DRAFT

THURSDAY, MAY 6, 2021



Endowment

Strategic Outcomes (Endowment)

Increased value of, investments in and value from financial, commercial and land resources.

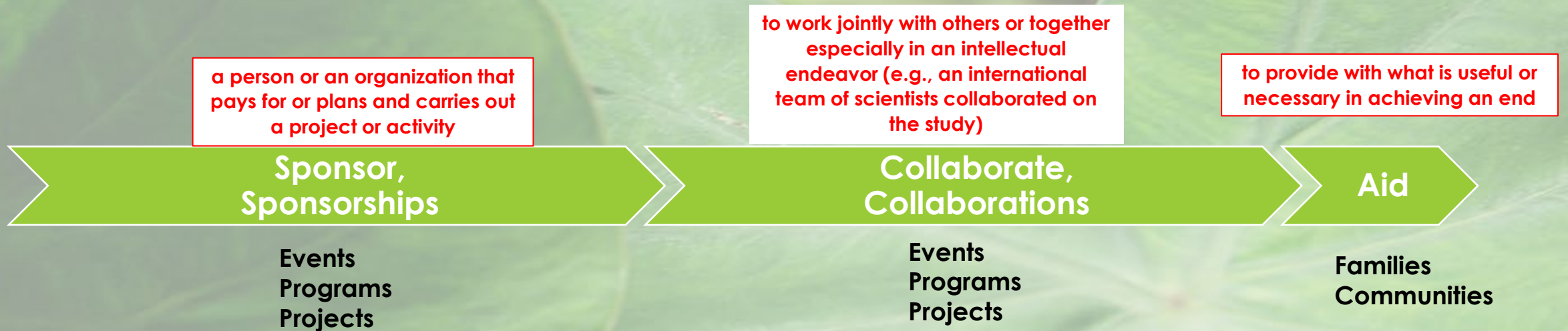
Strategy 9. Steward financial and commercial resources to enable strategic outcomes

- 9.1. Increased value of the Native Hawaiian Trust Fund (NHTF)
- 9.2. Increased value of the commercial resources
- 9.3. Increased direct investments in Hawaii
- 9.4. Increased value derived from the NHTF and commercial resources
- 9.5. Increased strategic granting

Strategy 10. Steward land resources to fulfill fiduciary responsibilities and enable strategic outcomes

- 10.1. Protected traditional and customary rights
- 10.2. Auamo kuleana for land resources
- 10.3. Strengthen and elevated cultural resource management practices
- 10.4. Broaden cadre of cultural resource managers
- 10.5. Increase stewarded direct land investments

Strategic Mechanisms to Advance Mission



Chapter 10 Process


GRANTS vs. Loans, Procurement

(a) Applications for grants; (b) Grant awarding assumptions for applicants; (c) Qualifications of grant applicant; (d) Grant monitoring and evaluation expectations; and (e) Grants under section may be made without regard to chapters 103D (Hawaii Public Procurement Code) and 103F (Purchases of Health and Human Services).





Advocate



Community Engager



Researcher



Resource Manager

**Sponsor,
Sponsorships**

**Collaborate,
Collaborations**

Aid

Strategic Mechanisms to Advance Mission

Events: Community, Legacy,
Indigenous (National, International,
Pacific)
Programs: Education, Health
Projects: Capacity Building

Events, Convenings
Programs – Charter Schools,
Projects – DHHL, Lunalilo Home

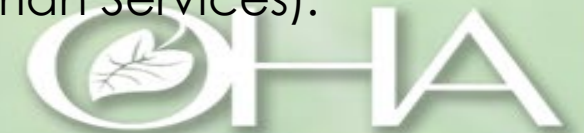
Families &
Communities – Lava,
Disaster Related, Storm,
Hurricane

Chapter 10 Process

GRANTS

vs. Loans, Procurement

(a) Applications for grants; (b) Grant awarding assumptions for applicants; (c) Qualifications of grant applicant; (d) Grant monitoring and evaluation expectations; and (e) Grants under section may be made without regard to chapters 103D (Hawaii Public Procurement Code) and 103F (Purchases of Health and Human Services).



Authorization Controls – Board – Strategic Plan
Authorization Controls – Board – Budget - Tactical, Operating Plan

Advocate

Sponsorships

Community Engager

Collaborations

Researcher

Aid

Resource Manager

Strategic Mechanisms to Advance Mission

Events: Community, Legacy, Indigenous (National, International, Pacific)
Programs: Education, Health
Projects: Capacity Building

Events, Convenings
Programs – Charter Schools,
Projects – DHHL, Lunalilo Home

Families &
Communities – Lava, Disaster Related, Storm, Hurricane

Authorization Controls – Board – Bi-ennium Budget
Authorization Controls – Board – Action Item

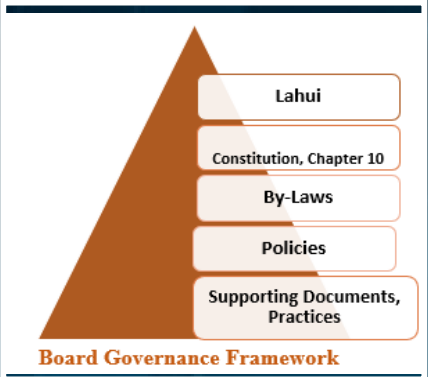
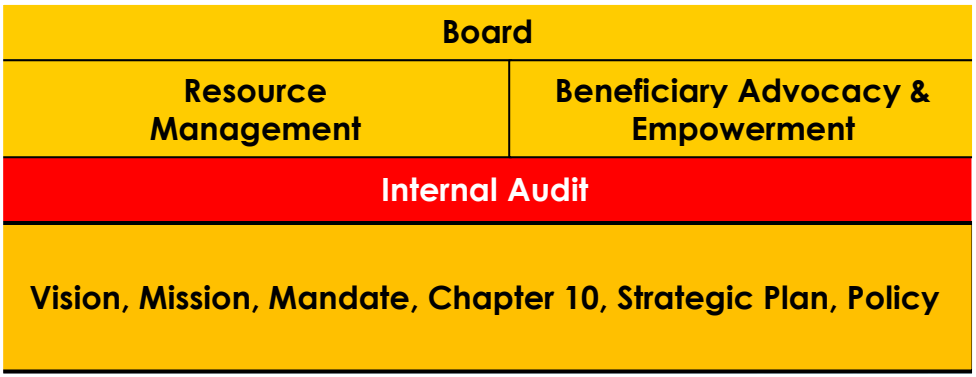
Implementation, Process Controls & Testing

GRANTS

vs. Loans, Procurement

Completeness controls (e.g., reconciliation, population, reporting), system controls (e.g., login, function security), access controls (e.g., physical space), reputation (e.g., conflict of interest, confidentiality), quality assurance processes (e.g., testing, re-review), compliance testing.





Functional Org Chart

CEO

Beneficiary Services

Communications

General Counsel

Corporate Counsel

Human Resources

Risk Management

Records Management

Policy, Compliance & Contract Management

CFO

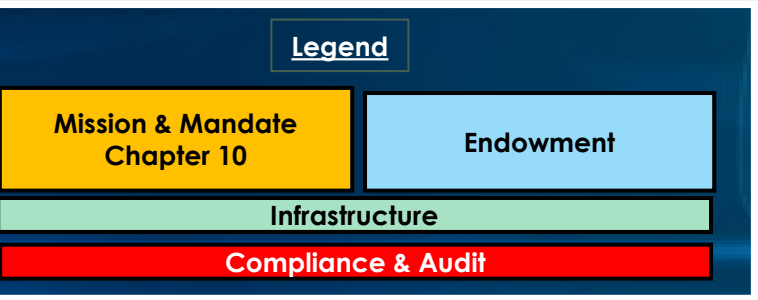
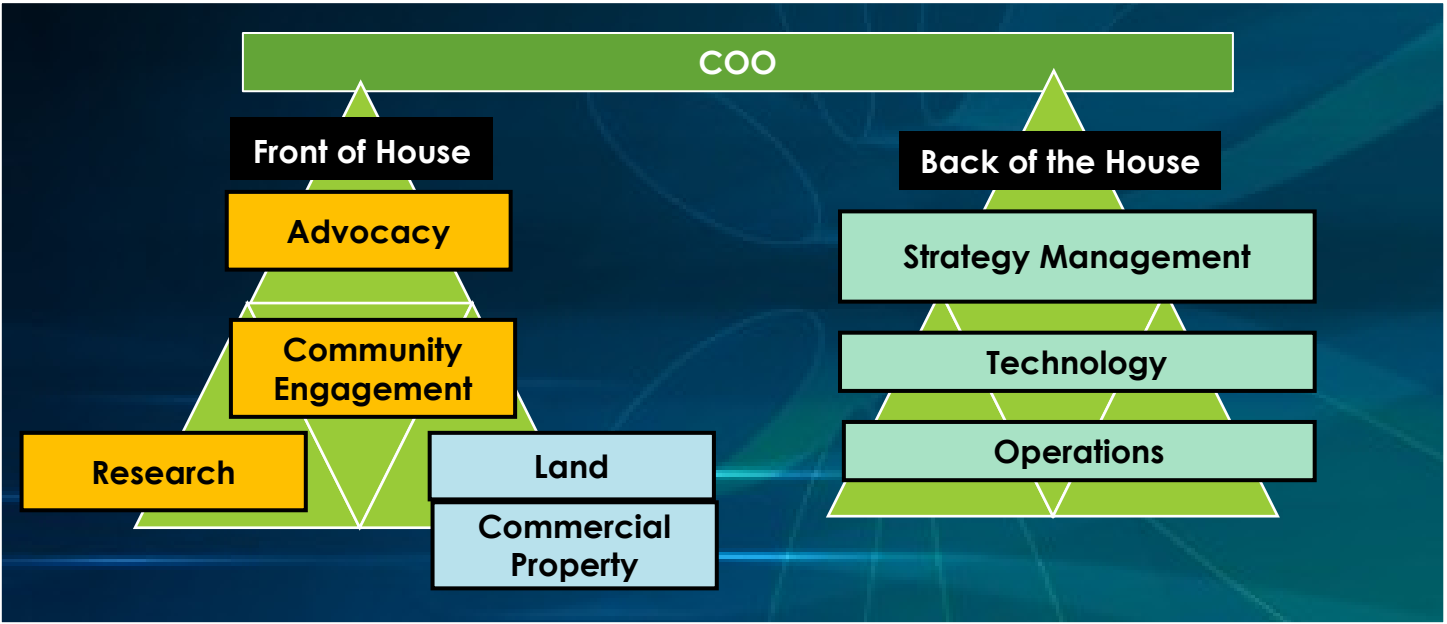
Accounting

Finance & Treasury

Procurement

Investments

Grants



For reorganization purposes the terms “function” and “functional” are used both in its noun and verb definitions to describe purposes, operations, parts that contribute to the whole; <https://www.merriam-webster.com/dictionary/function?src=search-dict-box>, retrieved 3/4/2021.



A stylized, abstract illustration of a flower or plant in shades of blue and teal, centered on a dark blue background. The petals are elongated and layered, creating a sense of depth and movement. The overall aesthetic is modern and artistic.

He Ninou Kau